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1 Increased aerodynamic roughness owing to surfzone foam

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5 Abstract: Drag coefficients (C_d) obtained through direct eddy-covariance estimates of the 6 wind stress were observed at four different sandy beaches with dissipative surf zones along 7 the coastline of Monterey Bay, CA, USA. The measured surfzone C_d (~2x10⁻³) is twice as large as open ocean estimates and consistent with recent estimates of C_d over the surf zone 8 and shoaling region. Owing to the heterogeneous nature of the nearshore consisting of non-9 breaking shoaling waves and breaking surfzone waves, the surfzone wind stress source 10 region is estimated from the footprint probability distribution derived for stable and 11 12 unstable atmospheric conditions. An empirical model developed for estimating the C_d for 13 open ocean foam coverage dependent on wind speed, is modified for foam coverage owing 14 to depth-limited wave breaking within the surf zone. A modified empirical C_d model for 15 surf zone foam predicts similar values as the measured C_d and provides an alternative mechanism to describe roughness. 16

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18 **1. Introduction**

19 Over land, the geometric surface roughness (k) and corresponding aerodynamic roughness 20 (z_0) for surface features can be considered temporally constant. Over the open ocean, z_0 is 21 a function of both surface texture (associated viscous surface stresses) and the local wave 22 field (associated form drag and flow separation). The associated stresses are dynamically 23 coupled with the wind, can evolve together, and transition from viscous stresses to wave 24 stresses. Non-local wave fields further complicate the dynamical relationship. Numerous, 25 extensive, open-ocean field studies have investigated the various stress relationships, 26 resulting in both consistencies and discrepancies (see Edson et al., 2013 for an overview).

Until recently, there have been limited observations of the air-ocean momentum fluxes in the *nearshore* region of the ocean. The nearshore region includes the surface gravity wave shoaling region (~< 30m depth) and the dissipative surf zone (~< 2m depth). Unlike the open ocean, surface gravity waves become decoupled from the wind-wave relationship and dependent on water depth (h), modifying the dynamical-coupling between the wind and the waves. Furthermore, depth-limited wave breaking occurs within the surf zone reducing the wave height.

Hsu (1970) and Vugts and Cannemeijer (1981) measured elevated drag coefficients, $C_d \sim O(1 \times 10^{-3} - 5 \times 10^{-3})$, related to the surf zone and swash zone. Smith and Bank (1975) recognized that depth-limited wave breaking may have increased their measured C_d owing to their tower being deployed on a sand spit. During Hurricane Ike in 2008, Zachary et al. (2013) and Powell (2008) measured elevated C_d values in the nearshore compared with the open ocean. Anctil and Donelan (1996) found increased C_d values for waves shoaling from 12 m to breaking in 2 m water depth. Shabani et al. (2014, 2016) found that measured Cd
for near-neutral, atmospheric stability over the shoaling region and surf zone were O(2)
times larger than open-ocean estimates, which they ascribe to the wave celerity (c) and
shape effects. Similar to Anctil and Donelan (1996), they suggested that as the wave shoals,
wave speed slows relative to the wind speed (U) increasing Cd.

45 Total aerodynamic roughness,
$$z_0$$
, is composed of

47 where z_v is the viscous smooth flow roughness, or tangential stress, associated with the sea 48 surface (Charnock, 1955),

$$z_v = \alpha \frac{u_*^2}{g},\tag{2}$$

50 where $\alpha \sim 0.011$ (Charnock, 1955; Smith, 1988; Fairall et al., 1996), g is the gravitational 51 acceleration, and u_* is the shear velocity. z_w is the wave aerodynamic roughness, owing to 52 form drag and flow separation due to the presence of waves associated with rough flow 53 (Donelan, 1990; Banner and Pierson, 1998; Reul et al., 2008; Mueller and Veron, 2009). 54 $z_{\rm f}$ is the aerodynamic roughness due to spray droplets and foam and is often included in $z_{\rm w}$ 55 or z_v. Though z_o can be a linear summation, C_d is not a linear summation (Edson et al., 56 2013). z_o and C_d at the 10m (subscript 10) for neutral atmospheric stability (subscript N) 57 are related by

