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Surface Wave Effects on the Wind-Power Input to Mixed Layer Near-inertial Motions

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26 **Abstract**

27 Ocean surface waves play an essential role in a number of processes that modulate the
28 momentum fluxes through air-sea interface. In this study, the effects of evolving
29 surface waves on the wind power input (WPI) to near inertial motions (NIMs) are
30 examined by using momentum fluxes from a spectral wave model and a simple slab
31 ocean mixed layer model. Single-point numerical experiments show that, without
32 waves, the WPI and the near-inertial kinetic energy (NI-KE) are overestimated by
33 about 20% and 40%, respectively. Globally, the overestimate in WPI is about 10%
34 during 2005-2008. The largest surface wave effects occur in the winter storm track
35 regions in the mid-latitude northwestern Atlantic, Pacific and in the Southern Ocean,
36 corresponding to large inverse wave age and rapidly varying strong winds. A
37 relatively low frequency of occurrence of wind sea is found in the mid-latitudes,
38 which implies that the influence of evolving surface waves on WPI is intermittent,
39 occurring less than 10% of the total time, but making up the dominant contributions to
40 reductions in WPI. Given the vital role of NIMs in diapycnal mixing at the base of the
41 mixed layer and the deep ocean, the present study suggests that it is necessary to
42 include the effects of surface waves on the momentum flux, for example in studies of
43 coupled ocean-atmosphere dynamics, or climate models.

44

45

46

47 **1. Introduction**

48 Ocean surface waves and near inertial motions (NIMs) are typically generated by
49 winds over the ocean surface, transferring momentum and energy from the
50 atmosphere to the ocean, mostly through resonant interactions. Specifically, surface
51 waves are generated via the resonance between the wind pressure perturbations and
52 initial capillary waves on the ocean surface, which are amplified and grow (e.g., Miles
53 1957, 1962; 1965; Phillips 1957; Belcher and Hunt 1993; Janssen 2004). By
54 comparison, NIMs are generated and amplified in the oceanic mixed layer by near
55 inertial wind fluctuations mainly from winter storms (e.g., D'Asaro 1985; 1995).

56 Near inertial motions are ubiquitous in the upper ocean. In the open oceans, near
57 inertial waves (NIWs) are excited by convergences and divergences of near inertial
58 motions in the mixed layer. The NIWs are the dominant mode of the high-frequency
59 variability of ocean processes (e.g., Kunze 1985; Ferrari and Wunsch 2009; Alford
60 2016). They are thought to be a source of abyssal diapycnal mixing which drives the
61 meridional overturning circulation and maintains the abyssal stratification (Munk and
62 Wunsch 1998; Wunsch and Ferrari 2004; Jing and Wu 2014; Alford et al. 2016). In
63 addition, because of the strong associated shear variance, NIMs play a significant role
64 in setting the depth of the ocean mixed layer (e.g., Jochum et al. 2013). By accounting
65 for the effects of NIMs in a climate model, it is found that NIMs can have a potential
66 global impact on the atmospheric circulation by teleconnections that influence the sea
67 surface temperature, especially in the tropics (Jochum et al. 2013). Therefore, given

68 the potential role of NIMs in the upper ocean, deep ocean and thus, the global climate
69 system, knowledge about the magnitude and spatiotemporal patterns of wind power
70 input (WPI) into NIMs in the oceanic mixed layer is of vital importance and is being
71 extensively analyzed. Recent estimates of WPI have included applications of simple
72 slab ocean models, as well as more sophisticated general circulation models (Alford
73 2001, 2003; Furuichi et al. 2008; Zhai et al. 2005, 2007, 2009; Rath et al. 2013, 2014;
74 Rimac et al. 2013; 2016).

75 Typically, no matter which model is applied, the wind stress parameterization is
76 the most critical factor, determining most of the uncertainties in WPI and NIMs (e.g.,
77 Alford 2001). Generally, accurate estimates of WPI are sensitive to the temporal and
78 spatial resolutions of surface wind stress (e.g., [Klein et al. 2004](#); Jiang et al. 2005;
79 Rimac et al. 2013). As well, it has been reported that a large reduction of about 40%
80 of the estimated NI-KE for the Southern Ocean occurs when the ocean surface
81 velocity is included in the wind stress parameterization (Rath et al. 2013). Besides, in
82 most ocean general circulation models (OGCMs), estimates of WPI are reduced
83 owing to the linear temporal and bilinear or bicubic spatial interpolation methods used
84 for wind stress (Jing et al. 2015; 2016). However, when estimating WPI, these studies
85 overlook an important process, that is, the development of surface waves (sea-state),
86 which are capable of influencing the momentum flux from the atmosphere to the
87 ocean. Generally, the main contributions to WPI occur when moving storms generate
88 high winds as they pass over the ocean (e.g., D'Asaro 1985; Wunsch and Ferrari

89 2004). As storms go from generation, to growth and development, and finally to
90 dissipation, they are also drivers for associated surface waves.

