

1 **Enhanced Turbulence associated with the Diurnal Jet in the Ocean Surface**

2 **Boundary Layer**

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ABSTRACT

20 Microstructure observations of the upper ocean in the subtropical Atlantic
21 are obtained with the Air-Sea Interaction Profiler (ASIP), an autonomous up-
22 wardly rising profiler designed to observe the ocean surface boundary layer
23 (OSBL). Under conditions of relatively low winds and high solar insolation,
24 the turbulent dissipation rate (ϵ) in the upper few metres is observed to in-
25 crease during daytime restratification. This enhanced ϵ is associated with the
26 diurnal jet, which forms during the day due to the wind stress input being
27 restricted to the shallow reformed OSBL. The high shear layer is associated
28 with the active mixing layer depth (XLD), which reforms in the early morning
29 about 3 hours before a density based mixed layer depth (MLD), causing the
30 generation of shear instabilities. The diurnal jet is simulated using a damped
31 slab model with observed values of the wind stress input as well as the time
32 varying OSBL depth. The model shows the diurnal jet to generate shear in-
33 stabilities for relatively small wind speeds with these instabilities occurring
34 within a couple of hours after OSBL reformation.

35 **1. Introduction**

36 Many processes in the ocean surface boundary layer (OSBL) vary with the diurnal forcing of
37 daily heating and nightly cooling, with this response being more pronounced at lower latitudes
38 where high levels of solar insolation are a dominant feature. The most notable of these cycles
39 is the diurnal variability of sea surface temperature (SST) which has long been observed and
40 relatively well studied (e.g. Ward 2006). Under conditions of low winds and high solar radiation,
41 the diurnal variability of SST has been shown to be an important component to the air-sea fluxes
42 on climatological timescales in subtropical regions (Bernie et al. 2005; Kawai and Wada 2007;
43 Clayson and Bogdanoff 2013). In addition to SST, diurnal variability has also been observed in
44 sea surface salinity (Drushka et al. 2014; Asher et al. 2014), momentum and shear (Weller and
45 Plueddemann 1996; Plueddemann and Weller 1999; Cronin and Kessler 2009; Weller et al. 2014)
46 and biogeochemical tracers such as dissolved oxygen and chlorophyll (Nicholson et al. 2015). In
47 comparison, the diurnal variability of turbulence has received far less attention although it is a key
48 physical component the OSBL.

49 The primary mechanism for turbulence generation in the OSBL is the wind, either directly
50 through the wind stress at the ocean surface or indirectly through waves (e.g. Sutherland et al.
51 2013; D'Asaro 2014). The wind variability over the open ocean is expected to be primarily occur-
52 ring on synoptic time scales and is not expected to greatly impact diurnal variability in turbulence.
53 In addition to the wind forcing, a buoyancy flux due to the daily cycle of heating/cooling between
54 the atmosphere and ocean acts to enhance/restrict turbulence in the OSBL. These buoyancy fluxes
55 have a large diurnal signal in subtropical regions.

56 Increased stratification has a tendency to restrict turbulent motions as they quickly dampen due
57 to the restoring force of gravity. This damping effect has been observed in the OSBL during

58 restratification with a decrease in the dissipation rate of turbulent kinetic energy (ϵ) of an order of
59 magnitude or more compared to wind scaling (Brainerd and Gregg 1993; Vagle et al. 2012). The
60 increased stratification also acts to limit the vertical transport of the wind stress input which acts to
61 enhance near surface shear (Cronin and Kessler 2009). This phenomenon has been referred to as a
62 diurnal jet (Price et al. 1986) or slippery seas (Woods and Strass 1986; Kudryavtsev and Soloviev
63 1990) where the observed daytime currents are greater than expected for a shear layer adjacent to a
64 solid boundary. Diurnal jets have been observed in regions with high insolation and typically form
65 in the upper few metres with velocities $\mathcal{O}(10 \text{ cm s}^{-1})$ (Price et al. 1986; Callaghan et al. 2014).

66 This paper aims to address the near surface turbulent processes which are impacted by diurnal
67 restratification in the OSBL. Section 2 provides an overview of diurnal processes in the OSBL.
68 Data and methods are presented in section 3. The diurnal structure of the observations are investi-
69 gated by using a composite day, which is calculated by phase averaging the forcing and response as
70 a function of the local time of day in section 4. Section 5 presents observations of the near surface
71 velocity structure and explores possible generation mechanisms for the diurnal jet and near-inertial
72 waves in the OSBL. A summary and discussion of the results are presented in section 6.

73 **2. Overview of OSBL dynamics**

74 The diurnal evolution of the OSBL follows the varying buoyancy flux between solar heating
75 during the day and surface cooling during the night. A schematic of this diurnal cycle is depicted
76 in Fig. 1. The general cycle has been described in some detail previously (e.g. Lombardo and
77 Gregg 1989; Callaghan et al. 2014).

78 An important length scale in the OSBL dynamics is the Monin-Obukhov length (Large et al.
79 1994), which has traditionally been used as a stability parameter for boundary layers. The Monin-
80 Obukhov length (L) is the approximate depth where wind forcing balances the buoyancy produc-

81 tion,

$$L = -\frac{u_*^3}{\kappa B_0}, \quad (1)$$

82 where u_* is the friction velocity, B_0 is the surface buoyancy fluxán constant and κ is the von
83 Kármán constant and is equal to 0.4. The Monin-Obukhov length underpins similarity theory,
84 which assumes that OSBL dynamics can be written as universal functions of the stability parameter
85 H/L , where H is the OSBL depth. This length scale has been very effective in unstable regimes
86 where nighttime cooling acts to destabilize the water column and enhance turbulence (Shay and
87 Gregg 1986; Lombardo and Gregg 1989), with slight modifications such as non-local forcing due
88 to the buoyancy flux being applied only at the surface (Large et al. 1994), and enhanced mixing
89 due to surface gravity waves (Belcher et al. 2012; Sutherland et al. 2014a; Callaghan et al. 2014).

90 Similarity theory is not as effective during diurnal restratification when B_0 is stabilizing. Short-
91 wave radiation can penetrate tens of metres, which is much greater than the OSBL depth during
92 restratification, and B_0 leads to an overestimate of the buoyancy production and hence underesti-
93 mates L . It is expected that only the shortwave radiation absorbed in the mixed layer will contribute
94 to stability, although this depth could be shallower (Large et al. 1994). For shallow mixed layers
95 this requires accurate information about the attenuation of shortwave radiation in the upper ocean.

96 Under moderate to low winds (1) may also underestimate the OSBL depth if there is enhanced
97 turbulence arising from shear instabilities generated by the trapping of near surface momentum
98 due to stratification. Enhanced shear has been shown to generate in the morning in the absence
99 of cyclic behaviour in the wind stress (Smyth et al. 2013), suggestive of a buoyancy generated
100 phenomenon.

101 **3. Data and Methods**

102 Observations in the OSBL were obtained during the SubTropical Atlantic Surface Salinity Ex-
103 periment (STRASSE) aboard the N/O *Thalassa* (Reverdin et al. 2015). This experiment took place
104 during August and September 2012 as part of the larger Salinity Processes in the Upper Ocean Re-
105 gional Study (SPURS) project. The location of the experiment is shown in Fig. 2. The latitude of
106 the experiment site is about 25.6°N , which corresponds to an inertial period of 27.7 hours.

107 *a. Meteorological Observations*

108 Radiative fluxes and wind speed measurements were recorded aboard the N/O *Thalassa*. The
109 wind stress and buoyancy flux were calculated using the TOGA COARE 3.0 algorithm (Fairall
110 et al. 2003). Figure 3a shows the 10-metre wind speed U_{10} , direction U_{DIR} and surface buoyancy
111 flux B_0 . The wind speed varied over a range from 2-10 m s^{-1} with directions ranging between
112 predominantly from the north to easterly. The surface buoyancy flux (B_0) followed a typical pattern
113 of surface cooling at night and heating during the day.

114 *b. Wave and Current Observations*

115 Observations of surface gravity waves and velocity profiles were made with a cloverleaf buoy
116 (Trèfle) equipped with a downward-facing RDI 300 kHz ADCP, an xSens MTI-G GPS / motion
117 sensor package, and a Nortek Vector velocimeter. The buoy was tethered to a 50 m-drogued SVP
118 drifter in order to reduce its windage-induced drift. A custom data logger performed collection
119 and consistent time stamping of the three data streams. The ADCP profiled from 3.5 to 103.5 m
120 with a 1 m depth resolution, and an effective range of roughly 75 m.

121 Wave motions were quantified using the xSens MTI-G GPS motion sensor package, which con-
122 sists of a GPS and a 6 degrees of freedom inertial motion unit (IMU) sampled at 10 Hz. The GPS

123 and IMU comprise an Attitude Heading Reference System (AHRS) which uses a Kalman filter to
124 combine the inertial motions and GPS coordinates.

125 The vertical motion was subsequently band pass filtered using a fourth order Chebyshev type II
126 filter with a 40 dB stop band ripple and cutoff frequencies 0.025 Hz and 3 Hz, applied forwards
127 and then backwards to eliminate phase delay, resulting in an eighth order, 80 dB ripple filter. Wave
128 spectra were calculated every 30 minutes from the AHRS heave. The calculated significant wave
129 height H_s , peak period T_p , and zero up-crossing period T_z are shown in Fig. 3b.

130 The surface Stokes velocity was calculated from the 1-D wave spectra (Kenyon 1969) as

$$u_{s0} = \frac{16\pi^3}{g} \int_{f_{min}}^{f_{max}} f^3 S(f) df \quad (2)$$

131 where $S(f)$ is the 1-D wave spectrum and f_{min} and f_{max} are the cut-off frequencies chosen as
132 0.05 and 0.50 Hz respectively. There are two opposing uncertainties with calculating the Stokes
133 velocity from a 1-D wave spectra using a finite frequency range: the lack of a measured high
134 frequency spectral tail and the lack of the directional spreading of the wave energy. The former
135 will lead to a systematic underestimate of u_{s0} up to 30% (Rascle et al. 2006), with the exact amount
136 dependent on the slope of the spectral tail, while the latter leads to a systematic overestimate
137 of u_{s0} up to 30%, (Webb and Fox-Kemper 2011) dependent on the directional spread of wave
138 energy. Lacking measurements of both the high frequency component of the wave spectrum and
139 directional information, we assume that the two should approximately cancel each other. Note
140 that these assumptions could lead to a maximum uncertainty in u_{s0} of 30%, but that the true error
141 is most likely much less. This band pass filter approach is identical to that used by Gargett and
142 Grosch (2014) and Sutherland et al. (2014a), and has been found to be a good determinate for the
143 surface Stokes velocity.

