

RESEARCH LETTER

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Key Points:

- Energy budget indirect estimates of ocean heat transports for 2000–2013 are much improved
- Time series of the AMOC meridional heat transports throughout the Atlantic are computed for 2000–2013
- At 26.5°N results complement measurements from the RAPID array but feature less trend and an offset

Supporting Information:

- Supporting Information S1

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Atlantic meridional heat transports computed from balancing Earth's energy locally

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Abstract The Atlantic Meridional Overturning Circulation plays a major role in moving heat and carbon around in the ocean. A new estimate of ocean heat transports for 2000 through 2013 throughout the Atlantic is derived. Top-of-atmosphere radiation is combined with atmospheric reanalyses to estimate surface heat fluxes and combined with vertically integrated ocean heat content to estimate ocean heat transport divergence as a residual. Atlantic peak northward ocean heat transports average 1.18 ± 0.13 PW (1 sigma) at 15°N but vary considerably in latitude and time. Results agree well with observational estimates at 26.5°N from the RAPID array, but for 2004–2013 the meridional heat transport is 1.00 ± 0.11 PW versus 1.23 ± 0.11 PW for RAPID. In addition, these results have no hint of a trend, unlike the RAPID results. Strong westerlies north of a meridian drive ocean currents and an ocean heat loss into the atmosphere that is exacerbated by a decrease in ocean heat transport northward.

1. Introduction

The Earth's climate varies on multiple time scales in addition to long-term changes from human activities. Decadal variations are often conflated with the so-called "forced" climate change in the observational record. The primary source of decadal variability comes from the oceans, which can take up heat, move it around, and sequester it for long periods of time [Trenberth and Stepaniak, 2004]. However, when and where the heat is restored to the atmosphere it has profound influences on the surface climate. Accordingly, it is vital to better understand the climate and ocean variability and also how it may change as the climate changes. Moreover, this leads to prospects for improved decadal climate prediction.

Of particular interest are changes in the North Atlantic Ocean, both because of their role in the climate system and their proximity to and impacts on the major populations in the Northern Hemisphere. Even though the Atlantic is a much smaller basin than the Pacific, the deeper ocean heat content (OHC) changes and the connections to the Arctic provide it with an important and unique role in climate variability, especially through changes in the Atlantic Meridional Overturning Circulation (AMOC) [Buckley and Marshall, 2016]. The AMOC is responsible for most of the meridional transport of heat and carbon by the midlatitude northern hemisphere ocean and is associated with the production of about half of the global ocean's deep waters in the northern North Atlantic [Rhein et al., 2013]. The AMOC changes have been linked to abrupt climate change in the paleo-climate record, and freshwater flushing is especially suspected in contributing to rapid changes [Masson-Delmotte et al., 2013]. The AMOC is also a primary source of decadal climate predictability [Kirtman et al., 2013]. Moreover, future projections with global warming suggest a weakening of the AMOC circulation with consequential profound local climate effects [Collins et al., 2013]. However, there are substantial disagreements among models both in terms of how well they simulate the AMOC and the magnitude of future changes [Branstator and Teng, 2012; Kirtman et al., 2013; Danabasoglu et al., 2014]. Accordingly, there is considerable interest in how the AMOC is varying and whether the projected trends are evident or not.

Since April 2004, variabilities in the full AMOC and meridional heat flux have been estimated on the basis of direct ocean observations at 26.5°N by the RAPID Climate Change Programme along with the Meridional Overturning Circulation and Heat Flux Array (henceforth the RAPID array) [Baringer and Larsen, 2001; Cunningham et al., 2007; Kanzow et al., 2007; Johns et al., 2011; McCarthy et al., 2012; Srokosz and Bryden, 2015]. The evolution of the array and methods of computation and assumptions are explored in McCarthy et al. [2015]. The variability in the Atlantic Ocean meridional heat transports (MHTs) arises mainly from changes in the AMOC volume transports ($R^2 = 0.94$, annual cycle included) [Johns et al., 2011]. However, the magnitude of the variability has surprised many [Srokosz and Bryden, 2015] and undermined detection of trends. The observed record exhibits a slight weakening between the first and second halves (see Figure 5 presented later).

