## Author's Accepted Manuscript

Wind induced energy-momentum distribution along the Ekman-Stokes layer. Application to the Western Mediterranean Sea climate

J.M. Sayol, A. Orfila, L.-Y. Oey



www.elsevier.com

 PII:
 S0967-0637(15)30092-3

 DOI:
 http://dx.doi.org/10.1016/j.dsr.2016.01.004

 Reference:
 DSRI2575

To appear in: Deep-Sea Research Part I

Received date:20 August 2015Revised date:11 December 2015Accepted date:27 January 2016

Cite this article as: J.M. Sayol, A. Orfila and L.-Y. Oey, Wind induced energymomentum distribution along the Ekman-Stokes layer. Application to the Western Mediterranean Sea climate, *Deep-Sea Research Part 1* http://dx.doi.org/10.1016/j.dsr.2016.01.004

This is a PDF file of an unedited manuscript that has been accepted fo publication. As a service to our customers we are providing this early version o the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting galley proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain

# Wind induced energy-momentum distribution along the Ekman-Stokes layer. Application to the Western Mediterranean Sea climate.

J.M. Sayol<sup>a,\*</sup>, A. Orfila<sup>a</sup>, L.-Y. Oey<sup>b,c</sup>

<sup>a</sup>IMEDEA(CSIC-UIB). 07190 Esporles, Balearic Islands, Spain <sup>b</sup>National Central University. Jhongli City, Taoyuan County, Taiwan <sup>c</sup>Princeton University. Princeton, New Jersey, USA

#### 8 Abstract

6

Wind-wave interaction in the Western Mediterranean Sea is analyzed using 9 16 years of model data. The mass transport and energy distribution due 10 to wind and waves are integrated through the Ekman-Stokes layer and then 11 spatially and seasonally analyzed. The Stokes drift is estimated from an em-12 pirical parameterization accounting for local surface wind and the significant 13 wave height. The impact of the Stokes drift depends on wind variability at 14 the ocean surface and also on the geographical configuration of the basin. 15 The Western Mediterranean Sea has on average a wind energy input two 16 times higher in winter than in summer, and the Stokes-Ekman mass trans-17 port interaction term contributes approximately 10% to 15% of the total 18 wind induced transport, but at some locations the contribution is as much 19 as 40% or more. 20

- <sup>21</sup> Keywords: Wind-wave interaction, Stokes drift, Ekman-Stokes layer,
- <sup>22</sup> Mass transport, Wind energy input, Western Mediterranean Sea

Preprint submitted to Elsevier

<sup>\*</sup>Corresponding author Email address: jsayol@imedea.uib-csic.es (J.M. Sayol)

#### 23 1. Introduction

Surface gravity waves have an associated current, the Stokes drift veloc-24 ity resulting from the non-linearities of the wave orbital velocities (Stokes, 25 1847). The most accurate way to consider how this wave-induced cur-26 rent interacts to the mean flow is still a subject of ongoing study (see e.q. 27 McWilliams et al. (2004); Weber et al. (2006); Mellor (2008)). From the 28 Eulerian standpoint, the Stokes-drift-induced-current is considered an addi-29 tive term that interacts to the mean ageostrophic current, appearing in the 30 momentum equations as an external force, in the form of a vortex force or as 31 the Coriolis-Stokes force (McWilliams and Restrepo (1999); Smith (2006); 32 Uchiyama et al. (2010)). 33

Few previous studies estimated the effect of the Stokes drift on energy 34 and mass transport within the Ekman-Stokes layer in real scenarios. Liu 35 et al. (2007) studied at global scale the importance of the Stokes drift inter-36 actions in relation to the wind input energy along the Ekman-Stokes layer. 37 They quantified the energy input to the subinertial motions, by deducing 38 an expression for the total wind input in a stationary wave field distinguish-39 ing two terms: the direct wind and the wave induced components showing 40 that the wave energy component contributes around 12% in the total (direct 41 wind and wave) energy input. Wu and Liu (2008) studied the energy distri-42 bution in the Antarctic Circumpolar Current finding that the wave energy 43 component accounted on average for a 22% of the total energy. Kantha 44 et al. (2009), estimated the role of the Stokes drift as an energy dissipator 45 at a global scale; they pointed out that although the Stokes drift penetrates 46 downwards only a few meters it enhances the turbulence affecting the ocean 47 mixed layer and contributing to the Langmuir circulation. Teixeira (2012) 48

developed a model to obtain the turbulent kinetic energy dissipation injected 49 into the water by breaking waves and subsequently amplified due to its dis-50 tortion by the mean shear of the wind-induced current and straining by the 51 Stokes drift of surface waves in the same mechanism as the one responsible 52 for the Langmuir circulation. Tamura et al. (2012) estimated the impact of 53 the Stokes drift on the wind induced transport along the Ekman-Stokes layer 54 in the North Pacific ocean, showing that the value of the Stokes-drift com-55 puted from the wave significant height and peak period (bulk parameters, 56 *i.e.* statistical estimations) underestimates the real value. 57

Conversely, the Stokes depth is overestimated by using the bulk expres-58 sion, which is inversely proportional to the wave number magnitude. The 59 main drawback in the Stokes drift bulk expression is the absence of the local 60 wind in the formulation neglecting the wave-current interaction. On the 61 other hand, the bulk formulation assumes that waves are monochromatic 62 and therefore do not take into account the rapid decay of a real broad wave 63 spectra and overestimates the Stokes e-folding depth (Breivik et al., 2014). 64 Recent global studies have computed directly the Stokes drift at global scale 65 by using the full wave spectra (Harcourt and D'Asaro, 2008) or by using 66 data measured from scatterometers (Liu et al., 2014). Both works focused 67 on the mass transport distribution at the ocean surface analyzing the Stokes 68 drift contribution from wind-sea and swell. Some empirical parameteriza-69 tions include the local surface wind in the Stokes drift (e.q. Li and Garrett 70 (1993), Ardhuin et al. (2009)). 71

These works pointed out the importance of the effects of waves on the mass transport at the sea surface. For instance, the role of the Stokes drift in the advection of debris in certain regions has been already noticed (Kubota, 1994) as well as the dispersion of buoyant material by Langmuir

circulation (Nimmo Smith and Thorpe, 1999; Thorpe, 2009). However, to 76 the best of our knowledge, there are no previous studies quantifying the 77 influence of the Stokes drift in the energy and mass distribution in the 78 Western Mediterranean Sea. In fact, operational models used for Search and 79 Rescue and oil spill operations usually do not include the interaction between 80 wind induced currents and the wave term which is known to contribute 81 for the surface dynamics at the sub mesoscale. In this work we approach 82 the above wind-wave interaction trying to fill the gap in the knowledge of 83 the processes in the Western Mediterranean Sea by analyzing the vertically 84 integrated mass transport and energy distribution in the upper ocean. 85

This manuscript is divided as follows: Section 2 presents the dataset (ocean wave model outputs and buoys) and the area of study; in Section 3 the wind induced energy and mass flux formulations are presented; in Section 4 main results are presented and discussed. In section 5 main conclusions and limitations of the methodology are presented together with future work.

#### 91 2. Dataset and Study Area

#### 92 2.1. Wind and Wave model

The wave model implemented is the third generation spectral wave model 93 WAM (Komen et al., 1994). The model describes the evolution of two-94 dimensional ocean wave spectra without any assumption on the spectral 95 shape by integrating the 2D transport of action density equation. Wind 96 forcing is a 3-hour wind field from ARPERA, covering 16-year period from 97 January 1<sup>st</sup> 1993 to December 31<sup>st</sup> 2008. ARPERA is a multi-decadal wind 98 hindcast from a dynamical downscaling of a coarser climatic model (ERA40). 99 Fields are provided every 3 hours with a spatial resolution of  $\sim 0.16^{\circ}$ . Here, 100

we use averaged daily fields centred at 12:00 UTC, being the period cov-101 ered long enough to capture the seasonal variability. Each model output 102 includes the statistical information of the integrated spectra together with 103 the surface wind velocity information at each grid point. Data analyzed con-104 sisted of 5840 daily fields of wind velocity, wind direction, and wave-related 105 statistically averaged magnitudes (also called bulk parameters) such as the 106 significant wave height, wave direction and mean and peak wave period. 107 In addition, model outputs also include some bulk parameters separated 108 by both wind-sea and swell wave components such as the wave significant 109 height, the wave direction and the mean wave period that will be used in 110 Section 4.5. Here we notice that since  $T_p$  is not provided in the model out-111 puts for wind-sea and swell components, we will estimate it by applying the 112 following relationship between the mean  $(T_{\rm m})$  and the peak period  $(T_{\rm p})$  for 113 the JONSWAP spectrum, 114

$$T_{\rm p} \approx \frac{T_{\rm m}}{1 - 0.532(\gamma + 2.5)^{-0.569}},$$

where  $\gamma$  is a factor that determines the concentration of the spectrum on the peak and varies between [1,7], with a mean value of  $\gamma \approx 3$ .

117 2.2. Buoys

Several deep water buoys from the Spanish Harbour Authority -Puertos del Estado- are available within the model domain. Temporal coverage and percentage of gaps differs from buoy to buoy, ranging from a couple of years to about a decade. The location of each buoy is marked with a black circle in Fig. 1. All buoys contain information about several parameters although for this study we only will use the wave significant height ( $H_s$ ), mean wave period ( $T_m$ ), wave peak period ( $T_p$ ) and wind speed ( $W_{10}$ ). Buoys are

distributed across areas with very different ocean and atmospheric dynamics.
For instance, the buoy at "Cabo Gata" is placed on the Alboran Sea, very
close to the quasi-permanent eastern anticyclonic gyre, a location where
the wind direction is highly variable. On the contrary, the buoy at "Cabo
Begur" is located in the middle of the Northern Current, where wind usually
blows from North or North-West (Tramuntana or Mistral from the local wind
names) specially from October to March.

<sup>132</sup> Wave model data were calibrated in a previous work through a Root <sup>133</sup> Mean Square Error (RMSE) minimization process using several buoys in <sup>134</sup> the Western Mediterranean Sea (Martínez-Asensio et al., 2013). The rela-<sup>135</sup> tionship between calibrated and original H<sub>s</sub> is given by  $H_s^{cal} = \alpha H_s^{\beta}$ , where <sup>136</sup>  $\alpha$  and  $\beta$  depend on the model grid point. In short, the calibration corrected <sup>137</sup> a wave model underestimation of H<sub>s</sub>.

