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4	3D Sonar Measurements in Wakes of Ships of Opportunity
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38	13 June 2011

Abstract

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The aim of this work is to test the potential capabilities of 3D sonar technology for studying small-scale processes in the near surface layer of the ocean, using the centerline wake of ships of opportunity as the object of study. The first tests conducted in Tampa Bay, FL with the 3D sonar have demonstrated the ability of this technology to observe the shape of the centerline wake in great detail starting from centimeter scale, using air-bubbles as a proxy. An advantage of the 3D sonar technology is that it allows quantitative estimates of the ship wake geometry, which presents new opportunities for validation of hydrodynamic models of the ship wake. Three-dimensional sonar is also a potentially useful tool for studies of air-bubble dynamics and turbulence in breaking surface waves.

74 **1. Introduction**

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The problem of ship and ship wake detection from space using synthetic aperture radar (SAR) has attracted attention since the launch of the NASA SEASAT satellite in 1978 (Fu and Holt 1982; Peltzer et al 1992, Reed and Milgram 2002). Monitoring of ships and ship wakes from satellites is a useful tool for fishing and pollution control, navigational safety, and global security.

The problem of ship detection involves a variety of topics including ship and ship wake hydrodynamics, wind-wave conditions, surface films, radar imaging, image processing, and pattern recognition (Hyman 2000; Benilov 2001; Reed and Milgram 2002; Crisp 2004; Zilman 2004; Vachon 2006; Soomere 2007; Soloviev et al. 2008).

85 A typical ship wake consists of a bow Kelvin wave, stern Kelvin wave, transverse 86 Kelvin wave, centerline wake, and a turbulent region adjacent to the ship (Pichel et al., 87 2004). The centerline ship wake usually appears in SAR images as a dark scar. The dark 88 appearance of the centerline wake is associated with the reduction of surface roughness 89 due to the suppression of short (Bragg-scattering) surface waves by surfactants, wave-90 current interactions, and turbulence in the wake. The centerline wake can sometimes be 91 traced for tens of kilometers behind the moving ship (Fig. 1a). In some cases, however, 92 the wake is not prominent in SAR (Fig. 1b).

Recent advances in satellite technology have improved the capabilities of SAR for
identifying sea surface features including ships and ship wakes (Brusch et al. 2010;
Soloviev et al. 2010). In addition to SAR, infrared and optical imaging has been
implemented in ship wake studies both in the field and laboratory (Garrett and Smith)

97 1984; Munk et al. 1987; Brown et al. 1989; Zheng et al. 2001; Gilman et al. 2011;
98 Voropayev et al. 2011).

99 Hydrodynamic models of the centerline wake attuned to remote sensing techniques 100 provide a new insight into the problem of ship and ship wake detection from space 101 (Fujimura et al. 2010; 2011). These models, however, require validation with in-situ 102 measurements. Unfortunately, direct measurement of the velocity field in far wakes with 103 conventional current-measuring technologies is difficult due to the relatively small 104 velocity magnitudes and significant distortions from the orbital velocities of surface 105 waves. One approach to validating these numerical models is through the use of sonar 106 systems to provide an underwater view of the wake.

107 The presence of bubbles within the wake allow for imaging of the wake with sonar, 108 which responds to a certain bubble size depending on the sonar frequency (Weber et al 109 2005). Bubbles within the wake are also believed to be an important factor in the 110 visibility of the ship wake in SAR due to the scavenging of surfactants and transporting 111 them to the sea surface. The surfactants suppress short gravity capillary waves, which 112 makes the wake visible in SAR (Peltzer et al 1992). Soloviev et al. (2010) reported a case 113 study that observed a correlation between the visibility of the centerline ship wake in 114 SAR and in sonar, using bubbles, which provides additional evidence of the role of bubbles in remote sensing of ship wakes. 115

In this paper we present the results of ship wake imaging with 3D sonar using the shape of the air bubble clouds as a proxy for the turbulent wake. In Section 2, we provide a brief description of the 3D sonar technology and its application for measurements in ship wakes. Section 3 is a comparison of our results to other available laboratory and field

data and numerical models on the 3D structure of the centerline ship wake. Section 4 isthe discussion, and Section 5 summarizes the main results of this work.