58
$$C_{dN10} = \frac{\kappa}{\ln(^{10}/z_0)},$$
 (3)

59 where κ (=0.4) is the von Karman constant. Vickers et al. (2013) found that Eq. 2 generally 60 works well for near-neutral stable observations ignoring sea state. Andreas et al. (2012) 61 suggests that the smooth flow formulation (z_v) works for U<8m/s and Donelan (1990) 62 found that the sea becomes fully rough at 7.5 m/s. This implies that z_w becomes important 63 for U>8m/s. Andreas et al. (2012) and Edson et al. (2013) found empirical data fits that are 64 a function of U_{N10} using a modified α in Eq. 2. Golbraikh and Shtemler (2016) developed 65 a z_f relationship related strictly to percentage of open-ocean foam coverage and U. It is 66 important to recognize that roughness is increased by an order of magnitude by the 67 presence of foam as compared with a non-foam water surface.

68 Shabani et al. (2014) indirectly posed a fundamental question – if C_d increases 69 within the surf zone, how are the surf zone waves different from the open ocean waves? 70 Here an alternative hypothesis is proposed that the surface roughness of foam (z_f) generated 71 by depth-limited wave breaking inside the surfzone also contributes to the increased C_d 72 (Figure 1). Within the surfzone, since surface gravity waves are decaying, the potential 73 influence of the wave form drag (z_w) relative to z_f may be reduced, while at the same time 74 z_f is increasing due to increased foam coverage by breaking waves. Using Golbraikh and 75 Shtemler (2016), a modified C_d relationship is developed for surfzone foam coverage.

76

2. Field Experiment

Co-located sonic anemometers, temperature, and relative humidity sensors were mounted on six, 6-m high towers and deployed simultaneously on four different sandy beaches within the surfzone and near the high-tide line located along 10 km of shoreline in Monterey Bay, CA. Continuous measurements for four weeks in May-June 2016 were divided into 15 minute blocks for analysis. The analysis for computing momentum fluxes

83

and procedures for quality controlling the data are given in Aubinet et al. (2012), which is similar to that described by Shabani et al. (2014) and Ortiz-Suslow et al. (2014).

A pressure sensor and temperature string was deployed in 10m water seaward of each beach tower. Significant wave height (H_{sig}), average wave period (T_{avg}), and wave set-up were estimated from the pressure observations (Dean and Dalrymple, 1995). The tower position and elevation and beach profile were surveyed with a GPS. The distance between the waterline and tower location including wave set-up was estimated for each stress measurement.

90 H_{sig} and T_{avg} ranged between 0.3-2m and 6-13s associated with local storm-91 generated events. U₆ measured at 6m elevation ranged from 0-10m/s, with maxima in the 92 late afternoon reducing to near zero at night. A diurnal cycle is observed that is occasionally 93 modified by larger meso-scale atmospheric storm events. The beach air temperature ranged 94 between 10-20°C. The water temperature ranged from 12-18°C. The difference of air and 95 water temperatures is predominantly negative implying the atmosphere behaved as an 96 unstable system. Owing to the limitations of empirical formulations used in comparing 97 results, momentum flux data are filtered to limit the range of atmospheric stabilities (ζ) to 98 $-2 < \zeta < 0.5$, U₆>3m/s, and to onshore wind directions that are between $\pm 40^{\circ}$ relative to shore-99 normal. Atmospheric stability is measured as $\zeta = z/L$, where

100
$$L = \frac{-u_*^3 T_v}{kg \langle w' \theta_v' \rangle},\tag{4}$$

101 where T_v is virtual temperature, w' and θ_v are the turbulent vertical velocity and turbulent 102 virtual potential temperature perturbations, and <> denotes time average. These limitations reduced the analyzed data to 3031 onshore records, out of which 630 records are
represented by the surf zone (discussed below), representing 21% of the total data acquired.