#### 138 2.3. Area of interest

WAM simulations cover mainly the Western Mediterranean Sea from  $5^{\circ}$ 139 W (Strait of Gibraltar) to 15°E (Sicily Channel) (Fig. 1). Surface winds 140 are characterized by a strong seasonality with intense northerly and north-141 westerly events in the Gulf of Lions during autumn and winter (well-known 142 as Tramuntana and Mistral winds in the Mediterranean region). These 143 winds result in a large fetch area in the Gulf of Lions. Waves propagate 144 from there toward the South-East, reaching the Italian islands of Sardinia 145 and Sicily and the Northern African coast. A secondary area of generation 146 with a small fetch is at the Alboran Sea where strong winds, mainly during 147 summer and autumn blow with large spatial variability. The nearly enclosed 148 topography inhibits waves from propagating far. When westerly wind blows, 149 remote long waves from the North Atlantic region enter through the Strait 150

151 of Gibraltar.

#### 152 3. Methods

#### 153 3.1. Ekman-Stokes layer solution: Vertically integrated transport

To analyze the effects of surface waves into the Ekman layer we average the momentum equations over wave periods considering a stationary flow in a slowly varying wave field (McWilliams et al., 1997; Lewis and Belcher, 2004; Polton et al., 2005). Under these conditions, the ageostrophic motions are governed by,

$$\rho_w \mathbf{f} \, \hat{\mathbf{k}} \times (\mathbf{u} + \mathbf{u}_s) = \frac{\partial}{\partial z} \left( \kappa \frac{\partial \mathbf{u}}{\partial z} \right), \tag{1}$$

being  $\rho_w$  the seawater density, f the Coriolis parameter,  $\hat{k}$  the unit vector 159 in the z-direction,  $\kappa$  a constant vertical viscosity and where the Stokes-160 Coriolis force,  $\hat{\mathbf{k}} \times \mathbf{u}_{s}$  has been added to the Ekman model of the mean 161 velocity **u**. The classical assumption of constant  $\kappa$  has strong implications 162 on the Ekman transport, restricting the Ekman spiral shape. In particular 163 the surface Ekman current is forced to  $45^{\circ}$  to the right of the surface wind 164 and the Ekman vertically integrated transport is directed  $90^{\circ}$  to the right 165 of the surface wind (for the Northern Hemisphere case, to the left in the 166 Southern Hemisphere) (Ekman, 1905). This approach is only acceptable for 167 deep ocean (*i.e.* ocean depth >> Ekman depth) because  $\kappa$  cancels when 168 integrating along the whole Ekman spiral. For the sake of clarity, the Ekman 169 depth is usually defined as the depth at which the Ekman current have 170 turned  $180^{\circ}$  relative to the direction at the surface. Adopting the complex 171 form for the velocity vectors and assuming monochromatic waves, *i.e.*, 172

$$\mathbf{u}_s = u_s^x + iu_s^y = \mathbf{U}_s \,\mathrm{e}^{i\theta_w} \,\mathrm{e}^{z/\delta_{\mathrm{St}}} \equiv \mathcal{U}_s,$$
$$\mathbf{u} = u^x + iu^y \equiv \mathcal{U},$$

173 Eq. (1) can be rewritten as,

$$\frac{\partial^2 \mathcal{U}}{\partial z^2} - \mathbf{a} \,\mathcal{U} = \mathbf{a} \,\mathcal{U}_s,\tag{2}$$

where  $a = i (f/\kappa)$  and  $\delta_{St}$  being the Stokes e-folding depth (the depth at which Stokes drift current has decayed e) and  $\theta_w$  the angle of waves measured counterclockwise from the west-east direction. The following boundary conditions apply for the mean flow,

$$\frac{\partial \mathcal{U}}{\partial z} = \frac{|\boldsymbol{\tau}|}{\kappa \rho_w} e^{i\theta_u^{10}} \qquad \text{at} \qquad z = 0 \tag{3}$$
$$\mathcal{U} = 0 \qquad \text{at} \qquad z/\delta_{\text{St}} \text{ and } z/\delta_{\text{Ek}} = -\infty \tag{4}$$

where  $\theta_u^{10}$  is the angle of the wind measured counterclockwise from the westeast direction and  $\delta_{\text{Ek}}$  is the Ekman depth. The solution for the two-point boundary value problem of Eq. (2) subjected to (3)-(4) is:

$$\mathcal{U}(z) = e^{\frac{(1+i)z}{\delta_{\rm Ek}}} \left\{ \frac{(1-i)|\boldsymbol{\tau}| e^{i\theta_u^{10}}}{\rho_w f \,\delta_{\rm Ek}} + U_{\rm s} e^{i\theta_w} c \left(\frac{(2-c^2) - i(2+c^2)}{c^4 + 4}\right) \right\} + 2U_{\rm s} e^{i\theta_w} e^{z/\delta_{\rm St}} \left(\frac{ic^2 - 2}{4 + c^4}\right)$$
(5)

where  $c = \delta_{\text{Ek}}/\delta_{\text{St}}$  is the non-dimensional Ekman - Stokes number. The first term in Equation (5) is the Ekman current which can be rewritten in trigonometric form as (see *e.g.* Pond and Pickard (1983)),

$$U_{\rm Ek} = V_0 \cos \left( \theta_u^{10} - \pi \left[ 1/4 + |z|/\delta_{\rm Ek} \right] \right) e^{z/\delta_{\rm Ek}}$$
(6)  
$$V_{\rm Ek} = V_0 \sin \left( \theta_u^{10} - \pi \left[ 1/4 + |z|/\delta_{\rm Ek} \right] \right) e^{z/\delta_{\rm Ek}}$$

where  $(U_{\rm Ek}, V_{\rm Ek})$  are defined for  $z \leq 0$  and for the Northern Hemisphere. In Eq. (6),  $V_0 = \frac{\sqrt{2}|\boldsymbol{\tau}|}{\delta_{\rm Ek}\rho_w|\mathbf{f}|}$  is the Ekman current amplitude and  $|\boldsymbol{\tau}|$  is the modulus of the wind stress. For typical values in the Mediterranean Sea as <sup>187</sup>  $|\tau| = 0.1 \text{ N/m}^2, \ \delta_{\text{Ek}} = 30 \text{ m}, \ \text{f} = 10^{-4} \text{ s}^{-1}, \ \rho_w = 1029 \text{ kg/m}^3, \text{ the surface}$ <sup>188</sup> Ekman currents amplitude take a value of  $V_0 \approx 4.5 \text{ cm/s}.$ 

The total transport in the Ekman-Stokes layer can be expressed in complex notation  $\mathcal{M}$  as,

$$\mathcal{M} = \int_{-\infty}^{0} \mathcal{U}(z) \, dz = \mathbf{M}^{\mathrm{Ek}} + \mathbf{M}^{\mathrm{St-Ek}} + \mathbf{M}^{\mathrm{St}}, \tag{7}$$

where,

$$\begin{split} \mathbf{M}^{\rm Ek} &= \frac{-i|\boldsymbol{\tau}|}{f\rho_w} e^{i\theta_u^{10}} \\ \mathbf{M}^{\rm St-Ek} &= \frac{(1-i)}{2} c^2 (\mathbf{U}_{\rm s} \delta_{\rm St}) e^{i\theta_w} \frac{\left[(2-c^2)-i(2+c^2)\right]}{c^4+4} \\ \mathbf{M}^{\rm St} &= 2\mathbf{U}_{\rm s} \delta_{\rm St} e^{i\theta_w} \frac{(ic^2-2)}{4+c^4} \end{split}$$

<sup>191</sup> The zonal and meridional transport are  $M_x = \Re{\mathfrak{c}}{\{M\}}$  and  $M_y =$ <sup>192</sup>  $\Im{\mathfrak{m}}{\{M\}}$ . The first term,  ${\mathbf{M}}^{\text{Ek}}$  is the vertically integrated wind induced <sup>193</sup> Ekman transport, with a net transport of 90° to the right of the surface <sup>194</sup> wind. The second term,  ${\mathbf{M}}^{\text{St}-\text{Ek}}$  is the integrated transport resulting from <sup>195</sup> the interaction between the Stokes-drift and the wind-induced current, while <sup>196</sup> the third term,  ${\mathbf{M}}^{\text{St}}$  is the Stokes transport due to the non-linear advection <sup>197</sup> induced by waves.

To show the contribution of the terms that do not appear in the classical 198 Ekman solution, Fig. 2 shows the theoretical distribution of  $|\mathbf{M}^{\mathrm{St}}|^2 = \frac{4(\mathrm{U_s}\delta_{\mathrm{St}})^2}{4+c^4}$ 199 (red line) and  $|\mathbf{M}^{\text{St-Ek}}|^2 = \frac{c^4 (\text{U}_{\text{s}} \delta_{\text{St}})^2}{(4+c^4)}$  (blue line) as a function of c. Also 200 the addition of both terms is shown for completeness (black line). As seen in 201 Fig. 2 as c increases, the Stokes component (red line) reduces whereas the 202 Stokes-wind term increases (blue line). In the absence of wind, the Stokes-203 Ekman term is zero so as  $\delta_{\rm Ek} = c = 0$  cancels, whereas the Stokes term 204 will be the only contribution if waves are present,  $\lim_{c\to 0} |\mathbf{M}^{\mathrm{St}}|^2 = (\mathrm{U}_{\mathrm{s}}\delta_{\mathrm{St}})^2$ . 205

As wind increases, the Ekman layer develops and c arises. At  $c = \sqrt{2}$  the 206 sum of the Stokes and Stokes-Ekman terms is maximum (sea black line). 207 At this point the Ekman spiral is affected by the surface waves while the 208 wave induced mass flux remains high. After this point the Stokes mass 209 transport decays up to  $c \sim 4$ , where almost the asymptotic limit is reached: 210  $\lim_{\alpha \to \infty} |\mathbf{M}^{\mathrm{St-Ek}}|^2 = (\mathrm{U}_{\mathrm{s}}\delta_{\mathrm{St}})^2.$  Contrarily, if c >> the Stokes drift term be-211 comes negligible respect to the Stokes-wind term and almost all mass trans-212 port results from the interaction between Stokes drift and the Ekman cur-213 rent. Considering that wind is ubiquitous in the real ocean -except in some 214 limited areas such as the equatorial regions-, the Ekman depth is always 215 there being larger than the Stokes depth. 216