Here we explore the variability and possible trends in MHT in AMOC by using an entirely different but complementary approach based upon computing the MHT in the ocean as a residual of the total energy budget. This has an advantage of providing values not just at 26.5°N but throughout the Atlantic so that we can see directly how the AMOC peak transports fluctuate in latitude as well as time. We can also extend the record back in time. The approach has been used in the past [Trenberth and Caron, 2001; Trenberth and Fasullo, 2008], but results based upon new data and more recent higher-resolution atmospheric reanalyses are now considered much more reliable. While they have some uncertainties, the nature of the approximations and errors is quite different from ocean measurement techniques and the approach is complementary.

2. Earth's Energy Budget

It is now possible to estimate most components of the Earth's energy budget, including its major reservoirs and fluxes. All components, however, contain uncertainties, and these cannot be adequately assessed unless examined in a comprehensive framework. Since about 2000, many new and improved observations exist: top-of-atmosphere (TOA) radiation from Clouds and the Earth's Radiant Energy System, temperatures from the increasing Argo array in the ocean, and the synthesis of all observations in reanalysis products from four-dimensional data assimilation in both the atmosphere and ocean (see methods in the supporting information). The absolute value of net downward radiation at TOA, R_T , is established from an inventory of the energy imbalance [Trenberth et al., 2016].

Given R_T [Loeb et al., 2009, 2012; Allan et al., 2014] and the atmospheric energy transport and its divergence, we can estimate the net flux of energy into the surface [Trenberth et al., 2001; Trenberth and Stepaniak, 2003a, 2003b; Trenberth and Caron, 2001; Trenberth and Fasullo, 2008; Fasullo and Trenberth, 2008; Trenberth, 2009; Liu et al., 2015]. The vertically integrated atmospheric energy transports and their divergence have been computed from several atmospheric reanalyses [Berrisford et al., 2011; Dee et al., 2011; Simmons et al., 2010, 2014], but we use only ERA-Interim here as they are superior in several assessments and much improved over earlier reanalyses [e.g., Trenberth et al., 2011; Trenberth and Fasullo, 2013]. Moreover, the surface heat flux estimates are superior to estimates from current atmospheric reanalyses because the latter are model-derived and have incomplete forcings (especially aerosol effects) and substantial TOA and surface imbalances [Mayer and Haimberger, 2012; Trenberth and Fasullo, 2013; Liu et al., 2015].

In turn, the ocean surface heat flux is balanced by changes in OHC and transports of energy within the ocean and their divergence locally. OHC is computed from the vertically integrated ocean reanalysis temperatures [von Schuckmann et al., 2014, 2016; Roemmich et al., 2015; Balmaseda et al., 2013a, 2013b; Tietsche et al., 2015; Zuo et al., 2015], and ORAP5 was assessed to be the best available [Trenberth et al., 2016]. However, we have become aware of a problem in ORAP5 OHC below 1000 m in the North Atlantic in a region off the Mediterranean Sea (30 to 45°N, 10 to 30°W) where spurious warming occurs at 1700 m depth and spurious cooling occurs near 1250 m depth (see the supporting information). We have therefore also computed results by using ORAP5 down to only 1000 m depth, and we use these for the more detailed analysis, while the full-depth result is preferred elsewhere.

The atmospheric energy budget is used to compute the divergence of the total transport of atmospheric energy \mathbf{F}_A , which is balanced by the vertically integrated diabatic heating Q_1 , and the atmospheric moisture budget is used to compute the column latent heating Q_2 [Trenberth et al., 2001; Trenberth and Stepaniak, 2003a, 2003b] (see the supporting information). Subtracting these two removes the dominating effects of precipitation and replaces it with the surface moistening (evaporation). Ignoring tendencies for the moment,

$$\nabla \cdot \mathbf{F}_A = Q_1 - Q_2 = R_T + F_s \quad (1)$$

where F_s is the upwards net surface energy flux. Within the ocean, the net surface heat flux F_s is balanced by either changes in OHC or a divergence of ocean heat content;

$$F_s = -d\text{OHC}/dt - \nabla \cdot \mathbf{F}_O \quad (2)$$

where \mathbf{F}_O is the transport of oceanic energy. Integrating the zonal integral [] of ocean variables from the North Pole southward gives the zonal mean MHT at any latitude ϕ

$$\text{MHT}(\phi) = \int_{\phi}^{90} [F_s + d\text{OHT}/dt] ad\phi \quad (3)$$

where a is the Earth's radius. We use the monthly means of analyses from 2000 through 2013 or 2014, as the data permit. The data sets contain pronounced annual cycles, and some aspects, such as sea ice formation and melt in the Arctic and streamflow discharge from continents, influence our results, yet contain substantial uncertainties that are most likely systematic. Accordingly, the results are shown for 12 month running means (see the supporting information).