105 The Monterey Bay nearshore system is composed of a relatively steep (1:10) 106 foreshore beach flattening out to a low-tide surfzone terrace (1:100) continuing with a 1:30 107 offshore slope (MacMahan et al., 2010). The offshore distance for which c equals U_6 , is 108 referred to as the decoupling distance (x_{dc}), inside of which the decreasing speed of 109 shoaling waves may increase drag (Antcil and Dolelan, 1996). For the experiment, x_{dc} 110 equals 220m± 80m (1 standard deviation). Considering the surf width is O(100m), the surf 111 zone represents ~30% of the nearshore region for the experimental wind conditions.

112 2.1 Footprint Analysis

113 A basic assumption for computing momentum fluxes is that the measurement 114 environment is homogeneous. The nearshore is a heterogeneous environment. The 115 footprint represents the source location where the measured turbulence originates and is 116 estimated by an empirical model that accounts atmospheric stability conditions (Hsieh et 117 al., 2000). It is important to recognize that turbulence measurements obtained on the beach 118 represent turbulence that originates over the ocean that is advected by the wind. The 119 footprint distance (x) increases with increasing stability, wind speed, and measurement 120 elevation (z) and is represented by a skewed probability density function, f(x,z), as 121 described by

122
$$f(x,z) = \frac{1}{\kappa^2 x^2} D z_u^P |L|^{1-P} exp\left(\frac{1}{\kappa^2 x} D z_u^P |L|^{1-P}\right),$$
 (5)

123 where D=0.28, P=0.59 for unstable conditions, D=0.97, P=1 for near neutral conditions,

and D=2.44, P=1.33 for stable conditions (Hsieh et al., 2000). z_u is defined as

125
$$z_u = z (\ln(z/z_o) - 1 + z_o/z).$$
 (6)

Researchers typically use the maximum of the f(x,z) to denote the source location. Here,the relative percentage of contribution for the source region, R, is estimated by

128
$$R = \frac{\int_{x_1}^{x_2} f(x,z)dx}{\int_0^{\infty} f(x,z)dx},$$
 (7)

where the particular footprint source region, f(x,z), is defined between two cross-shore locations (x_1 and x_2). The data were sub-divided into two categories: the surf zone and seaward of the surf zone based on f(x,z). Data for a region are only considered when R is greater than 70% for that region. Filtering the data for $-2<\zeta<0.5$ and U>3m/s, eliminated all dry-beach observations. It is recognized that the footprint analysis approach, particularly for a heterogeneous environment, is not absolute, but is first step in evaluating C_d for the surfzone region.

This also highlights the applicability of these results to other beaches. For the surf zone to be the primary turbulent source region, the nearshore waters need to be warmer than the associated air temperatures setting up an unstable atmospheric scenario allowing for a relatively narrow footprint to develop.

140 **3. Results**

141 The uncertainties in using stability functions based on Monin-Obukuv similarity theory for 142 adjusting to the stability-corrected C_{dN10} are well-recognized, resulting in a wide range of 143 C_d, even over homogeneous terrains (Andreas et al., 2012). To avoid these uncertainties,
144 C_{d6} is estimated first directly at z=6m by

145
$$C_{dz} = \left(\frac{\rho_a(u'w')}{U_z}\right)^2 = \left(\frac{u_*}{U_z}\right)^2,\tag{8}$$

where ρ_a is the air density, u' and w' are the turbulent horizontal and vertical velocity perturbations (as measured herein), and <> denotes time average. C_{d6} is $O(2x10^{-3})$ for the surf zone (Figure 2a). C_{d6} seaward of the surf zone is $O(1.5x10^{-3})$ (Figure 2a). This suggests that C_{d6} increases over the surf zone. C_{dN10} calculated as a function of U_{N10} using Eq. 8 collapses toward $O(1.5x10^{-3})$ (Figure 2b). U_{N10} for non-neutral conditions is calculated by

151
$$U_{N10} = \frac{u_*}{\kappa} \Big[ln \frac{10}{z_o} - \psi(\zeta) \Big],$$
(9)