## 217 3.2. Ekman-Stokes layer solution: Wind induced integrated energy

The energy distribution in the Ekman-Stokes layer can be readily obtained following Liu et al. (2007) and Wu and Liu (2008) by multiplying both sides of Eq. (1) by **u** and averaging over a wave period. Polton (2009) introduced a correction in the formulation by including an extra term accounting for the correlations between the Stokes-Coriolis and the mean current shear. Additionally, the expression of the vertically integrated energy was rewritten in terms of the surface Stokes drift and the wind stress for the case of a steady wave field. Hereinafter we will use Polton's approach to compute the energy rate balance assuming a steady field. The total wave-averaged, depth-integrated energy is decomposed into wind-induced ( $E_w$ , mainly Ekman currents) and wave-induced ( $E_s$ , mainly Stokes drift) components so as

 $\mathbf{E}=\mathbf{E}_{w}+\mathbf{E}_{s}$  and being,

$$\mathbf{E}_{\mathbf{w}} = \frac{|\boldsymbol{\tau}_0|^2}{\rho \delta_{\mathrm{Ek}} \mathbf{f}} + \boldsymbol{\tau}_0 \times \mathbf{U}_{s_0} \, \hat{\mathbf{k}} \, F_2(c) - \boldsymbol{\tau}_0 \cdot \mathbf{U}_{s_0} \, F_1(c) \tag{8}$$

$$\mathbf{E}_{\mathbf{s}} = \frac{1}{c} \left[ \rho_w \mathbf{f} \delta_{\mathbf{E}\mathbf{k}} |\mathbf{U}_{s_0}|^2 F_2(c) + \boldsymbol{\tau}_0 \cdot \mathbf{U}_{s_0} + \boldsymbol{\tau}_0 \times \mathbf{U}_{s_0} \,\hat{\mathbf{k}} \right] \tag{9}$$

where  $F_1(c) = \frac{c+2}{(c+1)^2+1}$  and  $F_2(c) = \frac{c}{(c+1)^2+1}$ . The surface wind stress is empirically obtained as a function of the wind velocity at 10 m height, W<sub>10</sub> as  $|\tau_0| = \rho_a C_d W_{10}^2$  whereas the drag coefficient is  $C_d = (0.75 + 0.067 W_{10}) \cdot 10^{-3}$  (Li and Garrett, 1993). The air density is constant and taken in this work as  $\rho_a = 1.2 \text{ kg/m}^3$ , whereas seawater density is  $\rho_w = 1029 \text{ kg/m}^3$  (the average value for the Mediterranean Sea).

In this simplified model the wind energy input per unit of time and 224 area (units in  $W \cdot m^{-2}$ ) (the so-called energy rate in Polton (2009)), can 225 be decomposed in two contributions  $(E_w \text{ and } E_s)$  the first accounting for 226 the wind-induced energy used to generate currents (first term in Eq. 8) 227 and the energy exchange with waves (second and third terms in Eq. 8) 228 and the second related with waves (indirect wind energy), where the first 229 term in Eq. 9 represents the wave-induced Stokes drift and the other two 230 terms result from the interaction between the Stokes drift and the surface 231 wind. All possible combinations derived from the relative angle between 232 wind and waves are shown in Fig. 3 where parameters and variables in Eq. 233 8 and Eq. 9 have been taken as constant (see caption of Fig. 3 for more 234 details). From this figure we can notice that E and  $E_w$  are always positive 235 whereas that  $E_s$  can be negative. Note that the second and third terms in 236 both  $E_w$  and  $E_s$  redistribute the energy from wind to waves or viceversa. 237 From Fig. 3 we see that E is maximum when the angle between wind and 238 waves is  $\theta = 90^{\circ}$  (clockwise notation) whereas  $E_w$  is maximum for  $\theta = 135^{\circ}$ 239 and the maximum for  $E_s$  corresponds to  $\theta = 45^{\circ}$ . This is explained as 240

follows;  $E_s$  is more favored when waves move parallel to the surface Ekman 241 current ( $\theta = 45^{\circ}$ ) since it can be reinforced receiving energy from wind. The 242 opposite occurs when  $(\theta = 225^{\circ})$  since wind (Ekman current) block wave 243 propagation. If  $\theta = 90^{\circ}$  the total energy increases because the vertically 244 integrated Ekman transport is parallel to waves and momentum can be 245 transferred from waves downwards the Ekman layer. On the other hand, 246 waves can extract less energy from wind. Finally, if  $\theta = 135^{\circ}$  waves cannot 247 take energy from wind but they reinforce the Ekman transport being all 248 energy available for E<sub>w</sub>. The role of the Stokes-Coriolis term is therefore to 249 redistribute the energy through the Ekman-Stokes layer but not contributing 250 to a net energy production. The above energy balance only accounts for the 251 energy budget below the troughs as already pointed out by Polton (2009). 252

#### 253 3.3. Stokes drift estimation

For irrotational waves propagating in deep waters (*i.e.*  $kh > \pi$  where  $k = |\mathbf{k}| \equiv |k(\cos\theta, \sin\theta)|$  is the wave number and h the local water depth), the bulk Stokes drift at the surface is (Longuet-Higgins, 1953),

$$\mathbf{U}_{\mathrm{s}}^{\mathrm{b}} = g^{-1}\omega_p^3 a^2 \tag{10}$$

where g is acceleration of gravity,  $a \equiv H_s/2\sqrt{2}$  the wave amplitude and  $\omega_p = 258 \quad 2\pi/T_p$  the frequency at the peak period. Eq. (10) is valid for harmonic waves.

Ardhuin et al. (2009) obtained two parameterizations to compute the Stokes drift velocity based on model and buoy data that, contrary to the bulk expression, include the local surface wind effect ( $W_{10}$ ). These expressions

are for buoy and model data respectively:

$$U_{\rm s_b}^{\rm Ad} = 5.9 \cdot 10^{-4} \left( 1.25 - 0.25 \left( \frac{0.5}{f_c} \right)^{1.3} \right) W_{10} W_{10}^m + 0.027 \left( {\rm H_s} - 0.4 \right),$$
  
$$U_{\rm s_m}^{\rm Ad} = 5 \cdot 10^{-4} \left( 1.25 - 0.25 \left( \frac{0.5}{f_c} \right)^{1.3} \right) W_{10} W_{10}^m + 0.025 \left( {\rm H_s} - 0.4 \right), \quad (11)$$

where  $f_c$  refers to the cut-off frequency (~ 0.5 Hz from buoys), and W<sup>m</sup><sub>10</sub> imposes an upper threshold for wind data that can be expressed as follows:  $\int W_{10}$  if  $W_{10} < 14.5 \ [m \ s^{-1}]$ ,

#### 264 3.4. Ekman-Stokes layer depths

#### 265 Stokes depth

The Stokes e-folding depth layer expression for a developed, stationary, and deep water monochromatic wave can be obtained from the Stokes drift exponential decay,

$$\mathbf{U}_{\mathbf{s}} = \mathbf{U}_{\mathbf{s}}(0)\mathbf{e}^{2kz}.$$

where the subscript b denoting "bulk" expression has been omitted for clarity. Setting  $\delta_{St}$  to be where U<sub>s</sub> decays to U<sub>s</sub>(0)/e, we have  $\delta_{St} = 1/2 k$ . For deep water wave, *i.e.*  $\omega^2 = g k$ , the Stokes depth expressed with bulk parameters is,  $\delta_{St}^{Bulk} = g/(2 \omega^2)$ . However, as shown by Tamura et al. (2012) (see their Fig. 1) this expression overestimates the Stokes depth. In fact, Breivik et al. (2014) stated that the bulk expression: "... it is clear that the shear under a broad spectrum is much stronger than that of a monochromatic wave of intermediate wavenumber due to the presence of short waves whose associated Stokes drift quickly vanishes with depth. At the same time, the deep

Stokes drift profile will be stronger than that of a monochromatic wave since the low-wavenumber components penetrate much deeper". In this context these authors proposed a modified vertical profile for the Stokes drift that approaches the exponential shape near the surface and goes as an asymptotic solution in the deep, as,

$$U_{s}^{\ c} = U_{s}(0) \frac{e^{2k_{e}z}}{1 - C k_{e}z},$$

being  $k_e \sim k/3$  and  $C \sim 8$ . For  $U_s^c = U_s(0)/e$  the e-folding depth is the root of the non-linear equation,

$$\delta_{\rm St} - \frac{\log\left(1 - 8k_e \delta_{\rm St}\right) - 1}{2k_e} = 0,$$
(13)

<sup>268</sup> that can be solved numerically.

#### 269 Ekman Depth

The Ekman depth can be expressed empirically as (see Csanady (1982) and Wu and Liu (2008)),  $\delta_{\text{Ek}} = \epsilon u_w^*/f$ , where  $u_w^*$  is the friction velocity  $u_w^* = \sqrt{|\tau_0|/\rho_w}$ , and  $\epsilon$  is a non-dimensional constant  $\approx 0.38$ . Let  $u_a^* = \sqrt{|\tau_0|/\rho_a} = \sqrt{C_d} W_{10}$ , we obtain,

$$\delta_{\rm Ek} \simeq 0.38 \frac{W_{10}}{f} \sqrt{\frac{C_d \rho_a}{\rho_w}},\tag{14}$$

<sup>274</sup> which will be used in this work.

#### 275 3.5. Stokes drift decomposition: wind-sea and swell

As a first approach the Stokes drift can be linearly decomposed as (see *e.g.* McWilliams et al. (2013)),

$$\mathbf{U}_{\mathrm{St}} = \mathbf{U}_{\mathrm{St}}^{\mathrm{sea}} + \mathbf{U}_{\mathrm{St}}^{\mathrm{swell}},\tag{15}$$

where it has been assumed that wind-sea and swell spectra are separable,
with a marked different period resulting in a much narrower spectra for swell
waves.