We have attempted to estimate error bars for all results. As well as temporal sampling issues, we assess potential biases and structural uncertainties and possible errors in trends (e.g., associated from the changing observing system and methods of analysis) (see the supporting information). The uncertainty analysis shows that while there is room for improvement in the atmospheric analyses and hence the inferred net surface fluxes, improving OHC analyses is most important in refining the results.

3. Annual Means

We first present new results for annual mean meridional ocean heat transports; earlier estimates are given in *Trenberth and Caron* [2001]. The total vertically integrated diabatic heating in the atmosphere, Q_1 , and the vertically integrated latent heating, $Q_2 = L(P - E)$ (Figure S1 the supporting information), show the dominance of the precipitation latent heating in the tropics [*Trenberth and Stepaniak*, 2003b]. The latent heating also reveals the strong negative values in the subtropics associated with the evaporation of moisture over the oceans into the atmosphere (moistening of the atmosphere but cooling the ocean). The extratropical storm track precipitation is also evident in the Q_2 field but is more than compensated for by radiative effects in Q_1 .

At TOA, R_T (Figure 1) is much more zonally symmetric and reveals the excess of solar radiation in the tropics, while the outgoing longwave radiation dominates at higher latitudes. The total atmospheric energy gain, $Q_1 - Q_2$ (Figure 1), removes the dominant effects of precipitation latent heating and replaces them with the evaporative moistening, so that the heating is more uniform with latitude over a broad swath of the tropics and subtropics than R_T .

The net upward surface flux F_s (Figure 2), given by the difference in the two panels of Figure 1, shows the downward heat fluxes throughout much of the tropics, strong upward fluxes especially off the east coasts of Asia and North America, and weaker upward fluxes in the southern oceans, North Atlantic and Arctic. There is a huge seasonality to these fluxes (Figure S2), with strong uptake by the ocean in summer, and release of energy in winter [*Trenberth and Stepaniak*, 2003b, 2004; *Trenberth and Fasullo*, 2008], so that the annual mean is a relatively small residual (Figure S2). What is new in these latest estimates is the appearance of small-scale structures, such as the character of upward fluxes south and southeast of Africa that switch in sign quite abruptly only a few hundred kilometers farther south. These structures appear to be real and are replicated in the National Center for Atmospheric Research (NCAR) Community Earth System Model [*Kay et al.*, 2015; *Trenberth et al.*, 2015b] (Figure S2), for instance. Indeed, many small-scale features are replicated between these observed fields and the model fields in spite of known shortcomings in the model.

Accordingly, there has to be an ocean heat transport from the source (blue) regions of Figure 2 to the sink (red) regions. This is readily computed for the zonal mean as a residual of R_T and atmospheric transports (Figure 3) or by integrating Figure 2 from the north southward while accounting for the changes in OHC (see equation (3)). It can be also allocated into the individual basin components (Figure 3).

The net northward heat flux by the ocean through the Bering Strait varies substantially from year to year reaching a maximum in 2007 of 15 to 20 TW [*Woodgate et al.*, 2006, 2012], but even then the values are a factor of 20 smaller than the mean transports (Figure 3) near 60°N. Accordingly, the ocean heat transports are northward throughout the Atlantic into the Arctic Ocean. Consistent with previous findings [*Trenberth and Caron*, 2001], the maximum net poleward transports of 5.7 ± 0.1 PW (2 sigma) occur between 35 and 40° latitude in both hemispheres, with by far the dominant contribution coming from the atmosphere. The ocean meridional heat transports are comparable in magnitude to those in the atmosphere in the tropics and slightly stronger in the Northern Hemisphere. The maximum northward transport in the Atlantic is 1.18 ± 0.26 PW (2 sigma) at 15°N in these results (Figure S5), and southward heat transport from the South Pacific Ocean helps to feed the northward transport in the Atlantic (Figure 3). Uncertainties for the Atlantic are addressed mainly in the supporting information.

