1	Sun glitter Imagery of Surface Waves. Part 2: Waves Transformation on		
2	Ocean Currents		
3	by		
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12 Abstract

Under favourable imaging conditions, the Sentinel-2 Multi-Spectral Instrument (MSI) 13 14 can provide spectacular and novel quantitative ocean surface wave directional measurements in satellite Sun Glitter Imagery (SSGI). Owing to a relatively large-15 high spatial resolution (10 m) ocean surface roughness mapping 16 swath with 17 capabilities, changes in ocean wave energy and propagation direction can be 18 precisely quantified at very high resolution, across spatial distances of 10 km and more. This provides unique opportunities to study ocean wave refraction induced by 19 20 spatial varying surface currents. As expected and demonstrated over the Grand 21 Agulhas current area, the mesoscale variability of near-surface currents, documented 22 and reconstructed from satellite altimetry, can significantly deflect in-coming south-23 western swell systems. Based on ray-tracing calculations, and unambiguously 24 revealed from the analysis of Sentinel-2 MSI SSGI measurements, the variability of 25 the near-surface current explains significant wave-current refraction, leading to wavetrapping phenomenon and strong local enhancement of the total wave energy. In 26 addition to its importance for wave modelling and hazard prediction, these results 27 28 open new possibilities to combine different satellite measurements and greatly 29 improve the determination of the upper ocean mesoscale vorticity motions.

1. Introduction

In Part 1, a method is described to retrieve directional spectra of the surface wave elevations using Satellite Sun Glitter Imagery (SSGI). Applied to Sentinel-2 Multi-Spectral Instrument (MSI) measurements, the unique instrumentation and configuration of multi-channel offset detectors can be used to derive 2D directional wave spectra for wavelength range $\lambda > 20$ m, as well as to also assess the local dispersion relation.

In this companion paper, we further exploit the high-resolution space-time capability of large-swath Sentinel-2 MSI SSGI to quantitatively map the transformation of the dominant surface waves, swell and wind-driven spectral peak waves, by ocean surface currents. Deflected and trapped wave packets can lead to the unexpected occurrence of abnormally high surface waves, over areas where local winds and waves should be fairly ordinary (Mallory, 1974; Rapizo et al., 2014; see also Lavrenov, 2003 for review).

43 In the Great Agulhas current region, wave packet trapping effects are generally considered to be the most plausible mechanism for the appearance of abnormally high 44 swells (Gutshabash and Lavrenov, 1986). Extracting spectra from SAR SIR-B 45 46 measurements, Irvine and Tilley (1988) reported the dramatic swell energy 47 intensification over the Great Agulhas current region. Kudryavtsev et al., (1995) also 48 reported results of field measurements of wind-driven trapped waves in the Gulf Stream, 49 with measurable significant amplification of the energy of wind driven seas opposing the 50 current.

51 More generally, apart from spectacular and specific cases of wave trapping 52 enhancement, the interaction of deep water waves with spatially varying ocean currents 53 had been investigated and reported in earlier studies using satellite SAR measurements

(see e.g. Meadows et al JGR 1983, McLeish and Ross JGR 1985, Mapp et al JGR 1985).
Efficiency of SAR to detect ocean current had been further exploited in terms of
conversion of observed wave refraction to estimate the surface current parameters
(Barnett et al., 1989; Liu et al., 1994).

58 Over the Great Agulhas current area, the larger-scale mesoscale variability of the 59 near-surface currents can efficiently be reconstructed from satellite altimetry (Rouault et 60 al., 2010), to help wave ray-tracing calculations. In Section 1, we describe the study area 61 and the satellite data employed. Section 2 provides description of the data processing. As 62 selected, a fragment of a sun glitter Sentinel-2 strip exhibits significant changes of the 63 surface wave characteristics. These changes occur over a rather short spatial scale, of 64 order 10 km. In Section 3, the Sentinel-2 data analysis of surface wave transformation is given. It clearly demonstrates the strong enhancement of swell energy caused by 65 66 refraction, and related local dispersion relationship changes as derived from Sentinel-2 67 MSI spatio-temporal measurements. The current-induced variability thus creates 68 gradients in wave heights that would be difficult to observe without high-resolution wide 69 swath SSGI. Model simulations are given in Section 4, and a summary of the obtained 70 results is given Section 5.