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123 **2. 3D sonar and measurements in ship wakes**

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For tests in the wakes of ships of opportunity, we have employed a real-time 3D imaging system, Coda Octopus EndoscopeTM-UISTM (Underwater Inspection System). The sonar has a working frequency of 375 kHz with a 128x128 (16,384) array of beams, which provide an angular coverage of $50^{\circ}x50^{\circ}$ and a beam spacing of 0.39°. The maximum range is 150 m with a range resolution of 3 cm, and ping rate of 12 Hz. More technical details about the sonar can be found at

131 http://www.codaoctopus.com/echoscope-uis/.

The 3D aspect allows high resolution visualization to be performed from multiple perspectives (so-called mosaic view). Three dimensional images are formed through combining multiple 2D fragments taken from different angles due to the motion of the object with respect to the sonar. The addition of an attitude and positioning system allows the data to be located accurately in 3D space and referenced to the Earth's coordinate system. In order to determine the 3D shape of the wake, we have used the edge detection mode of the sonar.

The tests have been conducted in Tampa Bay, Florida with the help of Measutronics Corporation (http://Measutronics.com). The sonar was attached to the survey vessel "A Nickel More" using a retractable mount (Fig. 2). During the tests, local ships were tracked using an automatic information system (AIS). This system allowed us to identify the approaching ships and also record information about speed, heading, length, and otherparameters.

Figure 3 demonstrates the sonar data during the passage of a tugboat in the Tampa Bay Port Channel. The survey vessel equipped with the sonar system was moving on an opposite course at a 5 knot speed. A view of the tugboat from the video camera is shown in Figure 3a. The sonar image of the tugboat wake is shown in Figure 3b.

A segment of the tugboat wake visualized with the 3D sonar is shown in more detail in Figure 4. This image displays a strong intermittency of the wake shape, while turbulent features are resolved starting from centimeter scale. Note that surface reflections have been removed from Figure 4, whereas they are still present in Figure 3b.

Figure 5 shows a snapshot taken from the video record of the cargo ship *Alert* above the water surface with synchronous 3D sonar image below the water surface. Data from the AIS for this vessel gives a length of 128 m, a beam of 21 m, a draught of 7.3 m, and a heading of 85° at 11.2 knots during the time of the survey. This cargo ship has a bulbous bow. For this example, we can trace the origin of the bubble curtain around the hull to the bulbous bow breaking wave and bow breaking wave.

Figure 6 shows the ship stern and wakes produced by the hull and propeller in 2D view.
Due to the relatively slow propeller rotation rate, it is possible to see even the propeller
on these sonar images.

162 The side view of the ship wake in mosaic mode is shown in Figure 7. The ship wake 163 has red/orange color and the bottom blue/green color, which is due to different distances 164 from the sonar. As illustrated in Figure 7, the mosaic mode allows the possibility of 165 quantitative estimates of the ship wake geometry.

166	A bird's eye view of the <i>Alert's</i> wake is shown in Figure 8. Note that in edge detection
167	mode, we can only image the outer face of the wake. The image in Figure 8 displays the
168	latter portion of the wake, showing the fragmentation and gradual dissipation of the
169	bubble clouds associated with the wake.
170 171 172	3. Comparison to laboratory, field, and modeling results
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174	In this section we compare the results of the 3D sonar field tests with recent laboratory,
175	field, and modeling results on ship wake dynamics. A review of the previous research for
176	studying ship wakes can be found in Reed and Milgram (2002) and Soloviev et al.
177	(2010).
178	a) Laboratory Results
179	Voropayev et al. (2011) performed a series of laboratory experiments to study the
180	surface signatures of ship centerline wakes. The experiment was conducted in a tank with
181	temperature stratified water. The wake was created with a self propelled ship model. An
182	infrared camera registered the signature of the wake in the temperature field on the water
183	surface. The experiments were conducted with distilled water to minimize surfactant
184	effects. From these experiments the temperature signature of the wake revealed
185	meandering, instability, and fragmentation increasing with distance from the model ship.
186	After re-scaling, these laboratory results are consistent with the wake observations made
187	with the 3D sonar system in our experiments in Tampa Bay.
188	b) Dye Release Experiment

189 Woods Hole Oceanographic Institution (WHOI) conducted an experiment using an 190 airborne Light Detection and Ranging instrument (LIDAR) to map fluorescent dye 191 released in the centerline wake of Florida Atlantic University's research vessel, R/V 192 Stephan (Ledwell and Terray, private communication). In this experiment, conducted in 193 the Straits of Florida, the airplane flew back and forth over the centerline ship wake, 194 measuring the wake structure with the LIDAR instrument. Data collected by LIDAR 195 showed meandering, instability, fragmentation, and disappearing of the ship wake over 196 time. Although the environmental conditions in the Straits of Florida are different from 197 those in Tampa Bay, our results from the 3D sonar experiment in ship wakes are 198 qualitatively consistent with the WHOI dye release experiment.