Previous works have estimated the Stokes drift separately for wind-sea 281 and swell wave components by separating the spectrum through the defini-282 tion of a threshold frequency (for a more complete explanation of the method 283 read Bidlot (2001)). However, due to the unavailability of the full spectra 284 we are forced to estimate wind-sea and swell Stokes drift components di-285 rectly from the wave model bulk parameters presented in Section 2.1. Thus, 286 in this work the Stokes drift wind-sea component,  $U_{St}^{sea}$  is computed using 287 the Ardhuin parametrization (Eq. (11), because of the wind-sea dependence 288 on local wind) whereas the swell component,  $U_{\mathrm{St}}^{\mathrm{swell}}$  is computed using the 289 bulk approach (Eq. (10), swell waves are by definition independent of local 290 wind). This division between wind-sea and swell when using the wave model 291 output parameters is not very accurate as will be shown later. However, it 292 is useful to better characterize spatially and seasonally if a region is more 293 influenced by local wind waves or by remote swell waves. 294

If the Stokes drift is linearly decomposed as presented in Eq. (15), the solution of the Stokes-Ekman layer (Eq. 7) reads,

$$\mathbf{M} = \int_{-\infty}^{0} \mathcal{U}(z) \, dz = \mathbf{M}_{\mathrm{Ek}} + \mathbf{M}_{\mathrm{St-Ek}}^{\mathrm{sea}} + \mathbf{M}_{\mathrm{St-Ek}}^{\mathrm{swell}} + \mathbf{M}_{\mathrm{St}}^{\mathrm{sea}} + \mathbf{M}_{\mathrm{St}}^{\mathrm{swell}}, \quad (16)$$

<sup>297</sup> where the different terms given by,

$$\begin{split} \mathbf{M}_{\mathrm{Ek}} &= \frac{-i|\boldsymbol{\tau}|}{\mathrm{f}\rho_w} \mathrm{e}^{i\theta_u^{10}} \\ \mathbf{M}_{\mathrm{St-Ek}}^{\mathrm{sea}} &= \mathrm{U}^{\mathrm{sea}} \delta_{\mathrm{Ek}} \mathrm{e}^{i\theta^{\mathrm{sea}}} \frac{(1-i)}{2} c_{\mathrm{sea}} \frac{\left(2-c_{\mathrm{sea}}^2\right)-i\left(2+c_{\mathrm{sea}}^2\right)}{4+c_{\mathrm{sea}}^4} \\ \mathbf{M}_{\mathrm{St-Ek}}^{\mathrm{swell}} &= \mathrm{U}^{\mathrm{swell}} \delta_{\mathrm{Ek}} \mathrm{e}^{i\theta^{\mathrm{swell}}} \frac{(1-i)}{2} c_{\mathrm{swell}} \frac{\left(2-c_{\mathrm{swell}}^2\right)-i\left(2+c_{\mathrm{swell}}^2\right)}{4+c_{\mathrm{swell}}^4} \\ \mathbf{M}_{\mathrm{St}}^{\mathrm{sea}} &= 2\mathrm{U}^{\mathrm{sea}} \mathrm{e}^{i\theta^{\mathrm{sea}}} \delta_{\mathrm{St}}^{\mathrm{sea}} \frac{(ic_{\mathrm{sea}}^2-2)}{4+c_{\mathrm{sea}}^4} \\ \mathbf{M}_{\mathrm{St}}^{\mathrm{swell}} &= 2\mathrm{U}^{\mathrm{swell}} \mathrm{e}^{i\theta^{\mathrm{swell}}} \delta_{\mathrm{St}}^{\mathrm{swell}} \frac{(ic_{\mathrm{swell}}^2-2)}{4+c_{\mathrm{swell}}^4}, \end{split}$$

where  $c_{\text{sea}} = \delta_{\text{Ek}}/\delta_{\text{St}}^{\text{sea}}$  and  $c_{\text{swell}} = \delta_{\text{Ek}}/\delta_{\text{St}}^{\text{swell}}$ , where  $c_{\text{sea}}$  and  $c_{\text{swell}}$  refer to the Ekman-Stokes numbers and  $\delta_{\text{St}}^{\text{sea}}$  and  $\delta_{\text{St}}^{\text{swell}}$  are the Stokes e-folding depths for wind-sea and swell terms. Both depths have been estimated following Eq. 13 by using the wave parameters for wind-sea and swell introduced in Section 2.1 (mean wave direction, mean wave significant height and mean wave period).

#### 304 4. Results and Discussion

#### 305 4.1. Stokes drift estimation

The Stokes-drift computed for the deep-water buoys (see locations in 306 Fig. 1) and wave model are compared in Table 1 with the statistical pa-307 rameters defined in Appendix 1. The table shows the values of the Stokes 308 drift computed using the calibrated value of  $H_s$  (see Section 2.2) as well 309 as the non-calibrated value. Moreover, Stokes drift for both sets of data is 310 computed using the bulk formulation and the Ardhuin parametrization (Eq. 311 (11)). The most significant results that can be inferred from the Table 1 312 are: 313

- Stokes drift computed from the bulk expression is 50% smaller than the one computed from the Ardhuin formulation,
- when using the Ardhuin expression, the correlation coefficients (CC)
   between buoy and model data are substantially higher and the scatter
   index (SI) a 50% lower, showing that results are less dispersed,
- the calibration deteriorates the Stokes drift estimations when using the bulk formulation, increasing the root mean square error (RMSE) as well as the dispersion (SI) and reducing the CC. A calibration of  $T_p$  may also be necessary in order to improve the Stokes drift computation, which is beyond the scope of this paper.
- Estimation of the Stokes drift velocity using Eq. 10 or Eq. 11 requires 324 accurate measurements of  $H_s$ ,  $T_p$  and  $W_{10}$ . Tamura et al. (2012) compared 325 the Stokes drift velocity from wave model data and in situ measurements 326 with several buoys in the North Pacific by using different methods, *i.e.*: 327 integrating the model spectra, applying the wave bulk values Eq. (10) and 328 finally, using empirical parameterizations. They found that the Stokes drift 329 was systematically underestimated when using the bulk formulation, which 330 is based on the wave statistical estimators,  $H_s$  and  $T_p$ . In fact, they found a 331 much better agreement with the buoys if the spectrum was fully integrated 332 or when using empirical parametrizations rather than the bulk expression. 333 In more detail, together with the integration of the spectra, the use of the 334 parametrization of Ardhuin (either for buoy or model) turned out to be 335 the best approach. By including the local wind effect in computing the 336 Stokes drift, as is done in the Ardhuin expression, dispersion and RMSE are 337 reduced significantly, while correlation between buoys and model increased 338 dramatically. 339

The comparison provided in Table 1 is in agreement with the results 340 of Tamura et al. (2012), confirming that the inclusion of the local wind, is 341 critical to reproduce accurately the Stokes drift current. Despite this, Stokes 342 drift estimated from model data tends to be underestimated compared to 343 buoys. The distribution of calibrated (red dots) and non-calibrated (blue 344 dots) Stokes drift velocities for each buoy is shown in Fig. 4. As seen, 345 the Stokes drift velocity dispersion and magnitude varies greatly from buoy 346 to buoy, reaching in some places as "Maó" and "Cabo Gata" values over 347 0.15 m/s, being of the order (or even higher) than the geostrophic currents 348 -given for instance in Fig. 8 of Poulain et al. (2012)-. Fig. 4 also shows the 349 linear least squares fit for calibrated (solid orange line) and non-calibrated 350 data (solid cyan line). In the remaining part of this paper, unless otherwise 351 stated, Stokes drift velocity will be computed using the Ardhuin formulation 352 with calibrated model data. 353

The seasonal behavior of the Stokes drift velocity is assessed by ana-354 lyzing its spatial distribution during winter (December-January-February) 355 and summer (June-July-August) seasons (hereinafter DJF and JJA respec-356 tively). Fig. 5, top panels display the averaged Stokes drift at surface (black 357 arrows) for DJF (left) and for JJA (right). Background color represents the 358 angular deviation (in degrees) between the direction of the averaged surface 359 wind fields (at 10 m) and the direction of the Stokes drift for the same pe-360 riods. Positive angular deviations indicate that wind is blowing to the left 361 of the Stokes drift whereas negative angular deviations indicate that wind 362 blows to the right of the surface Stokes drift. The magnitude of the Stokes 363 drift during winter doubles the value obtained during summer (maxima in 364 winter are around  $\sim 10 \,\mathrm{cm/s}$ ). Over the shelf of Italy and Spain wind and 365 waves are on average in the opposite direction during winter. The central 366

and bottom rows in Fig. 5, represent winter and summer mean Stokes drift
and angular deviations for the sea and swell Stokes drift components. The
wind-sea component is much larger than the swell mainly around the generation area. However, in coastal areas the swell component can become
large.

#### 372 4.2. Ekman-Stokes layer estimation

The upper ocean depth where wind and wave induced currents interact to each other is known as the Ekman-Stokes layer. Other interactions can occur near the surface such as the formation of the Langmuir cells. However our numerical model does not resolve these small scale structures.

The averaged Ekman depth,  $\delta_{\rm Ek}$ , for the analyzed period is displayed in 377 Fig. 6, top left. Values of the Ekman layer range from 15 m in coastal areas 378 to maxima around 40 m in the middle of the Gulf of Lions, a region char-379 acterized by strong winds blowing all year round (fetch region) and more 380 intensely in late fall and winter (Ponce de León et al., 2016). As stated 381 above the Stokes depth,  $\delta_{St}$ , when computed through bulk parametrization 382 overestimates the values more accurately estimated in other regions by pre-383 vious works. The result of correcting the Stokes e-folding depth at each grid 384 point is shown in Fig. 6 (top-right). As observed, the depth increases to the 385 south with a clear gradient oriented with the direction of the main northerly 386 winds with maximum values starting at the lee of Menorca Island. 387

Values for the Stokes depth are significantly improved when applying the Breivik correction as shown in Table 2. Temporal averaged Stokes depth in the whole basin is reduced to 0.6 m and the median diminishes 0.5 m approaching to the values of 1 - 3 m given in the literature (see for instance Tamura et al. (2012); Breivik et al. (2014)).

Solution of Eq. (13) is also used to obtain the wind-sea Stokes depth,  $\delta_{\text{St}}^{\text{sea}}$  and the swell Stokes depth,  $\delta_{\text{St}}^{\text{swell}}$  both displayed in Fig. 6. As seen, the averaged wind-sea Stokes depth layer takes values between 1-3 m, while the swell Stokes layer depth can be around 10 m (see Fig. 6 (bottom panels)). The higher depth for swell component is because its larger wavelengths that penetrate deeper into the ocean.

#### 399 4.3. Wind Induced Energy Distribution

Following the energy rate decomposition presented in Section 3.2 and in Fig. 3, we estimate the wind induced energy,  $E_w$ , the wave induced energy,  $E_s$  and the total wind energy,  $E = E_w + E_s$ . These terms explain how wind energy is distributed along the Ekman-Stokes layer under a stationary wave field and assuming no dissipation mechanisms. In addition, cross terms involving wave-wind interaction (or wind-wave) are also considered (see Eq. (8) and Eq. (9)).