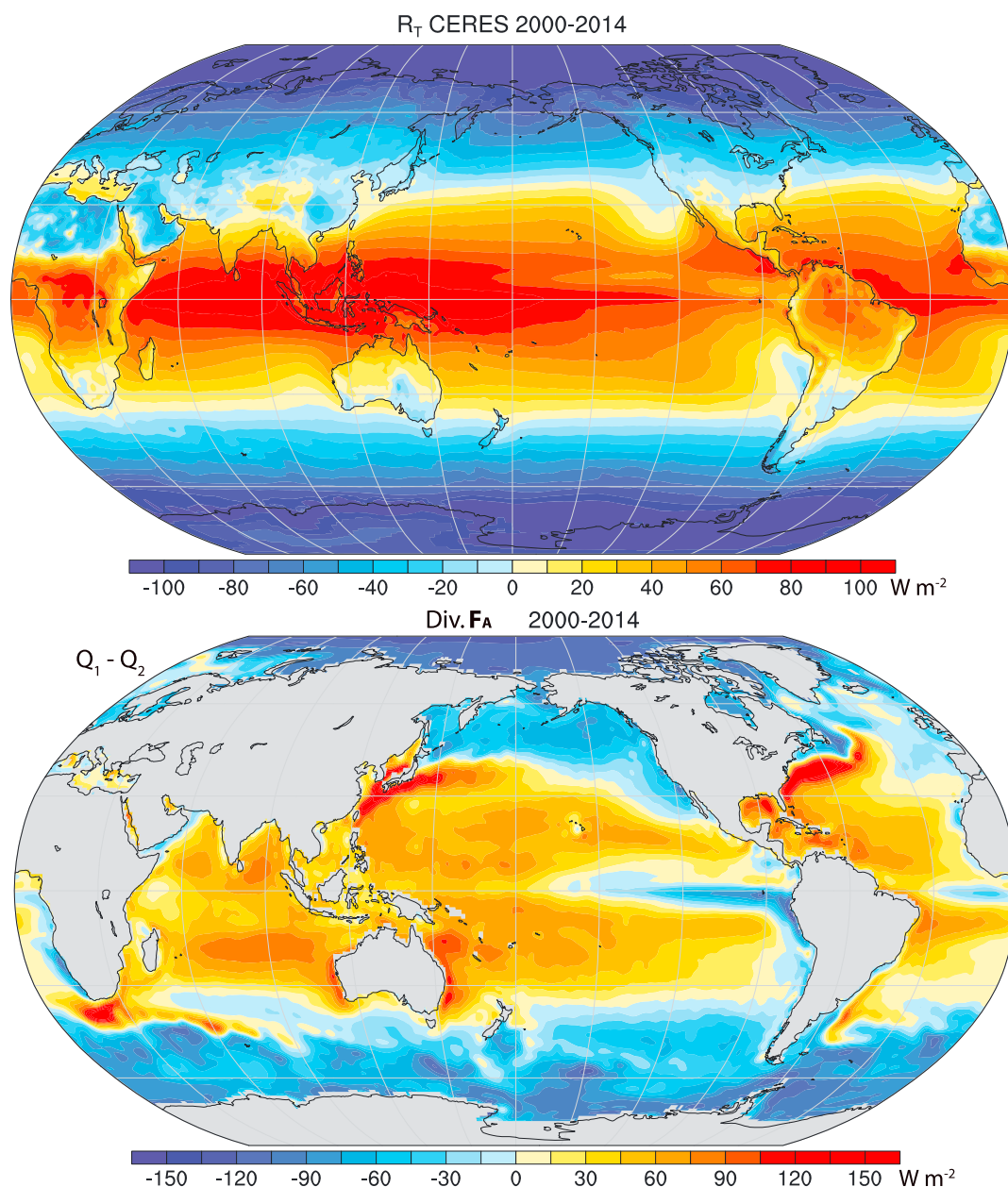


Figure 1. Atmospheric energy budget: (top) The average annual mean TOA net radiation downwards and (bottom) the vertically integrated total heating ($Q_1 - Q_2$) for 2000–2014 in W m^{-2} .

