

General properties of ocean waves (waves 101)



Fabrice Ardhuin

Lab. Ocean Physics & Satellite remote sensing (LOPS)

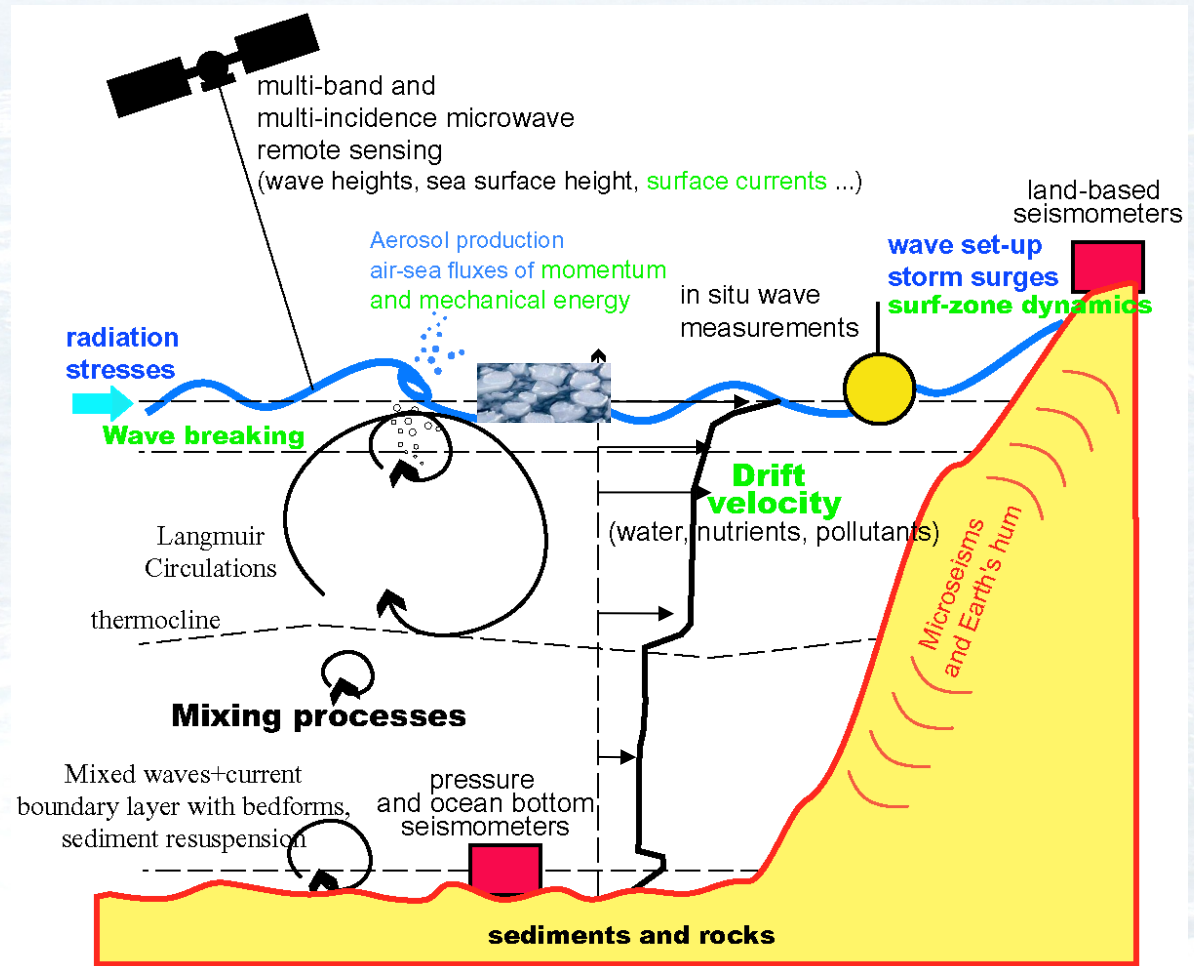
CNRS-Ifremer-IRD-UBO

ardhuin@ifremer.fr

Why waves? A key rôle at Earth System interfaces...

- air-sea
- Land-sea
- Ocean-ice
- ocean-crust

+ engineering...





Outline

1. What are waves : waves and « sea state »
2. Time and space scales... and wave spectra
3. measuring waves in situ
4. Wave propagation : effects of depth & currents

Material : « Waves in geosciences »

**[ftp://ftp.ifremer.fr/ifremer/ww3/COURS/
waves_in_geosciences_2016.pdf](ftp://ftp.ifremer.fr/ifremer/ww3/COURS/waves_in_geosciences_2016.pdf)**



1

What are waves ?

Waves and « sea state »

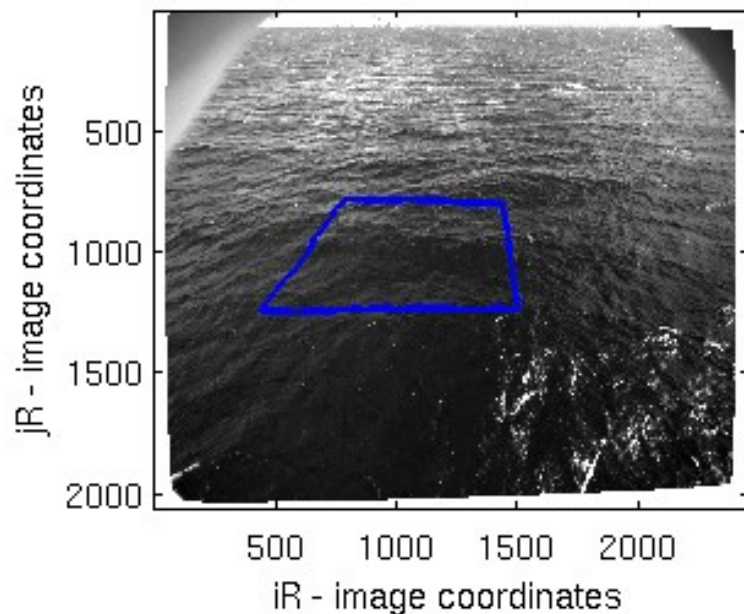
Wave energy and Stokes drift



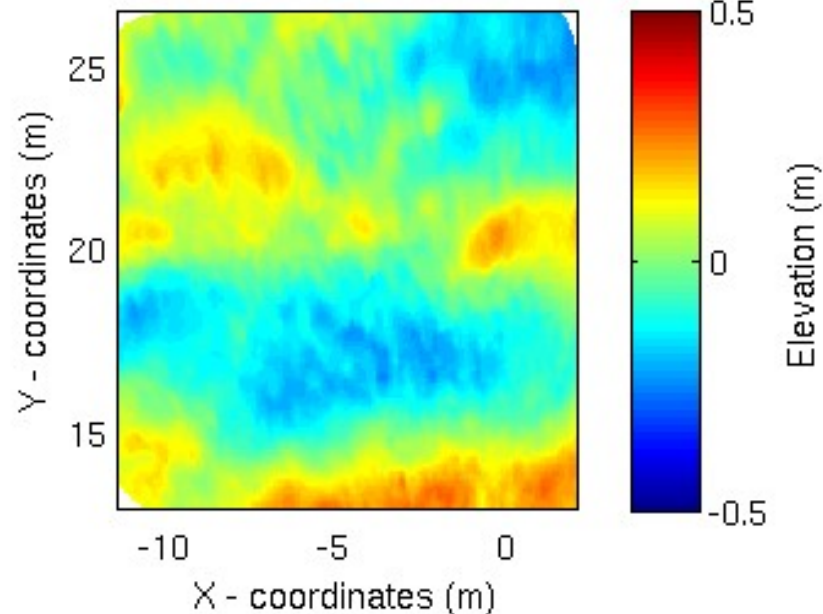
What are waves ?

