

**1 Wave Breaking Turbulence at the Offshore Front of**  
**2 the Columbia River Plume**

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3 Observations at the Columbia River plume show that wave breaking is an  
4 important source of turbulence at the offshore front, which may contribute  
5 to plume mixing. The lateral gradient of current associated with the plume  
6 front is sufficient to block (and break) shorter waves. The intense whitecap-  
7 ing that then occurs at the front is a significant source of turbulence, which  
8 diffuses downward from the surface according to a scaling determined by the  
9 wave height and the gradient of wave energy flux. This process is distinct  
10 from the shear-driven mixing that occurs at the interface of river water and  
11 ocean water. Observations with and without short waves are examined, es-  
12 pecially two cases in which the background conditions (i.e., tidal flows and  
13 river discharge) are otherwise identical.

## 1. Introduction

14 The local effects of waves and wave breaking on river plumes and the mixing of estuarine  
 15 waters is largely unknown. *Gerbi et al.* [2013] present a numerical study of whitecap effects  
 16 on the Hudson River plume and find that the turbulence supplied by short-wave breaking  
 17 is sufficient to increase the plume depth  $h_p$  and slow the offshore expansion of the plume.  
 18 *Gerbi et al.* [2013] show that interfacial gradients in salinity and velocity (i.e., vertical  
 19 shear) are reduced by the addition of turbulence at the surface. This requires that the  
 20 surface turbulence from the breaking waves diffuses downwards (e.g., *Craig and Banner*  
 21 [1994]) and reaches the base of the plume. This description is largely a vertical balance,  
 22 which then controls the lateral evolution of the plume.

23 A river plume will, in turn, affect the waves. This is primarily a lateral process, in  
 24 which waves incident from offshore (weak or no current) are shortened by an opposing  
 25 current at the edge of plume such that the absolute frequency  $\omega$  is conserved

$$26 \quad \omega = \sigma + \vec{u} \cdot \vec{k}, \quad (1)$$

27 where  $\vec{u}$  is the plume current,  $\vec{k}$  is the wavenumber, and  $\sigma$  is the intrinsic frequency given by  
 28 the linear finite-depth dispersion relation,  $\sigma^2 = gk \tanh(kd)$ . Wave blocking occurs when  
 29 an opposing current  $\vec{u}$  equals half of the group velocity,  $u = -\frac{1}{2}c_g = -\frac{1}{2}\frac{\partial\omega}{\partial k}$  [*Mei*, 1989],  
 30 however previous studies have shown that waves typically over-steepen and break before  
 31 the actual blocking condition is reached [*Chawla and Kirby*, 2002]. For monochromatic  
 32 waves, steepness of the waves can be approximated by  $Ak$ , where  $A$  is the wave amplitude  
 33 (equal to half the height  $H$ ) and  $k$  is the scalar magnitude of the wavenumber vector and  
 34 must become larger (i.e., a shorter wave) in the presence of an opposing current  $u$ . The

35 convention for a random wave field is to use significant wave height,  $H_s$ , and wavenumber  
36 at the peak of the wave spectrum,  $k_p$ , and in this convention deep-water wave breaking  
37 (i.e., whitecapping) is commonly observed for  $\frac{1}{2}H_s k_p \sim 0.1$  [Banner *et al.*, 2000].

38 Here, we present observations of wave breaking effects at the offshore front of the  
39 Columbia River plume. The Columbia River plume has been studied by many previous  
40 authors, in particular *Kilcher and Nash* [2010] who describe the shear-driven interfacial  
41 mixing as the plume spreads offshore and *McCabe et al.* [2008] who describe the salt  
42 fluxes of the spreading plume in a Lagrangian frame. The transformation of waves at the  
43 Columbia River mouth has also been studied by previous authors, in particular *Gonzalez*  
44 *and Rosenfeld* [1984] who describe the refraction and focusing of waves in the presence  
45 of the opposing currents and *Kassem and Ozkan-Haller* [2012] who show increased wave  
46 heights and steepness in the presence of the opposing currents. In contrast to the previous  
47 works, our study is limited to an assessment of the wave-driven processes at the edge of  
48 the river plume as it spreads offshore during ebb tides, in particular where the vertical and  
49 lateral processes collide. We consider two cases with similar tidal and river conditions,  
50 but with differing wave conditions.