91 Surface waves, as the most visible oceanic phenomenon, on one hand, directly
92 affecting the ocean surface boundary layer (OSBL), sea surface temperature and
93 vertical mixing through wave breaking, Langmuir turbulence and non-breaking wave
94 induced turbulence (e.g., Craig and Banner, 1994; Craig 1996; Polton and Belcher
95 2007; McWilliams et al. 2012; Qiao et al., 2004; Fan and Griffies 2014). On the other
96 hand, surface waves indirectly affect the upper ocean dynamics by modifying the
97 momentum fluxes injected into the ocean column. Specifically, the wind forcing on
98 the surface waves, defined as air-side stress τ_a and the exact momentum forcing
99 causing the generation of currents, like NIMs, in the ocean column, defined as
100 water-side stress τ_{oc} are dependent on the surface wave evolution (e.g., Janssen 2004;
101 Janssen 2012; [Breivik et al. 2015](#)).

102 On the air side, the presence of surface waves determines the oceanic surface
103 roughness felt by the airflow, which modulates the drag coefficient (e.g., Donelan et
104 al. 1993; 1995; [Liu et al. 2011; 2013](#)) and therefore determines τ_a . On the water side,
105 when air-side stress τ_a forces the ocean boundary layer, it is felt firstly by the
106 surface waves. During their growing stage, surface waves absorb and store energy and
107 momentum from the wind which are released when the surface waves break (e.g.,
108 [Ardhuin et al., 2004; Janssen 2004; Raschle et al. 2006; Ardhuin and Jenkins 2006;](#)
109 Janssen 2012). These wave-related processes decrease (or increase) the water-side

110 stress τ_{oc} relative to the air-side stress τ_a , depending on whether surface waves are
111 growing or decaying.

112 Currently, all the estimates of WPI implicitly assume $\tau_a = \tau_{oc}$, which means that
113 there is no net momentum gain or loss due to surface waves. This assumption results
114 in large biases in regions dominated by growing surface waves in the open oceans, for
115 example the mid-latitude storm track areas (e.g., Chen et al., 2002; [Hanley et al. 2010](#)).
116 Typically, $\tau_a = \tau_{oc}$ happens only when the surface waves are in equilibrium, with
117 the energy injected by the wind in balance with wave dissipation. However, this case
118 rarely happens through the analysis of global wave data generated by spectral wave
119 models (e.g., [Hanley et al. 2010](#)).

120 The main objective of this paper is to estimate and qualify the influence of surface
121 wave evolution on WPI and to examine the geographical variation of this effect over
122 the global oceans during years from 2005 to 2008. Typically, under extreme
123 conditions, like hurricanes/storms, momentum flux is reduced by as much as 10%
124 locally around the hurricane/storm center and advected away due to surface waves
125 (e.g., [Ardhuin et al., 2004](#); Janssen 2012). This is especially the case during fast
126 moving storms, when the reduction of momentum flux to the ocean can reach 25%
127 ([Fan et al. 2010](#)). Thus, a certain portion of momentum is effectively stored in the
128 ocean surface wave field during their growing stage. Concomitantly, with the passage
129 of hurricanes/storms, most of the NIMs are generated and the greatest WPI occurs
130 (e.g., D'Asaro 1985, Wunsch and Ferrari 2004), often corresponding to the growing

131 stage of the surface waves (e.g., Hwang 2016). Thus, the evolution of surface waves
132 exerts significant effects on NIMs and thus on WPI in ocean areas having high winds
133 and rapid storm variability.

134 The paper is organized as follows. Section 2 describes the momentum fluxes τ_a
135 and τ_{oc} generated by a modern operational wave model and near inertial currents
136 generated by a simple slab model. A single-point numerical experiment is described,
137 with time series analysis of the effects of surface waves on WPI and NI-KE. Section 3
138 describes the spatial distribution of seasonally averaged WPI, inverse wave age and
139 the frequency of occurrence of wind sea and swell regimes. The spatial relationship
140 between WPI, inverse wave age and associated parameters over different ocean basins
141 is also presented. Discussion and Conclusions are given in Section 4.