The mean total energy for DJF (left) and JJA (right) are depicted in 407 the top panels of Fig. 7. The background color represents the wind energy 408 input per unit of area and time  $(W m^{-2})$ . Most of the energy is concentrated 409 in the Gulf of Lions, with values during winter near  $10^{-2} \,\mathrm{W \,m^{-2}}$  (Fig. 7, 410 top-left). Energy contours present a similar distribution as the Stokes drift 411 (Fig. 5, top left) since waves with larger wavelengths arrive to the North 412 African coast (mainly coasts of Algeria and Tunisia) unimpeded by islands 413 or land intrusions between the Gulf of Lions and the coast. Energy values 414 decrease drastically during summer with maxima around  $3 \cdot 10^{-3} \,\mathrm{W \, m^{-2}}$ . 415 During summer the wind energy input in the Alboran Sea is as important 416 as the input of energy in the Gulf of Lions. 417

418 Energy components for wind and waves are different (second and third

row panels of Fig. 7). Wind energy primarily produces Ekman currents (Fig. 419 7, second row, left column for DJF). The energy contribution from waves is 420 much smaller, as it is especially during JJA with maxima located far from 421 the storm generation area indicating that the cyclogenetic events during this 422 season have less duration and intensity. The ratio between the wave induced 423 energy and the total energy is  $\sim 0.3$  (see Fig. 7, bottom left) which suggests 424 that waves are important as an energy input mechanism in the upper water 425 column. This energy ratio decreases in summer to a 10% - 15%. According 426 to Fig. 3 variations in the wave induced energy are due to two reasons: a 427 higher relative angle between local wind and waves and, variations in the 428 Stokes drift due to wind seasonality. Positive E<sub>s</sub> values indicate that the 429 angle between wind and waves are in between  $[-45^{\circ}, 135^{\circ}]$ , which is mainly 430 occurring in the middle of the basin when wind and waves are more aligned 431 (see Fig. 5, top-left).  $E_s$  can be also zero at locations where the angle 432 between wind and waves is very variable (more common in coastal areas). 433 For instance it is the case of the Alboran Sea where the rate of  $E_s$  to E is 434 very low (Fig. 7, bottom panels). 435

Here we notice that, following the work of, *e.g.* D'Ortenzio et al. (2005),
Ekman depth in summer can be much shallower due to the upward displacement of the mixed layer, inhibiting the downward wind-induced momentum
and thus, blocking the Ekman currents. This effect enhances the seasonal
variability modifying the relative importance of the Stokes drift contribution
during summer.

Monthly averaged values (for the whole basin) are shown in Fig. 8 for the total energy rate (top panel), for the wind induced energy rate (middle panel) and for the wave induced energy rate (bottom panel). In the box plots the star refers to the mean and the horizontal line inside the boxes represents

the median, whose value is indicated on the top of each box. All energy 446 terms show a marked seasonal behavior with maxima in December/January 447 and minima during July/August given by the variability of energy input 448 at the ocean surface along the year. Box plot size increases during winter 449 with maximum values spatially localized with a large variability along the 450 basin. These results are in accordance with the ones obtained by Wu and 451 Liu (2008), who found that wave induced energy was above 20% of the total 452 wind energy input in the Antarctic Circumpolar Current, and therefore of 453 potential importance that has to be considered in ocean transport analysis. 454

#### 455 4.4. Wind Induced Mass Flux

In this section the Stokes layer momentum solution (see Section 3.1) is 456 analyzed in order to study the mass transport  $\mathbf{M} = \mathbf{M}_{Ek} + \mathbf{M}_{St-Ek} + \mathbf{M}_{St}$ 457 (see Eq. 7). Fig. 9, top panel, shows the averaged M in the Ekman-Stokes 458 layer for DJF (left) and JJA (right) where the arrow length indicates the 459 mass flux in  $m^2 s^{-1}$ . Maxima during winter are around  $4.0 m^2 s^{-1}$  while 460 during summer is of  $1.5 \text{ m}^2 \text{ s}^{-1}$ . During DJF the averaged mass transport is 461 towards the south/south-west being in JJA season anticyclonically deflected. 462 Second and third rows in Fig. 9 display respectively the Ekman and Stokes 463 components of the mass flux. The Ekman component clearly dominates the 464 total mass transport, being two orders of magnitude larger than the Stokes 465 transport. Mean Ekman transport is deflected around 90° rightward to the 466 surface wind direction following the classical Ekman solution. However, 467 the effects of waves produces a slight change in direction of the total mass 468 transport. The Stokes-Ekman interaction term is depicted for DJF and JJA 469 in the fourth row. Although this term is one order of magnitude smaller than 470 the Ekman contribution is larger than the pure Stokes term contributing on 471

average for an  $\sim 15\%$  of the total transport and is directed mostly to the south-east following the wind dominant direction. The median ratio between the Stokes mass transport terms and the total mass transport is depicted in Fig. 9, bottom panels, showing that the Stokes terms are much more important in DJF than in JJA (about 2-3 times larger) and that in some locations this contribution can be as high as 40% of the total mass flux.

The above spatial mass transport distribution is further analyzed by 478 computing the modulus of the monthly transport as well as the Ekman, 479 the Stokes and the combined Stokes-Ekman terms (Fig. 10). Box plots are 480 computed by averaging the whole basin. Roughly, comparison between the 481 different contributions provides  $|M_{Ek}| \sim 10 |M_{St-Ek}| \sim 100 |M_{St}|$ . Besides 482 the seasonality in the transport, it is noticeable that during winter, variance 483 is larger because of the large differences in transport in the basin. Stokes 484 transport during March and April remains practically constant or slightly 485 increases during April (see third panel in Fig. 10). This is due to the spring 486 storms affecting the Mediterranean Sea during this month. 487

#### 488 4.5. Mass flux for wind-sea and swell terms

In this work we assumed that the Stokes drift can be described by the 489 linear combination of wind-sea and swell Stokes drift components (see Eq. 490 15, Section 3.5). To infer the validity in this assumption, we compute the 491 RMSE of the Stokes drift (Fig. 11, top panel) and the variance for the 492 zonal and meridional velocity components independently (Fig. 11, central 493 and bottom panels respectively) for DJF (left) and JJA (right) seasons. 494 The mean RMSE value is about 1 cm/s at basin scale during summer, and 495  $2 \,\mathrm{cm/s}$  during winter. RMSE is larger in the middle of the basin, mainly in 496 the North African coast around the longitude of  $5^{\circ}$  E. In fact, the highest 497

RMSE is explained by the maximum variance in the meridional component(Fig. 11, bottom left).

The total mass transport and the contribution of each of the above de-500 scribed components for the Stokes (sea and swell) and the Stokes-Ekman 501 (sea and swell) mass transport are shown in Fig. 12 (note that the pure 502 Ekman transport is the same as in Fig. 9 and therefore not repeated). By 503 this decomposition the total mass transport (Fig. 12, top panels) is slightly 504 larger than the one obtained previously (Fig. 9, top panels). The pure 505 Stokes component is dominated by the swell contribution (60 - 70%) (Fig. 506 12, middle panels). The Stokes mass transport component shows, specially 507 during DJF, a similar spatial pattern than the provided by the swell (com-508 pare Fig. 9, middle-left panel and Fig. 12, middle-left panel). Contrarily, 509 the Stokes-Ekman interaction term is clearly dominated by the wind-sea 510 component with a contribution of over 90% in the mass transport (compare 511 Fig. 12, fourth and fifth rows). Recently, Carrasco et al. (2014) studied 512 separately wind-sea and swell mass flux distribution at global scale for more 513 than 50 years of data using a wave model reanalysis. These authors found 514 that the swell dominates the transport around the equatorial ocean where 515 winds are weak (these regions are called "swell pools" following references 516 therein). 517

Seasonality of the above presented magnitudes are presented in Fig. 13 for the total modulus of the mass transport as well as for the four other components. It is clear that the pure Stokes-swell component,  $|\mathbf{M}_{\text{St}}^{\text{swell}}|$  is about 3 times the value of the wind-sea component,  $|\mathbf{M}_{\text{St}}^{\text{sea}}|$ . The windsea component in the Stokes-Ekman interaction term  $|\mathbf{M}_{\text{St}-\text{Ek}}^{\text{sea}}|$  is about 4-5 times larger than the swell component,  $|\mathbf{M}_{\text{St}-\text{Ek}}^{\text{swell}}|$ . This result is in agreement with the fact that the Stokes-Ekman interaction is mostly due to

<sup>525</sup> the interaction between local wind and waves induced Stokes drift.

#### 526 5. Conclusions

In this work the wind induced energy and mass flux have been estimated 527 for the Western Mediterranean Sea from model data. Both magnitudes have 528 been integrated along the Ekman-Stokes layer and spatially and seasonally 529 analyzed. The impact of the Stokes drift depends primarily on two as-530 pects: first, the wind variability at the ocean surface (wave generation) and, 531 second, the geographical configuration of the basin, *i.e.* size, depth and 532 coastline profile (wave propagation), which are particularly complex in the 533 Mediterranean basin. 534

In the Western Mediterranean Sea, wind induced energy and mass trans-535 port along the Ekman-Stokes layer show a marked seasonal character, being 536 higher during winter since wind is stronger and reduced to one half during 537 summer. In the north side of the basin, around the Gulf of Lions, there 538 is a well known cyclogenetic area where high waves are generated mainly 539 during late fall and winter. On the other hand along the coast of North 540 Africa the Stokes transport is higher, being primarily composed by the swell 541 components. At basin level, the Stokes-Ekman mass transport interaction 542 term is about a 10% - 15% of the total transport but largely depending on 543 the spatial location 544

The main drawback of this work is related to the statistical wave magnitudes and the empirical parametrizations applied, the assumption of stationarity and the unavailability of the full wave spectra, the use of a constant eddy viscosity model and the ageostrophy of the currents. However, our results can be taken as a lower bound of the magnitudes presented. In addi-

tion, to solve the corrected Stokes depth requires considerable computational
effort since they were estimated for hundreds of grid points and thousands of
days. The different contributions from the swell and wind-sea components
are analyzed by assuming that they can be linearly decomposed. The total
mass transport following this decomposition is 10% higher.

Results emphasize the importance of including the Stokes drift in the 555 estimation of the upper ocean transports. Inclusion of the Stokes-wind in-556 teraction terms is specially important for operational applications aimed to 557 provide forecasts for oil spill and Search and Rescue operations. Neglect-558 ing those terms can result in errors in the surface velocity around 40% of 559 the wind induced velocity, and the magnitude can be even higher than the 560 geostrophic velocities obtained from altimetry. Another remarkable point 561 is the role that, at local level, Stokes transport terms, mainly the swell 562 component, can play in accumulating floating debris. The North of Africa, 563 specially Algeria, but also Morocco, Tunisia and the South of Spain have 564 been found to be sinks of marine debris. Other mechanisms for debris trans-565 port such as the advection by Langmuir circulation can also be included for 566 a better understanding of the fate of pollutants at the subbasin scale. 567

Despite some previous studies about the role of the Stokes drift in the wind induced energy and momentum distribution, to our knowledge, this is the first time that it has been studied with some detail in the Mediterranean Sea.