152 where $\Psi(\zeta)$ is the empirical function of the stratification based on stability. Observed open 153 ocean unstable estimates of C_{d10} are larger than C_{dN10} (Vickers et al., 2013). Here it is 154 further related to the footprint analysis, where unstable (stable) conditions result in a 155 smaller (longer) and closer (farther) footprint. Applying Monin-Obukuv similarity theory, 156 $C_{d10}(-\zeta)$ [$C_{d10}(+\zeta)$] values corrected to C_{dN10} are reduced [increased]. In practice, the C_d per 157 source region is dependent upon ζ , which will collapse to a similar C_{dN10}. For the moment, 158 the similarity of C_{dN10} (Figure 2b) is suggested as unique and that the different regions 159 (Figure 2a) potentially represent different mechanisms for modifying C_d.

160 **4. Surfzone foam coverage drag coefficient model**

Golbraikh and Shtemler (2016) developed an empirical model for C_d as function of
 U and foam coverage, δ_f. C_d linearly increases with fractional foam coverage owing to

163 white-capping until saturated foam coverage. Holthuijsen et al. (2012) suggests z_0 of foam 164 is related to the characteristic size of the foam bubbles. The sea foam bubble roughness (k)165 is 0.1-2mm (Soloviev and Lukas, 2006) resulting in a surprisingly similar z_0 between 0.1-166 2mm (Powell et al., 2003). The correlation between aerodynamic and geometric roughness 167 is believed related to the idea that the foam is moving in high wind (Golbraickh and 168 Shtemler, 2016). For the surf zone, the foam is assumed not to be moving, as the foam is 169 generated by a wave roller of a self-similar bore and is left behind as the bore moves 170 forward.

Golbraikh and Shtemler (2016) suggest z_o averaged over the sea surface, S, is
described as

173
$$z_o = \frac{s - s_f}{s} z_{ff} + \frac{s_f}{s} z_f = (1 - \delta_f) z_{ff} + \delta_f z_f, \tag{10}$$

174 where $S=S_{ff}+S_f$, where S_{ff} is the foam-free surface and S_f is the foam surface, z_{ff} is the 175 foam-free aerodynamic roughness, z_f is the foam-covered aerodynamic roughness, and δ_f 176 $=S_f/S$ is the fractional foam coverage. For the open ocean, Holthuijsen et al. (2012) 177 developed a δ_f approximation as function of a U₁₀. For the surf zone, δ_f is approximated 178 for depth-limited wave breaking as given by Sinnett and Feddersen (2016)

179
$$\delta_f = \frac{m\langle \varepsilon_r \rangle}{\rho(gh)^{3/2}},\tag{11}$$

180 where m \cong 400 and is a fit parameter, $\langle \varepsilon_r \rangle$ is the wave roller dissipation and *h* is the water 181 depth (Battjes, 1975; Feddersen, 2012a,b). The roller dissipation is given by

182
$$\langle \varepsilon_r \rangle = \frac{2gE_r \sin\beta}{c},$$
 (12)

where E_r is the roller energy density and the slope of the roller surface, $\sin\beta=0.1$ (Deigaard, 1993; Duncan, 2001). $\langle \varepsilon_r \rangle$ is estimated from the one-dimensional wave and roller transformation models (Thornton and Guza, 1983; Ruessink et al., 2001) for normallyincident, narrow-banded waves. The roller energy model is defined as

187
$$\frac{d}{dx} \left(EC_g + 2E_r c \right) = -\langle \varepsilon_r \rangle, \tag{13}$$

188 where *E* is the wave energy density, $E = \frac{1}{8}\rho g H_{sig}^2$, C_g is the group velocity, and *x* is the 189 cross-shore coordinate frame. The Sinnet and Feddersen (2016) surfzone foam coverage 190 model is similar to the breaking wave intensity model as measured by whiteness (as an 191 indication of foam) in video images by Aarninkhof and Ruessink (2004), who also finds 192 the breaking intensity is related to the roller energy dissipation. Examples of the wave 193 height and δ_f are provided in Figure 3a,b for the experiment conditions.