71

2. Study area and data

On the January 4rth 2016, Sentinel-2 MSI images were acquired over the Great Agulhas Current region. The red channel B04 (665 nm) output is shown Fig.1. As obtained, the SSGI is partitioned between "bright-and-dark" stripes, originating from the specific configuration of Sentinel-2 optical detectors. As discussed in Part 1 (Kudryavtsev et al., 2017), this feature of the MSI design is essential to determine 2D surface brightness gradients and thus to recover 2D spectra of the surface wave 78 elevations. The image further exhibits some very calm wind areas resulting in an 79 "erosion" of the sun glitter reflections. A dark linear feature is clearly visible in the 80 image that is a likely manifestation of the ocean current impact on short-scale surface 81 roughness elements, waves and, wave breaking in the wavelength range of order 10 m and shorter (Kudryavtsev et al., 2012, Rascle et al., 2014). This upper ocean feature may 82 83 be associated with surface current divergence and accompanied with local changes in sea 84 surface temperature (SST) to locally increase the atmospheric stratification, consequently 85 lowering the surface wind stress and surface roughness (Beal et al., 1997; Kudryaytsev et 86 al., 1996, Kudryavtsev et al., 2005; Kozlov et al., 2012).

87 (Figure 1 is about here)

88 The Sentinel-2 MSI measurements are further complemented by satellite altimeter 89 measurements, from which ocean geostrophic current and significant wave height 90 estimates can be made as shown in Fig. 2. As mapped, the altimeter-derived currents 91 exhibit intense mesoscale variability with surface velocities reaching 2 m/s, in the 92 Agulhas core current, Fig.2-left. The derived mean field of the significant wave height 93 (SWH), Fig.2-right, displays a general decrease from the South to the North. Around the 94 acquisition date, waves entering the Agulhas region were generated from the southern high-wind ocean areas, traveling in a north-easterly direction. 95

96 (Figure 2 is about here)

97 Individual altimeter-track measurements have been overlaid on to the mean SWH 98 field (Fig.2-right) and exhibit large local SWH deviations. Anomalies, $H_s - \overline{H}_s$, scaled 99 by mean values, \overline{H}_s , are derived from an along-track 250 km moving average, display 100 some remarkable features. In particular, some local enhancements can be spatially

associated to the current "jet". Yet, other SWH anomalies are not visually linked to the
local current, and may well express non-local swell-current interactions with SWH
enhanced along the swell trajectories (Rapizo et al., 2014).

104 The Sea Surface Temperature (SST) field shown in Fig. 3 generally traces the 105 Agulhas current. A marked step-like SST change marks the south boundary. Around -106 36.5 latitude, a warm SST area coincides with the calm glitter area shown in Fig. 1. It 107 likely originates from a solar heating of the subsurface upper ocean layer, known as 108 afternoon effect that creates a diurnal thermocline in calm areas of the sea surface and 109 then masks the manifestation of the Agulhas current in the SST field (see e.g. 110 Kudryavtsev and Soloviev, 1990; Stuart-Menteth et al., 2005 for more details and 111 application to remote sensing).

112 (Figure 3 is about here)

113

3. Data processing.

To perform surface wave analysis, the Sentinel-2 MSI SSGI is first sub-divided into imagettes, as indicated in Fig.1. It is dictated by the necessity to avoid the impact of spatial MSS anomalies caused by the presence of clouds, wind variability, i.e. the calm area, or by the current, i.e. the linear dark features visible in Fig.1.

Over the selected imagettes, the processing follows the procedure described in Part 1, and illustrated in Fig.4a and Fig.4b. Brightness variations, \tilde{B} , are converted to the surface elevation field following eq.(17) from Part1, with the components of the brightness gradient directly derived from the mean shape of the SSGI distributions. Fig. 4c shows the reconstructed field of surface elevations, and Fig.4d shows field of wave energy (variance of surface elevations) revealing its strong spatial variability. A 3D zoom of the surface elevation field shown in Fig.4c is presented in Fig. 5. Two transects are shown in Fig. 6 corresponding to the surface elevations in areas with lower and higher wave energy of Fig. 4d. The surface elevation profile corresponding to the more energetic part of the image exhibits wave group-structure, with some wave overshoots, demonstrating the possible random occurrence of very high "individual" waves.