#### 572 Acknowledgments

JMS is supported by the PhD CSIC-JAE program co-funded by the European Social Fund (ESF). AO thanks support from the ENAP-Colombian

Army. Financial support EU-H2020 Project Jerico-Next is greatly acknowledged. Authors are indebted to Dr. Biel Jordà for providing the wave model data and Puertos del Estado for the buoy data. We would like to thank comments from 4 anonymous referees which helped to improve significantly the original Manuscript.

enite

#### 580 Appendix 1

In the Table 1 are displayed the following statistical magnitudes, being  $M_i$  and  $O_i$  are the model and real (buoy) observations respectively. N is the data length. The Scatter Index (S.I.) [%] is defined as,

$$SI = \frac{1}{O_{RMS}} \left[ \frac{1}{N-1} \sum_{i=1}^{N} \left( M_i - \bar{M} - (O_i - \bar{O}) \right)^2 \right]^{\frac{1}{2}}.$$
 (18)

<sup>584</sup> The Normalized mean Bias NB is,

$$NB = 100\% \times \frac{\sum_{i=1}^{N} (M_i - O_i)}{\sum_{i=1}^{N} O_i}.$$
 (19)

585 The Root Mean Square Error (RMSE) between observations and model is:

RMSE = 
$$\left[\frac{1}{N}\sum_{i=1}^{N} (M_i - O_i)^2\right]^{1/2}$$
. (20)

<sup>586</sup> The Correlation Coefficient (CC) is calculated as:

$$CC = \frac{1}{N-1} \sum_{i=1}^{N} \left( \frac{M_i - \bar{M}}{\sigma_{M_i}} \right) \left( \frac{O_i - \bar{O}}{\sigma_{O_i}} \right)$$
(21)

587 where

$$O_{\rm RMS} = \left[\frac{1}{N} \sum_{i=1}^{N} (O_i)^2\right]^{1/2}.$$
 (22)

- Ardhuin, F., Mari, L., Rascle, N., Forget, P., Roland, A., 2009. Observation
  and Estimation of Lagrangian, Stokes, and Eulerian Currents Induced by
  Wind and Waves at the Sea Surface. J. Phys. Oceanogr. 39 (11), 2820–
  2838.
- <sup>592</sup> Bidlot, J.-R., 2001. ECMWF wave model products. Newsletter. Reading,
  <sup>593</sup> United Kingdom: 91, 9–15.
- Breivik, Ø., Janssen, P. A. E. M., Bidlot, J.-R., 2014. Approximate Stokes
  Drift Profiles in Deep Water. J. Phys. Oceanogr. 44 (9), 2433–2445.
- <sup>596</sup> Carrasco, A., Semedo, A., Isachsen, P., Christensen, K., Saetra, O., 2014.
  <sup>597</sup> Global surface wave drift climate from ERA-40: the contributions from
  <sup>598</sup> wind-sea and swell. Ocean Dynamics 64, 1815–1829.
- <sup>599</sup> Csanady, G., 1982. Circulation in the coastal ocean. D. Publishing Reidel <sup>600</sup> Company.
- D'Ortenzio, F., Iudicone, D., de Boyer Montegut, C., Testor, P., Antoine,
  D., Marullo, S., Santoleri, R., Madec, G., 2005. Seasonal variability of
  the mixed layer depth in the Mediterranean Sea as derived from in situ
  profiles. Geophys. Res. Lett. 32, L12605.
- Ekman, V. W., 1905. On the influence of the Earth's rotation on ocean
  currents. Arch. Math. Astron. Phys. 2, 1–52.
- Harcourt, R. R., DAsaro, E. A., 2008. Large eddy simulation of Langmuir
  turbulence in pure wind seas. J. Phys. Oceanogr., 38, 15421562.
- Kantha, L., Wittmann, P., Sclavo, M., Carniel, S., 2009. A preliminary
  estimate of the Stokes dissipation of wave energy in the global ocean.
  Geophys. Res. Lett. 36 (2), L02605.

- 612 Komen, G. J., Cavaleri, L., Donelan, M., Hasselmann, K., Hasselmann, S.,
- Janssen, P. A. E. M., 1994. Dynamics and Modelling of Ocean Waves.
  Cambridge University Press, UK.
- Kubota, M., 1994. A mechanism for the accumulation of floating marine
  debris north of Hawaii. Journal of Physical Oceanography 5 (24), 1059–
  1064.
- Lewis, D., Belcher, S., 2004. Time-dependent, coupled, Ekman boundary
  layer solutions incorporating Stokes drift. Dynamics of Atmospheres and
  Oceans 37 (4), 313 351.
- Li, M., Garrett, C., 1993. Cell merging and the jet/downwelling ratio in
  Langmuir circulation. Journal of Marine Research 51 (4), 737–769.
- Liu, B., Wu, K., Guan, C., 2007. Global estimates of wind energy input to
  subinertial motions in the Ekman-Stokes layer. Journal of Oceanography
  63 (3), 457–466.
- Liu, G., Perrie, W. A., He, Y., 2014. Ocean surface Stokes drift from scatterometer observations. International Journal of Remote Sensing 35 (5),
  1966–1978.
- Longuet-Higgins, M. S., 1953. Mass transport in water waves. Philosophical
  Transactions of the Royal Society of London A: Mathematical, Physical
  and Engineering Sciences 245 (903), 535–581.
- Martínez-Asensio, A., Marcos, M., Jorda, G., Gomis, D., 2013. Calibration
  of a new wind-wave hindcast in the Western Mediterranean. Journal of
  Marine Systems 121-122 (7), 1 10.

- McWilliams, J. C., Restrepo, J. M., 1999. The wave-driven ocean circulation.
- <sup>636</sup> J. Phys. Oceanogr., 29, 2523–2540.
- McWilliams, J. C., Huckle, E., Liang, J., Sullivan, P. P., 2013. Langmuir
  Turbulence in Swell. J. Phys. Oceanogr. 44 (3), 870–890.
- McWilliams, J. C., Sullivan, P. P., Moeng, C. H., 1997. Langmuir turbulence
  in the ocean. J. Fluid Mech., 334, 1–30.
- McWilliams, J. C., Restrepo, J. M., Lane, E. M., 2004. An asymptotic theory
- for the interaction of waves and currents in coastal waters. J. Fluid Mech.,
  511, 135–178.
- Mellor, G. L., 2008. The depth-dependent current and wave interaction equations: A revision. J. Phys. Oceanogr., 38, 2587–2596.
- Nimmo Smith, W. A. M., Thorpe, S.A., 1999. Dispersion of Buoyant Material by Langmuir Circulation and a Tidal Current. Marine Pollution
  Bulletin 38 (9), 824–829.
- Polton, J., 2009. A wave averaged energy equation: Comment on "global estimates of wind energy input to subinertial motions in the Ekman-Stokes
  layer" by Bin Liu, Kejian Wu and Changlong Guan. Journal of Oceanography 65 (5), 665–668.
- Polton, J. A., Lewis, D. M., Belcher, S. E., 2005. The Role of WaveInduced Coriolis-Stokes Forcing on the Wind-Driven Mixed Layer. J.
  Phys. Oceanogr. 35 (4), 444–457.
- Ponce de León, S., Orfila, A., Simarro, G., 2016. Wave energy in the Balearic
  Sea. Evolution from a 29 year spectral wave hindcast. Renewable Energy
  85, 1192 1200.

- <sup>659</sup> Pond, S., Pickard, G., 1983. Introductory dynamical oceanography, 2nd Edi-
- tion. Pergamon international library of science, technology, engineering,and social studies. Pergamon Press.
- <sup>662</sup> Poulain, P.-M., Menna, M., Mauri, E., 2012. Surface Geostrophic Circula-
- tion of the Mediterranean Sea Derived from Drifter and Satellite Altimeter
  Data. Journal of Physical Oceanography 42, 973–990.
- Smith, J., 2006. Wave-current interactions in finite depth. J. Phys.
  Oceanogr., 36, 1403–1419.
- Stokes, G., 1847. On the theory of oscillatory waves. Transactions of the
  Cambridge Philosophical Society 8, 441–455.
- Tamura, H., Miyazawa, Y., Oey, L.-Y., 2012. The Stokes drift and wave
  induced-mass flux in the North Pacific. Journal of Geophysical Research:
  Oceans 117 (C8021), 1–14.
- Teixeira, M. A. C., 2012. The influence of Langmuir turbulence on the scaling for the dissipation rate in the oceanic boundary layer. Journal of Geophysical Research: Oceans 117 (C5).
- Thorpe, S. A., 2009. Spreading of floating particles by Langmuir circulation.
  Marine Pollution Bulletin 58, 1787–1791.
- Uchiyama, Y. J. C., McWilliams, J. C., Shchepetkin, A.F., 2010. Wavecurrent interaction in an oceanic circulation model with a vortex force
  formalism: Application to the surf zone. Ocean Modell., 34, 16–35.
- 680 Weber, J. E. H., Brostrom, G., Saetra, O., 2006. Eulerian versus Lagrangian
- approaches to the wave-induced transport in the upper ocean. J. Phys.
  Oceanogr., 36, 2106–2118.

<sup>683</sup> Wu, K., Liu, B., 2008. Stokes driftinduced and direct wind energy inputs
<sup>684</sup> into the Ekman layer within the Antarctic Circumpolar Current. Journal
<sup>685</sup> of Geophysical Research: Oceans 113 (C10002), 1–12.