For Monterey beach, δ_f averaged over the surfzone from $H_{sig}(max)$ to the beach is estimated for a range of wave heights and wave periods resulting in a δ_f of 0.35-0.55 (Figure 3a). The foam roughness is defined as

197
$$z_f \approx \delta_f k \approx \delta_f \frac{\kappa}{3}$$
, (14)

198 where k is the geometric roughness of foam. Applying constant $z_{ff}=2x10^{-4}$ m (Charnock, 199 1955) and $z_f=2x10^{-3}$ m (Soloviev and Lukas, 2006), the resulting C_{dN10} is O(2 x10⁻³) (Figure 200 3b). The open-ocean estimate of z_f being similar to *k* is most likely an over estimate in the 201 surfzone owing to the foam not moving. Reducing z_f by $\sim k/3$ as suggested by land 202 relationships by Neild et al. (2013) results in a C_{dN10} O(1.5 x10⁻³) (Figure 3c) similar to the 203 observations (Figure 2b). The foam-free $z_{\rm ff}$ empirical relationship can be described as a function of wave age, c/u_* , in the open ocean to account for wave form (Drennan et al., 2003),

206
$$z_{ff} = \frac{H_{sig}}{4} 13.4 \left(\frac{u_*}{c}\right)^{3.4}.$$
 (15)

207 with the concept that wave age represents a measure of wave height, and therefore 208 roughness, in generation region. Eqs. 2, 10, 14, and 15 are applied across the shoaling 209 region and surf zone to evaluate the relative contributions of z_0 and C_{dN10} (Figure 4c,d). z_{ff} (Eq. 15) increases within the surf zone owing to decreasing c, while H_{sig} is decreasing 210 211 (Figure 4a). It is also suggested that $z_{\rm ff}$ should decrease in the surf zone, as the waves are 212 decreasing in amplitude which should reduce the form drag. For low winds within the surf 213 zone, z_0 and C_{dN10} appear to be governed more by foam, Eq. 14 (Figure 4c,d). As the winds 214 increases, $z_{\rm ff}$ (Eq. 15) unrealistically grows (Figure 5a,b), because c remains a depth-215 limited constant but u^{*} continues to increase with increasing U. This questions the validity 216 of Eq. 15 parameterized using wave age within the surf zone, particularly for faster wind 217 cases. Using Eq. 2 (*Charnock formulation*) for $z_{\rm ff}$ and $z_{\rm f} \sim k/3$ in Eq. 10 (black line in Figure 218 5a,b) results in similar observed surfzone C_{dN10} estimates (black dots in Figure 5a,b). This 219 suggests that summation of Charnock formulation Eq. 2 for z_{ff} and the modified foam 220 model, Eq. 14, in Eq. 10 provides a reasonable estimate of the aerodynamic roughness and 221 corresponding drag coefficient for the surf zone.

222 Summary & Conclusion

The coupled dynamical relationship between wind and waves in the nearshore region differs from the open ocean. Unlike the open ocean, where surface foam increases as a function of wind speed and concomitant wave height, the wave heights decay while the

- 226 foam generation increases within the surf zone. This suggests that aerodynamic roughness,
- 227 z_0 , associated with form drag decreases in the surf zone, while surface foam stress increases.
- 228 Modifying a z_o foam model for the open ocean to a surfzone foam model results in
- 229 predicted values similar to observed surfzone C_d.

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Figure 1. Picture of the 6-m tall, momentum flux tower deployed on the beach in Monterey, CA highlighting the foam surface coverage and texture within the surf zone in the background. Sonic anemometers were collocated with temperature-humidity sensors located on top of the tower, solar panels were located in the middle, and the data acquisition system is located in the white box. Towers were deployed at the high-tide line, where the tower base was approximately 1.2m above mean sea level.

- **Figure 2. a)** C_{d6} as function of U_6 and **b**) C_{dN10} as a function of U_{N10} for R>70% (Eq. 7)
- 361 for beyond the surf zone (black squares) and the surf zone (gray triangles). Error bars

represent 95% confidence intervals. Colored dots in the center of the symbols representnumber of points per bin as described by colorscale to the right.