144 The surface Stokes velocity is used in conjunction with the friction velocity $u_* = \sqrt{\tau/\rho}$ to calcu-
145 late the turbulent Langmuir number $La = \sqrt{u_*/u_{s0}}$, which is often used as a proxy for turbulence
146 associated with Langmuir circulations (McWilliams et al. 1997). Here τ is the wind stress and ρ
147 is the density of sea water. Figure 3c shows values for u_{s0} , u_* and La . Langmuir circulations are
148 expected for $La < 0.3$ (McWilliams et al. 1997), which is not fulfilled during our observations. We
149 therefore assume Langmuir circulations to not be a dominant mechanism in our observations.

150 Near surface velocity measurements are presented relative to a reference depth of 40 m located
151 just below the seasonal mixed layer. Figure 4 shows the mean currents at 40 m along with the
152 velocity anomalies relative to this current. Inertial oscillations dominate the velocity variability in
153 the OSBL. Enhanced near surface shear is seen to form every day, consistent with the diurnal jet
154 phenomenon described by Price et al. (1986).

155 *c. Microstructure Measurements*

156 Measurements of the turbulent dissipation rate, temperature and salinity were obtained with
157 ASIP. A total of 347 profiles from 40 metres depth were made over 5 ASIP deployments with each
158 deployment ranging from 24 to 48 hours in duration with the exception of the fifth deployment
159 which was only 10 hours. The time between successive profiles for each deployment was about
160 20 minutes.

161 The time-depth evolution of temperature, T , and buoyancy frequency, N^2 , is shown in Fig. 5.
162 The density ratio in the OSBL, defined by $R_\rho = \alpha T_z / \beta S_z$, where α and β are the thermal expan-
163 sion coefficient and the saline contraction coefficient respectively and T_z and S_z are the vertical
164 gradients of temperature and salinity respectively, is typically greater than 2 indicative of tempera-
165 ture controlled stratification. In addition, the conductivity signal, from which salinity is calculated,
166 in the upper metre is sometimes contaminated from the impact of near-surface detritus striking the

167 conductivity probe, which acts to increase the noise in the measured salinity. Therefore, only the
168 contribution from T_z is used to calculate N^2 in the OSBL.

169 Dissipation rates of turbulent kinetic energy, ε , were measured using two airfoil shear probes
170 mounted on the front of ASIP (Sutherland et al. 2013). Assuming isotropic turbulence allows ε
171 to be calculated using $\varepsilon = 7.5\nu \langle u_z'^2 \rangle$, where ν is the kinematic viscosity and u_z' is the turbulent
172 vertical shear. The turbulent vertical shear u_z' was sampled at 1000 Hz and vertical profiles were
173 divided into 1 second segments where the power spectral density was calculated using Welch's
174 method. The mean rise velocity of ASIP is 0.5 m s^{-1} thus giving a vertical resolution of 0.5 m.
175 Details of the processing algorithm for ε can be found in Ward et al. (2014). Figure 5c shows the
176 measured turbulent dissipation rate for the five deployments.

177 In addition, Fig. 5d shows ε normalized by

$$\varepsilon_0 = a \frac{u_*^3}{\kappa|z|}. \quad (3)$$

178 Equation (3) is the expected dissipation profile for a constant stress layer adjacent to a rigid bound-
179 ary. The constant a is chosen such that mean profiles of $\varepsilon/\varepsilon_0$ are on average equal to 1, which for
180 this data set corresponds to $a = 0.4$. Although there are expected to be deviations in the vertical
181 structure of ε relative to ε_0 (e.g. Terray et al. 1996), for the bulk of the OSBL it has been shown
182 that $\varepsilon \approx \varepsilon_0$ (Sutherland et al. 2013; Callaghan et al. 2014). Deviations from (3) are expected to
183 arrive from wave effects, such as wave breaking and Langmuir circulations, but such effects are
184 ignored here since La is typically greater than the expected threshold of 0.3 for Langmuir circu-
185 lations to develop (McWilliams et al. 1997). In this data set, enhanced dissipation in the wave
186 affected region is not expected to be a function of the local time of day as the wave field varies on
187 much slower time scales (see Fig. 3).

188 When the surface buoyancy forcing is destabilizing, there is a depth-independent enhancement
189 of ε proportional to B_0 (Lombardo and Gregg 1989; Callaghan et al. 2014) which is omitted in (3).
190 Rather than introduce another empirical constant for the convective contribution to ε , this term is
191 omitted as it will be much less than ε_0 in the near surface region. Neglecting the buoyancy term
192 leads to $\varepsilon > \varepsilon_0$ during the night at depths where ε is comparable to B_0 , generally greater than 10
193 m, as can be seen in Fig. 5d.

194 The mixed layer depth, MLD, is calculated using two temperature thresholds, the accepted value
195 of 0.2°C (Kara et al. 2000; de Boyer Montégut et al. 2004) and a smaller threshold of 0.1°C which
196 may be more applicable to variations observed over a diurnal cycle. These two MLDs will be de-
197 noted $\text{MLD}_{0.2}$ and $\text{MLD}_{0.1}$ for each threshold used. Both MLD definitions are calculated relative
198 to the temperature at $z_r = 0.5$ m. The MLDs over the five ASIP deployments are shown by the
199 grey lines in Fig. 5. The active mixing layer depth, XLD, is defined as the depth where ε decreases
200 to a background level of $10^{-9} \text{ m}^2\text{s}^{-3}$. This threshold was found to be optimal for buoyancy driven
201 conditions (Sutherland et al. 2014b) and consistent within an order of magnitude with previous
202 definitions for the XLD (Lozovatsky et al. 2006; Fer and Sundfjord 2007). Differences between
203 the MLD and XLD have been shown to be important in resolving observations of ε with surface
204 forcing (Brainerd and Gregg 1995; Stevens et al. 2011; Sutherland et al. 2014a). The XLD is
205 shown by the black line in Fig. 5.

206 Mean values for the day and night profiles of $\varepsilon/\varepsilon_0$, temperature, and current speed is shown in
207 Figure 6. The mean day profile is defined as the mean from 13:00-16:00 local mean time (LMT)
208 and the night as the mean between 01:00-04:00 LMT. Local mean time is calculated such that
209 noon coincides with the peak solar altitude. During the night, similarity theory appears to hold as
210 $\varepsilon/\varepsilon_0 = 1$, while during the day there are large deviations from this. The near surface ratio $\varepsilon/\varepsilon_0$ is

211 greater during the day than at night. This enhancement occurs over the upper 5 metres and appears
212 to be associated with the near surface increase in current (Fig. 6c).

213 **4. Composite Day**

214 Due to the slow variation in the wind and wave fields it is convenient to phase average the forcing
215 and response components as functions of the LMT to create a single composite day. This allows
216 for a detailed analysis of the mean response of the ocean to diurnal forcing while filtering out
217 processes which are expected to be more stochastic, such as the waves and wind. This method has
218 been shown to be a useful technique in regions with strong buoyancy forcing (Smyth et al. 2013;
219 Drushka et al. 2014; Sutherland et al. 2014b).

220 Figure 7 shows the composite day for the surface forcing and ocean parameters. The phase av-
221 eraging is performed for hourly bins, with depths averaged over 1 metre bins. The phase averaged
222 surface buoyancy flux B_0 and wind speed U_{10} are shown in Fig. 7a. The diurnal structure of the
223 surface buoyancy flux varies little over the observed period. Although the wind speed varies from
224 2 to 10 m s⁻¹ over all the deployments, there is no distinct diurnal structure to the variability with
225 the phase averaged wind speed equal to 5.8 ± 0.6 m s⁻¹ over the day (Fig. 7a).

226 The near surface stratification, (N^2 , Fig. 7b), and shear squared (S^2 , Fig. 7c), both show an
227 increase at about 09:00 LMT, with N^2 lagging S^2 by a few hours. Both N^2 and S^2 reach near
228 surface maxima at about 14:00 LMT at which point the shear and stratification descend to greater
229 depths. In the afternoon as the depth of the OSBL increases, the magnitude of N^2 and S^2 both
230 decrease.

231 As an indicator for shear stability, the gradient Richardson number is calculated,

$$\text{Ri} = \frac{N^2}{S^2}, \quad (4)$$

232 where N^2 and S^2 are composite day values, similar to Smyth et al. (2013). When Ri falls below
233 a critical value, typically assumed to be 0.25, the flow is expected to become unstable (e.g. Miles
234 1961). Figure 7d shows the logarithm of Ri centred on $\log_{10} 0.25 = -0.60$ such that the red values
235 corresponds to where shear instability is expected to develop. Figure 7 only shows Ri in stratified
236 regions where $N^2 > 10^{-5} \text{ s}^{-1}$. The near surface region satisfies the criterion for shear instability
237 at all times during the high restratification during the day.

238 The turbulent dissipation rate ε (Fig. 7e) and normalized $\varepsilon/\varepsilon_0$ (Fig. 7f) show the previously
239 observed pattern of ε extending to the base of the seasonal pycnocline during the night and a rapid
240 reduction below 10 metres due to the increased stratification present during the day. However,
241 in the near surface region there is an enhancement in dissipation which begins after 12:00 LMT,
242 roughly 3 hours after the reforming of a shallow mixing layer, and persists for approximately 3
243 hours.

244 The MLDs and XLD, shown in Fig. 7, are calculated from the composite values of temperature
245 and dissipation in Fig. 7. The MLDs and XLD are approximately equal during the night while the
246 mixing layer with the 0.2°C threshold reforms during the day approximately 4 hours before the
247 reformation of the mixed layer. This time difference is reduced by nearly half using the smaller
248 threshold of 0.1°C , but giving an MLD much shallower than the XLD during the day. In order to
249 obtain comparable minimum depths between the MLD and XLD a larger ΔT than 0.2°C would
250 have to be used. It may be that the mixing time scales are insufficient to overcome the stabilizing
251 buoyancy flux in order to create a well mixed surface layer.

252 5. Diurnal Jet

253 Figure 9 shows the near surface velocity measured at 0.5 m depth relative to the velocity at 20 m.
254 The reference depth of 20 m is chosen as it is below the shallow reformed layer but safely less than

255 the maximum OSBL depth. A clear diurnal signal is observed with the upper velocity bin showing
 256 a diurnal pattern with a magnitude of about 0.20 m s^{-1} . The diurnal jet in Fig. 9 demonstrates a
 257 similar pattern with the along wind component leading the onset of the near surface shear. The
 258 relative magnitudes of the along and cross wind components vary over the deployments with cross
 259 wind component starting to dominate during deployments 2 and 3.