We think of waves as the large crests we see...
the red blob that moves : here is a wave.

Right image - 2011-10-04 11:00:07 UTC



Surface elevation - 2011-10-04 11:00:07 UTC





What are waves ?

But there are also short « wavelets » riding on the largest waves
This collection of waves, long and short, tall and small is...
the « sea state »

A typical distance between 2 crests
is the **wavelength L** .

The time between 2 crests passing
a fixed point is the **wave period T**





What are waves ?

L and **T** are related by the Dispersion relation.

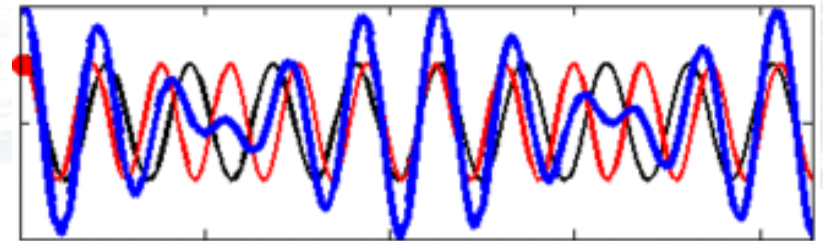
In deep water this is $L = g/(2 \pi) T^2$: $T = 10 \text{ s} \rightarrow L = 150 \text{ m}$

In general : $L \tanh(2 \pi D / L) = g/(2 \pi) T^2$
or $\sigma^2 = gk \tanh(kD)$

The phase speed is $C = L / T$

The group speed is different $C_g = d\sigma / dk$

In deep water $C_g = C / 2$



Wave motion : elevation → energy

Surface elevation :

$$\zeta = a \cos(k \cdot x - \sigma t)$$

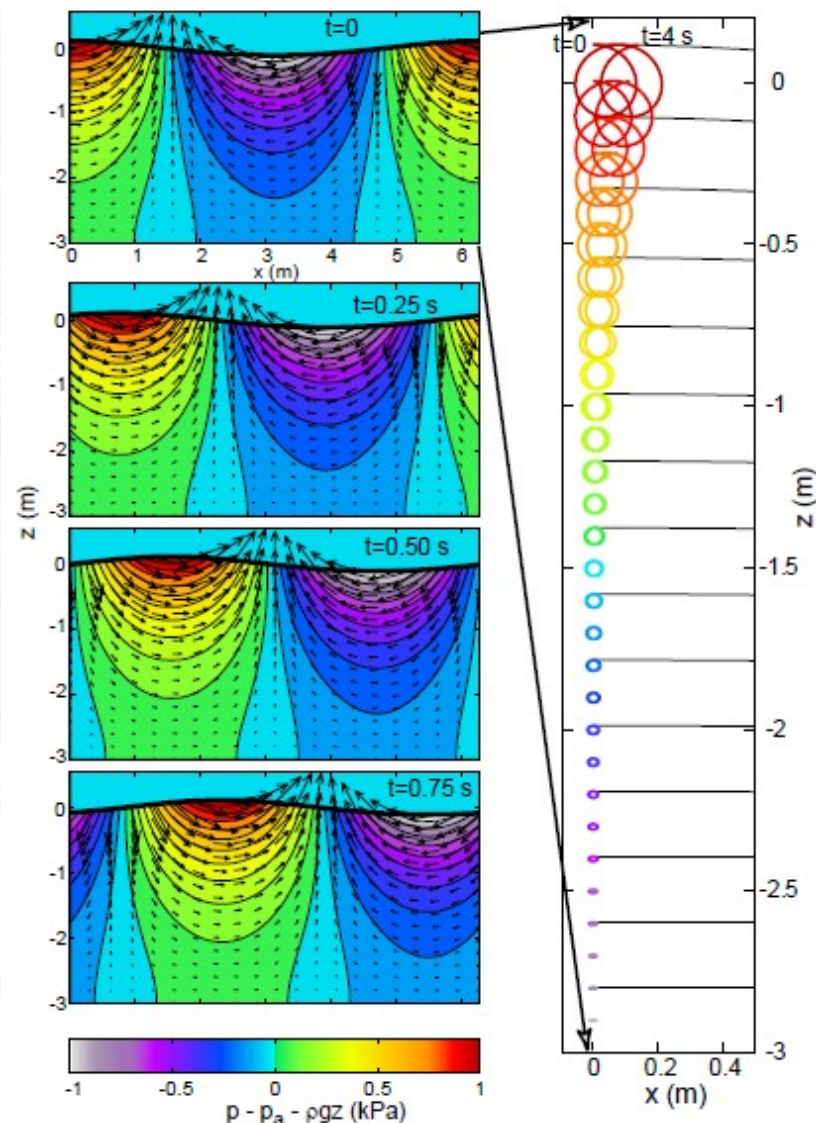
Velocity

$$u = \sigma a \cos(k \cdot x - \sigma t) \frac{\cosh(kz + kh)}{\sinh(kD)}$$

Energy : potential + kinetic

$$E_t = E_c + E_p = \frac{1}{2} \rho_w g a^2 = \rho_w g E$$

$$E = \overline{(\zeta - \bar{\zeta})^2}, \text{ variance of surface elevation in } m^2$$



Wave motion : Stokes drift

$$E = \overline{(\zeta - \bar{\zeta})^2}$$

Drift velocity

$$U_s = \sigma k E \frac{\cosh(2kz + 2kh)}{\sinh^2(kD)}$$

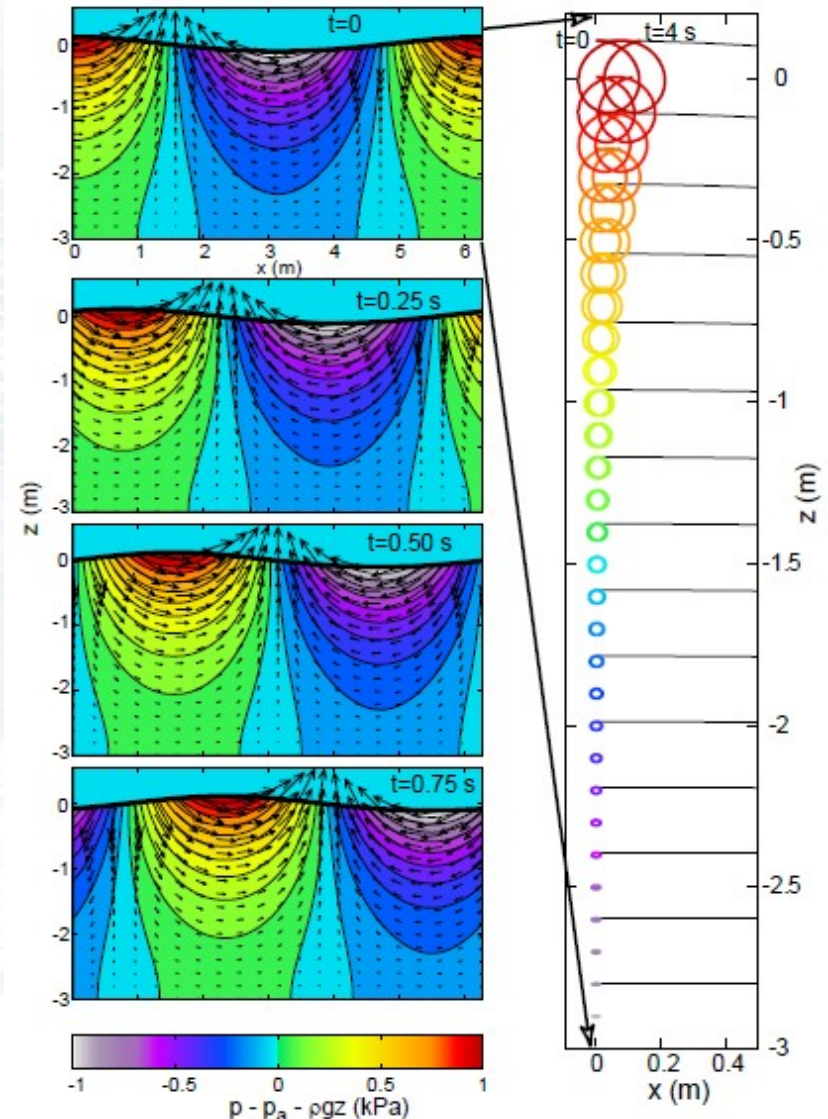
Surface value ~ 1.5 % of wind

In deep water :