142

143 **2. Data and Methods**

144 **2.1 Surface wave effects on near inertial motions**

145 Surface waves can directly influence slowly evolving long waves, such as
146 infragravity waves, as well as ocean currents including near inertial currents. There
147 are six major processes dominating the impacts of surface waves in the upper ocean
148 dynamics: wave breaking; Langmuir turbulence; non-breaking wave induced
149 turbulence; Coriolis-Stokes force represented as $-\mathbf{f} \times \mathbf{U}_s$ where \mathbf{f} is the Coriolis
150 parameter and \mathbf{U}_s is the Stokes drift; radiation stress or the equivalent vortex force
151 plus the Bernoulli head gradient (McWilliams 2004); and 6) water-side stress τ_{oc}

152 with its dependence on sea-state. Among these, wave breaking plays an important role
153 in enhancing turbulence in the uppermost ocean. Langmuir turbulence and
154 non-breaking wave induced turbulence are believed to significantly elevate the mixing
155 level intensity and to possibly deepen the mixed layer depth in the upper ocean (*e.g.*,
156 [Craig and Banner 1994](#); [Qiao et al. 2004](#); [McWilliams et al. 1997](#); 2012; Wu et al.
157 2015).

158 The mixed layer depth is a critical parameter for calculating the WPI. Here, we
159 use the monthly climatological mixed layer depth in the slab model, computed as the
160 shallowest occurrence of potential density bigger than 0.125 kg/m^3 (Monterey and
161 Levitus (1997)). The monthly climatological mixed layer is implicitly assumed to
162 include the effects of wave breaking, Langmuir turbulence and non-breaking wave
163 induced turbulence. The Stokes-Coriolis force and radiation stress represent additional
164 forces due to the presence of surface waves. Although both can directly drive the near
165 inertial currents (Hasselmann 1970), the investigation of near inertial motions driven
166 by processes associated with surface waves is beyond the range of this paper and will
167 be discussed elsewhere. In this paper, we focus on the effects of sea-state evolution on
168 the water-side stress τ_{oc} and thus on estimating WPI over the global oceans. As
169 shown in the following sections, because of the presence of growing surface waves,
170 the momentum lost from the atmosphere is partially stored in surface waves, and
171 therefore cannot immediately provide the forcing for the Eulerian currents. This result

172 is especially evident for strong rapidly varying wind events like hurricanes and storms,
 173 where most of the WPI occurs.

174

175 **2.2 Air-side stress τ_a and water-side stress τ_{oc}**

176 In order to account for the effect of the evolution of surface waves in modulating
 177 the water-side stress τ_{oc} , a third-generation spectral wave model, WAVEWATCH-III,
 178 is used to obtain the momentum flux from the atmosphere to surface waves (Rasclé et
 179 al. 2008; Rasclé and Ardhuin 2013). Typically, a spectral wave model (Tolman 2002;
 180 2014) solves the spectral balance equation (Komen et al. 1994) to give the
 181 two-dimensional wave energy spectrum $E(\omega, \theta)$, as a function of angular frequency
 182 ω and direction θ , according to the equation,

183

$$184 \quad \frac{\partial E}{\partial t} + \frac{\partial}{\partial x} \cdot (\mathbf{c}_g E(\omega, \theta)) = S_{in} + S_{nl} + S_{diss} \quad (1)$$

185

186 where \mathbf{c}_g is the wave group velocity. Here, the terms on the right side are the source
 187 terms which represent the wind input to surface waves (S_{in}), the nonlinear wave-wave
 188 interactions (S_{nl}), and wave dissipation (S_{diss}) (e.g., Komen et al. 1994). Based on the
 189 wave spectrum, the total wave momentum \mathbf{P} is defined as

190

$$191 \quad \mathbf{P} = \rho_w g \int_0^{2\pi} \int_0^\infty \frac{\mathbf{k}}{\omega} E(\omega, \theta) d\omega d\theta, \quad (2)$$

192

193 where ρ_w is density of sea water, g is the gravity acceleration and \mathbf{k} is the
 194 wavenumber vector. It follows from equation (2) that the momentum fluxes to, and
 195 from, the wave field are given by the rate of change of wave momentum in time. One
 196 may distinguish different momentum fluxes depending on the different physical
 197 processes. Combining (1) and (2), the wave induced stress, representing the
 198 momentum flux from the atmosphere to waves, is given by

199

$$200 \quad \boldsymbol{\tau}_{in} = \rho_w g \int_0^{2\pi} \int_0^{\infty} \frac{\mathbf{k}}{\omega} S_{in}(\omega, \theta) d\omega d\theta \quad (3)$$

201

202 where S_{in} describes the generation and growth of surface waves forced by the
 203 air-side stress $\boldsymbol{\tau}_a$ and therefore represents the momentum and energy transfer from
 204 the atmosphere to ocean surface waves. While the wave dissipation stress is given by