## 2. Data Collection

51 Data were collected using freely drifting SWIFTs (Surface Wave Instrument Floats with  
52 Tracking), which were deployed inside the mouth of the Columbia River (i.e., between the  
53 jetties) and allowed to drift offshore during ebb tides. The SWIFTs are designed for wave-  
54 following measurements of near-surface turbulence and are described in *Thomson* [2012].  
55 The original version uses an up-looking pulse-coherent Doppler sonar for turbulence mea-

56 surements, in particular profiles of the turbulent dissipation rate,  $\epsilon(z)$ , estimated using  
57 the structure function of velocity fluctuations within 0.6 m of the water surface ( $z = 0$  m).  
58 Here, a down-looking version of the SWIFT was also used, which measures currents and  
59 shear from 1.9 to 20 m below the water surface. SWIFTs also measure wave spectra,  
60 following the GPS-based method of *Herbers et al.* [2012], and winds, using an ultrasonic  
61 anemometer (Airmar PB 200) mounted at 0.9 m above the surface. Conductivity sensors  
62 (Onset HOBO) were added to the SWIFTs for this experiment at 0.5 m below the surface.  
63 Finally, an onboard camera collecting images at 1 Hz is used to count breaking waves ob-  
64 served by each SWIFT [*Rusch et al.*, 2014]. All SWIFT observations are averaged and  
65 merged to five-minute ensemble values.

66 The data for this study were collected on morning ebbs of the 24th and 25th May 2013.  
67 The SWIFTs were deployed in pairs of up-looking and down-looking versions and allowed  
68 to drift offshore until becoming caught in the plume front and recovered several hours  
69 later. On both days, the drifts began from navigation buoy #12 just after peak ebb  
70 (predicted as 04:42 and 05:28 PDT, respectively). The SWIFT pairs stayed within 100 m  
71 of each other during transits of up to 50 km offshore. The SWIFTs became entrained in  
72 the sharp front that commonly forms at the edge of the spreading plume around 20 km  
73 offshore, and then turned north with the plume. The tracks from May 24th and 25th are  
74 shown in Figure 1.

75 On both days the surface currents at the river mouth were approximately 2 m/s and  
76 surface currents offshore (in the front) were approximately 1 m/s, as measured by the  
77 drift velocity of the SWIFTs. On both days the river stage was similar, with the nearest

78 upstream USGS gage (#14246900) reporting approximately 3 m and the USGS gage  
79 immediately downstream of the Bonneville dam (#14128870) reporting 6.7 to 7.1 m over  
80 the two day period. The discharge at Bonneville dam ranged from 321,600 to 285,700 cfs  
81 during these two days. The tidal elevation drops at Tongue Point (Astoria, OR) were 3.3  
82 and 3.4 m, respectively.

83 Wave and wind conditions, as measured by the SWIFTs, were notably different between  
84 the two days. On May 24th there was a moderate swell and the seas were calm. On May  
85 25th the swell was somewhat reduced, but there was a strong wind sea from the south,  
86 arising from approximately 10 m/s southerly winds.

87 Additional wave data was collected by a Datawell Waverider buoy maintained by the  
88 Coastal Data Information Program (CDIP station 179), moored offshore at the Astoria  
89 Canyon (46.1328, -124.6455). This position is outside of the plume for the data considered  
90 here, and thus a measure of the incident wave field before it encounters the currents  
91 associated with the plume.

### 3. Analysis

#### 3.1. Wave Breaking at the Plume Front

92 As shown in Figure 1 with a picture taken from the R/V Oceanus during the same  
93 research cruise, wave breaking can be vigorous at the offshore edge of the river plume.  
94 This is confirmed by the breaking waves counted using the images onboard each SWIFT,  
95 which range from 5 to 10 breakers per five-minute ensemble on May 25th. This is in  
96 contrast to the calm conditions at the offshore edge of the river plume on May 24th,  
97 when there were only 0 to 1 breakers per five-minute ensemble. These breaker counts are

98 converted to a breaking fraction

$$99 \quad Q_b = \frac{N}{\bar{\sigma}\mathcal{T}}, \quad (2)$$

100 where  $N$  is the number of breakers in a given amount of time  $\mathcal{T} = 300$  s that pass at an  
101 energy-weighted average intrinsic frequency  $\bar{\sigma}$ .