$$U_s = 2\sigma k E e^{2kz}$$

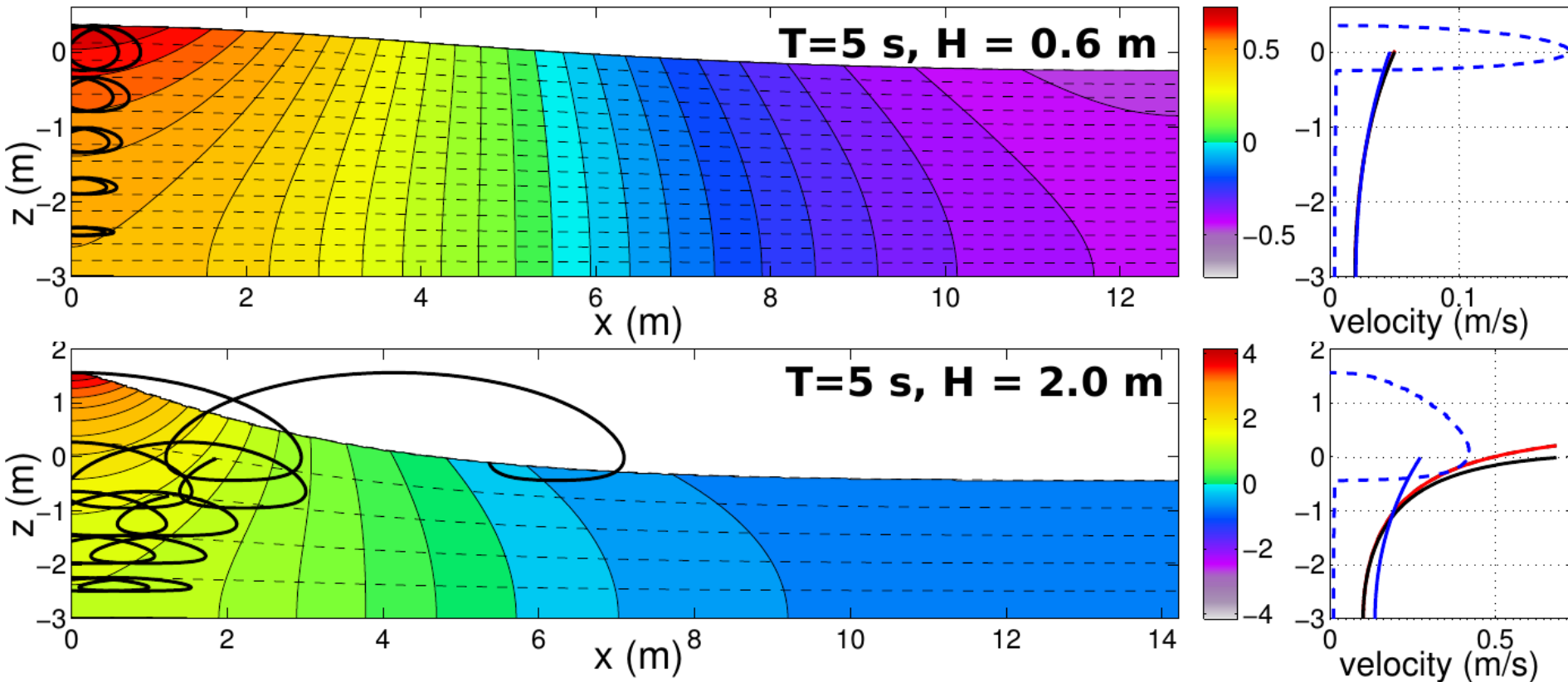
For all depths, transport is :

$$M^w = \rho_w \int_{-h}^0 U_s dz = \rho_w g \frac{a^2}{2C} = \frac{E_t}{C}$$



Wave motion : Stokes drift

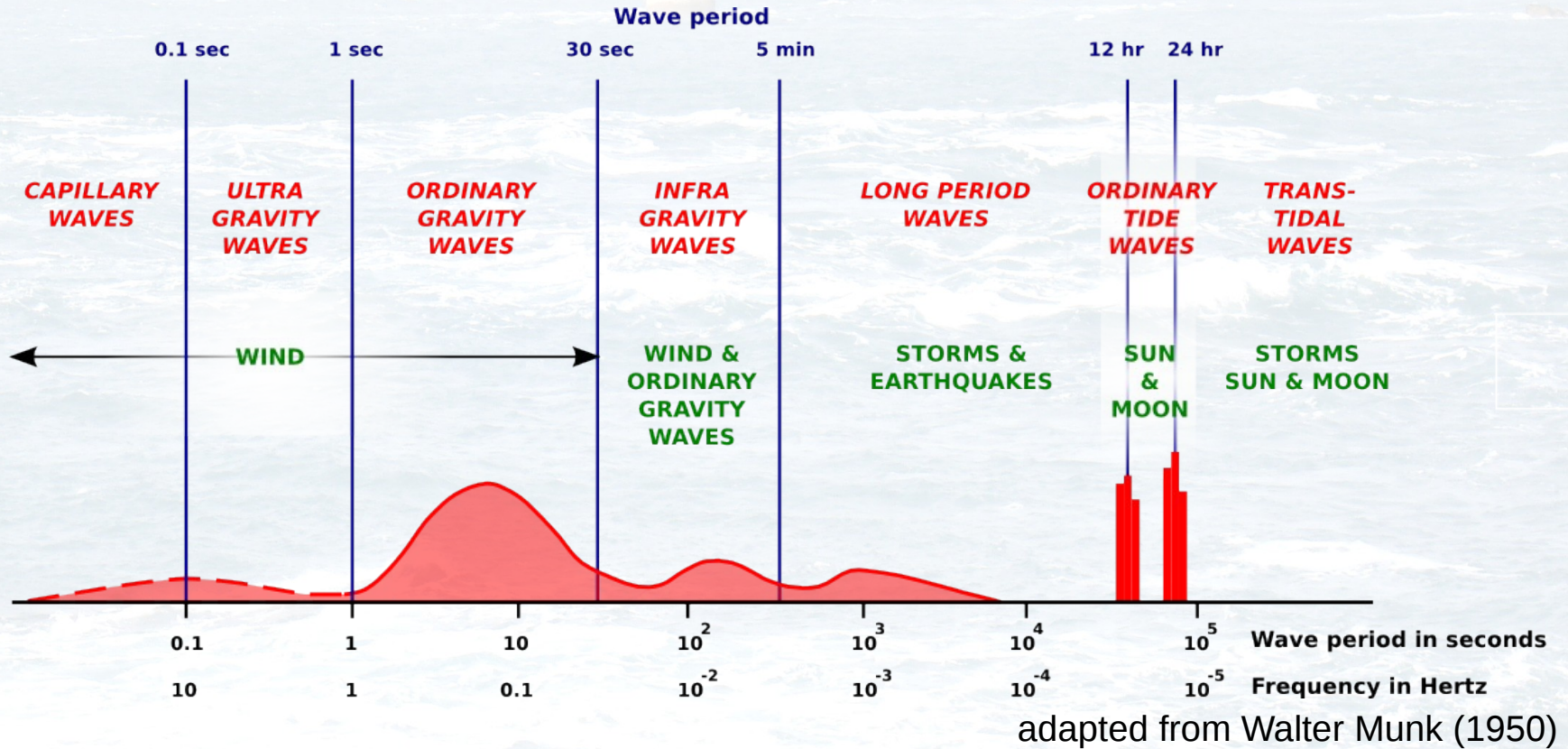
Examples in shallow water, $D = 3$ m.