129 (Figure 4 is about here)

130 (Figure 5 is about here)

131 (Figure 6 is about here)

132 SSGI brightness variations spectra, $S_b(\mathbf{K})$, and corresponding surface wave elevation 133 spectra, $S_{\varsigma}(\mathbf{K})$, using eq. (18) from Part 1, are shown Fig. 7. As obtained, brightness and 134 wave elevation spectra derived in the left and right side of the image (white squares in 135 Fig.4b) are very different, both in terms of shape and spectral level. In particular, the 136 wave spectrum corresponding to the enhanced wave energy area, displays a broad 137 angular distribution. This is likely related to the appearance of an additional wave 138 system.

139 (Figure 7 is about here)

As discussed in Part 1, the time delay between the Sentinel-2 two channels (B04 (665 nm) and B08 (842 nm)) measurements can efficiently help to remove the wave propagation ambiguity. Such a procedure, based on a cross-spectral analysis, has been applied to the elevation spectra in Fig. 7. Moreover, the cross-spectral analysis (see sec.3.3. in Part 1 for details) helps to measure the dispersion relation of the surface waves and to assess the wavenumber-dependent Doppler shifts caused by the ocean current, as illustrated in Fig. 8 for the left and right inserts indicated in Fig.4b. In both cases, the 147 dispersion relation remarkably deviates from the expected linear relation. As interpreted, 148 the overall Doppler shift will trace the ocean surface current. The shift is stronger for the 149 right hand frame indicating a larger current velocity that coincides with strong wave 150 energy enhancement.

151 (Figure 8 is about here)

152

4. Observations of mesoscale wave transformation

153 From reconstructed surface elevations for each of the selected frames in Fig.1, a wave 154 energy field can be estimated, and overlaid on the SST field of Fig.3. The resulting field 155 of SWH is overlaid on the altimeter data in Fig.2-right. North of the Agulhas current, the 156 Sentinel-2 SSGI derived SWHs are spatially relatively uniform, with values consistent 157 with the altimeter data (Fig.2-right). In the area of surface current, SWHs from both 158 Sentinel-2 SSGI and the different altimeters exhibit large spatial variability. Altimeter 159 SWH anomalies (Fig.2-left) reveal a correlation with the local currents: wave heights 160 increase (resp. decrease) for swell traveling against (resp. along) the current. This is 161 confirmed by the spatial distribution of the wave energy field derived from the SSGI 162 overlaid on the SST field in Fig. 3.

163 (Figure 9 is about here)

164 Considering the set of Sentinel-2 MSI imagettes intersecting the core of Agulhas 165 current shown in Fig. 9, it appears that the spatial variability of swell energy can be very 166 strong across spatial distances of ~20 km and more. Referring to the altimeter-derived 167 current map (Fig. 9-left) swell energy strongly varies and generally increases within the 168 current stream. The wave energy apparently decreases outside the current periphery. This 169 is further illustrated in Fig. 10. As found, the distribution of the omnidirectional wave 170 spectra across the current exhibits drastic modulations. Changes in spectral levels can 171 reach a factor 5 between values outside and inside the current. Unlike the spectral level, 172 the spectral peak wavenumber does not vary significantly across the current. However, a 173 careful inspection of Fig. 10 reveals that the increasing spectral level corresponds to 174 increasing spectral peak wavenumber.

175 (Figure 10 is about here)

176 Fig.11 documents the surface wave transformation from their 2D wave spectra along 177 the middle transect shown in Fig.9-right. For spectra outside the current, i.e. south of the 178 current, corresponding to the three last spectra in the lowest row of Fig. 11, a dominant 179 wave mode is found, travelling in the 50-60 degree direction. Moving towards the current 180 stream, corresponding to the two first spectra in the lowest row and the three last spectra 181 in the middle row, an additional system emerges, traveling to the east (0 degree) 182 direction. In the vicinity of the core of the current stream (corresponding to the two first 183 spectra in the middle row and the last spectrum in the upper row) these two wave systems 184 are intensified, leading to an overall wave energy enhancement. North of the current, 185 corresponding to the three first spectra in the upper row, the spectral level drops. Yet, 186 both wave systems still co-exist.