4. Atlantic Ocean Meridional Heat Transports

The time series of the Atlantic zonal mean MHTs with OHC integrated to 1000 m depth, as 12 month running means (Figure 4), reveal considerable variability in several ways. The full-depth result is given in Figure S3. In these computations, the transport through the Bering Strait is ignored. Not only is there variability from 1 year to the next but also the latitude where the maximum northward heat transport occurs has ranged from 12 to 25°N. Values of 1.3 PW are not uncommon, but with notable weakening in 2005 and 2010, with the latter dropping to a peak running mean MHT of 0.9 PW in northern spring of 2010. In 2005 the minimum peak transport is in late winter. Another brief minimum, again less than 1 PW, is in autumn of 2012.

We can readily break down the contributions to the MHT from its components. The net surface energy flux F_s and the changes in OHC (Figure S4) drive the ocean heat transports. The other components, TOA radiation, and divergence of atmospheric energy transport, influence the F_s computation (Figure S5). The average

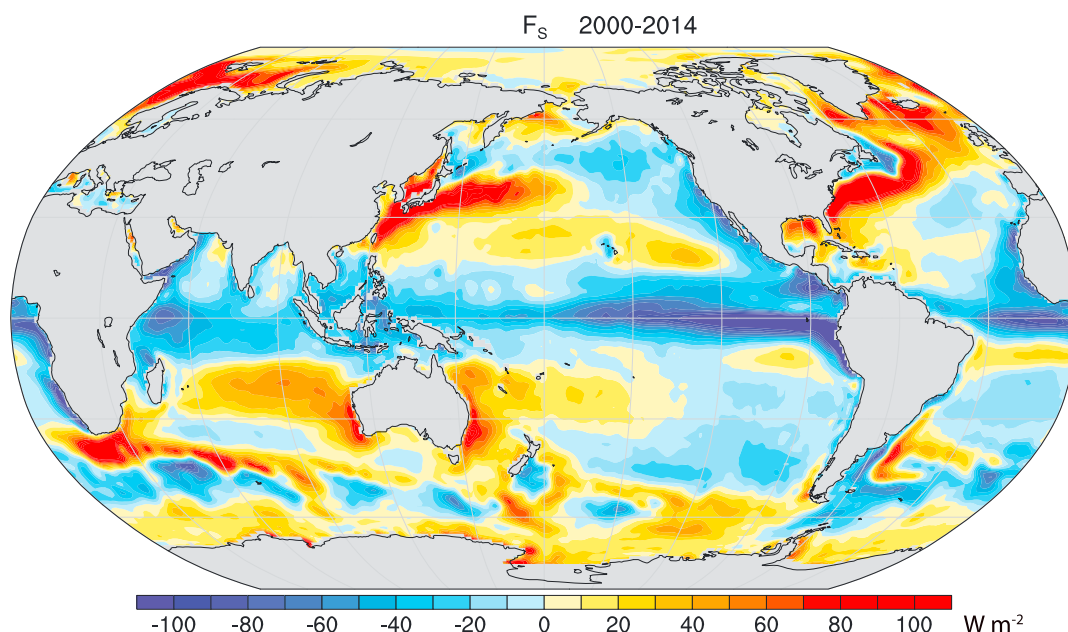


Figure 2. Surface heat flux: The net upward (from ocean to atmosphere) surface heat flux (F_s) for 2000–2014 in W m^{-2} .

profiles of the transports and its components, plus their standard deviation as a function of latitude (Figure S6), show that the biggest source of variance is the changes in OHC, while TOA radiation contributes little. The variance of the F_s and $\nabla \cdot \mathbf{F}_A$ are similar. However, the changes in OHC and MHT are highly correlated. Hence, while a strong surface heat flux out of the northern ocean may deplete OHC over that region, the decrease in MHT augments OHC changes. Of course a strong surface heat flux also implies changes in