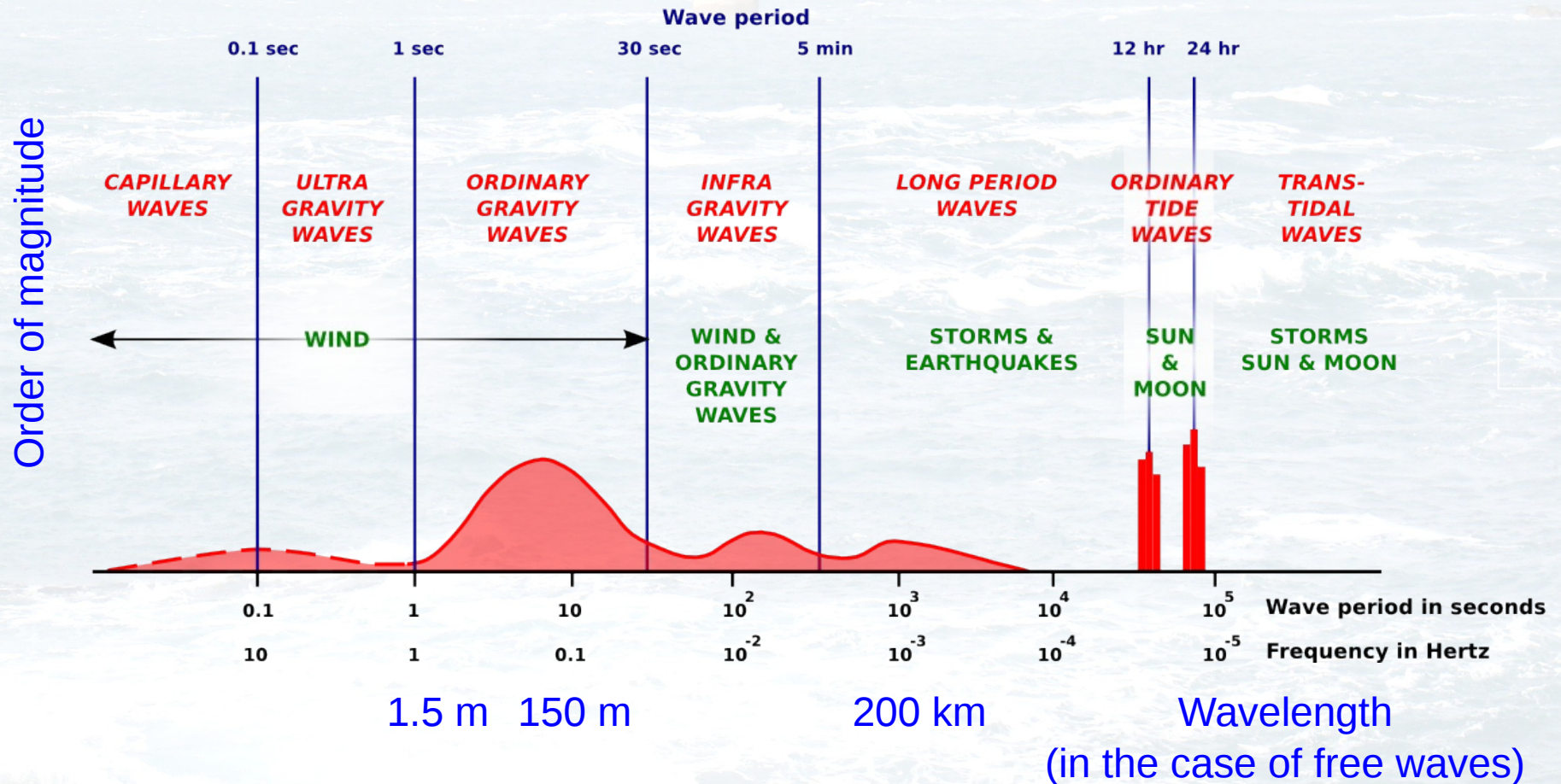
2

Time and space scales ... and wave spectra

Time scales : general context



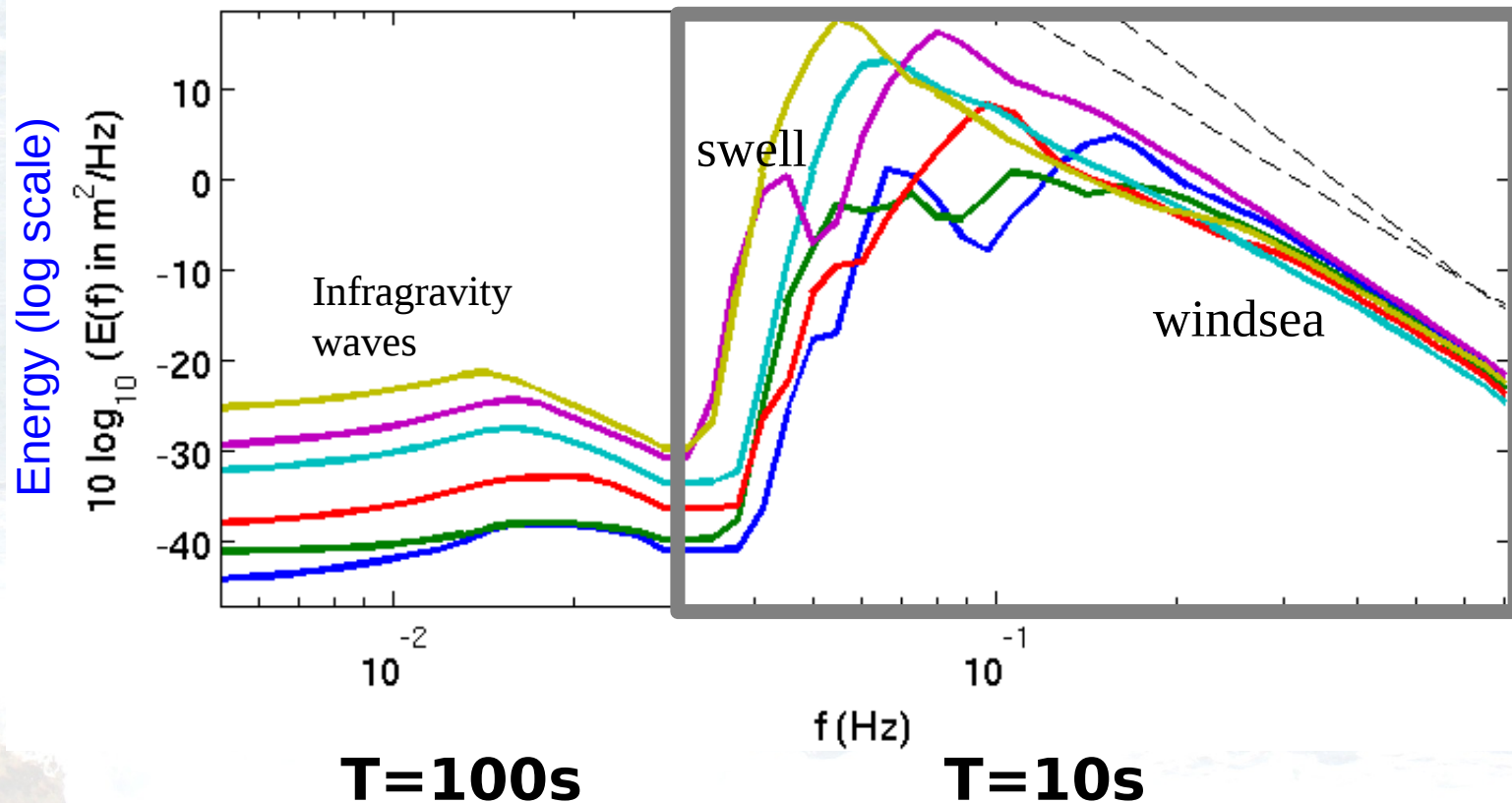
Time scales : general context



Time scales and frequency spectrum

Some examples of power spectrum of surface elevation