260 *a. Damped slab model*

261 To simulate the diurnal jet the OSBL is modelled as a damped slab (Pollard and Millard 1970;
 262 D’Asaro 1985; Alford 2001; Mellor 2001) forced by the observed wind stress and OSBL depth.
 263 Modelling the OSBL as a homogeneous slab allows for the omission of complicated mixing pa-
 264 rameterizations as this is integrated in the observed OSBL depth. Inertial currents in the upper
 265 ocean have been relatively well reproduced with such a model (D’Asaro 1985; Alford 2001).

266 Modelling the OSBL as a slab of depth H forced by a surface stress $i\tau$, where τ is real, the time
 267 evolution of the surface current is written as

$$\frac{\partial Z}{\partial t} + \omega Z = \frac{i\tau}{\rho H} \quad (5)$$

268 where $Z = u + iv$ is the vertically averaged complex current in the mixed layer, and $\omega = r + if$ is
 269 a complex damping term where the real part comprises the linear damping coefficient r , which is
 270 related to the decay timescale associated with free inertial oscillations, and the imaginary part f is
 271 the Coriolis frequency. Complex notation is used for the horizontal components of the flow, with
 272 the along-wind direction denoted by the imaginary axis and the cross-wind direction represented
 273 by the real axis.

274 For constant ρ , ω , τ and H , (5) is easily solved for Z giving

$$Z(t) = \frac{i\tau}{\rho \omega H} \left\{ 1 + \left[Z(0) \frac{\rho \omega H}{\tau} - 1 \right] e^{-\omega t} \right\}. \quad (6)$$

275 Although H is observed to vary significantly over a diurnal cycle, for small values of t , at the onset
 276 of the diurnal jet, it is reasonable to assume τ and H to be constant. Equation (6) provides some
 277 insight into the initial generation of the diurnal jet, which will be explored in the following section.

278 *b. No Rotation: diurnal jet generation*

279 Assuming $\omega t \ll 1$ and setting the initial condition $Z(0) = 0$, since the jet and the underlying
 280 layer will have the same velocity at $t = 0$, (6) can be written as

$$Z(t) = \frac{i\tau t}{\rho H} \left[1 + \mathcal{O}(\omega t)^2 \right]. \quad (7)$$

281 Equation (7) states that the diurnal jet will initially increase linearly with time in the along wind
 282 direction and is independent of rotation and the linear damping rate.

283 To determine the stability of (7), the bulk Richardson number is calculated for the entire OSBL
 284 as

$$\text{Ri}_b = \frac{g\Delta\rho}{H\rho} \frac{H^4\rho^2}{\tau^2 t^2} = \frac{g\rho\Delta\rho H^3}{\tau^2 t^2}, \quad (8)$$

285 where $\Delta\rho$ is the density difference over the OSBL depth H . Shear instability is expected to occur
 286 when $\text{Ri}_b < \text{Ri}_{\text{cr}}$ where $\text{Ri}_{\text{cr}} = 0.65$ (Price et al. 1986). Solving for $\text{Ri}_b = \text{Ri}_{\text{cr}}$ in (8) gives an
 287 estimate of the time that the mixed layer will remain stable, i.e.

$$t_{\text{cr}} = \left(\frac{g\rho\Delta\rho H^3}{\text{Ri}_{\text{cr}}\tau^2} \right)^{\frac{1}{2}}. \quad (9)$$

288 Figure 10 shows t_{cr} as a function of H and τ assuming $\Delta\rho = 0.03 \text{ kg m}^{-3}$ (de Boyer Montégut
 289 et al. 2004) and $\rho = 1025 \text{ kg m}^{-3}$. Equation (9) suggests that for $H < 5 \text{ m}$, the OSBL will not be
 290 stable for more than 1.5 hours at moderate wind speeds ($\tau > 0.06 \text{ N m}^{-2}$, corresponding to $U_{10} \gtrsim$
 291 6 m s^{-1}). For very small wind speeds ($U_{10} \lesssim 2 \text{ m s}^{-1}$, corresponding to $\tau < 0.02 \text{ N m}^{-2}$), $t_{\text{cr}} > 6$
 292 hours and the assumption that $\omega t \ll 1$ is no longer valid.

293 With the approximate lifetime of the shallow layer determined, the magnitude of the diurnal
 294 jet can be calculated from (7). In general, the flow does not immediately become turbulent once
 295 $Ri_b < Ri_{cr}$ and there is a lag of 2-3 hours between Richardson number instability and the turbulence
 296 generated by shear instabilities (Smyth et al. 2013). Substituting a time $t = t_{cr} + t_0$, where t_0 is the
 297 time after the $Ri_b = Ri_{cr}$ and the onset of flow instability, into (7), gives the magnitude of the
 298 diurnal jet as

$$\Delta v(t_0) = \frac{\tau}{\rho H} (t_{cr} + t_0) = \left(\frac{g\Delta\rho H}{Ri_{cr}\rho} \right)^{\frac{1}{2}} + \frac{\tau}{\rho H} t_0. \quad (10)$$

299 Figure 11 shows the diurnal jet $\Delta v(t_0)$ in the $\tau - H$ plane. This model predicts a diurnal jet
 300 magnitude between 4 and 15 cm s^{-1} for the observed h and τ values for a range of $0 \leq t_0 \leq 3$ hours.
 301 For $t_0 = 0$ we have $\Delta v(0)$ quasi-independent of τ similar to what Price et al. (1986) calculated.
 302 However, (10) gives values of $\Delta v(0)$ close to 0.5 m s^{-1} , which is smaller than the observed peak
 303 along-wind velocity of 0.10 to 0.15 m s^{-1} . Equation (10) is consistent with observations for values
 304 of t_0 between 2 and 3 hours.

305 *c. Rotation: inertial currents*

306 At a latitude of 25.6° the assumption of $\omega t \ll 1$ will only be valid for the first few hours.
 307 Therefore, rotation can not be reasonably omitted over the lifetime of the diurnal jet. This is clear
 308 from the presence of a large cross-wind velocity component to the diurnal jet as shown in Fig. 9.
 309 The time lag of the cross-wind component to the along-wind component is consistent with near-
 310 inertial oscillations in the OSBL. Near-inertial oscillations in the OSBL are strongly damped and
 311 r is selected as a fraction of f , $r = 0.15f$, which is identical with Alford (2001). This value of r
 312 corresponds to a decay time scale of 7.7 days at this latitude.

313 In the mixed layer, inertial oscillations are generated due to the temporal variability of the wind
 314 stress input over the depth of the OSBL (Pollard and Millard 1970; D’Asaro 1985; Alford 2001).

315 Solutions for the current in the mixed layer are derived from (5) by decomposing the current into
 316 Ekman and inertial components, i.e $Z = Z_i + Z_E$. D'Asaro (1985) defines the Ekman component
 317 as the solution of (5) under a steady wind and OSBL depth

$$Z_E = \frac{i\tau}{\omega\rho H}. \quad (11)$$

318 Equation (11) is equivalent to (6), which assumed constant τ and H , as $t \rightarrow \infty$ such that $e^{-\omega t} \rightarrow 0$.

319 Substituting $Z = Z_i + Z_E$ into (5) and using the definition (11) gives the time evolution of Z_i :

$$\frac{\partial Z_i}{\partial t} + \omega Z_i = -\frac{i}{\rho\omega} \frac{\partial(\tau/H)}{\partial t} = \frac{i\tau}{\rho\omega H} \left(\frac{1}{H} \frac{\partial H}{\partial t} - \frac{1}{\tau} \frac{\partial \tau}{\partial t} \right). \quad (12)$$

320 The body force term in (12) is equivalent to the body force in (5), but with a multiplication factor
 321 proportional to the normalized temporal variability of H and τ . Equation(12) suggests that it is the
 322 difference in relative variability of H and τ which excites inertial oscillations in the mixed layer.
 323 Therefore, in subtropical regions where H and τ are relatively small, small variability can be a
 324 source for relatively large inertial oscillations in the mixed layer.

325 Using observed values for τ and H , (5) and (12) are utilized to determine if they can sufficiently
 326 reproduce observed near inertial velocities of the OSBL. The variability in τ is insufficient to
 327 generate the observed currents (see appendix A) and thus the analysis is focused on the variation
 328 in H .

329 1) VARIATION IN H : MLD VS XLD

330 The response of (5) is shown in Fig. 12 for three definitions of H :the XLD and two thresholds for
 331 the MLD. Both the $MLD_{0.2}$ and the XLD depth give similar magnitudes as the observed diurnal
 332 jet while $MLD_{0.1}$ overestimates the observations. The timing of the diurnal jet, however, is poorly
 333 reproduced by all definitions of H although the XLD performs better than the MLD. Both the

334 modelled onset in the morning and the weakening in the late afternoon lag the observed near
 335 surface velocities.

336 The lag in the morning appears to be related to the reformation of the OSBL depth as the XLD
 337 produces currents which begin at nearly the same time as observations. However, currents gener-
 338 ated by (5) persist longer into the evening than observations for all definitions of H suggestive of
 339 missing dissipative processes in (5) rather than inaccuracies in H .

340 2) INCLUDING ENTRAINMENT

341 One process not accounted for using the damped slab model is entrainment of the remnant layer
 342 which is cut off from the surface wind input during restratification. The current in the remnant
 343 layer should decay as an unforced inertial oscillation while the surface layer velocity increases.
 344 When the upper layer descends in the afternoon it mixes with the slower remnant layer, which
 345 reduces the near surface velocity.

346 Adding to (5) an entrainment term to account for the deepening of H into the remnant layer
 347 below gives

$$\frac{\partial Z}{\partial t} + \omega Z = \frac{i\tau}{\rho H} + \frac{(\hat{Z} - Z)}{H} \frac{\partial H}{\partial t} \quad (13)$$

$$\frac{\partial \hat{Z}}{\partial t} + \omega \hat{Z} = 0 \quad (14)$$

348 where \hat{Z} is the complex velocity in the remnant layer. Taking $t = 0$ to be the onset of the OSBL
 349 reformation so that $\hat{Z}(0) = Z(0)$ and that the maximum OSBL depth is a constant H_0 , then (13)
 350 and (14) can be solved analytically giving

$$Z(t) = \frac{i\tau}{\rho \omega H_0} \left[e^{-\omega t} + \frac{H_0}{H} (1 - e^{-\omega t}) \right] \quad (15)$$

$$\hat{Z}(t) = \frac{i\tau}{\rho \omega H_0} e^{-\omega t}. \quad (16)$$

351 The details of (15) and (16) can be found in Appendix B. For the diurnal jet, which is the surface
352 layer minus the remnant layer i.e. $Z(t) - \hat{Z}(t)$, one gets the same expression as in (7), i.e.

$$Z(t) - \hat{Z}(t) = \frac{i\tau t}{\rho H} \left[1 + \mathcal{O}(\omega t)^2 \right]. \quad (17)$$

353 Equation (17) implies that, at least to first order, that the diurnal jet is independent of rotation and
354 should be in the same direction as τ . Therefore, during the early stages after the OSBL reformation
355 when $\omega t \ll 1$, the diurnal jet will be in the along wind direction and be a linear function of t
356 assuming τ is constant. This along wind genesis of the diurnal jet is generally observed (Fig. 9).