102 The breaking fractions (or rates) observed on May 25th far exceed the whitecap rates  
103 expected for the observed 10 m/s winds [*Thomson et al.*, 2009], and this enhanced break-  
104 ing is a result of the strong wave-current interaction at the plume edge. For a 1 m/s  
105 plume current, wave blocking in deep-water occurs for frequencies of  $f = 2\pi\omega > 0.38$  Hz  
106 and breaking via oversteepening can be expected for frequencies of  $f = 2\pi\omega > 0.2$  Hz  
107 (assuming  $H_s = 1$  m and  $\frac{1}{2}H_s k_p$  is limited to  $< 0.1$  for the wind-chop portion of the wave  
108 spectrum). The effect is clear in the observed wave energy density spectra in Figure 1,  
109 where the affected frequencies are annotated. On May 24th, the wave energy density  
110 at these frequencies is similar within the plume (SWIFT measured) and offshore of the  
111 plume (CDIP measured), because it is a calm day and there is very little energy at those  
112 frequencies. On May 25th, the wave energy density at these frequencies is reduced within  
113 the plume (SWIFT measured) relative to offshore of the plume (CDIP measured), because  
114 these waves break when they encounter the currents at the plume edge. This assumes  
115 deep water and neglects adjustments to the wave-current interactions for the vertical shear  
116 of the plume currents (e.g., *Dong and Kirby* [2012]), both of which are justified for short  
117 waves.

118 Thus, the difference between the two days (one windy, the other calm) is not that there  
119 are whitecaps over the whole plume, but rather that there are short waves incident on the

120 plume which are blocked (or broken, actually) by the horizontally sheared surface current.  
 121 This creates a narrow region of intense wave dissipation at the expanding front.

122 The gradient of wave energy flux  $\frac{dF}{dx}$  is the quantification of the wave energy loss rate  
 123 in a breaking region of lateral width  $dx$ , and is calculated by a wave energy spectrum in  
 124 deep water via

$$125 \quad \frac{dF}{dx} = \frac{dEc_g}{dx} = \frac{d}{dx} \int E(f) \frac{g}{2f} df. \quad (3)$$

126 On May 24th, there is a negligible  $\frac{dF}{dx}$  across the plume front for the frequency range  
 127  $0.2 < f < 0.7$  Hz. On May 25th, by contrast, there is a notable  $\frac{dF}{dx}$  across the plume front  
 128 (i.e., the difference between the blue and black lines in Figure 1b). Assuming a frontal  
 129 region of  $dx = 100$  m [Nash and Moum, 2005], the wave dissipation rate in the front on  
 130 May 25th is similar to a surf zone at a small shore break. The wave energy flux gradient  
 131 will be used in a model of the surface turbulence, and a constant value will be used for  
 132 each day because the offshore CDIP wave spectra are only available on an hourly basis  
 133 (as opposed to the five-minute spectra from the SWIFTs).

### 3.2. Surface Turbulence Measurements

134 SWIFT measurements of waves, surface turbulence, and plume currents for both days  
 135 are shown versus along track distance in Figure 2. The up-looking turbulence profiles  
 136  $u'(z)$  collected by the SWIFTs are processed to obtain the vertical structure function  
 137  $D(z, r)$ , where  $z$  is the vertical location ( $z = 0$  is the instantaneous free surface) and  $r$  is  
 138 the distance between velocity fluctuations as [Wiles *et al.*, 2006]

$$139 \quad D(z, r) = \overline{(u'(z) - u'(z + r))^2}. \quad (4)$$

140 The structure function approach is distinct from the more conventional frequency spectral  
 141 method, because it does not require the assumption of an advected frozen field (i.e., Tay-  
 142 lor’s hypothesis). Assuming a cascade of isotropic eddies in the inertial subrange,  $D(z, r)$   
 143 has the form  $\mathcal{A}r^{2/3}$  at each  $z$  level in the profile, the corresponding energy dissipation rate  
 144 is given by [Wiles *et al.*, 2006]

$$145 \quad \epsilon(z) = \left( \frac{\mathcal{C}_v^2}{\mathcal{A}(z)} \right)^{3/2}, \quad (5)$$

146 where  $\mathcal{C}_v^2$  is a constant and  $\mathcal{A}(z)$  is determined for each  $z$  from by  $r^{2/3}$ .