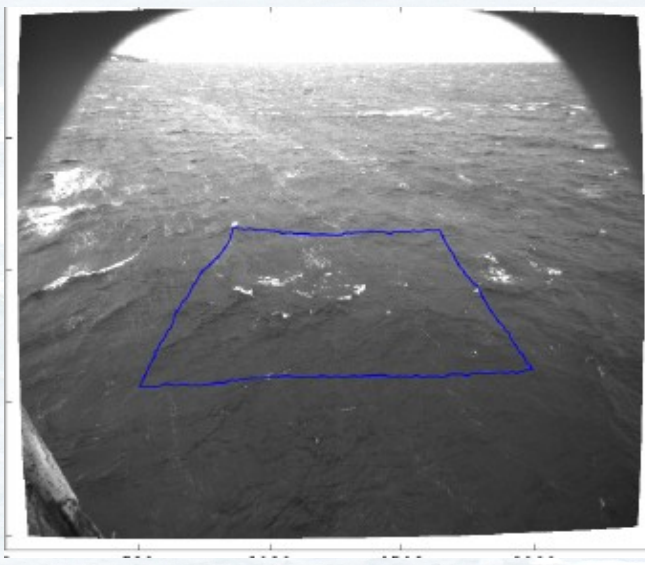
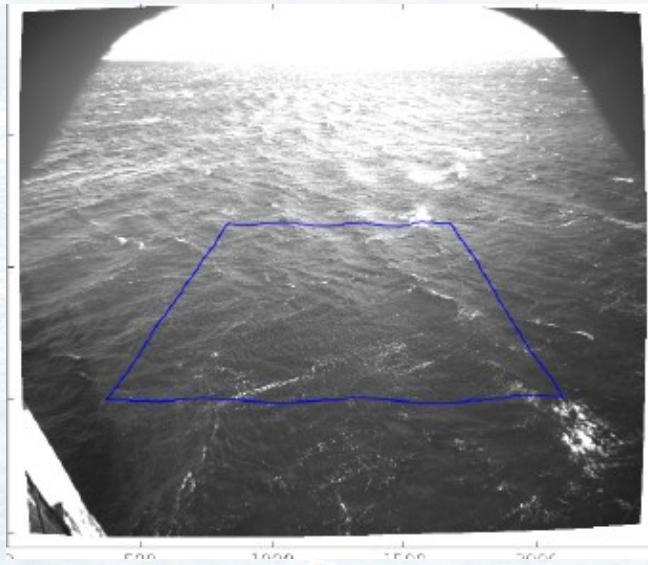
in the grey box
the usual « wave band »



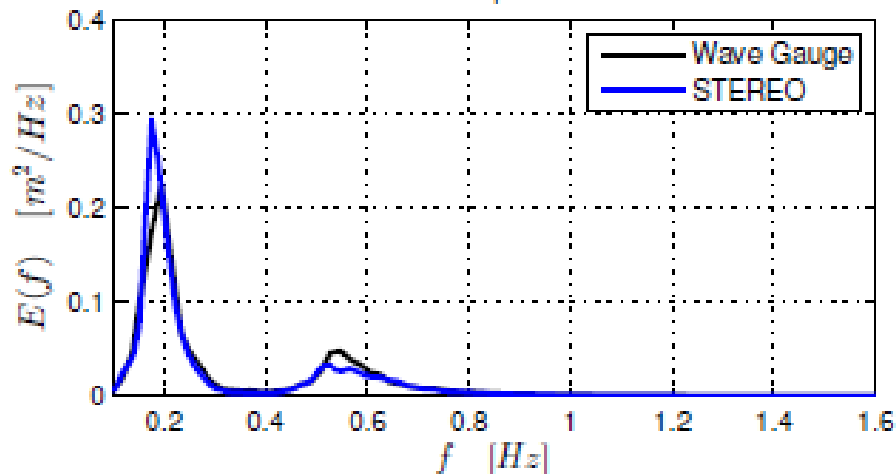


Time scales and frequency spectrum

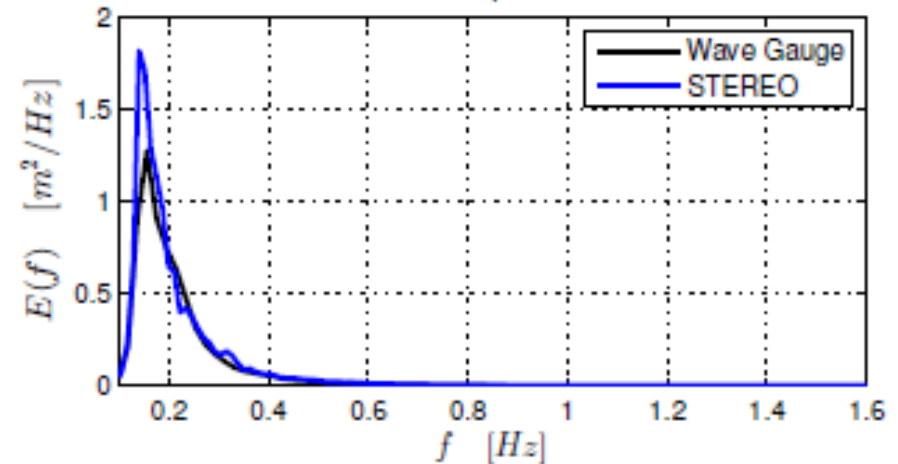
Here are 2 examples, 1 day apart, Katsiveli platform, Black Sea