357 The solution in (15) is sensitive to the rate at which H and τ vary so (13) is evaluated numeri-
358 cally using observed τ , MLDs and XLD for the depth of OSBL, as shown in Fig. 12. This model
359 is more consistent with observations when the OSBL depth is defined using the XLD. Defining
360 the OSBL depth with $MLD_{0.2}$ leads to a large phase lag in the modelled currents relative to the
361 observed further enforcing the importance of accurately resolving the timing of the OSBL dynam-
362 ics. Although this phase lag is improved with the smaller threshold $MLD_{0.1}$ the magnitude of this
363 depth is too small and produces currents much too large.

364 6. Summary and discussion

365 High resolution observations of the OSBL from the Air-Sea Interaction Profiler (ASIP) are pre-
366 sented here during the STRASSE cruise in August/September 2012. Winds were predominantly
367 moderate to low which, along with high solar insolation, created several shallow OSBL depths that
368 were sampled with ASIP. In addition to resolving the temperature structure on centimetre scales,
369 ASIP also calculated the turbulent dissipation rate, ε , with a 0.5 m vertical resolution for each
370 profile. Over the duration of 10 days the surface buoyancy flux followed a repeating pattern of

371 cooling at night and heating during the day. This produced a typical heating and cooling cycle in
372 SST, and thus created high near surface shear associated with the increased daily stratification.

373 There were several large diurnal warming events which created enhanced stratification near
374 the surface and acted to drastically reduce ε below the XLD. Above the XLD, enhanced levels
375 of ε were observed which coincided with a region of increased velocity, i.e. the presence of a
376 diurnal jet. The expected mechanism for the enhanced ε during the day is from the generation of
377 shear instabilities created by the large near surface shear generated during the day (Smyth et al.
378 2013). Observations of the gradient Richardson number are consistent with the presence of shear
379 instabilities, but other processes arising from a shallow OSBL depth and enhanced shear, e.g.
380 near surface internal wave activity (Wain et al. 2015) and Langmuir circulations (Sutherland et al.
381 2014a), cannot be ruled out.

382 A damped slab model (Pollard and Millard 1970; D'Asaro 1985; Alford 2001) was used to
383 simulate the near surface currents from the observed wind stress and boundary layer depths. For
384 time scales on the order of a few hours, such that rotation may be neglected, the analytic solution
385 for the stability of a diurnal jet of constant depth and wind stress was calculated. Except under
386 extremely low wind speeds, the shear associated with these shallow layers was expected to become
387 sub-critical within an hour or two. However, to reproduce observed diurnal jet magnitudes, the
388 shallow OSBL was not expected to become turbulent for another two to three hours after the
389 critical Richardson number was reached. This time lag is consistent with other observations of
390 shear generated instabilities (Smyth et al. 2013).

391 Various definitions for H were explored in this study using the active mixing layer depth (XLD)
392 determined from profiles of ε falling to an empirical background rate (Stevens et al. 2011; Suther-
393 land et al. 2014b), and the mixed layer depth (MLD) determined using a standard temperature
394 threshold of 0.2°C difference from the near surface value (de Boyer Montégut et al. 2004) and a

395 smaller threshold of 0.1°C which may be more applicable to a diurnal cycle. The XLD and $\text{MLD}_{0.2}$
396 gave similar magnitudes for the diurnal jet, with that obtained with the XLD being slightly greater,
397 but the timing for the onset of the diurnal jet was greatly increased using the XLD definition. The
398 diurnal jet was overestimated using $\text{MLD}_{0.1}$ while still lagging the current generated with XLD.
399 Since the near surface shear increased linearly with time while the solar radiation increased as t^2
400 (for small t) it was not too surprising that the XLD reformed before the MLD, even for the smaller
401 temperature threshold. What remains unclear is the exact timing for the reformation of the OSBL
402 and the level of stratification necessary to decrease the constant stress layer at the onset of the
403 diurnal jet.

404 At the onset of OSBL reformation, ε wasn't entirely a product of the wind stress input as con-
405 vection can persist after the surface buoyancy flux changes on the order of 1 hour after sun-
406 rise (Callaghan et al. 2014). This suggests that even though an ε -based criterion for the OSBL
407 definition yielded an OSBL reformation time to be 3 hours quicker than a temperature criterion, it
408 may still lag the true constant stress layer depth on the order of 1 hour.

409 Both the MLD and XLD produce currents much larger than observations during the descent of
410 the shear layer in the late afternoon. The descending H is mixing with the slower remnant layer
411 below creating an additional source of drag. Introducing this entrainment into the momentum
412 equations gives an improved agreement between the observed and modelled currents. Although
413 including entrainment improves the timing of the diurnal jet, the magnitude is now less than what
414 was observed. A summary of the magnitude and timing of the near surface currents is presented in
415 Table 1. This underestimation of the diurnal jet most likely arises from the variability of τ and H
416 from the composite day, but may also be due to the choice of r and that it may not be the same for
417 the surface and remnant layers. The entrainment model also assumes there is no detrainment, i.e.
418 $\partial H/\partial t > 0$, during the day. As the predominant mechanism for the shoaling of H is restratification

419 and there is no momentum transfer associated with the discontinuous jump in H , this assumption
420 of no detrainment appears valid.

421 Both diurnal and inertial motions play a role in the near surface dynamics during restratification.
422 Since the inertial and diurnal periods are so close it has been difficult to differentiate the two
423 processes. It is reasonable to expect a resonance type response at latitudes close to $\pm 30^\circ$ where
424 the inertial and diurnal frequencies are identical. This would be analogous to the inertial resonance
425 when τ varies close to the Coriolis frequency f (D'Asaro 1985). However, this interplay between
426 the diurnal jet and inertial motions may still be greater at lower latitudes since the inertial period
427 is greater and the wind stress and the surface currents are aligned for a greater duration.

428 These observations present new insight into the complicated processes associated with stable
429 boundary layers. During conditions of low wind and high solar insolation an interesting feedback
430 mechanism occurs which acts to increase mixing relative to the wind forcing. The enhanced
431 stratification from the diurnal SST signal acts to limit the wind stress input to a shallow near
432 surface layer which becomes unstable and mixes the warm upper layer with the remnant layer
433 below. This process could be an important component to the air-sea transfer of momentum, heat
434 and trace gases in tropical regions.

435 *Acknowledgments.* The authors are grateful for funding support from the National Science and
436 Engineering Research Council of Canada from the scholarship PGSD3-410251-2011, the Office
437 of Naval Research under award N62909-14-1-N296 and the Norwegian Research Council under
438 PETROMAKS2 233901 project. LM thanks Olivier Peden and Olivier Ménége for their help
439 with the Trèfle and A. Marsouin and P. Le Borgne from Météo-France for help in processing the
440 radiative fluxes data. The Strasse cruise and data analysis was supported by LEFE/IMAGO and
441 CNES/TOSCA grants.

APPENDIX A

Wind variability and the inertial model of D'Asaro (1985)

Phase averaged and mean values for the wind input are tested to assess the impact of wind variability on the inertial current response using (5). A mean latitude of 25.6°N is used for f . The inertial model is spun up for 12 days to achieve a quasi-steady state with $\text{LMT}=0$. The decay rate r is chosen to be $r = 0.15f$, consistent with previous studies (Alford 2001), giving a decay rate of $1/r = 7.8$ days. The currents are compared with the ADCP data averaged over the upper 5 metres.

Figure A1 shows the response of (5) to the composite day forcing. The three columns show the solutions to (5) for the various combinations of τ and H , where H is the $\text{MLD}_{0.2}$. Using the observed time varying τ rather than a constant τ has little impact on the modeled currents. This suggests that the observed variability is predominantly due to the diurnal cycling of the boundary depth H .

APPENDIX B

Derivation of entrainment solution

At the onset of OSBL reformation, the remnant layer decouples from the surface stress and is free to propagate as a free inertial oscillation. Taking the upper layer of depth H and complex mean velocity Z and the remnant layer of depth \hat{H} and complex mean velocity \hat{Z} , the momentum equations for both layers can be written as

$$\frac{\partial HZ}{\partial t} + \omega HZ = \frac{i\tau}{\rho} + \hat{Z} \frac{\partial H}{\partial t} \quad (\text{B1})$$

$$\frac{\partial \hat{H}\hat{Z}}{\partial t} + \omega \hat{H}\hat{Z} = -\hat{Z} \frac{\partial H}{\partial t}, \quad (\text{B2})$$

where the entrainment term $\hat{Z}\partial H/\partial t$ assumes the momentum in the remnant layer is transferred to the upper layer as the interface descends. Equations (B1) and (B2) ignore any interfacial friction

462 between the two layers as this is expected to be much smaller in magnitude. Assuming a constant
 463 seasonal pycnocline depth, i.e. $H_0 = H + \hat{H} = \text{constant}$, it is straightforward to derive (14) from
 464 (B2) assuming $\hat{H} \rightarrow 0$ as $\hat{Z} \rightarrow 0$. Adding (B1) and (B2) gives

$$\frac{\partial(HZ + \hat{H}\hat{Z})}{\partial t} + \omega(HZ + \hat{H}\hat{Z}) = \frac{i\tau}{\rho}. \quad (\text{B3})$$

465 Equation (B3) states that the transport in the whole surface layer is equal to the Ekman transport
 466 $H_0 Z_E$, which is given for general τ by

$$H_0 Z_E = \int_{-\infty}^t \frac{i\tau(t')}{\rho} e^{-\omega(t-t')} dt' \quad (\text{B4})$$

467 which is equal to (11) for constant τ and $H = H_0$.