199 c) 3D Ship Wake Numerical Simulation

200 Fujimura et al. (2011) conducted high-resolution numerical experiments with the 201 computational fluid dynamics (CFD) software ANSYS FLUENT on the dynamics of 202 centerline ship wakes in the presence of near-surface stratification and wind stress. The 203 ship wake model was initialized using a model of a ship hull and propellers. The 204 modeling results reveal characteristic features of the centerline wake (meandering, 205 instability, fragmentation, and dissipation). Our 3D sonar measurements provide 206 qualitative validation for the modeling results. Quantitative validation is beyond the 207 scope of this paper.

208

209 **4. Discussion**

211 The above mentioned laboratory, field, and numerical results are qualitatively 212 consistent with our experiments with 3D sonar in wakes of ships of opportunity. The 3D 213 sonar technology greatly enhances the capabilities in studying ship wakes. The 214 application of 3D sonar has provided spatial structure of the ship wake in great detail 215 starting from a centimeter scale using air bubbles as a proxy. It should be noted that the 216 air bubbles in the wake may not exactly characterize the velocity field in the wake due to 217 their rising to the surface or dissolution. However, the bubbles bring surface active 218 materials to the surface, which suppress short gravity capillary waves thus providing a 219 link between the wake's visibility in sonar and in space born SAR.

Three dimensional images obtained during our measurements of a large cargo vessel's wake (Figs.5-8) have elucidated the process of air entrainment by the ship's hull. The relatively slow revolution rate of the propeller of this ship has also allowed us to visualize the propeller jet in great detail. The air entrainment for this type of hull and propulsion system, however, occurs predominately at the bow of the ship and along the hull sides.

225 Our experiments with 3D sonar have also revealed intermittency in the ship wake 226 similar to that previously observed in the numerical model, laboratory experiments, and 227 field dye release experiment. With the 3D sonar technology, we have been able to explore 228 the subsurface features of the wake in the field in three dimensions and in great detail. 229 The mosaic mode allows the possibility of quantitative estimates of the ship wake 230 geometry and visualization of the wake's generation, fragmentation, and disappearance. 231 The 3D sonar technology provides a new insight into ship wake dynamics. These 232 observations are useful for validation of hydrodynamic models, with application to 233 remote sensing of ship wakes. The 3D sonar technology using edge detection of bubble clouds may also provide important insight into the mechanism of surface wave breakingand upper ocean turbulence generation.

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237 **5. Conclusions**

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239 In this article, we have demonstrated the application of 3D sonar technology to study 240 fine scale processes in wakes of ships. Sonar responds to air bubbles in the wake; the 241 bubbles in the wake also contribute to the wake's visibility in SAR by bringing 242 surfactants to the surface and suppressing short (Bragg scattering) gravity capillary 243 waves. The first application of 3D sonar has made the spatial structure of the ship wake 244 observable in great detail starting from a centimeter scale using air bubbles as a proxy. 245 The tests in Tampa Bay, FL in wakes of ships of opportunity suggest that 3D sonar 246 technology will be useful for validation of hydrodynamic models with application to 247 remote sensing of ship wakes. The 3D sonar technology may also be helpful in 248 quantifying turbulence in air-bubble clouds, such as breaking surface waves.

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250 Acknowledgments

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We thank Jenny Fenton for arranging access to SAR images of ship wakes and Silvia Matt (NSU OC) for discussion of the project results. We acknowledge the Alaska Satellite Facility for providing access to the ALOS PALSAR satellite.

This work was supported from the NSU OC Project "Hydrodynamics and RemoteSensing of Far Wakes of Ships".

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325 Figures

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- 327 almost invisible wakes behind moving ships.
- 328 Fig. 2. The CodaOctopus EndoscopeTM-UISTM (Underwater Inspection System): (a)
- 329 survey vessel "A Nickel More", (b) the real time kinematic GPS system and video
- 330 cameras, (c) 3D sonar transducer.
- Fig. 3. (a) Tugboat and (b) its wake in 3D sonar. Distance to the object is color coded.
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- 338 blue color, which is due to different distances from the sonar.





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358 Fig. 5. Bubble cloud around *Alert's* hull.



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