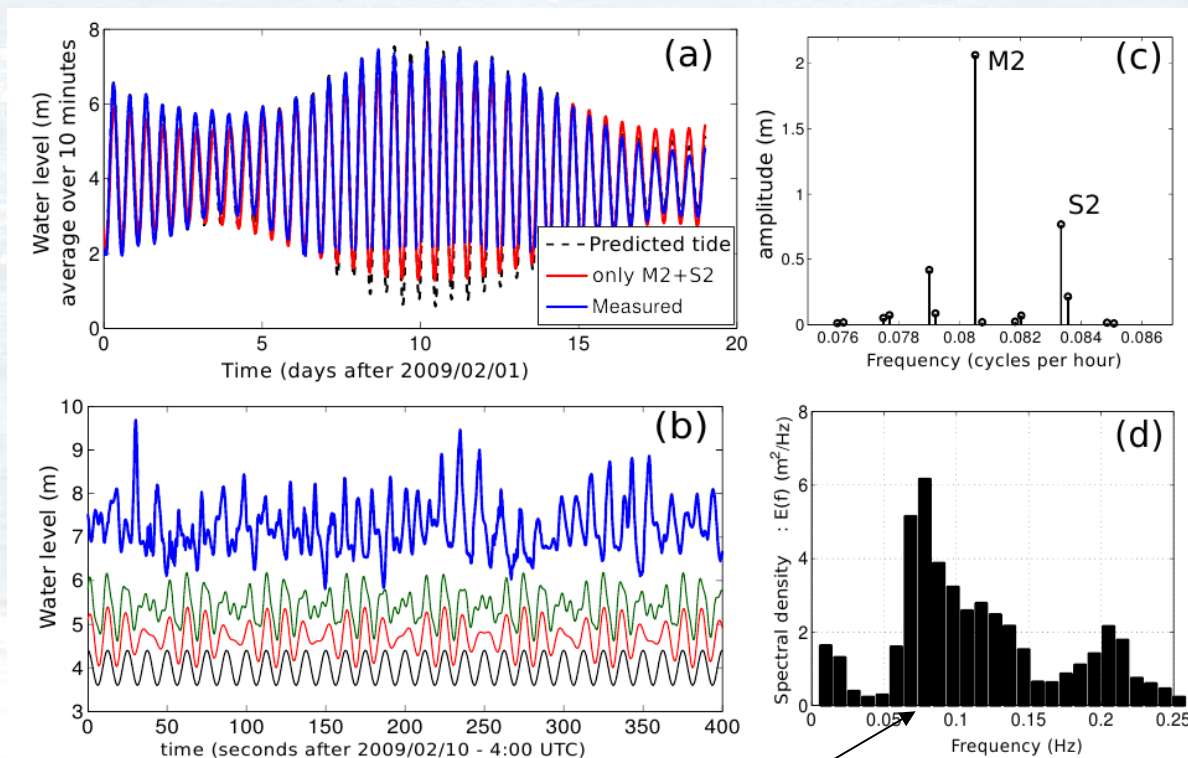
Wave Spectrum



Wave Spectrum



The spectrum is ...
a distribution of the **variance** across **scales**
For example **E** **$f=1/T$**



Tidal signal :
discrete
spectrum

Wave
signal :
continuous
spectrum

$E = \sum (E(f) * df)$, **area in black** = variance of elevation

Definition of significant wave height : **$H_s = 4 \sqrt{E}$**

The 2D frequency-direction spectrum

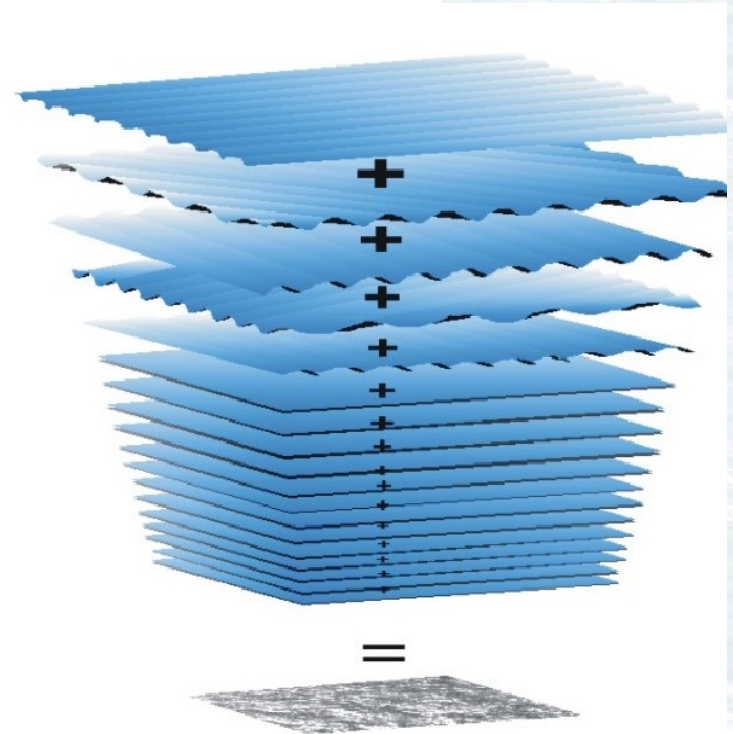
Because ζ is a function of x, y, t the complete spectrum is $\zeta(k_x, k_y, f)$

For **linear waves** in **homogeneous medium** : 2D only

$$\zeta_m(x, y, t) = \sum_{i=1}^N \sum_{j=1}^M a_{m,i,j} \cos(2\pi f_i t - k_i \cos(\theta_j)x - k_i \sin(\theta_j)y + \Theta_{0,m,i,j})$$

How much « energy » in each band of frequencies and directions ?

$$E(f, \theta) = \lim_{\Delta f \rightarrow 0} \lim_{\Delta \theta \rightarrow 0} \frac{1}{\Delta f \Delta \theta} \left\{ \frac{1}{2} \rho g a_{i,j}^2 \right\}$$



The 2D frequency-direction spectrum

Example of 2D spectrum :

Buoy 51001 (Hawaii)