147 On both days the SWIFTs cross the bar at approximately  $x = -8$  km and reach  
 148 the plume front at approximately  $x = -25$  km (Figure 1). Although the wave height  
 149 transformation via shoaling and focusing at the bar is dramatic on both days, these are  
 150 predominately long waves (swell) and are not steep enough to break. This is confirmed by  
 151 the breaker counts from the images onboard the SWIFTs. Most of breaking, rather, is in  
 152 the offshore front on May 25th, and this is coincident with elevated near surface turbulent  
 153 dissipation rates  $\epsilon(z)$  that persist from  $x = -25$  to  $-50$  km while the SWIFT remains  
 154 caught in the front. The maximum turbulent dissipation values are  $\epsilon(z) \sim 3 \times 10^{-3}$  W/kg  
 155 in the front on May 25th.

### 3.3. Scaling the wave breaking turbulence

156 As shown in previous studies (e.g., Agrawal *et al.* [1992]; Gemmrich [2010]; Thomson  
 157 *et al.* [2013]), the high turbulent dissipation rates associated with wave breaking decay  
 158 rapidly beneath the surface. In Figure 2,  $\epsilon(z)$  reduces from  $3 \times 10^{-3}$  to  $1 \times 10^{-4}$  W/kg  
 159 within a half meter below the surface. The vertical decay is important for evaluating the  
 160 impact of wave-breaking turbulence on plume processes.

161 The canonical model for the vertical scaling of turbulence  $\epsilon(z)$  generated during wave  
 162 breaking is from *Terray et al.* [1996]. This model uses the wind stress as the TKE input  
 163 term (assuming equilibrium, i.e., *Phillips* [1985]; *Thomson et al.* [2013]) and the significant  
 164 wave height  $H_s$  as the vertical scale. This model was recently modified by *Feddersen*  
 165 [2012a] to use observed wave energy flux gradients,  $dF/dx$ , as the TKE input term and by  
 166 *Feddersen* [2012b] to use total water depth as the vertical scale (for surf zone applications).  
 167 For the deep-water wave breaking observed at the Columbia Plume front, we apply the  
 168 version based on observed wave energy gradients and significant wave heights,

$$169 \quad \frac{\epsilon H_s}{dF/dx} = \alpha \left( \frac{z}{H_s} \right)^{-\lambda}, \quad (6)$$

170 in which  $\alpha$  and  $\lambda$  are coefficients to be determined.

171 Figure 3 shows the results of the *Feddersen* [2012a] model with best-fit values rounded  
 172 to  $\alpha = 0.01$  and  $\lambda = 1$ . The departure from the more typical  $\lambda = 2$  is expected in a region  
 173 where downwelling is strong, and this result is consistent with other measurements during  
 174 intense breaking (e.g., *Zippel and Thomson*, *J. Geophys. Res.*, manuscript in revision).  
 175 This scaling is used to extrapolate below the deepest values of the SWIFT estimates  
 176 ( $z = -0.6$  m) and assess the potential for breaking waves to elevate the turbulent mixing  
 177 at the sub-surface interface of plume water and ocean water. Using the depth of maximum  
 178 shear from Figure 2 as the plume depth, the extrapolated  $\epsilon$  values at the interface are in  
 179 the range of  $10^{-6}$  to  $10^{-5}$  W/Kg without breaking waves and  $10^{-5}$  to  $10^{-4}$  W/Kg with  
 180 breaking waves. The values with breaking waves are in the range of the frontal values  
 181 reported by *Kilcher and Nash* [2010].