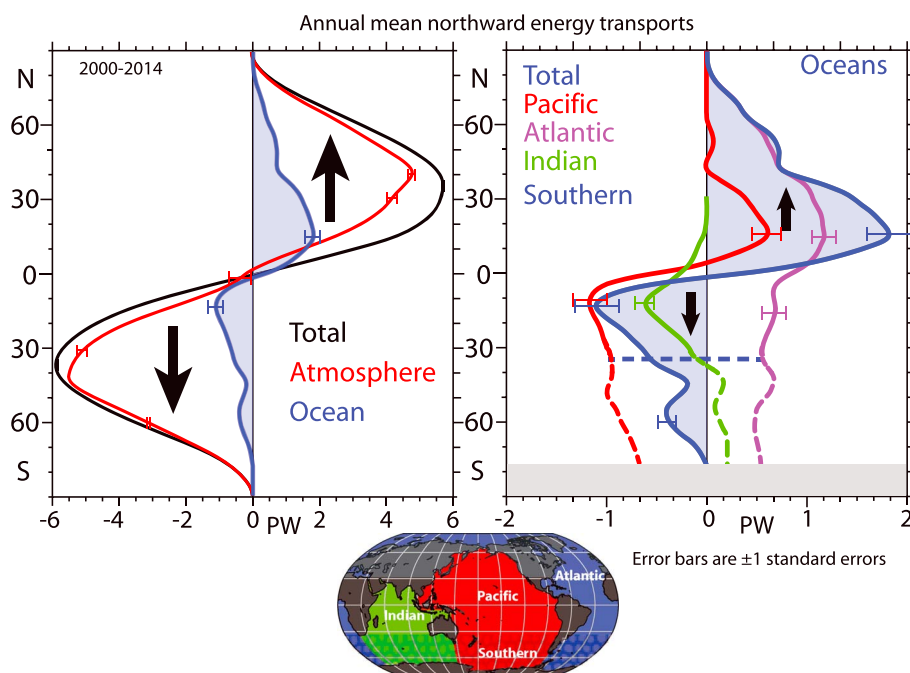


Figure 3. Northward energy transports: The annual and zonal means of the northward energy transports for 2000–2014 in PW for (left) the total Earth system (black), the atmosphere (red) and the ocean (blue). (right) The ocean component broken down into the contributions from the Atlantic (violet), Pacific (red), and Indian (green) Oceans which combine south of 35°S to give the southern ocean value, as given in the small map below. For $d\text{OHC}/dt$, data only through 2013 are considered. The error bars are ± 1 standard deviation.

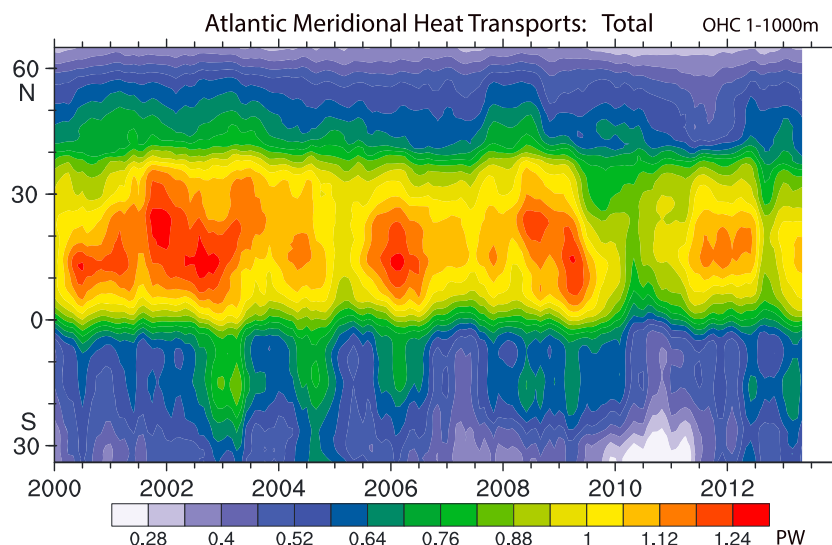


Figure 4. Atlantic meridional heat transport: The 12 month running mean meridional heat transport northward in the Atlantic in PW computed by using OHC 0–1000 m.

surface winds and wind stress curl that drive ocean currents, both in the gyre and overturning components. Numerical studies suggest that the variability in the AMOC is primarily associated with basin-wide changes in wind stress [e.g., Buckley and Marshall, 2016; Yang, 2015]. Accordingly, a decrease in MHT, as occurred in 2009–2010, results in a decrease in OHC, perhaps somewhat independently of the surface heat flux into the atmosphere to the north.