11/01/2007 18:00 UTC

$H_s = 3.1$ m

$T_p = 17.8$ s

$T_{m0,2} = 8.0$ s

$T_{m0,-1} = 11.6$ s

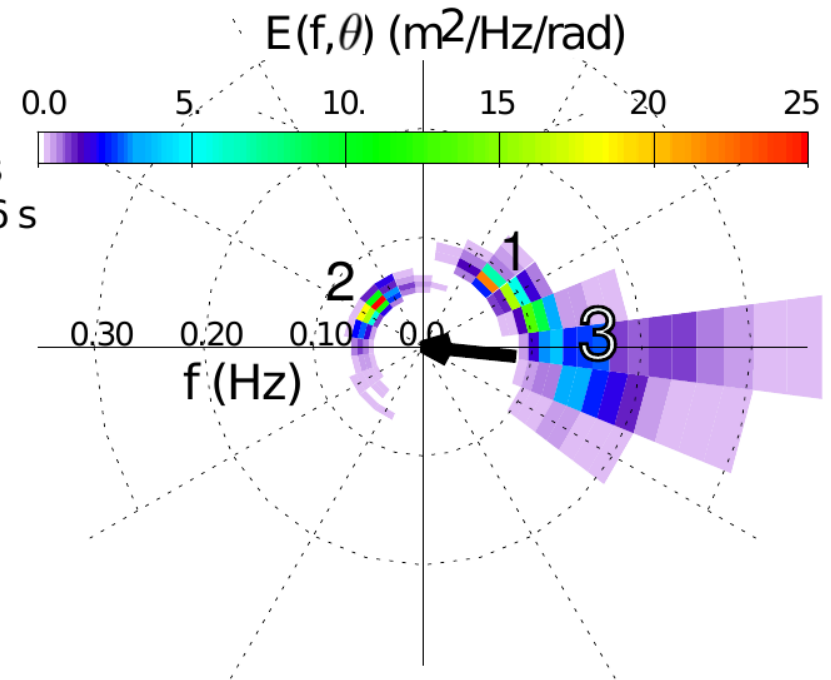
$U_{10} = 9.5$ m/s

1: swell 1

$H_{s1} = 2$ m

$T_{p1} = 11$ s

$\text{dp1} = 55^\circ$



This is what is computed in a model like WAVEWATCH III

→ watch animation

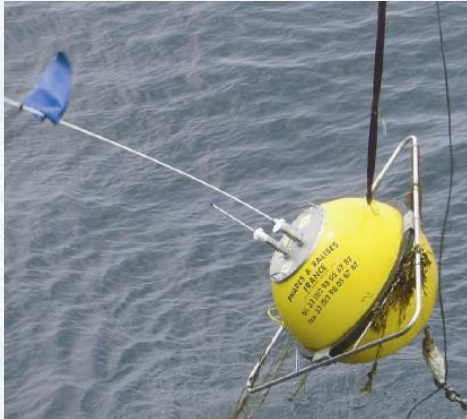


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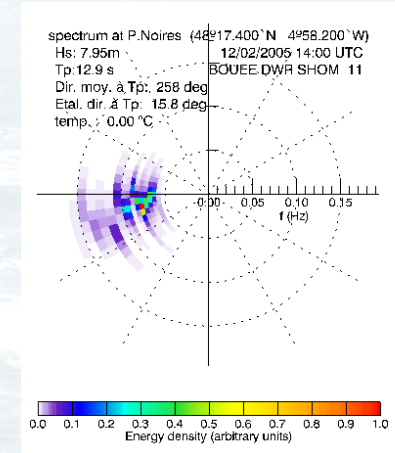
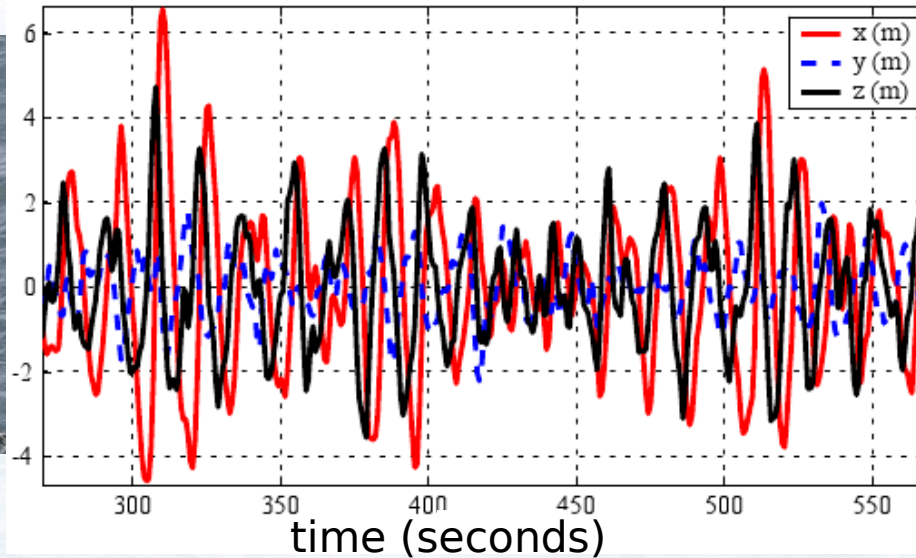
Measuring waves

Measuring $E(f, \theta)$?

With a single buoy ?



A datawell buoy



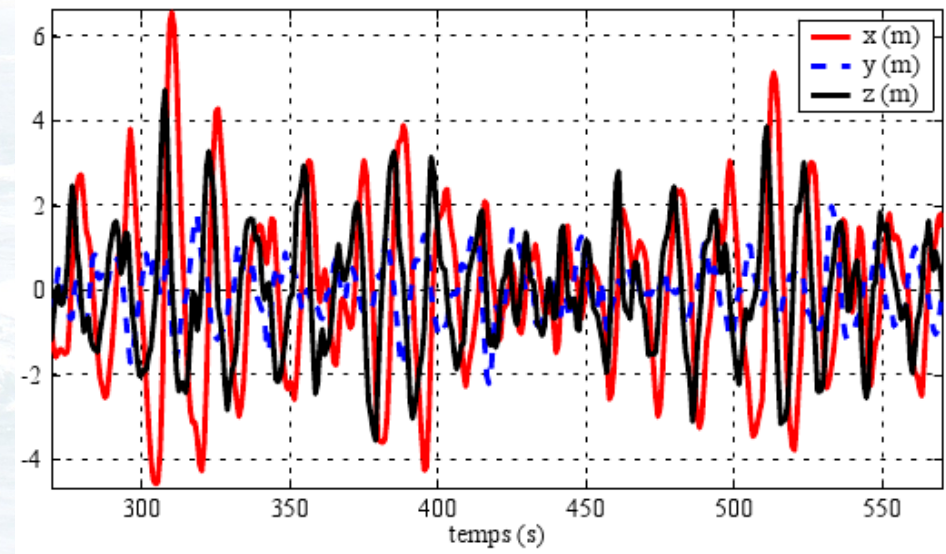
Corresponding $E(f, \theta)$
Using MEM (Maximum Entropy Method)

How does it work ?

Measuring $E(f, \theta)$?

Directional buoy → 3 time series :
x, y and z displacements

$E(f)$ is spectrum of z : $C_{zz}(f)$
Spectrum of x : $C_{xx}(f)$
Spectrum of y : $C_{yy}(f)$



Now we can also « mix » x, y and z to make co-spectra :

$C_{xz}(f)$

$C_{yz}(f)$

$C_{xy}(f)$

Co-spectra are the spectra of the correlations, they have an amplitude
and a phase

Measuring $E(f, \theta)$?

So we have 6 parameters for each frequency.

1 is the « check ratio » : $(C_{xx} + C_{yy})/C_{zz}$

5 define the directional distribution : the « first 5 »

$E(f)$, $a_1(f)$, $b_1(f)$, $a_2(f)$, $b_2(f)$... or equivalently

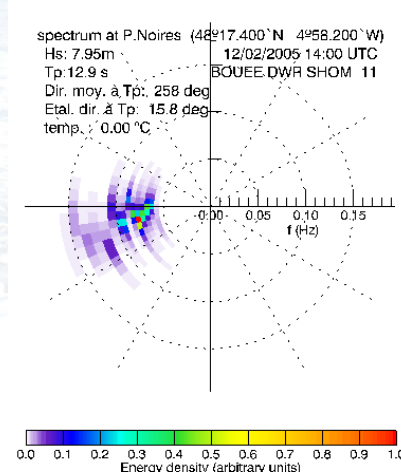
$E(f)$, $th_1(f)$, $sth_1(f)$, $th_2(f)$, $sth_2(f)$

How do you get 36 numbers (or more) from just 5 ? ...

... statistical estimator.

– Most widely used : Max. Entropy Method (Lygre & Krogstad, 1986)

- Makes the narrowest peaks that fit the data
- Is able to detect 2 peaks at same frequency





From elevation to other parameters... and back : modulation transfer functions (MTFs)

Using linear wave theory, we can use $E(f, \theta)$ to determine other properties : velocities, slopes, pressure ...

Conversely, spectra of other properties - when dominated by linear waves - can be used to estimate $E(f, \theta)$

$$A = M\zeta$$

→

$$E_A(f, \theta) = |M|^2 E(f, \theta)$$

$$\zeta = a \cos \Theta,$$

$$u = a \frac{k}{k} \sigma \frac{\cosh(kz + kh)}{\sinh(kD)} \cos \Theta,$$

$$w = a \sigma \frac{\sinh(kz + kh)}{\sinh(kD)} \sin \Theta,$$

$$p = \bar{p}^H + \rho_w g a \frac{\cosh(kz + kh)}{\cosh(kD)} \cos \Theta$$

without currents currents :