Figure 3. a) Average surfzone foam coverage, δ_f (Eq. 11), **b**) C_{dN10} for $z_{ff}=2x10^{-4}$ m and z_f =2x10⁻³m, and **c**) C_{dN10} for $z_{ff}=2x10^{-4}$ m and $z_f = (2x10^{-3})/3$ m, as function of wave height and wave period. Colorscales plotted on top for δ_f and C_{dN10} .

Figure 4. The cross-shore distribution of **a**) wave height, **b**) fractional foam coverage, **c**) aerodynamic roughness, and **d**) drag coefficient using a $H_{sig}=1.4m$, $T_{avg}=8s$, and u*=0.2(U~8m/s), which are representative conditions for the experiment, and a measured beach profile. ff is foam-free (black line, Eq. 15), f is foam (black dashed line, $z_f \sim k/3$, Eq. 14), and o is total (gray line, Eq. 10 using Eq. 2 and Eq. 14).

5. a) Neutral, 10m, drag coefficient, C_{dN10} and b) aerodynamic roughness, z, for *Charnock formulation*, Eq. 2 (ff, gray line), *wave age formulation*, Eq. 15 (ff, gray dashed line), *surfzone foam formulation*, Eq. 14 (f, black dashed line), and the *Charnock plus surfzone foam formulation*, Eq. 10 (black line), as function of U_{N10}. ff is foam-free (Eq 2. or Eq. 15), f is foam (Eq. 14), and o is total (Eq. 10). Gray triangles with error bars shown in **a**) are measured surfzone C_{dN10} estimates.

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Figure 1. Picture of the 6-m tall, momentum flux tower deployed on the beach in Monterey, 390 CA highlighting the foam surface coverage and texture within the surf zone in the 391 background. Sonic anemometers were collocated with temperature-humidity sensors 392 located on top of the tower, solar panels were located in the middle, and the data acquisition 393 system is located in the white box. Towers were deployed at the high-tide line, where the 394 tower base was approximately 1.2m above mean sea level.



Figure 2. a) C_{d6} as function of U_6 and **b**) C_{dN10} as a function of U_{N10} for R> 70% (Eq. 7) for beyond the surf zone (black squares) and the surf zone (gray triangles). Error bars represent 95% confidence intervals. Colored dots in the center of the symbols represent number of points per bin as described by colorscale to the right.



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401 **Figure 3.** a) Average surfzone foam coverage, δ_f (Eq. 11), b) C_{dN10} for $z_{ff}=2x10^{-4}$ m and z_f 402 =2x10⁻³m, and c) C_{dN10} for $z_{ff}=2x10^{-4}$ m and $z_f =(2x10^{-3})/3$ m, as function of wave height 403 and wave period. Colorscales plotted on top for δ_f and C_{dN10} .



Figure 4. The cross-shore distribution of **a**) wave height, **b**) fractional foam coverage, **c**) aerodynamic roughness, and **d**) drag coefficient using a $H_{sig}=1.4m$, $T_{avg}=8s$, and u*=0.2(U~8m/s), which are representative conditions for the experiment, and a measured beach profile. ff is foam-free (black line, Eq. 15), f is foam (black dashed line, $z_f \sim k/3$, Eq. 14), and o is total (gray line, Eq. 10 using Eq. 2 and Eq. 14).

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- **5.** a) Neutral, 10m, drag coefficient, C_{dN10} and b) aerodynamic roughness, z, for *Charnock formulation*, Eq. 2 (ff, gray line), *wave age formulation*, Eq. 15 (ff, gray dashed line),
- *surfzone foam formulation*, Eq. 14 (f, black dashed line), and the *Charnock plus surfzone*
- 418 foam formulation, Eq. 10 (black line), as function of U_{N10} . ff is foam-free (Eq 2. or Eq. 15),
- 419 f is foam (Eq. 14), and o is total (Eq. 10). Gray triangles with error bars shown in **a**) are
- 420 measured surfzone C_{dN10} estimates.