187 (Figure 11 is about here)

The evolution for the estimated dispersion relation can also be traced, as expressed in terms of phase velocity vs wavenumber, c = c(k) and is shown in Fig. 12. The local deviation of measured dispersion relation from the linear model, $c = (g/k)^{1/2}$, at given k corresponds to a projection of the surface current velocity on the wave direction (the Doppler shift). In our case, phase analysis is not yet sufficiently precise in order to retrieve the surface current velocity components derived from phase

194 spectrum at different directions. Hence, the measured estimates of c = c(k) shown in 195 Fig.12 are the mean values obtained by averaging of the phase spectrum in a sector with 196 angular width of 45 degree, which embraces the most energetic waves. Correspondingly, 197 offset of observed c = c(k) from the linear dispersion relation at given k, is a measure of 198 the surface current. Referring to Fig.11 and Fig.12, both the enhancement of wave 199 spectral levels and the appearance of the additional wave system travelling eastward, 200 correspond to noticeably large surface currents.

201 (Figure 12 is about here)

202 Profiles of the wave energy and estimated Doppler shift (surface current velocity) 203 averaged over three directions, as well as integral parameters of the wave spectra along 204 the three transects (as indicated in Fig. 9) are presented in Fig. 13. The integral spectral 205 parameters, - mean wavenumber, \overline{K} , mean direction, $\overline{\varphi}$, and angular width of the 206 spectrum $\Delta \varphi$, are defined via the spectral moments, $m_{\alpha\beta} = \int k_1^{\alpha} k_2^{\beta} S(\mathbf{k}) d\mathbf{k}$ (Longuet-207 Higgins, 1957):

$$\overline{K} = \frac{\sqrt{m_{10}^2 + m_{01}^2}}{m_{00}}$$
208
$$\tan(\overline{\varphi}) = \frac{m_{01}}{m_{10}}$$

$$\Delta \varphi = \left[\frac{m_{20} + m_{02} - \left((m_{20} - m_{02})^2 + 4m_{11}^2\right)^{1/2}}{m_{20} + m_{02} + \left((m_{20} - m_{02})^2 + 4m_{11}^2\right)^{1/2}}\right]^{1/2}$$
(1)

Integration in (1) is performed over the wavenumber domain $1.7 \times 10^{-2} < k < 9 \times 10^{-2}$ rad/m. The energy of waves, shown Fig. 13, is the variance of the reconstructed ocean surface elevation, and therefore has a higher spatial resolution than other quantities defined via spectral moments.

213 (Figure 13 is about here)

Estimated surface current velocities, derived from Sentinel-2 MSI SSGI crosschannel analysis, provide consistent profiles over the Agulhas current. The wave energy is significantly enhanced on the northern side of the current jet. The amplification factor, the ratio between the maximum energy and swell energy south of the current, varies between transects and ranges from factor 2 to factor 7.

219 Mean wavenumbers gradually increase from the southern boundary to the north but 220 drop remarkably north of the current. To first order, this can be expected, as interaction 221 of waves with opposite current should lead to shortening of wavelength by factor $(1-U/c_g)$. Yet, changes of the swell mean direction on the current seem to contradict 222 223 this interpretation (northward deviation). This likely results from the emergence of a 224 distinct additional swell system travelling eastward (recall Fig. 11) forcing the mean 225 direction to also deviate eastwards, with an overall increase of the wave spectral direction spread (as seen in Fig. 13). 226

227 These additional swell systems, travelling eastward, can be interpreted as surface 228 waves trapped by the current, similarly to what was reported by Kudryavtsev et al. 229 (1995). Though the origin of the wave systems is different, wind-driven waves 230 (Kudryavtsev et al., 1995) and swell in the present study, the resulting effect of the 231 surface wave interaction with opposing current is quantitatively very similar. In both 232 cases, kinematic parameters of trapped waves (wavenumber and direction) are not too 233 different from the parameters of the ambient waves, but the total energy of these waves 234 significantly differs from the ambient level, due to the accumulation of refracted wave 235 systems in the vicinity of the main current stream.

5. Model Simulations

237 After Snodgrass et al. (1966), more recent satellite SAR observations (Ardhuin et al., 238 2009, Delpey et al., 2010) confirm the weak dissipation of swell travelling over the 239 oceans, with energy e-folding scales of about 3300 km. Recently, Badulin and Zakharov 240 (2016) investigated effect of non-linear wave-wave interactions on swell evolutions, and 241 found that their strong impact exists only in 'near field', - on a distance of about hundred 242 kilometres away from the swell "source". Impact of wave-wave interactions on long term 243 evolution of swell is rather weak leading to slow frequency downshift and energy 244 attenuation (see Fig.10 from Badulin and Zakharov, 2016). Considering swell evolution 245 on the scales of the Agulhas current, we may thus ignore effect of swell dissipation and 246 wave-wave interactions. Following this assumption, the swell transformation follows 247 ordinary differential equations describing the kinematics and dynamics of wave train 248 evolution in the presence of surface currents (e.g. Phillips, 1977):

$$dx_{i}/dt = \partial\Omega/\partial k_{i}$$
249
$$dk_{i}/dt = \partial\Omega/\partial x_{i}$$

$$dN/dt = 0$$
(2)

where $N(\mathbf{k}) = E(\mathbf{k})/\omega$ is the wave action, $\omega = (gk)^{1/2}$ is the intrinsic frequency of the surface gravity waves on the deep water, and Ω is the dispersion function:

252
$$\Omega(\mathbf{k}, \mathbf{x}) = \omega(k) + k_{i}u_{i}$$
(3)

 u_j is a component of the surface current velocity. The two first equations in (2) describe the evolution of wave rays and the wave train wavenumber along the modified trajectory. The third equation states the conservation of the wave action along the wave train evolution.

257 (Figure 14 is about here)

From the surface geostrophic current field derived from altimeter measurements, Fig. 14 illustrates numerical solutions of the kinematic equations. Considering a quite long incoming swell, with wavenumber $k = 2.5 \times 10^{-2}$ rad/m, waves are traveling fast, with group velocity, c_g , about 10 m/s, and the ratio of current velocity u to c_g velocity is small $u/c_g \approx 0.2$. Nonetheless, remarkable scattering seems to be anticipated for an initial collinear field of incident swell rays. To recall, ray curvature arises from the local vorticity, Rot(u), of the current (Kenyon, 1971)

265
$$r^{-1} = -c_g^{-1} \operatorname{Rot}(\boldsymbol{u})$$
 (4)

266 and the cumulative impact of the current vorticity field on wave train kinematics can ultimately cause significant overall ray deflection (e.g., discussion by Munk et al., 2013, 267 268 and Gallet and Young, 2014). Simulations performed by Rapizo et al (2014) also 269 illustrate the significant impact of Southern Ocean eddies on swell refraction. For the 270 present case study, a spectacular convergence of swell rays, accumulated over the 271 Agulhas stream core, is predicted, see upper-right corner of Fig.14. The surface waves 272 become trapped by the current. Following Eq. 4, the trajectory of wave trains travelling 273 against the current shall then oscillate around the mid-stream, and will then be solely 274 guided by the current (see e.g. Kudryavtsev et al., 1995 for more detailed analysis of 275 such a phenomenon).

To interpret the present observation, our analysis is further restricted to an area enclosing the Sentinel-2 MSI measurements shown in the white box marked on Fig. 14. As already mentioned, swell systems have large relaxation scales, of order of thousands km, and a locally observed swell will maintain a "memory" of the previous multiple and remote interactions with surface currents encountered along the propagation from an initial remote source. Therefore, an ideal interpretation of observed swell features at a

given ocean location must require model calculations of the wave transformation over a very large ocean area with specified surface currents (e.g., Gallet and Young, 2014). The latter are not always sufficiently well known. Accordingly and for the sake of simplicity, we hereafter focus on the effect of "local currents" on swell refraction. Local detected swell transformation will then be further combined, if necessary, with far zone remote cumulative transformations.

288 (Figure 15 is about here)

289 The 2D energy spectrum of the incoming swell, $E_0(\mathbf{k})$, is taken in a form

290
$$E_0(\boldsymbol{k}) \propto \exp\left[-\left(\boldsymbol{k} - \boldsymbol{k}_p\right)^2 / \Delta \boldsymbol{k}^2 - \left(\boldsymbol{\varphi} - \boldsymbol{\varphi}_p\right)^2 / \Delta \boldsymbol{\varphi}^2\right]$$
(5)

where k_p and φ_p are the spectral peak wavenumber and its direction, Δk and $\Delta \varphi$ are the width of the spectrum in wavenumber and azimuth directions. We fix $\Delta k/k_p = 0.2$ and consider swell with "narrow", $\Delta \varphi = 15$ deg., and "wide", $\Delta \varphi = 30$ deg., directional spread. This initial spectrum enters the surface current area with three different incidence angles: 20, 40, and 60 degree respectively.

The resulting trajectories are shown in Fig. 15. For all cases, swell trains entering the current are subject to strong refraction. Near the Sentinel-2 MSI transects (recall Fig.9right) a superposition of two wave systems is anticipated, in qualitative agreement with the observations of Fig. 11.