468 Equation (14) states that the remnant layer is free from the direct influence of the surface stress
 469 and that its complex velocity evolves according to

$$\hat{Z}(t) = \hat{Z}(0)e^{-\omega t}. \quad (\text{B5})$$

470 The composite daily cycle is assumed to begin at the instant of OSBL reformation, which we take
 471 as $t = 0$. At $t = 0$ the remnant layer velocity equals the OSBL velocity at the end of the previous
 472 day, hence $\hat{Z}(0) = Z(T_D)$, where T_D is the 24 hour period of the diurnal cycle. Furthermore, at
 473 $t = 0$ the surface and remnant layers have the same velocity, i.e. $\hat{Z}(0) = Z(0)$. Implementing these
 474 cyclic conditions give the solutions for the surface and remnant layers in (15) and (16) respectively.

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586 to the observed value. The superscript ¹ denotes the damped slab layer of (5)
587 while ² denotes the two layer slab model of (15). 31

588 TABLE 1. Magnitude and time of peak along-wind (v), cross-wind (u) and magnitude (z) of the observed
589 mixed layer currents relative to 40 m depth. Simulated currents using various definitions for the OSBL depth are
590 presented relative to the observed value. The superscript ¹ denotes the damped slab layer of (5) while ² denotes
591 the two layer slab model of (15).

	max(v); time	max(u); time	max(z); time
Model	cm s ⁻¹ ; LMT	cm s ⁻¹ ; LMT	cm s ⁻¹ ; LMT
OBS	10.4; 13:30	11.2; 18:30	13.6; 14:30
XLD ¹	-2.1; +2:00	+0.3; +3:00	-1.8; +6:00
MLD ¹ _{0,2}	-1.5; +3:00	-0.8; +4:00	-2.7; +6:00
MLD ¹ _{0,1}	+8.9; +4:00	+10.6; +5:00	+9.6; +6:00
XLD ²	-2.0; +1:00	-2.2; +1:00	-2.4; ±0:00
MLD ² _{0,2}	-4.5; +2:00	-4.6; -1:00	-5.5; +1:00
MLD ² _{0,1}	+4.5; +6:00	+0.4; +2:00	+3.0; +5:00

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625 denote observations of H and τ 44

626 **Fig. 12.** Quasi-steady state surface currents from observations (Z_0 , black), and from (5) using the
627 XLD (red) and the MLD using a $\Delta T = 0.2^\circ\text{C}$ threshold (blue) and a $\Delta T = 0.1^\circ\text{C}$ threshold
628 (green). 45

629 **Fig. 13.** Same as Fig. 12 but using (13) which includes the effect of entrainment. 46

630 **Fig. A1.** Quasi-steady state surface currents from observations (Z_0 , black), as well as total current (Z ,
631 red), inertial (Z_I , blue) and Ekman (Z_E , orange) currents generated with (5) and (a) τ and
632 $MLD_{0,2}$, (b) $\bar{\tau}$ and $MLD_{0,2}$ and (c) τ and $H = 20m$ 47

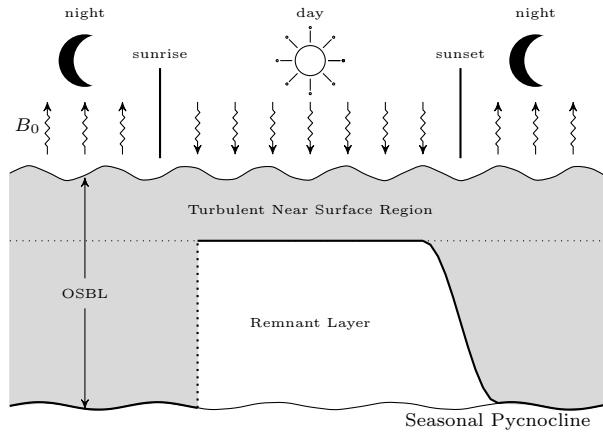
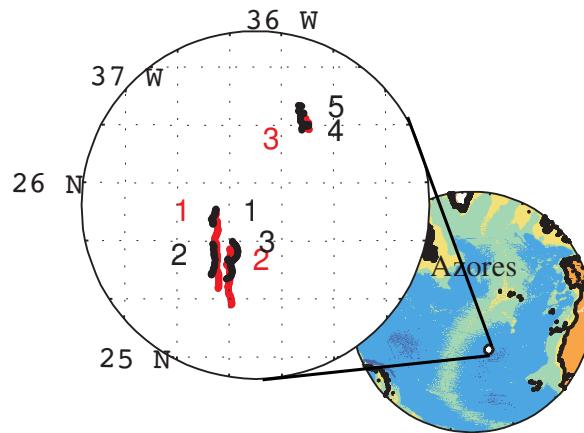
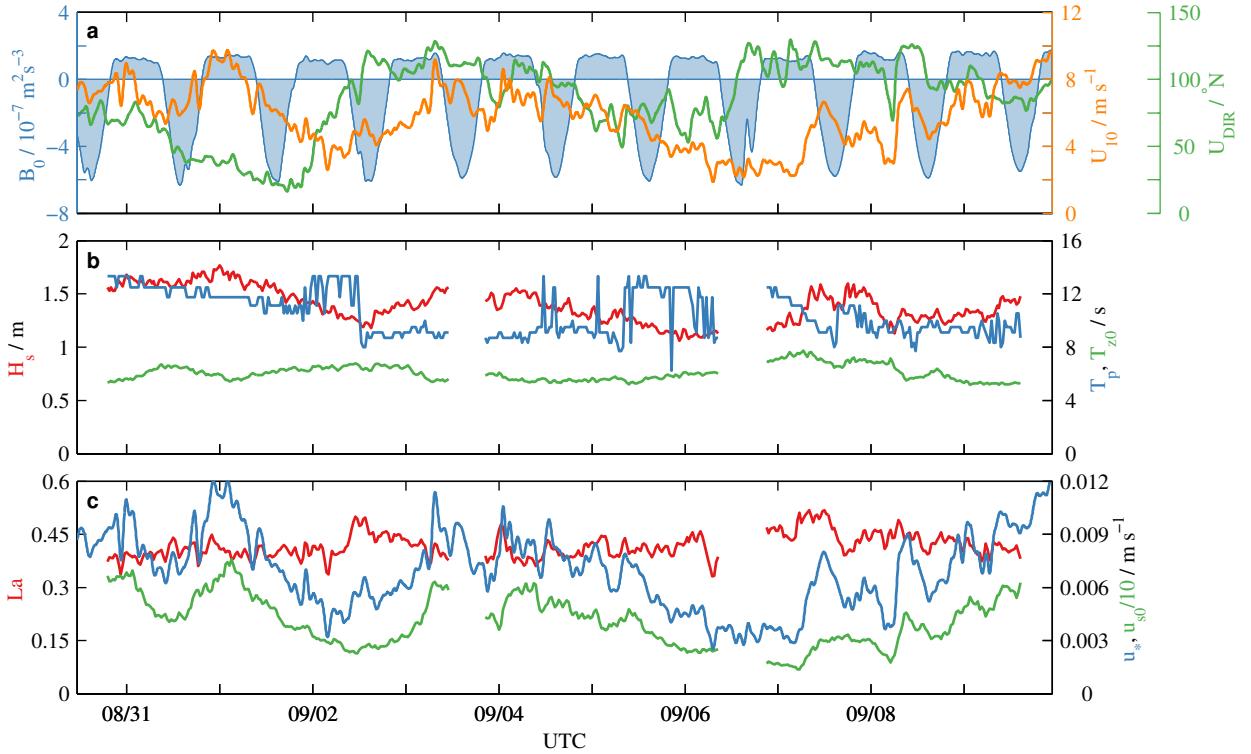


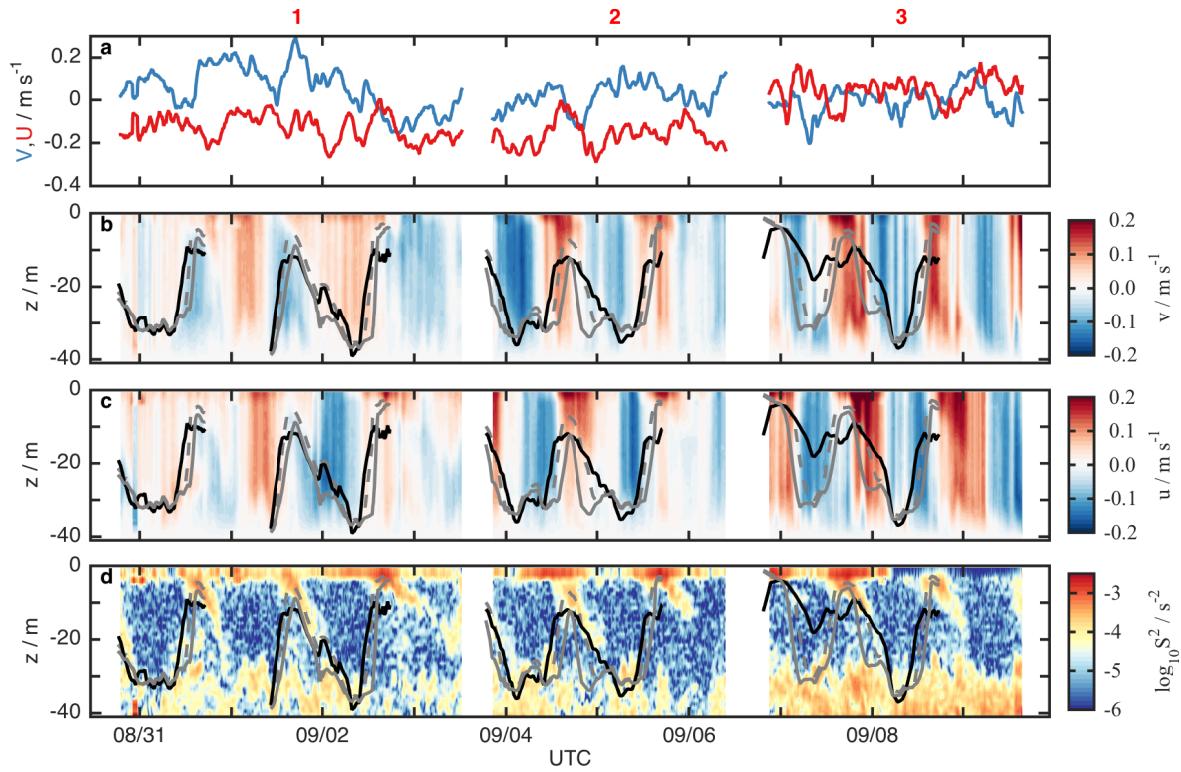
FIG. 1. A schematic depicting the diurnal cycle of turbulence. The shaded region represents the OSBL.