#### 4. Discussion

182 These observations clearly show that the plume front has a significant effect on short  
183 surface waves, if they are present and are energetic enough to reach steepness-limited  
184 breaking conditions. This mechanism significantly increases turbulence at the front, es-  
185 pecially near the surface, where it may increase the vertical exchange of surface-bound  
186 material, organisms and even gases. However, the influence of this turbulence on mixing  
187 beneath the front is not known. Extrapolated turbulence dissipation rates suggest that  
188 wave-breaking turbulence may reach the depths where stratification is significant. The  
189 breaking generated turbulence at the plume front has a much deeper penetration ( $\lambda = 1$   
190 as best-fit to Eq. 6) than typically observed in the open ocean ( $\lambda \approx 2$ ). It is likely that  
191 downward transport is enhanced at the plume front, where vertical velocities on the order  
192 of 0.2-0.4 m/s are often observed [*Orton and Jay, 2005; O'Donnell et al., 1998*]. It also  
193 is possible that turbulent transport is stronger when the breaking is particularly regular  
194 and vigorous (as opposed to weak and intermittent whitecaps in the open ocean).

195 Although this study lacks direct observations of mixing or comprehensive characteri-  
196 zation of the plume, a bulk estimate of mixing does provide additional context for these  
197 observations. Previous studies have used drifters to estimate bulk mixing levels in plumes  
198 using a Lagrangian control volume for salt, approximated by  $h_p u \frac{ds}{dx}$ , where  $h_p$  is the plume  
199 depth estimated as the level of maximum shear,  $u$  is the drifter velocity and  $\frac{ds}{dx}$  is the  
200 change in salinity along the drifter track [*McCabe et al., 2008*]. Applying this approach  
201 here results in estimates of  $\epsilon \sim 10^{-4}$  W/Kg on May 24th and  $\epsilon \sim 10^{-3}$  W/Kg on May

202 25th. This difference likely is related to the strong winds on May 25th, which in turn  
203 created the wind chop that broke at the plume front.

204 Two conditions are plausible for the wave breaking to lead to plume mixing. First,  
205 there could be strong stratification at the depths of high wave-driven TKE dissipation.  
206 Second, there could be significant downward diffusion (or transport) of wave-driven TKE  
207 to the depths of high stratification (as suggested by Figure 3). The first condition would  
208 be consistent with the leading edge of the spreading plume as a thin ( $\sim 1$  m) slab that  
209 is vigorously mixed by wave breaking, such that it is rapidly thickened as it spreads.  
210 Previous observations of the Columbia River plume do suggest that stratification can be  
211 strong very close to the surface (e.g., *Kilcher and Nash* [2010] Figure 4b), though an  
212 equally strong region of stratification exists well below the surface. The second condition  
213 would be less efficient, but more consistent with most observations of large river plumes.  
214 High-resolution measurements of the vertical salinity structure across the plume front,  
215 not collected during this study, would be necessary to evaluate these scenarios.

## 5. Conclusion

216 Observations of the Columbia River plume indicate that short waves break upon en-  
217 countering the currents at the edge of the plume. Much of this wave energy is converted  
218 to turbulence during breaking, as confirmed by comparing the gradient of the wave energy  
219 flux with direct observations of near surface turbulent dissipation rates in a model for the  
220 vertical distribution of turbulent dissipation. The turbulence penetrates deeper than the  
221 canonical dependence for ocean ocean wave breaking, and the difference is attributed to  
222 strong downwelling at the front. Extrapolation of the turbulence to the depths where the

223 plume entrains ocean water raises the possibility that surface generated turbulence can  
224 elevate the mixing of an expanding river plume.

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226 Data used in this article are available under the data tab at <http://apl.uw.edu/swift>.