To simulate the transformation of the swell spectrum, kinematic equations (Eq. 2 with Eq. 3) are first solved at each given location. For each wavenumber, k, forming the spectral grid at this given location, kinematic equations are integrated "back" to find the corresponding initial wavenumber value, k_0 , at the boundary: $k_0 = k_0(k)$. As wave action is conserved along the wave trajectory, the swell energy spectrum at each givenlocation follows:

306
$$E(\mathbf{k}) = E_0 \left(\mathbf{k}_0(\mathbf{k}) \right) \omega(\mathbf{k}) / \omega(\mathbf{k}_0(\mathbf{k}))$$
(6)

307 Simulated evolutions of swell spectra, along the white line transect indicated in the right
308 hand box of Fig. 15, are shown in Fig.16 and appear qualitatively similar to observed
309 spectra shown in Fig. 11.

310 (Figure 16 is about here)

311 The swell energy is indifferent to initial incidence angles and spectral widths and is 312 expected to increase inside the surface current regions as shown in Fig.17. In the core 313 midstream area, the energy of the swell system is amplified by factor 2-2.5. Compared to 314 the energy of the swell near the current boundary, this amplification is 4-5. This 315 amplification factor weakly depends on swell incidence angle and spectral width. In 316 general, model estimates of swell energy modulations are consistent with the 317 observations shown in Fig. 13 and quantitatively reproduce the large swell energy 318 enhancement within the core current area.

319 (Figure 17 is about here)

Compared with observed estimates shown in Fig. 13, transformations of the integral spectral parameters, Eq. 1, are shown in Fig.18. Model simulations capture the evolution of the mean wavenumber of swell over the current, as well as the spectral directional broadening resulting from the superposition of refracted waves travelling in different directions.

- 325 (Figure 18 is about here)
- 326
- **6.** Conclusion

In our Part 1 paper, a method is described to retrieve directional spectra of the surface wave elevations using satellite sun glitter imagery (SSGI). In this Part 2, the highresolution space-time capability of large-swath Sentinel-2 MSI SSGI is further exploited to quantitatively map the transformation of the dominant surface waves, swell and winddriven spectral peak waves, over the Great Agulhas current region. It is a known dangerous ocean area where giant (abnormally high) surface waves (swell) may suddenly appear (Mallory, 1974).

335 Sentinel-2 has been developed to address the requirements of the land monitoring 336 applications within Copernicus. The Sentinel-2 imaging mode was thus not developed 337 for the application discussed in this paper but rather to accommodate an extremely large 338 swath of 290 km while maintain a spatial resolution on ground of 10 m for land 339 applications. This required that individual CCD detector arrays were positioned in a 340 staggered manner to accommodate them on the focal plane of the MSI instrument. 341 Overlaps between CCD arrays allow differences between detector arrays to be managed 342 properly across the entire focal plane. As demonstrated, this configuration can be 343 exploited to provide innovative new products, such as directional wave spectra and 344 propagation characteristics, to help precisely quantify local changes in ocean wave 345 energy and propagation direction.

Indeed, compared to high-altitude satellite SAR measurements, SSGI is not affected by wave motions that limit SAR imaging directional capabilities (e.g. Hasselmann et al., 1985, Chapron et al., 2001) to very long swell systems (Collard et al., 2009), and provides a way to derive sea surface elevation statistics (e.g., Janssen and Alpers, 2006). In such a context, the measurements from Sentinel-2 MSI shown here provide a novel

and unambiguous view of oceanic sea states at small scales, to advance the understandingand modelling of ocean wind-wave-current interactions.

353 In this study, the Sentinel-2 MSI SSGI measurements are complemented by satellite 354 altimeter measurements that collectively provide estimates of ocean geostrophic current 355 and significant wave height. The altimeter-derived currents exhibit intense mesoscale 356 variability with surface velocities reaching 2 m/s, in the Agulhas core current (e.g. 357 Rouault et al., 2010) that is also seen clearly in the corresponding Sea Surface 358 Temperature (SST) field. Our analysis of Sentinel-2 MSI SSGI, and further demonstrated 359 using ray-tracing model calculations, mesoscale variability of the near-surface current 360 can explain significant wave-current refraction, leading to both significant ray deflections 361 and strong local enhancements of wave energy.