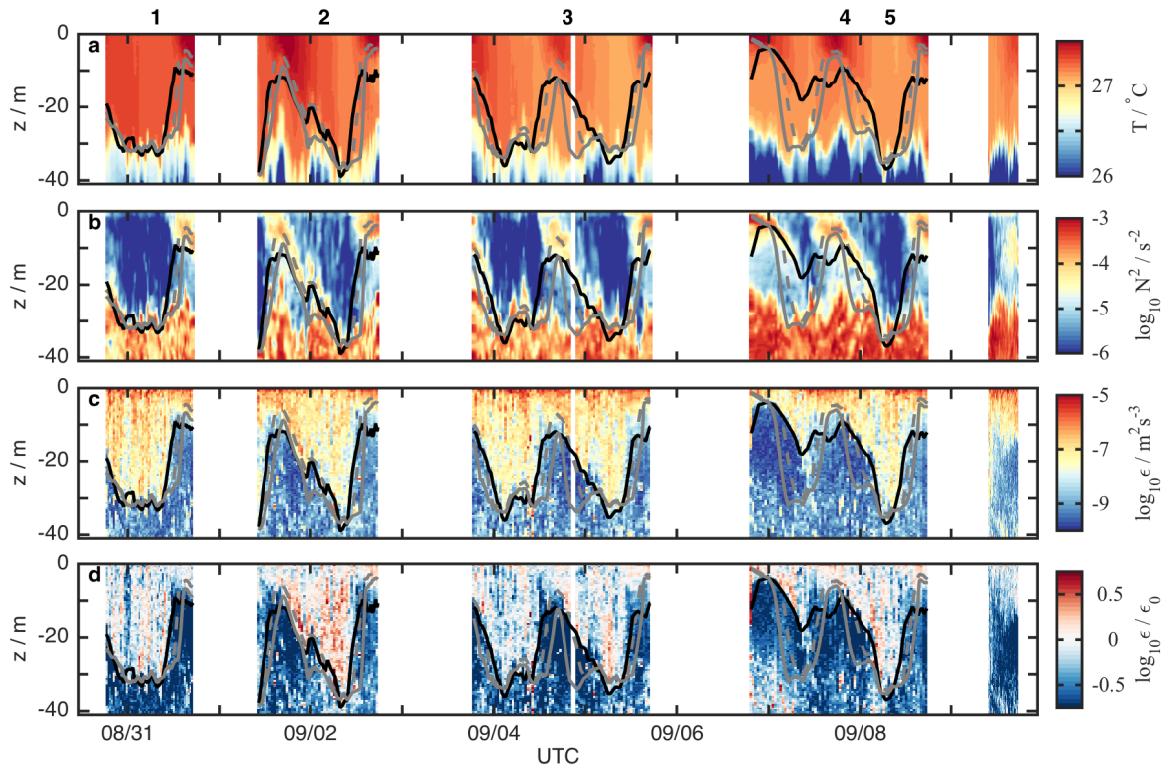
633 FIG. 2. Location for the five ASIP deployments (shown in black) and three Trèfle deployments (shown in red)
 634 during late August / early September 2012.



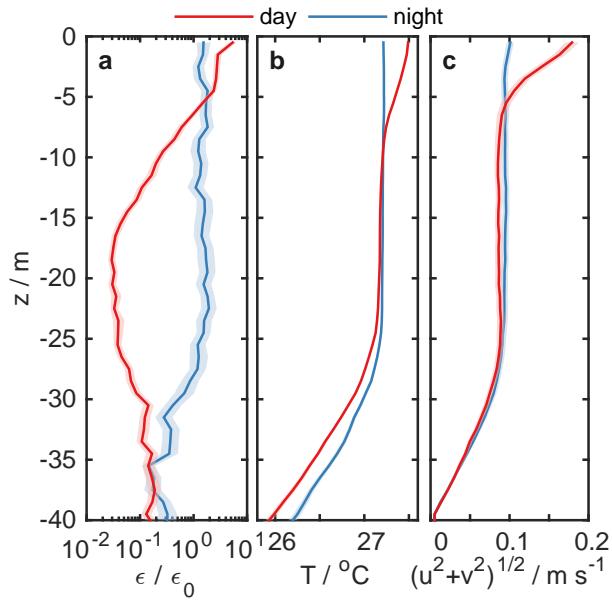
635 FIG. 3. Time series of observations of (a) surface buoyancy flux B_0 (blue), wind speed U_{10} (orange) and
 636 direction U_{DIR} (green) (b) significant wave height H_s (red), peak period T_p (blue) and zero up crossing period T_z
 637 (green) and (c) the turbulent Langmuir number La (red) as well as the friction velocity u_* (blue) and the surface
 638 Stokes drift/10 $u_{s0}/10$ (green).



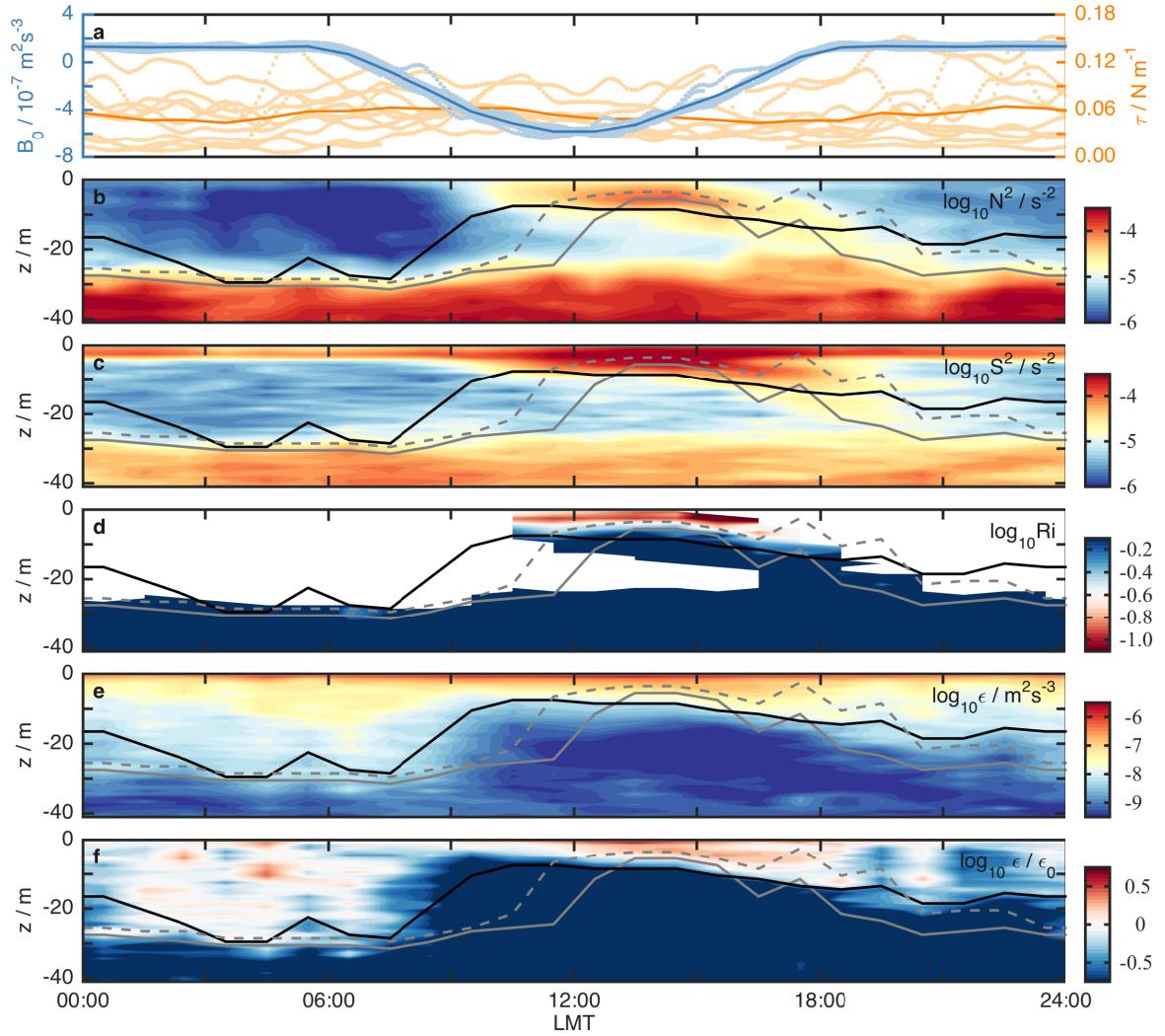
639 FIG. 4. Observations of near surface currents from each of the three Trèfle deployments, deployment numbers
 640 are along the top of the figure, of (a) the mean velocity at 40 m, the (b) along-wind and (c) cross-wind compo-
 641 nents of the velocity relative to 40 m and (d) the shear squared. The black line denotes the XLD and the solid
 642 and dashed grey lines denote the MLD calculated using $\Delta T = 0.2$ and 0.1°C respectively.



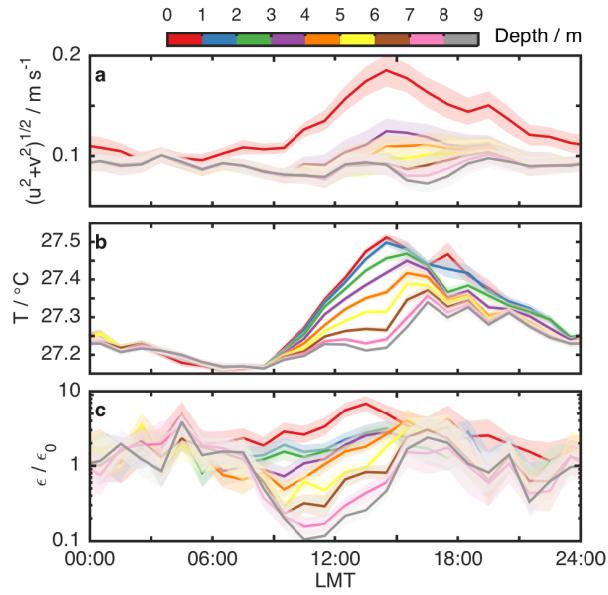
643 FIG. 5. Observations from the five ASIP deployments, deployment numbers are along the top of the figure,
 644 of (a) temperature, (b) stratification, (c) turbulent dissipation rate ε and (d) normalized turbulent dissipation rate
 645 $\varepsilon/\varepsilon_0$. The black line denotes the XLD and the solid and dashed grey lines denote the MLD calculated using
 646 $\Delta T = 0.2$ and 0.1°C respectively.