Although these components add up, causality cannot be readily determined, because of the complex processes within the ocean and how they respond to changes in surface winds [Cunningham *et al.*, 2013]. For instance, it is likely that R_T is more a response to variations than a cause. One primary source of variability arises from largely internal atmospheric variability and especially changes in the North Atlantic Oscillation (NAO) [Eden and Jung, 2001; Danabasoglu *et al.*, 2012; Delworth *et al.*, 2016]. A case of a strong positive NAO (+2.0 standard deviation anomaly) in January 1989 [Trenberth *et al.*, 2002], which led to cold outbreaks over the northeast of Canada and Greenland and resulted in vertically integrated atmospheric energy divergence of $>250 \text{ W m}^{-2}$ arising from heat fluxes out of the ocean in the vicinity of the Labrador Sea, was compared with energy convergence in the same region in January 1998, when the NAO index was close to zero. The anomalies in both years exceeded $\pm 105 \text{ W m}^{-2}$ and were >1 standard deviation departures from the mean.

In northern winter 2010 the NAO was exceptionally low (for December–January–February–March the NAO index was 2.5 standard deviations below normal) affecting the AMOC and its MHT and sea ice cover [Delworth *et al.*, 2016]. A positive NAO favors strong westerly winds across the Atlantic midlatitudes, which bring cooler dryer air from North America leading to much greater surface heat and moisture fluxes into the atmosphere in winter. This cools the ocean, increases deepwater formation in the Labrador Sea area, and leads to a stronger AMOC several years later [Stepanov and Haines, 2014; Delworth *et al.*, 2016]. In turn, the latter increase MHT and warm the northern regions. The extreme cold in Europe in 2015 has been linked back to the ocean changes [Duchez *et al.*, 2016], and it is primarily due to extreme ocean heat loss driven by atmospheric circulation changes in the preceding two winters combined with the re-emergence of cold ocean water masses.

5. Meridional Heat Transports at the RAPID Array

The variability in the Atlantic Ocean MHTs arises mainly from changes in the AMOC volume transports [Johns *et al.*, 2011], which are best known from direct ocean measurements near 26.5°N in the RAPID array. Although nominally at 26.5°N , the actual moorings across the Atlantic range from about 24°N to 28°N . Accordingly, results have been computed at 25, 26, and 27°N and agree quite well with observational estimates from the RAPID array (Figure S8), but with an offset and a somewhat different overall trend. To avoid the OHC

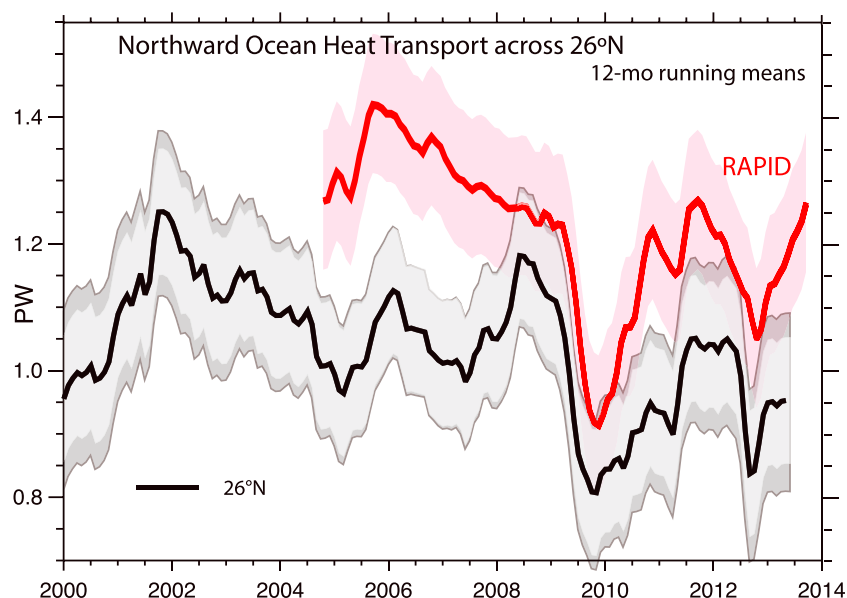


Figure 5. RAPID array heat transports: The 12 month running mean northward heat transports across 26°N (black) from Figure 4 compared with results from the RAPID array (red) in PW. The error bars are ± 1 standard deviation, for RAPID in pink, and for current results in gray, with a component (equivalent to a 0.42 W m^{-2} trend) added to represent trend uncertainty (darker gray).