In particular, a significant enhancement of the wave energy is found in the main core surface current area that is also seen in SWH estimates from different altimeters. The current velocity profile estimated from the estimated swell dispersion, derived from Sentinel-2 MSI cross-channel analysis, confirms that swell enhancement occurs in the core Agulhas stream and is shifted on the north edge (side) of the current. We find that the swell energy amplification factor, measuring the ratio between the wave energy inside and outside the current, varies from 3 to 7.

Spectra of incoming swell are uni-modal, but inside the current, swell directional spectra broaden with the emergence of local wave components not aligned with the incoming swell. The measurements reveal a small increase of the mean swell wavenumber within the current, in accordance with expected shortening effect, by factor $(1-u/c_g)$, for waves opposing the current. The emergence of additional wave components, coinciding with large enhancement of the energy (by a factor 3 to 7) are

375 attributed to swell-trapping phenomenon. This is further confirmed using the ray-tracing 376 model simulations. The strong currents can considerably refract the wave rays with 377 direction and wavenumber changes, but also strongly modulate the energy distribution by 378 convergence and divergence of the rays. The current-induced variability thus creates 379 gradients in wave heights that would be difficult to observe without high-resolution wide 380 swath SSGI. The model simulations are capable to interpret these observations on a 381 quantitative level, reproducing the similar transformation of 2D swell spectra, and 382 predicting the similar enhancement of swell energy associated to wave trapping.

383 In addition to their importance for wave modeling and hazard prediction, our results 384 not only illustrate the overlooked potential of high-resolution sun glitter imagery, but 385 also invite, to consider S2 measurements as unique opportunities to further assess and 386 evaluate ocean products derived from Sentinel-1 A and B SAR measurements. Besides, 387 direct ocean wave spectra comparisons, S2 measurements can especially help to compare 388 estimated Doppler shifts from S2 with Doppler residual information from S1 389 measurements, to more precisely evaluate and distinguish the wave-motion and surface 390 current contributions (Chapron et al., 2005).

Considering the wide-swath capability of Sentinel-2 observations, soon to be comforted with the future launch of Sentinel 2B, it certainly opens for new possibilities to combine actual and future satellite directional wave measurements (synthetic and real aperture radars) and altimeter observations to analyze short- and long-range propagation of ocean swell systems to greatly improve the upper ocean mesoscale vorticity determination, as well as to derive more direct ocean surface currents from Space.

397

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MSI images #S2A_OPER_PRD_MSIL1C_PDMC 501 1. Two Sentinel-2 Figure 502 20160104T172441_ R078_V20160104T084040_20160104T084040 and 503 #S2A_OPER_PRD_MSIL1C_PDMC 20160104T172409 504 R078 V20160104T084040 20160104T084040 over the Great Agulhas current region, 505 January 04 2016. White frames indicate selected fragments used for our wave processing. 506 White arrows indicate (i) a calm area over which the sun glitter is "eroded" 507 corresponding to low roughness MSS values, and (ii) a current feature over which 508 roughness MSS is decreased due to either current convergence with accumulated 509 surfactants acting to suppress short scale waves, or current divergence with local lower 510 SST, leading, as a consequence, to increase the atmospheric stratification and to decrease the surface wind stress and the roughness MSS. Image contains modified Copernicus 511 512 data (2016).



516 Figure 2. (Left) Geostrophic surface current velocity corresponding to January, 4rth 517 2016, http://www.aviso.altimetry.fr/en/data/products/sea-surface-heightproducts/global/madt-h-uv.html, and SWH anomalies, $(H_s - \overline{H}_s)/\overline{H}_s$ (in conventional 518 units), along the altimeter tracks, where \overline{H}_s corresponds to a 250 km moving window 519 520 along the altimeter track. (Right) Mean field of SWH on the same date from 521 ftp://ftp.aviso.oceanobs.com/pub/oceano/AVISO/wind-wave/nrt/mswh/merged, altimeter 522 tracks (Jason-2 and AltiKa Saral on January 3-5, 2016) taken from ftp://avisoftp.cnes.fr/AVISO/pub, and SWH (color patches) derived from S2 MSI 523 imagettes. The white arrow in the plot indicates the mean swell direction on January, 4th, 524 525 2016.



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- $532 www.jpl.nasa.gov//OceanTemperature/ghrsst/data/GDS2/L2P/VIIRS_NPP/OSPO/v2.4/2$
- 533 016/004/20160104122000-OSPO-L2P_GHRSST-SSTskin-VIIRS_NPP-ACSPO_V2.40-
- 534 v02.0-fv01.0.nc. Overlaid, color-coded wave energy derived from S2 MSI imagettes.
- 535 Image contains modified Copernicus data (2016).