205

$$206 \quad \boldsymbol{\tau}_{diss} = \rho_w g \int_0^{2\pi} \int_0^{\infty} \frac{\mathbf{k}}{\omega} S_{diss}(\omega, \theta) d\omega d\theta, \quad (4)$$

207

208 where S_{diss} describes the dissipation of waves by processes such as white-capping,
 209 large scale breaking and eddy-induced damping and bottom friction. It is important to
 210 note from equations (2) - (4) that the momentum flux is mainly determined by the
 211 high frequency region of the wave spectrum, whereas to some extent, the energy flux
 212 is determined by the low frequency waves, e.g., swell. Therefore, the water-side stress
 213 $\boldsymbol{\tau}_{oc}$, representing the momentum flux to the oceanic column, can be represented as the

214 sum of the flux transferred by turbulence across the air-sea interface, $\tau_a - \tau_{in}$ and
 215 the momentum flux transferred from the waves into the currents due to wave
 216 breaking, τ_{diss} ,

217

$$218 \quad \tau_{oc} = \tau_a - \rho_w g \int_0^{2\pi} \int_0^{\infty} \frac{\mathbf{k}}{\omega} (S_{in} - S_{diss}) d\omega d\theta . \quad (5)$$

219

220 Therefore, in rapidly varying circumstances over the open ocean, such as explosively
 221 developing storms, the fluxes are dependent on the surface wave development. In the
 222 coastal areas, the fetch also affects the momentum stored in the wave field (Mitsuyasu
 223 1985; Ardhuin et al., 2004). Note that most of the momentum flux τ_a from the
 224 atmosphere to the ocean transits through the wave field. With the exception of very
 225 low winds (less than 2.5 m/s), only a small fraction of τ_a , goes directly from the
 226 atmosphere to the ocean via the mean viscous stress at the surface, which can be
 227 represented as $\tau_a - \tau_{in}$ (Dobson 1971; Snyder et al. 1981; Donelan 1998).

228 In this present study, τ_a and τ_{oc} are provided by the well-validated IOWAGA
 229 (Integrated Ocean Waves for Geophysical and Other Applications) global wave
 230 hindcast, constructed by WAVEWATCH-III wave model (Tolman 2014), using
 231 newly developed parameterizations for wave generation (S_{in}) and explicit swell
 232 dissipation (S_{diss}) source terms, which are the most important source terms for
 233 estimating the momentum fluxes (Ardhuin et al. 2010; Rascle and Ardhuin 2013). The
 234 IOWAGA wave hindcast was constructed by using winds from the Climate Forecast

235 System Reanalysis (CFSR) data set (Saha et al. 2010). The spatial grid resolution is
236 0.5° by 0.5° covering the entire ocean. The wave spectra were discretized by using 32
237 frequencies exponentially spaced from 0.038 Hz to 0.72 Hz so that the bandwidth
238 between two successive frequencies f_i and f_{i+1} is $0.10 f_i$, with a constant 15° angular
239 discretization given by 24 angular directions.

240 The momentum flux from waves to ocean currents, denoted as the water-side stress
241 τ_{oc} , involves solely the sum of the source functions of the spectral wave energy
242 equation and therefore only involves the total rate of change of wave momentum. In
243 addition, the first moment of the wave energy spectrum, *e.g.*, the wave mean period, is
244 directly connected to wave momentum (*e.g.*, Holthuijsen 2007; Janssen 2012).
245 Therefore, it follows that any wave model that produces accurate mean wave periods
246 in comparison with buoy measurements will also produce reliable estimates of the
247 momentum flux from waves to the ocean. Hence, although it may be difficult to
248 directly validate air–sea energy and momentum exchanges with observations, the data
249 applied here can be regarded as reliable, based on the high correlations of the
250 IOWAGA data (*e.g.* wave heights, mean wave periods) with global wave
251 measurements from altimeters, buoys and synthetic aperture radar (SAR) (Rascle et al.
252 2008; Rascle and Ardhuin, 2013).