problems below 1000 m, we use the MHT at 26°N with OHC only down to 1000 m (Figure 5). At 26°N , the mean MHT is $1.02 \pm 0.11 \text{ PW}$ (1 sigma for 12 month running means) for 2000–2014 or $1.00 \pm 0.11 \text{ PW}$ for 2004–2013 versus $1.23 \pm 0.11 \text{ PW}$ for RAPID (2), where the values quoted are for 0–1000 m. The full-depth results are 0.05 PW higher. Values change by less than 0.01 PW at 25 and 27°N . The standard deviation for 2001 through 2013 is 0.08 PW for F_s and 0.12 PW for dOHC/dt . However, dOHC/dt and MHT are highly significantly correlated 0.73, and F_s is correlated with dOHC/dt -0.59 (just beyond the 5% significance level). The variability in F_s in turn arises from standard deviations of 0.055 PW from atmospheric energy divergence and 0.017 PW from TOA radiation.

A simple but not unique interpretation is that when there is a strong heat flux into the atmosphere north of a meridian, it drives a strong ocean heat loss ($\text{dOHC}/\text{dt} < 0$) over the region that is exacerbated by a decrease in the heat-flow north across the meridian (MHT reduced), so that the latter are strongly (significantly) correlated. The MHT at 26.5°N is correlated >0.55 (statistically significant at the 5% level) with MHT from 5°N to 40°N , and the MHT variance peaks about 30°N but with a falloff in values mainly north of 40°N (Figure S6). The time series (not shown) indicate that the above behavior applies most of the time 2003 to early 2008, and 2010–2013, but from mid-2008 until mid-2009, anomalies in F_s , MHT, and dOHC/dt were all positive; i.e., the anomalous upward surface heat flux was supported by MHT being above average, while OHC increased, suggesting that the change in ocean circulation played a key role.

A statistical reconstruction of the AMOC (not the MHT) at 26°N using sea surface height (from altimetry) after 1993 builds in the RAPID values [Frajka-Williams, 2015]; it suggests no overall trend although with considerable variability and has some resemblance to our values from 2000 to 2004.

Ocean models have had difficulty in simulating the exact magnitude of the MHTs [Danabasoglu et al., 2014; Msadek et al., 2013; Stepanov et al., 2016]. By forcing models with a version of observed surface fluxes and performing exact computations of MHT versus the approximate methods used in RAPID, it can be shown that the RAPID array does not properly resolve the transport processes near the western boundary and the assumption of geostrophy falls short in the presence of ocean recirculation so that the true estimates are about 0.2 PW lower than previously claimed [Stepanov et al., 2016]. Danabasoglu et al. [2012], Danabasoglu et al. [2014], Msadek et al. [2013] and Buckley and Marshall [2016] show that all model estimates of meridional heat transport in the North Atlantic considered (18 in the case of Danabasoglu et al. [2014]) are lower than the RAPID value, although the models likely have biases. Moreover, there is no downward trend in the model

results. However, a recent ocean reanalysis [Jackson *et al.*, 2016] quite closely replicates the RAPID results, although their trend is closer to ours. Possibly, the trend is influenced in RAPID by missing data in 2004 and 2006 [Cunningham *et al.*, 2007; McCarthy *et al.*, 2015]. These factors and mooring adjustments add inhomogeneities to the RAPID results that have yet to be fully assessed. Consequently, model results provide support for the observational estimates derived here in terms of the different trend and offset. Nevertheless, there are reasons to also be somewhat suspicious of the trends in our results because of changes in the observing system and their influences on the atmospheric reanalyses notably in late 2009 [Trenberth *et al.*, 2015a]. The estimated impact is at most 0.2 W m^{-2} which is 0.03 PW in the MHT at 26°N (Figure 5). In addition, the ocean reanalyses have OHC uncertainties whose effects can be seen by comparing Figure 5 with Figure S8 at 26°N and which are reflected in the error bars.

The methods used here provide an independent framework for assessing ocean heat transports and particularly those associated with the main branches of its overturning circulation. The AMOC heat transport estimates allow for an extension of values back to 2000. Moreover, the method provides complementary information about the oceans to that from in situ observations and models and can be implemented throughout the global ocean to produce two-dimensional (vector) divergent ocean heat transports. Such estimates are likely to be essential in distinguishing between forced and internal variations in the climate system as the oceans adjust to our changing climate.

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