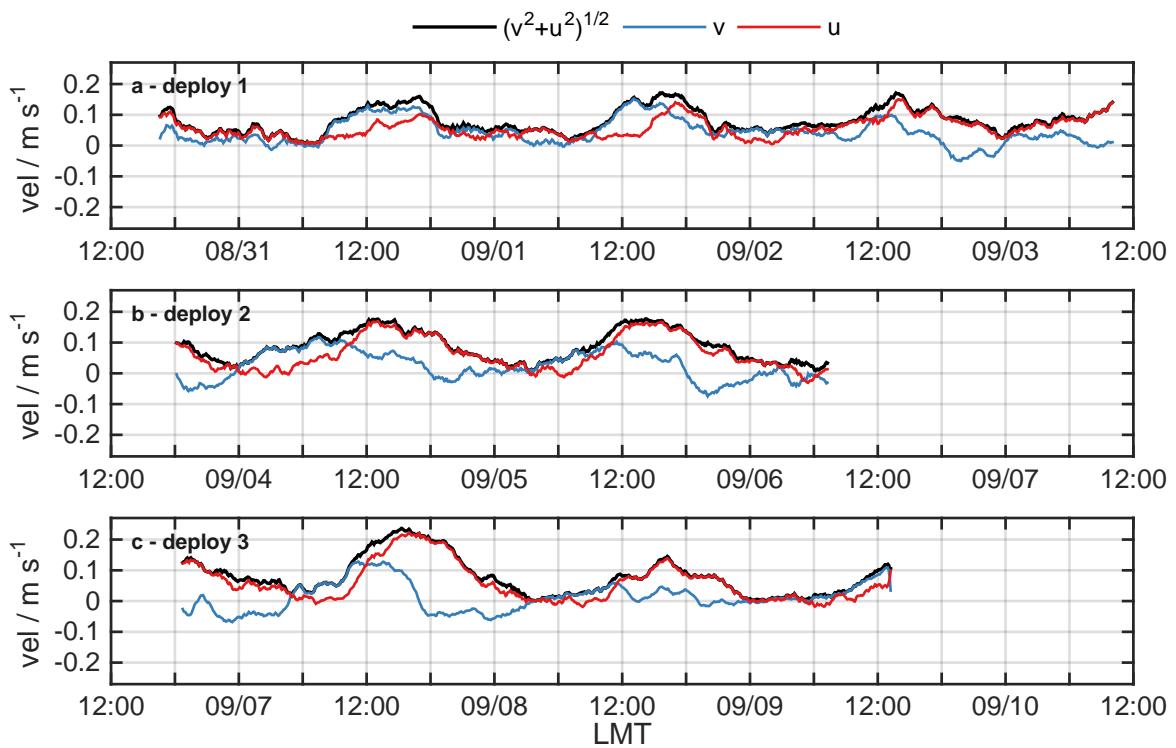
647 FIG. 6. Mean day and night profiles of (a) normalized dissipation rate, (b) temperature, and (c) velocity
 648 magnitude. Night is defined between 01:00 and 04:00 LMT and day is 13:00 to 16:00 LMT. Shaded regions
 649 represent 95% confidence intervals as calculated with a bootstrap method.



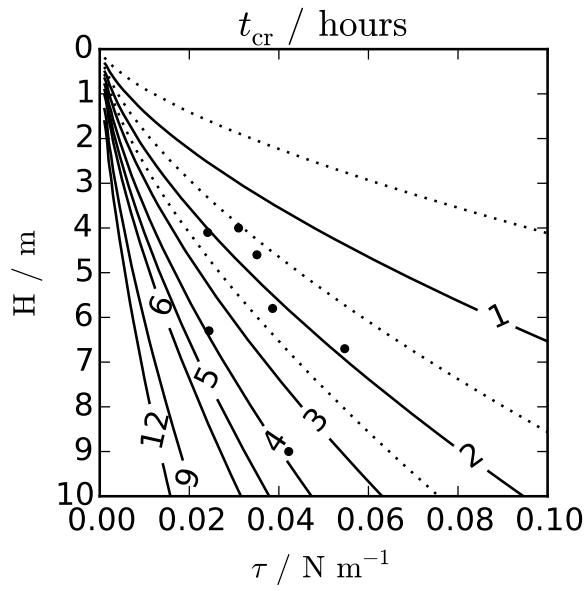
650 FIG. 7. Composite day for (a) B_0 (blue) and τ (orange), (b) N^2 , (c) S^2 , (d) Ri_b , (e) ϵ and (f) ϵ/ϵ_0 . The black
 651 line in panels b-f is the XLD and the solid and dashed grey lines are the MLD calculated with $\Delta T = 0.2$ and
 652 0.1°C respectively.



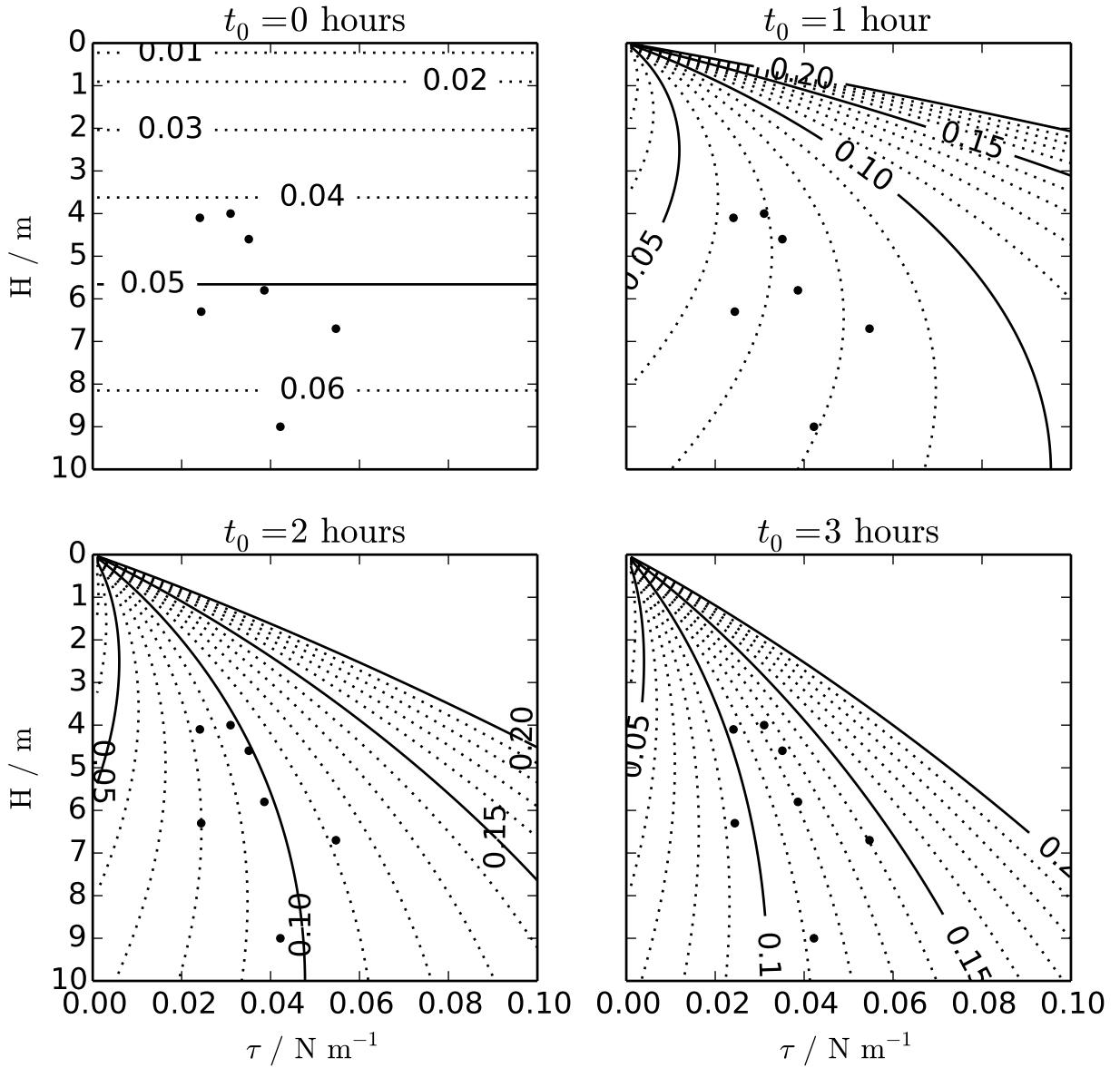
653 FIG. 8. Depth averaged composite day for (a) magnitude of surface velocity, (b) temperature and (c) ϵ/ϵ_0 for
 654 the upper 9 metres. The shaded regions denote 95% confidence intervals for each depth level calculated using a
 655 bootstrap method.



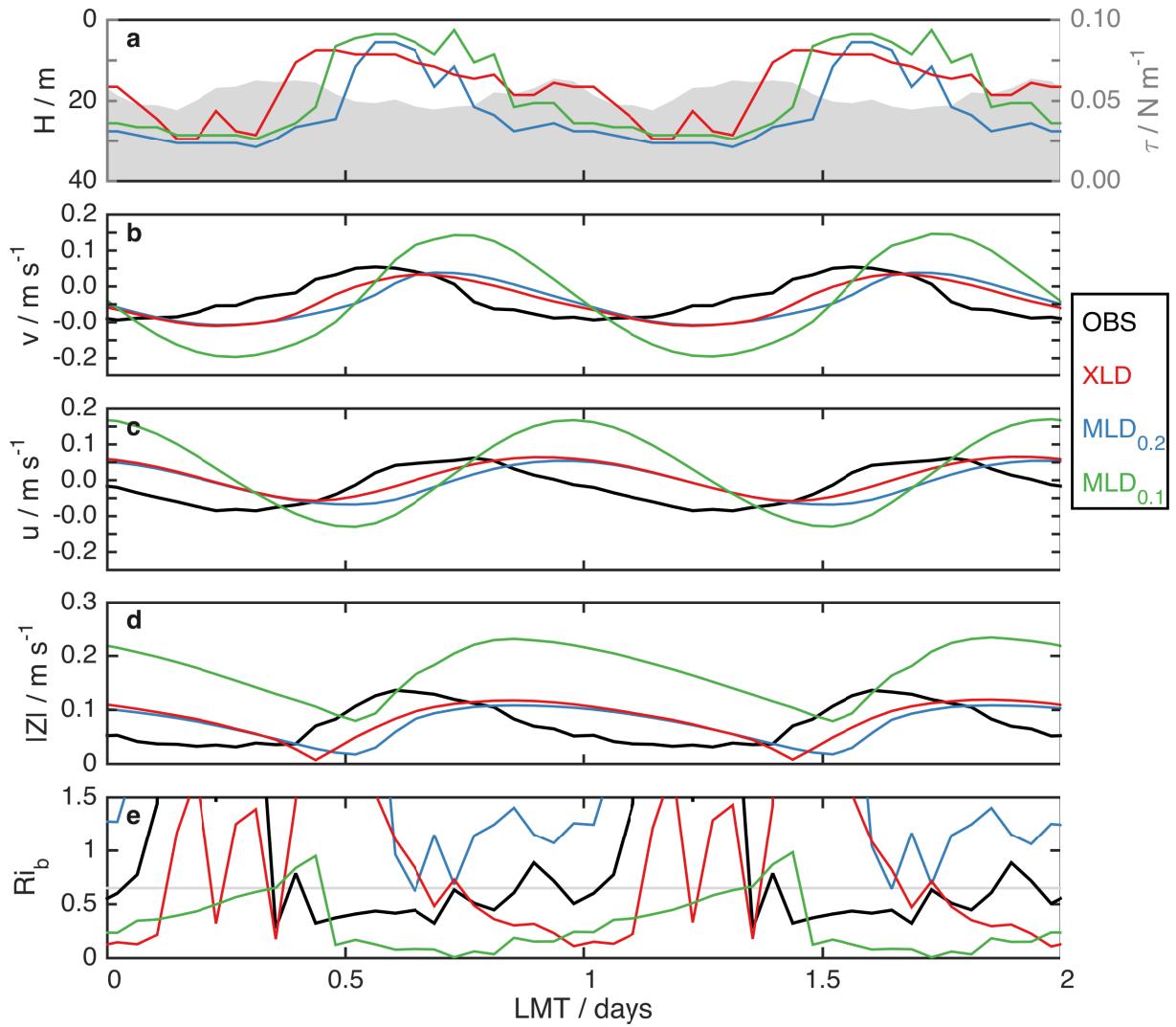
656 FIG. 9. Diurnal jet calculated from the observed velocity at 0.5 m relative to the velocity at 20 m where v and
 657 u are the along-wind and cross-wind components of respectively.