Accepted manuscript

#### 686 6. Tables

Buoy	Method	GAP	$\mathbf{Mean} \ (\mathrm{cm/s})$	CC	NB	SI	RMSE	
		(%)	(buoy, model)		(%)	(%)	$(\mathrm{cm/s})$	
Cabo Begur	Bulk cal.	33.6	(, 3.81)	0.7	17.2	69.5	1.82	
	Bulk noncal.	-	(3.21,2.40)	0.81	-26.9	40.9	1.61	
	Ardh. cal.	-	(, 5.28)	0.9	-2.4	33.4	1.65	
	Ardh. noncal.	-	(4.92, 4.53)	0.9	-15.5	33.5	1.73	
Cabo Palos	Bulk cal.	29.0	(, 3.37)	0.62	47.3	63.2	1.84	
	Bulk noncal.	-	(2.27, 2.03)	0.72	-11.8	46.8	1.21	
	Ardh. cal.	-	(, 3.51)	0.88	11.4	35.6	1.53	
	Ardh. noncal.	-	(3.75, 2.93)	0.88	5.3	35.2	1.49	
Dragonera	Bulk cal.	3.3	(, 2.71)	0.53	18.2	64.3	1.93	
	Bulk noncal.	-	(2.32, 1.61)	0.64	-30.0	50.2	1.62	
	Ardh. cal.	-	(,3.51)	0.87	-3.4	32.9	1.59	
	Ardh. noncal.	—	(3.61, 2.93)	0.87	-19.5	33.2	1.74	
Cabo Gata	Bulk cal.	4.6	(, 2.31)	0.56	-1.2	60.9	1.87	
	Bulk noncal.	-	(2.34, 1.38)	0.67	-40.0	49.9	1.78	
	Ardh. cal.	-	(, 3.18)	0.86	-19.5	37.4	1.86	
	Ardh. noncal	-	(3.62, 2.62)	0.85	-29.9	37.7	2.07	
Maó	Bulk cal.	40.8	(,2.90)	0.58	34.1	59.2	1.27	
	Bulk noncal.		(2.12, 1.74)	0.69	-20.78	46.9	0.97	
	Ardh. cal.		(, 4.52)	0.87	-2.4	34.7	1.59	
	Ardh. noncal.		(4.62, 3.84)	0.87	-17.3	35.1	1.64	
Tarragona	Bulk cal.	6.6	(, 2.51)	0.56	9.3	65.7	1.98	
	Bulk noncal.	-	(2.32, 1.47)	0.64	-35.7	52.3	1.75	
	Ardh. cal.	-	(, 2.55)	0.85	-15.3	38.4	1.71	
	Ardh. noncal.	-	(3.05, 2.05)	0.85	-31.7	38.7	1.91	

Table 1: Statistical estimates for the Stokes drift computed by the bulk expression and Ardhuin (Ardh) parametrization for the buoys and for the calibrated (cal) and raw (noncal) model data. CC (correlation coefficient), NB (normalized mean bias), SI (percentage scatter index) and RMSE (root mean square). The percentage of missing data in each timeseries is provided in the GAP column. The mathematical definitions of the statistical estimators are given in Appendix 1.

Table 2: Stokes e-folding depth comparison.

Method	Mean $[m]$	Std $[m]$	Median $[m]$
Bulk	3.41	2.55	2.62
Breivik	2.80	2.07	2.12

Accepted manuscript

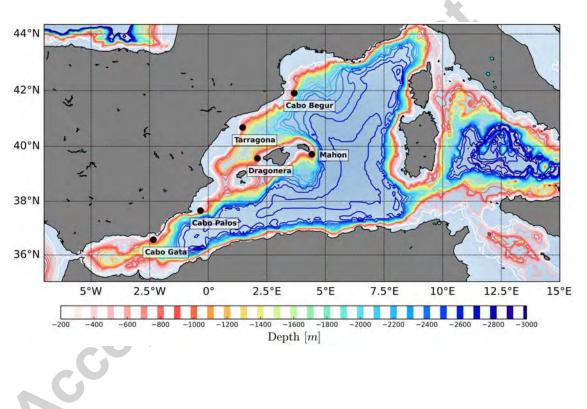


Figure 1: Buoy locations (black circles) and western Mediterranean Sea bathymetry contour map. Units in m.

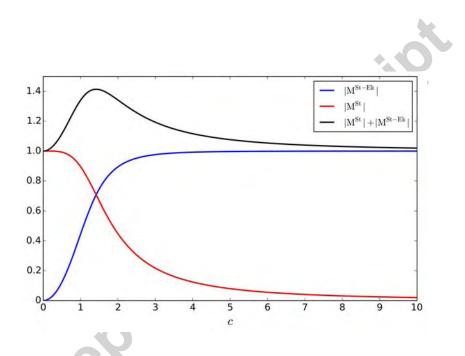


Figure 2: Mass transport modulus for Stokes term (red line), for Stokes-Ekman interaction (blue line) and for the addition of both terms (black line). It has been normalized by  $(\delta_{St} U_s)$  as a function of  $c = \delta_{Ek}/\delta_{St}$ .

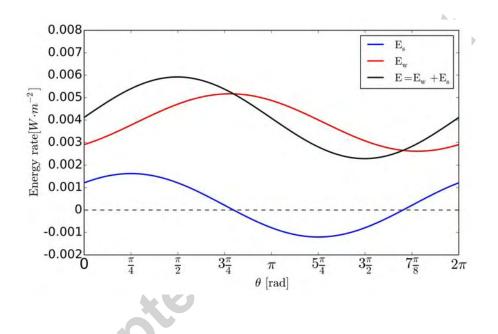


Figure 3: Total wind energy input (E, solid black line) and its redistribution between direct wind-induced energy rate ( $E_w$  from Eq. (8), solid red line) and indirect energy rate on wave terms ( $E_s$  from Eq. (9), solid blue line). Some parameters and/or variables have are assumed constant:  $|\tau_0| = 0.1 \,\mathrm{N} \cdot \mathrm{m}^{-2}$ ,  $|\mathbf{U}_{s_0}| = 0.1 \,\mathrm{m} \cdot \mathrm{s}^{-1}$ ,  $\delta_{\mathrm{Ek}} = 25 \,\mathrm{m}$ ,  $\delta_{\mathrm{St}} = 2.5 \,\mathrm{m}$ ,  $c = 10, f = 10^{-4} \,\mathrm{s}^{-1}$ .  $\theta$  is the angle between wind and waves in a clockwise sense. Horizontal black dashed line shows the zero energy line. Units in  $\mathrm{W} \cdot \mathrm{m}^{-2}$ .

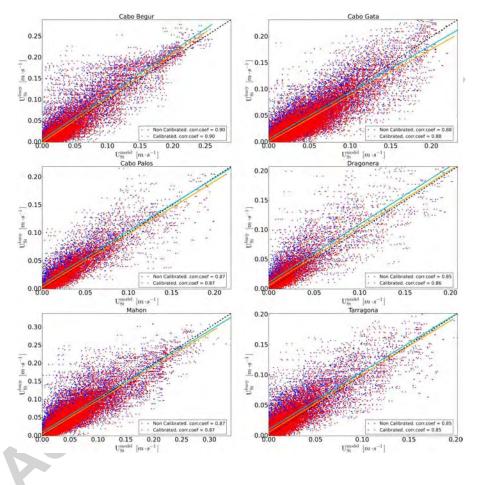


Figure 4: Stokes drift and linear fits,  $U_{St}$  computed following Ardhuin et al. (2009) at each buoy for calibrated (red dots and orange solid line) and non calibrated (blue dots and cyan solid line) data as given in Martínez-Asensio et al. (2013).

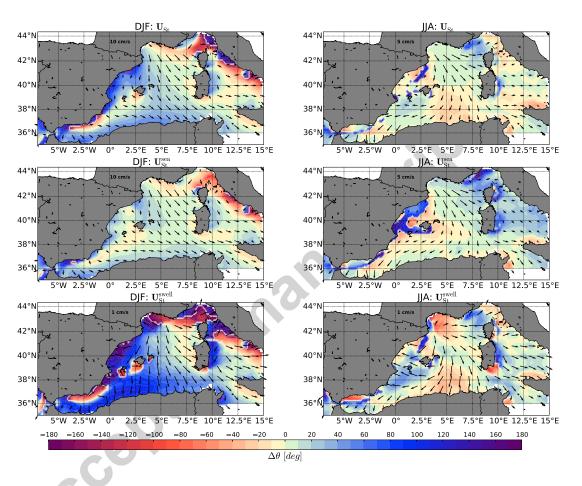


Figure 5: Top row: averaged Stokes drift (black arrows) for DJF (left) and for JJA (right). Central row: averaged wind-sea component for the Stokes drift for DJF (left) and JJA (right). Bottom row: averaged swell component for the Stokes drift for DJF (left) and JJA (right). Vector length units in  $\text{cm} \cdot \text{s}^{-1}$ . Background color represents the angular deviation (in degrees) between the direction of the averaged surface wind field (at 10 m) and the direction of the Stokes drift.

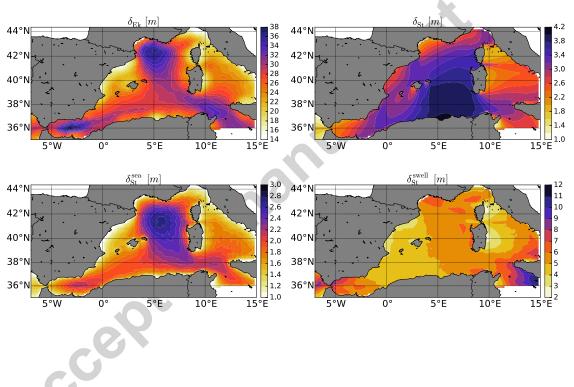


Figure 6: Averaged Ekman depth (top-left). Averaged Stokes depth (top-right). Averaged wind-sea Stokes depth average (bottom-left). Averaged Stokes swell depth average (bottom-right). The Ekman depth is estimated according to Eq. (15) and the Stokes depths by solving Eq. (14). Period extends from 1993 to 2008. Units in m.

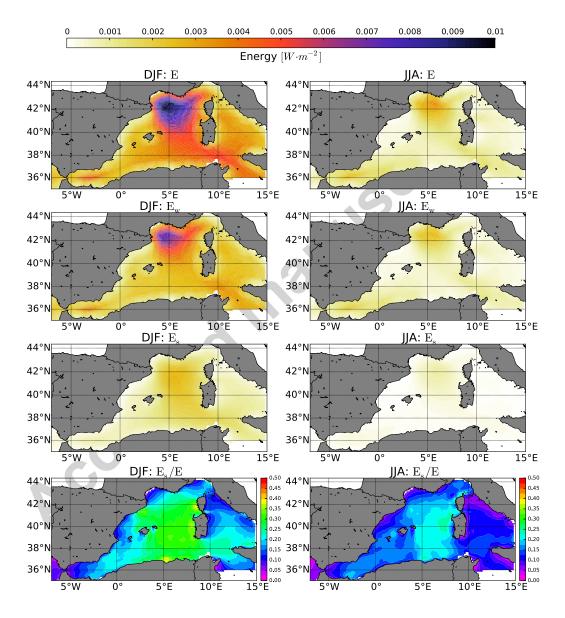


Figure 7: First row: total averaged energy rate. Second row: wind induced averaged energy rate. Third row: wave induced averaged energy rate. Units in  $W \cdot m^{-2}$ . Fourth row: ratio between wave induced energy rate and total energy rate. Left column for DJF and right column for JJA.