$$\sigma^2 = gk \tanh(kD)$$



Warning : current effects

$E(f, \theta)$, as estimated from buoy data,

is different from $F(f_r, \theta)$ coming out of WAVEWATCH,

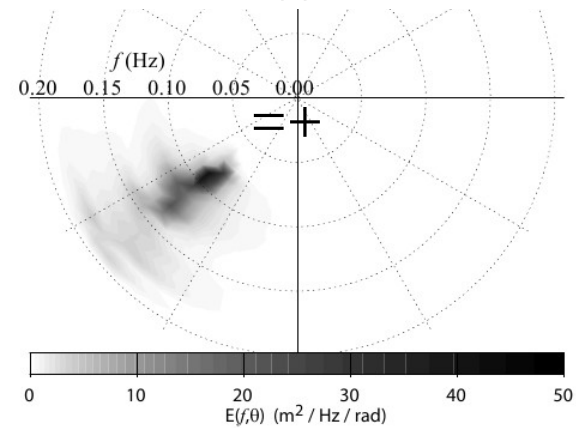
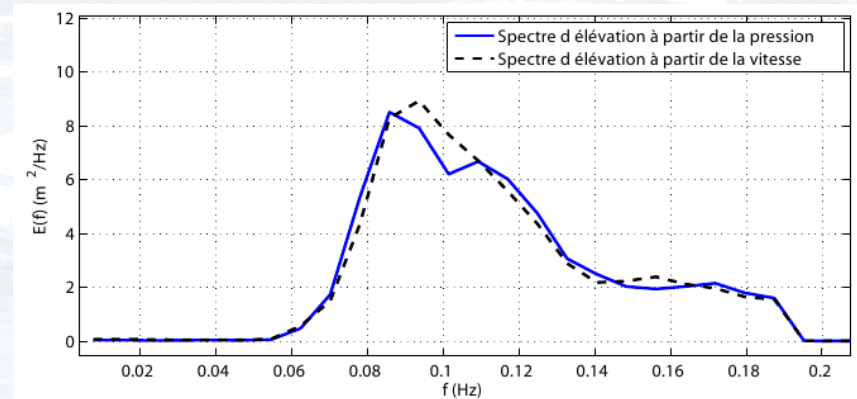
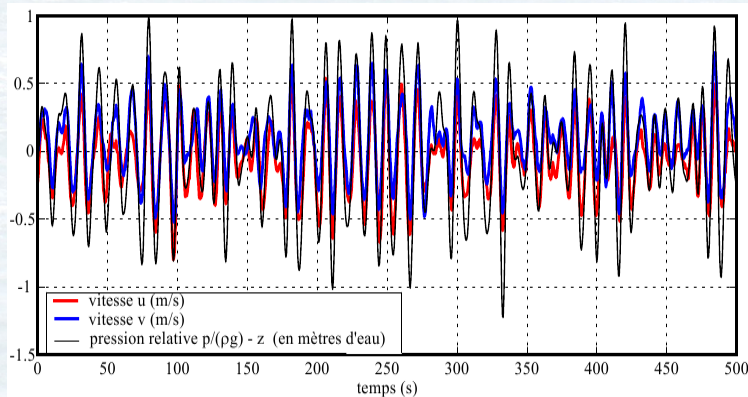
because $\omega = \sigma + \mathbf{k} \cdot \mathbf{U}$

$$\omega = 2 \pi / f$$

$$\sigma = 2 \pi / f_r$$

Measuring $E(f, \theta)$?

Using bottom pressure and velocity : again 6 spectra + co-spectra



$$\mathbf{u} = a \frac{\mathbf{k}}{k} \sigma \frac{\cosh(kz + kh)}{\sinh(kD)} \cos \Theta$$

$$p = \bar{p}^H + \rho_w g a \frac{\cosh(kz + kh)}{\cosh(kD)} \cos \Theta$$



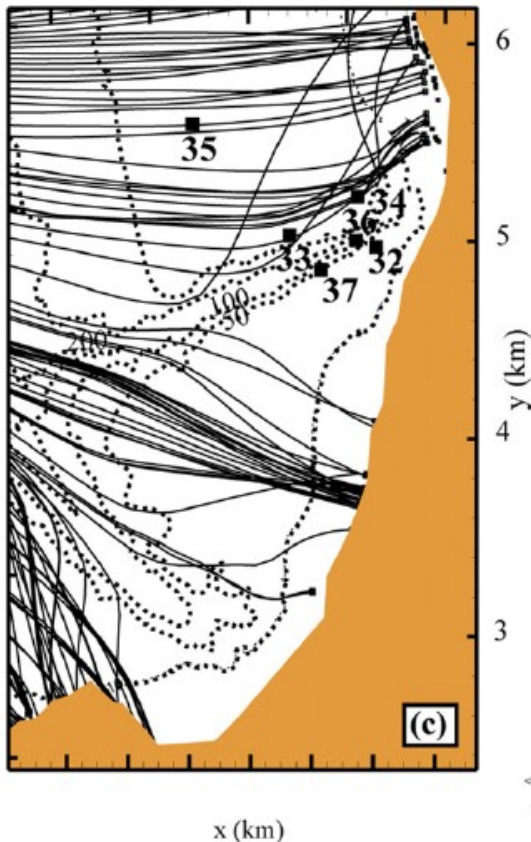
Summary

- 1) **2D wave** spectrum represents distribution of wave « energy » among linear waves
- 2) **2D spectrum** gives statistics of the full 4D wave field, assuming :
 - Linear waves
 - Irrotational motion
 - Homogeneous wave field
- 3) Buoys do not measure a full **2D spectrum**, but only 5 parameters at each freq.
- 4) linear wave theory gives simple transfer function from, e.g. bottom pressure to surface elevation : this is why you can (or can't) measure waves with a bottom pressure recorder.

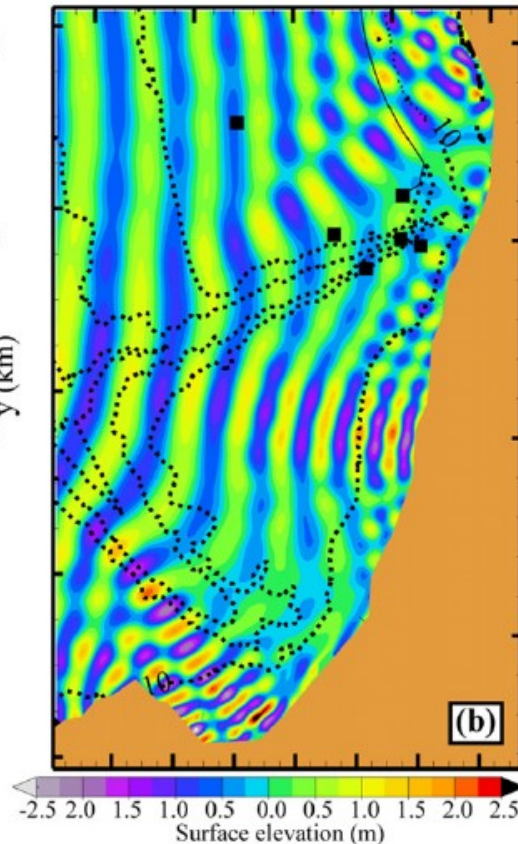
Additional remark : non-homogeneity

You can look at wave spectra evolution at scales *shorter* than a wavelength :
This example is from the WISE Group (2007)

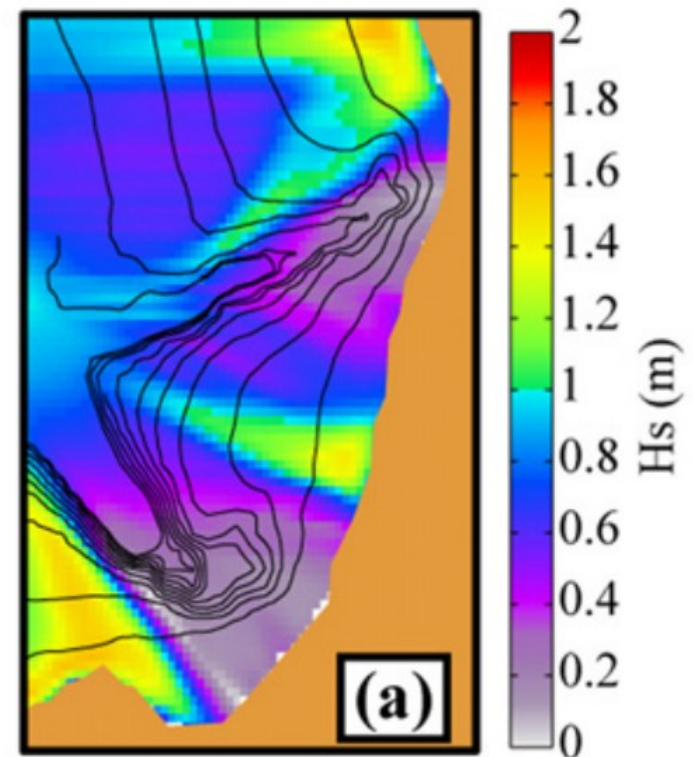
Ray-tracing



MMSE model



SWAN or WW3



However, in that case, there is no simple link between $E(f, \theta)$ and $F(k, \theta)$