658 FIG. 10. Contours of the time it takes for $Ri_b = Ri_{cr}$ calculated from (9). The black dots denote observations
 659 of H and τ .



660 FIG. 11. Diurnal jet amplitude assuming the t_{cr} of Fig. 10 for different values of t_0 . The black dots denote
 661 observations of H and τ .



662 FIG. 12. Quasi-steady state surface currents from observations (Z_0 , black), and from (5) using the XLD (red)
 663 and the MLD using a $\Delta T = 0.2^\circ \text{C}$ threshold (blue) and a $\Delta T = 0.1^\circ \text{C}$ threshold (green).

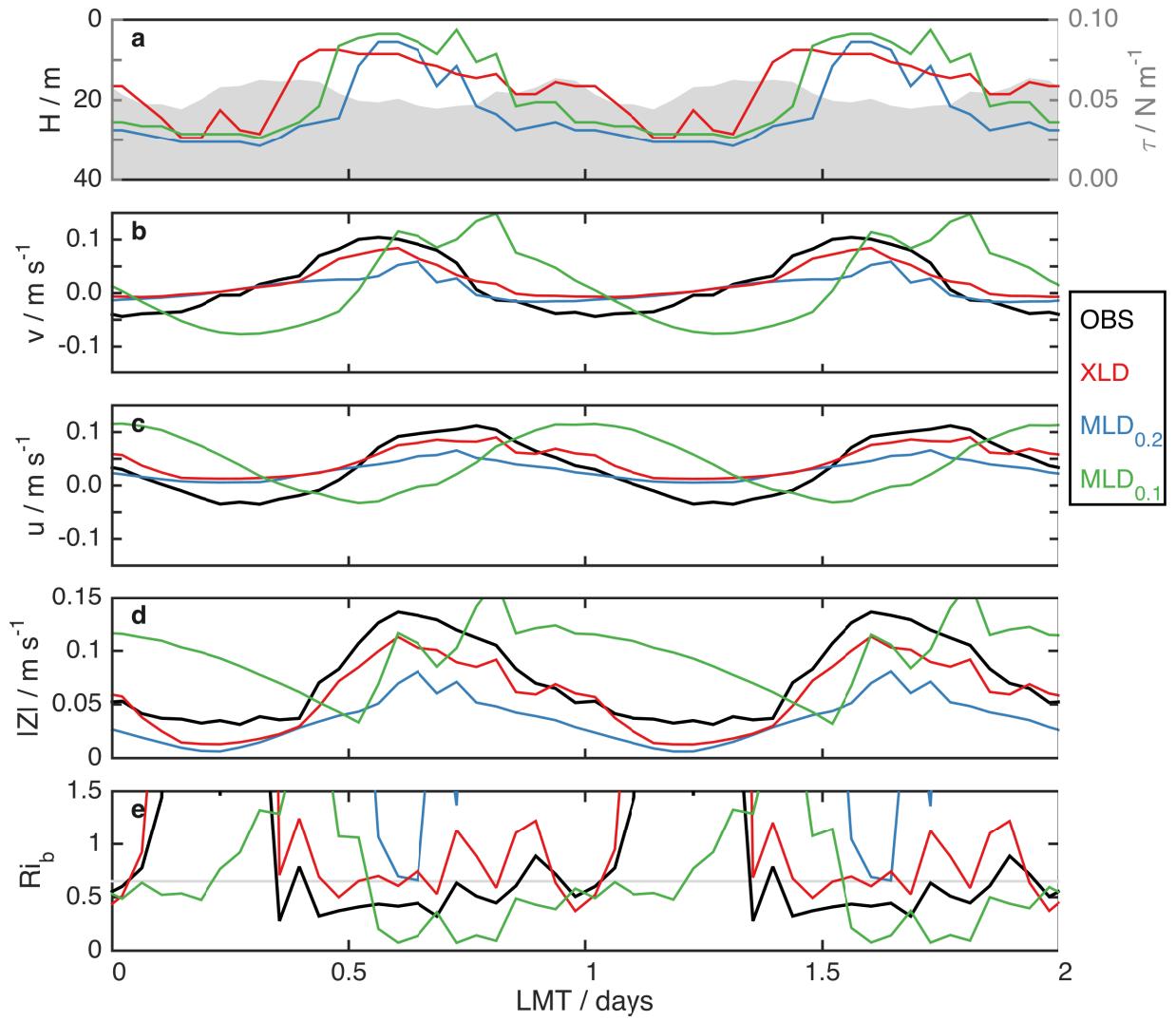
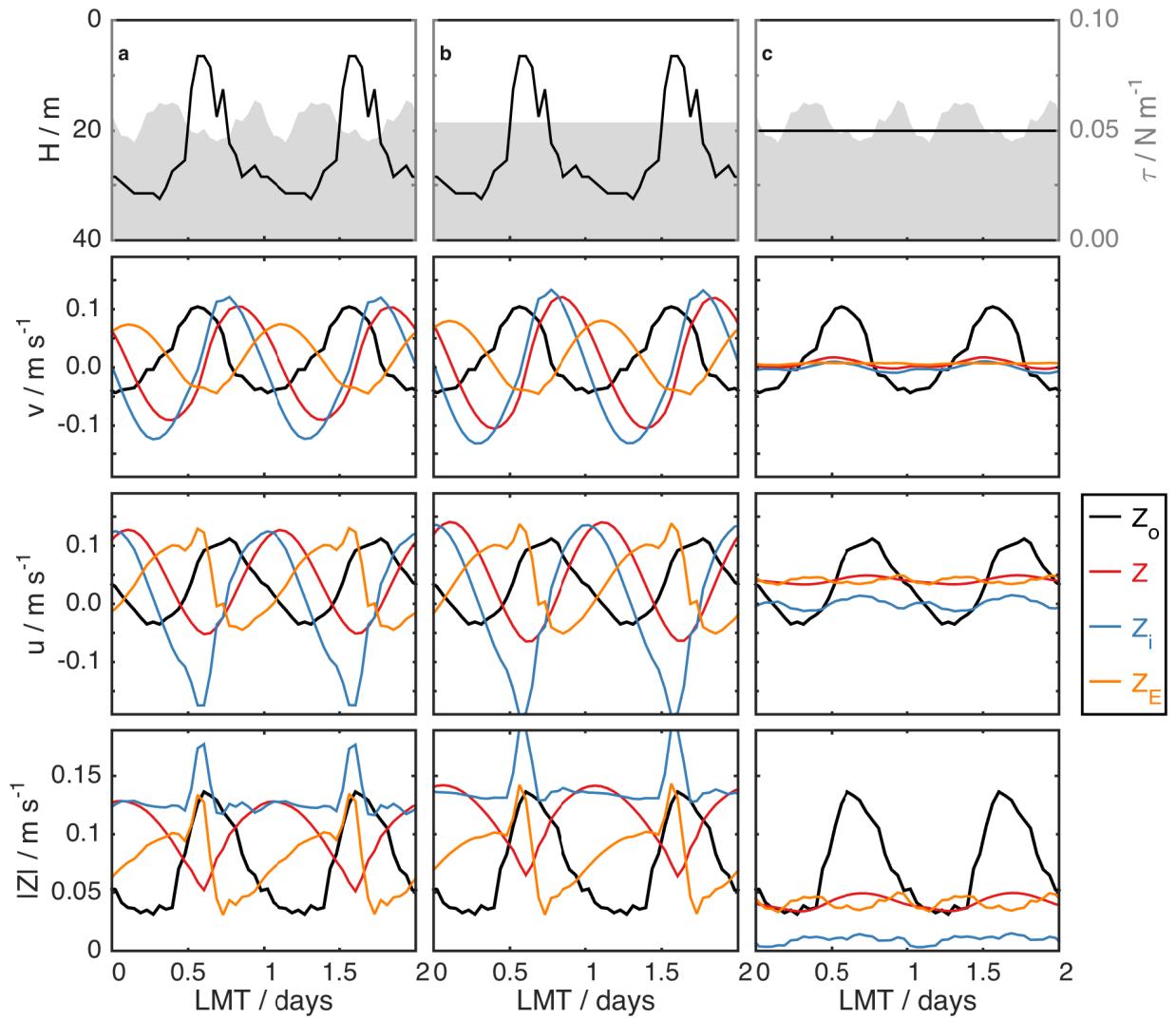


FIG. 13. Same as Fig. 12 but using (13) which includes the effect of entrainment.



664 Fig. A1. Quasi-steady state surface currents from observations (Z_0 , black), as well as total current (Z , red),
 665 inertial (Z_i , blue) and Ekman (Z_E , orange) currents generated with (5) and (a) τ and $MLD_{0,2}$, (b) $\bar{\tau}$ and $MLD_{0,2}$
 666 and (c) τ and $H = 20m$.