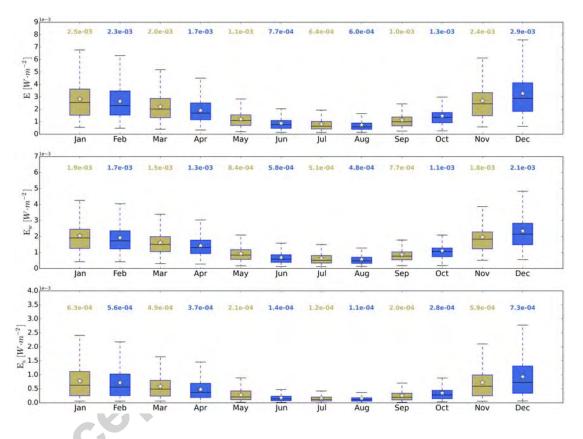


Figure 8: Top panel: box plot of monthly spatially averaged total energy rate. Middle panel: monthly spatially averaged wind induced energy rate. Bottom panel: monthly spatially averaged wave induced energy rate. Stars represent the mean value and the number on each box the median. Each box represents the interquartile range:  $Q_1$  (percentile 25<sup>th</sup>) to  $Q_3$  (percentile 75<sup>th</sup>) and upper and bottom whiskers are computed as:  $Q_3 + 1.5 \cdot (Q_3 - Q_1)$  and  $Q_1 - 1.5 \cdot (Q_3 - Q_1)$  respectively. Units in  $W \cdot m^{-2}$ .

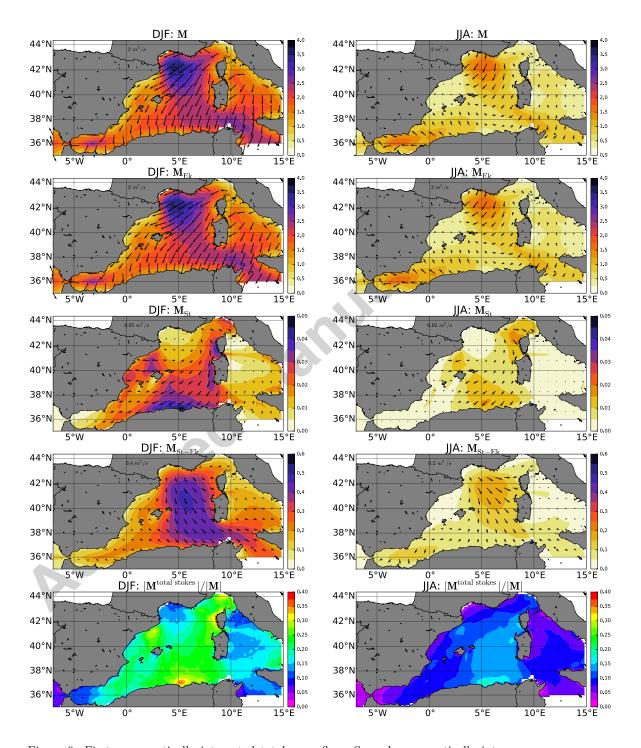


Figure 9: First row: vertically integrated total mass flux. Second row: vertically integrated Ekman mass flux. Third row: vertically integrated Stokes mass flux. Fourth row: 44 vertically integrated Stokes-Ekman interaction mass flux. Units in m<sup>2</sup> · s<sup>-1</sup>. Fifth row: median ratio between Stokes related mass transport (which is the addition of both, the pure Stokes and the Stokes-Ekman interaction term) and the total mass transport. Left column for DJF and right column for JJA.

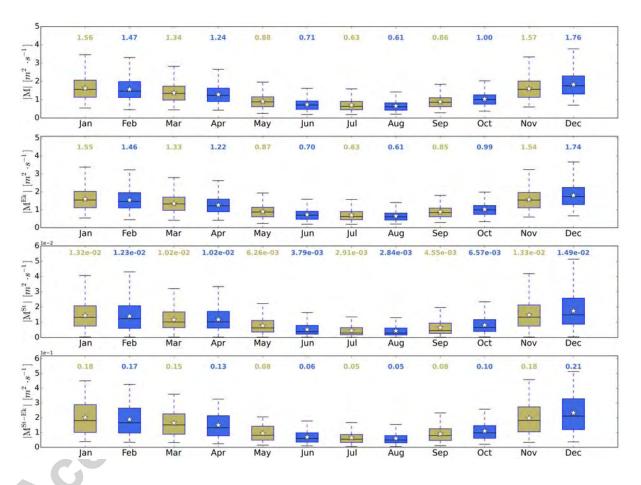


Figure 10: First panel: box plot of the modulus of the monthly spatially averaged total mass transport. Second panel: modulus of the monthly spatially averaged Ekman mass transport. Third panel: modulus of the monthly spatially averaged Stokes. Fourth panel: modulus of the monthly spatially averaged Stokes-Ekman interaction mass transport. The numbers, symbols and box-plot quantiles are the same as in Figure 8.

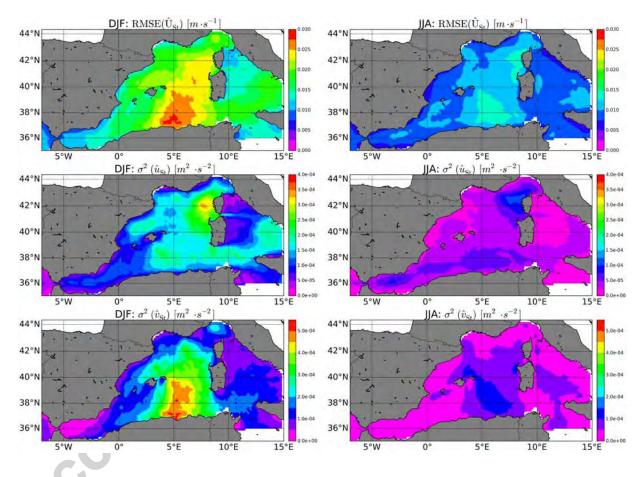


Figure 11: Top panels present the Stokes drift velocity Root Mean Square Error (for total velocity). Middle and bottom panels show the variance (*u* and *v* components respectively). Left column for winter season (DJF), right column for summer (JJA). RMSE =  $\sqrt{\frac{1}{n_t}\sum_{i=1}^{n_t} (\hat{u}_{\rm St})^2 + (\hat{v}_{\rm St})^2}$ , being  $\hat{u}_{\rm St} = u_{\rm St} - (u_{\rm St}^{\rm sea} + u_{\rm St}^{\rm swell})$  and  $\hat{v}_{\rm St} = v_{\rm St} - (v_{\rm St}^{\rm sea} + v_{\rm St}^{\rm swell})$ . Units in m · s<sup>-1</sup> for RMSE and m<sup>2</sup> · s<sup>-2</sup> for variance.

#### DJF: M JA: M 44°N 44°N 3.5 42°N 42°N 3.0 2.5 40°N 40°N 2.0 1.5 38°N 38°N 1.5 1.0 1.0 36°N 0.5 36°N 0.5 0.0 0.0 5°E 10°E 15°E 5°E 10°E 15°E 5°W 0° 5°W 0° DJF: Msea JJA: M<sub>St</sub> 0.008 44°N 0.008 44°N 42°N 006 40°N 0.004 40°N 0.004 38°N 38°N 007 0.002 36°N 36°N 15°E 0.000 0° 15°E 5°W 5°E 10°E 5°W 0° 5°E 10°E DJF: M<sub>St</sub><sup>swell</sup> JJA: $M_{St}^{swell}$ 0.012 0.012 44°N 44°N 010 0.010 42°N 42°N .008 40°N .006 40°N 0.006 38°N 0.004 38°N 0.004 0.002 0.002 36°N 36 0.000 15°E 0° 5°E 10°E 15°E 5°W 0° 5°E 10°E 5°W DJF: Msea JJA: Msea 44°N 44°N 42°N 42°N 40°N 40°N 0.3 38°N 38°N 0.2 ).2 0.1 36°N 36 15°E 0.0 5°W 0° 5°E 10°E 15°E 5°W 0 5°E 10°E DJF: Mswell JJA: Mswell .06 44°N 44°N .05 .05 42°N 42°N 0.04 0.04 0.03 40°N 40°N 0.03 38°N 0.02 38° 0.02 0.01 0.01 36°N 36 15°E 0.00 5°E 10°E 15°E 5°W 5°E 10°E 5°W 0° 0

Figure 12: Mass depth integrated transport average along the Ekman-Stokes layer decomposing the Stokes drift velocity in wind-sea and swell components. The first row panels represent the total transport average in winter (DJF) and summer (JJA). The second row panels show the pure Stokes wind-sea transport. The third row panels the pure Stokes swell induced mass transport. The fourth row panels the Stokes-Ekman interaction wind-sea ea term. The last row is the Stokes-Ekman interaction swell component term.

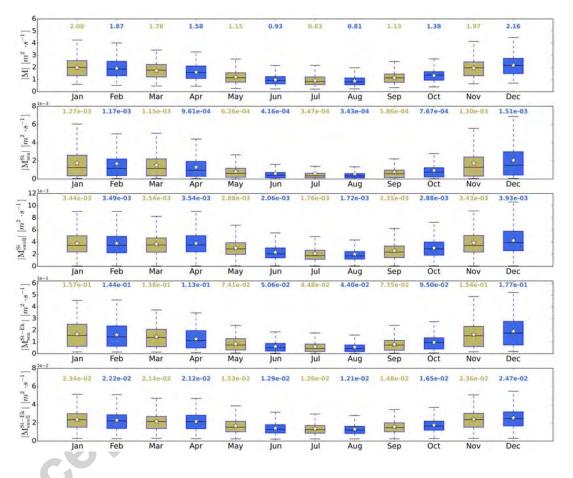


Figure 13: First panel: box plot of the modulus of the monthly spatially averaged total mass transport. Second panel: modulus of the monthly spatially averaged pure Stokes wind-sea component. Third panel: modulus of the monthly spatially averaged pure Stokes swell term. Fourth panel: modulus of the monthly spatially averaged Stokes-Ekman interaction wind-sea mass transport. Fifth panel: modulus of the monthly spatially averaged Stokes-Ekman interaction swell component. The numbers, symbols and box-plot quantiles are the same as in Figure 8.