Figure 4. a) Fragment of original S2 MSI image, location correspond to frames 24-27-29
reported Fig 9; b) SSGI brightness variations; c) surface elevations reconstructed from

the brightness variations using (17) with (15) in Part 1; d) estimated variance field of the
sea surface elevations (wave energy). Image contains modified Copernicus data (2016).





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545 Figure 5. Zoom of the Sentinel-2 MSI SSGI derived surface elevation field shown in546 Fig.4c



Figure 6. Ocean surface elevation profiles along the (upper) left transect, and (lower)
right transect shown Figure 4b.





Figure 7. (Upper row) Wavenumber spectra of the SSGI brightness variations of the area
enclosed in the left and right squares indicated in Fig. 4b, respectively. (Lower row)
Directional spectra of surface elevations derived from the brightness spectra using eqs.
(18) and (15) from Part 1.



Figure 8. Phase shift between channels B04 (665 nm) and B08 (842 nm) compared to linear dispersion relation c = c(k) for the left and right frames, indicated in Fig. 4b. Symbols are estimates for different directions fitted by grey line; the black solid line corresponds to the linear model dispersion relation, $c = (g/k)^{1/2}$





Figure 9. Set of selected Sentinel-2 MSI imagettes overlaid on (left) the altimeter geostrophic current, and (right) the SST field. Imagettes are color-coded according to the derived wave energy (surface variance, $\langle H^2 \rangle$) level. Each frame is numbered. Black lines on the right plot indicate transects discussed in the text. Contains modified Copernicus data (2016).



Figure 10. Omnidirectional wave spectra along (a) upper, (b) middle and (c) lower 579 transects indicated in Fig.9-right. Color numbers corresponds to the frame indexed 580 numbers in Fig. 9.



Figure 11. Evolution of the 2D directional swell spectra along the middle transect, 586 indicated in Fig.9-right. From left-to-right and top-to-down corresponds to the evolution 587 sequence from left-to-right, along the transect. Wavenumber vector directions are 588 counted from the East, counterclockwise.



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Figure 12. Phase shift between channels B04 (665 nm) and B08 (842 nm) expressed in terms of c = c(k) compared to the linear dispersion relation: $c = (g/k)^{1/2}$, shown by black solid lines. Estimated deviations of measured c(k) (symbols fitted by grey lines) from linear dispersion relation are treated as projection of the surface current on the wave direction. The plot sequence corresponds to Fig.11.

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Figure 13. Left column: (a) wave energy profile, (b) surface current velocity derived
from the estimated dispersion relation, and (c) SST. Right column: integral spectral
parameters defined by (1), along the (red) upper, (black) middle, and (blue) lower
transects indicated in Fig.9-right. Green line in (b) is altimeter current velocity along
middle transect.





612 **Figure 14**. Wave-rays of an incoming 75 degree (counter clockwise from the East) swell

613 at -45 degree latitude, with wavenumber $k = 2.5 \times 10^{-2}$ rad/m. The altimeter surface

614 current velocity field is taken from <u>http://www.aviso.altimetry.fr/en/data/products/sea-</u>

615 <u>surface-height-products/global/madt-h-uv.html</u>. White box indicates area for Sentinel-2

- 617
- 618

⁶¹⁶ data analysis.



621 Figure 15. Swell-rays refracting on "local" surface current. Swell incidence angles are

- 622 (left) 20 deg., (middle) 40 degree, and (right) 60 degree (counted counterclockwise from
- the East).







629 shown Fig.15-right. Upper-left and lower-right spectra correspond to left and right end of

630 this transect. Incidence angle of swell is 60 degree, and angular width of the spectrum (5)

- 631 is $\Delta \varphi = 15$ degree
- 632



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Figure 17. Profiles of (upper) altimeter current velocity profile, and model wave energy scaled by initial value for (middle) narrow, $\Delta \varphi = 15$ deg., and (lower) wide, $\Delta \varphi = 30$ deg., spectra along the transect shown in Fig.15-right at different swell incidence angles (color lines).





Figure 18. Profiles of the model integral spectral parameters defined by (1) along the

643 transect shown in Fig.15-right for (left column) narrow, $\Delta \varphi = 15$ deg., and (right column)

644 wide, $\Delta \phi = 30$ deg., spectra for different swell incidence angles (color lines)