227

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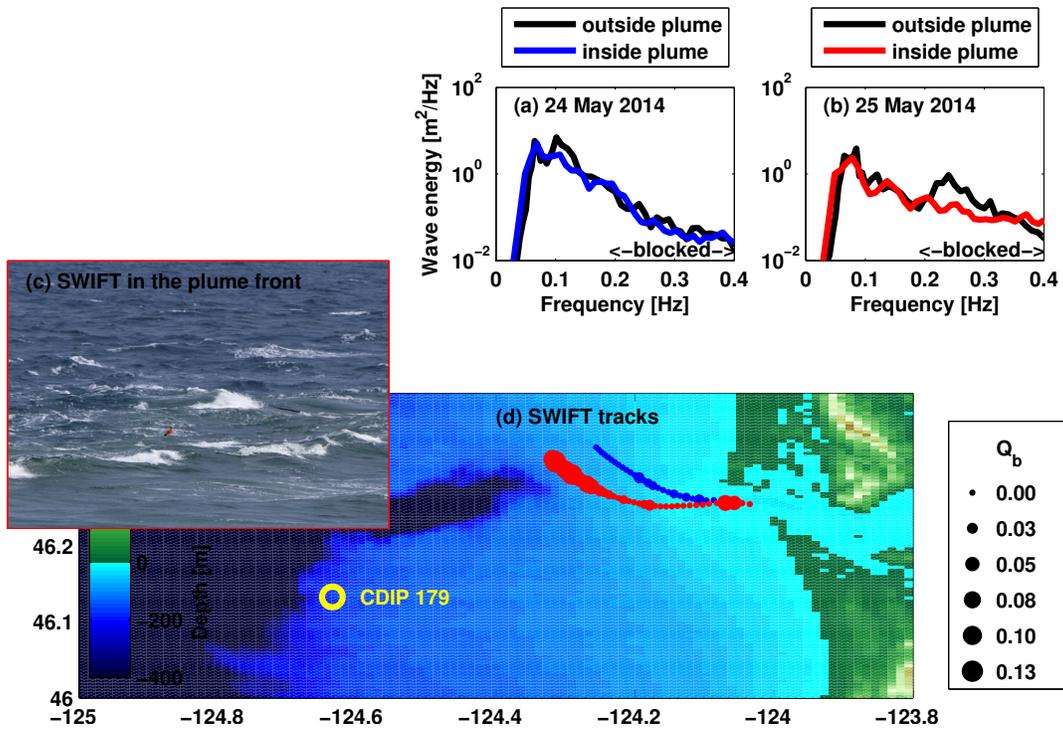
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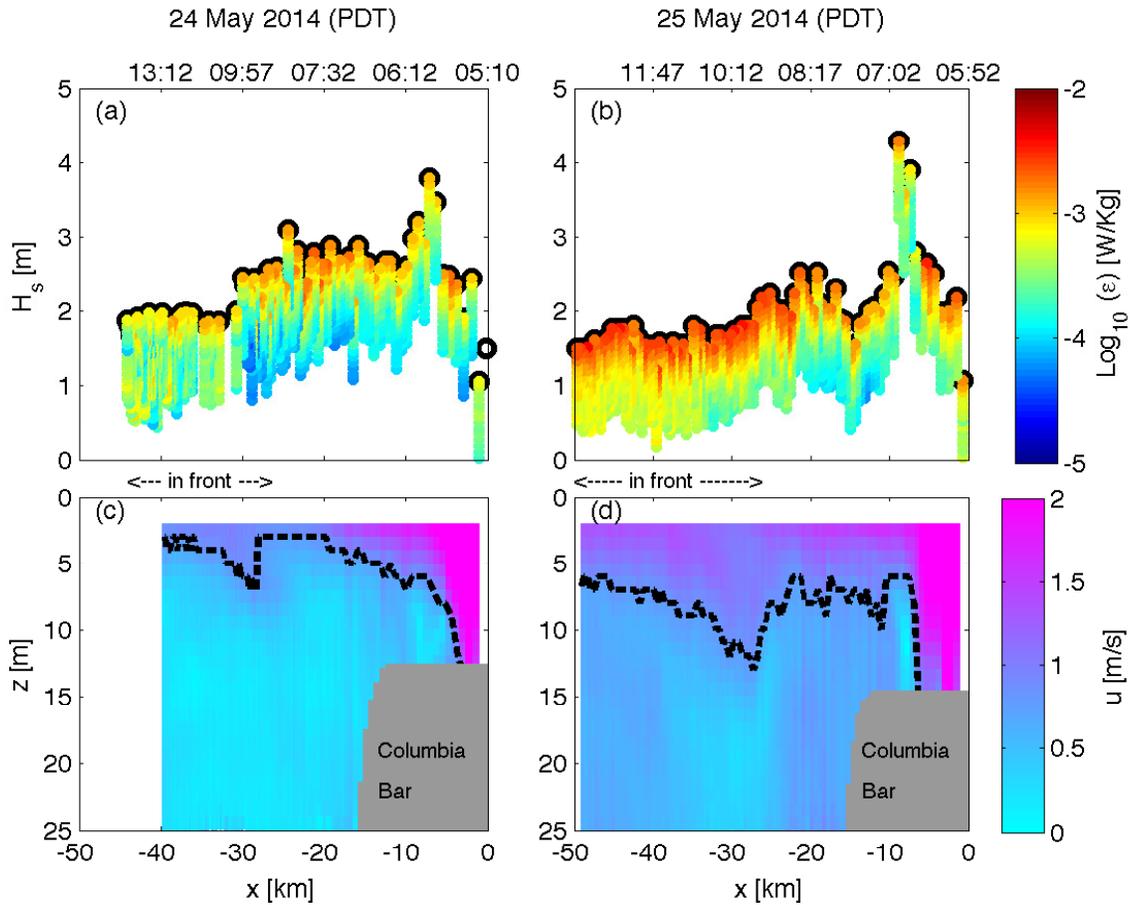
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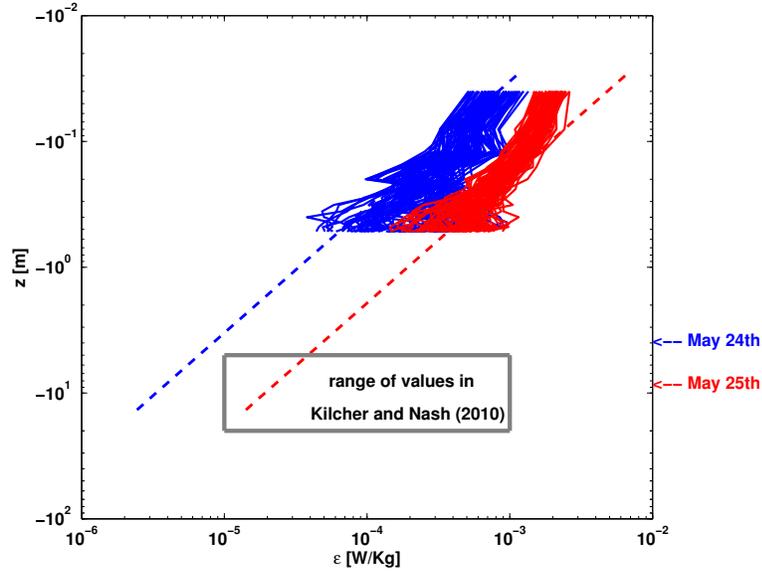
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**Figure 1.** Wave energy spectra density versus frequency for 24 May 2014 (a) and 24 May 2014 (b). Red and blue lines are SWIFT measurements within the plume, and black lines are CDIP measurements outside of the plume. The frequencies at which waves are expected to break against the plume currents are shown with the annotation “blocked”. (c) Photo of a SWIFT in the breakers at the offshore front. Photo taken by Chris Bassett on 28 May 2014, which was similar to 25 May 2014. (d) Tracks of SWIFT drifters as 5-minute average positions, colored by day (blue is May 24, red is May 25) and scaled by the fraction of breaking,  $Q_b$ .



**Figure 2.** SWIFT results plotted versus local time and drift distance (along track distance offshore from river mouth) for a case without wave breaking (24 May 2014) and a case with wave breaking (25 May 2014). Significant wave height and vertical profiles of near surface turbulent dissipation rate (color scale) from the up-looking SWIFTs for (a) May 24 and (b) May 25th. Current profiles (color scale) and level of maximum shear from the down-looking SWIFTs for (c) May 24 and (d) May 25th. The SWIFTs are trapped in the plume front at approximately  $x < -30$  km.



**Figure 3.** Observed (solid lines) and extrapolated (dashed lines) TKE dissipation rate profiles  $\epsilon(z)$  from May 24 (blue) and May 25 (red). Also shown are the plume depths in the frontal region for both days, as well as the range of values reported in Kilcher & Nash [2010]. Near-surface TKE dissipation rates are enhanced by wave-breaking at the plume front on May 25, and the values extrapolated down to plume depths are within the range of sub-surface frontal values reported in *Kilcher and Nash* [2010]. Extrapolations use  $\alpha = 0.01$  and  $\lambda = 1$  in Eq. 6.