253

254 **2.3 Estimating WPI with slab model**

255 Generally, because observations of winds and surface currents, at high frequency
256 in time and high spatial resolution, are not available over open oceans, estimates of
257 WPI mainly depend on two modeling approaches. In one approach, high-frequency
258 and high-resolution winds are used to directly drive a primitive equation ocean model
259 to obtain near inertial motions and thereby determine WPI. The calculation of WPI is
260 often completed by taking the dot product of the near inertial current \mathbf{U}_I and the wind
261 stress at the near inertial frequency band $\boldsymbol{\tau}_I$ as,

262

$$263 \quad W = \boldsymbol{\tau}_I \cdot \mathbf{U}_I. \quad (6)$$

264

265 In the other approach, used in the present study, a simple damped slab mixed
266 layer model (hereafter denoted the slab model), is used to describe the temporal
267 evolution of inertial oscillations in a one-dimensional mixed layer of constant depth,
268 as a balance between wind forcing and a parameterized (linear) damping. The slab
269 model applied here follows the formulation proposed by Alford (2003). The equations
270 for the velocity components (u and v) of the oceanic mixed layer (D'Asaro 1985) are
271 given as,

272

$$273 \quad \frac{\partial Z}{\partial t} + (r + i \cdot f)Z = \frac{T}{H} \quad (7)$$

274

275 where $Z = u + i \cdot v$ represents the mixed layer current in terms of complex quantities
 276 and H is the mixed layer depth. In the present study, H is obtained from the monthly
 277 climatology of Monterey and Levitus (1997), T is the wind stress represented as
 278 complex form and r is the frequency dependent damping parameter, often written as
 279 $r = r_o(1 - e^{-\sigma^2/2\sigma_c^2})$, where σ represents the angular frequency, $r_o = 0.15f$
 280 and $\sigma_c = f/2$. Physically, the damping parameter r represents the energy loss
 281 through shear instabilities at the base of the mixed layer (Crawford and Large 1996;
 282 Skillingstad et al. 2000), as well as through the radiation of near-inertial internal
 283 waves into the deep ocean. Taking the Fourier transform of equation (7) leads to a
 284 spectral solution,

285

$$286 \quad \hat{Z}(\sigma) = \frac{\hat{T}(\sigma)}{H} \frac{r - i(f + \sigma)}{r^2 + (f + \sigma)^2}, \quad (8)$$

287

288 where $\hat{T}(\sigma)$ is the wind stress in Fourier space. Therefore, $Z(t)$ can be obtained by
 289 inverting the Fourier transform of $\hat{Z}(\sigma)$, which may be represented as the sum of the
 290 Ekman current, $Z_E(t)$ and the near-inertial current, $Z_I(t) = u_i(t) + i \cdot v_i(t)$,

291

$$292 \quad Z(t) = Z_E(t) + Z_I(t). \quad (9)$$

293

294 Combining equations (7)-(9), estimates for NI-KE and WPI may be given as,
 295 respectively,

296

297
$$\text{NI-KE} = \frac{1}{2}(u_i^2(t) + v_i^2(t)) \quad (10)$$

298 and

299
$$\pi = -\rho_w H \Re \left[\frac{Z_i^*}{\omega} \frac{d}{dt} \left(\frac{T}{H} \right) \right]. \quad (11)$$

300

301 Following the heuristic arguments by Alford (2003), a simplified approximation to
302 equation (11) is made, representing the dot product of the wind stress vector and the
303 mixed layer inertial currents in complex form as,

304

305
$$\pi = \text{Re}(\rho_w \cdot Z \cdot T^*) \quad (12)$$

306

307 where π represents WPI, and $*$ denotes the complex conjugate.

308 We note that the slab model has inherent errors, in terms of its ability to calculate
309 the near inertial current, and hence, to estimate WPI. These inherent errors include: (a)
310 the finite temporal and spatial resolutions of winds, and (b), overestimates due to
311 inadequacies and approximations in the slab model, *e.g.*, lacking the processes related
312 to shearing at the base of mixed layer (Plueddemann and Farrar 2006; Alford and
313 Whitmont 2007; Furuichi et al 2008). Therefore, for these reasons, significant
314 underestimates in WPI may occur. Despite these uncertainties, the estimated order of
315 magnitude for WPI has been shown to still be reliable (*e.g.*, Alford 2001; Alford
316 2003). Moreover, we are only concerned with WPI estimates as determined by the

317 slab model resulting solely with variations in wind stress forcing for the air-side stress
318 τ_a and water-side stress τ_{oc} . Therefore, biases in the slab model are not expected to
319 qualitatively alter our conclusions.

320

321 **2.4 Single-Point WPI and NI-KE estimates for a sudden wind change event**

322 In this section, surface wave effects on WPI and NI-KE are examined, based on
323 the slab model with specified mixed layer depth, forced by a sudden wind event with
324 the varying momentum fluxes (τ_a and τ_{oc}). Generally, under the conditions of
325 wind-wave equilibrium (or fully developed seas), the deviation between τ_a and τ_{oc}
326 will vanish. However, this is rarely the case. Usually, the surface waves are fetch- and
327 duration-limited and winds are rarely constant long enough for seas to become fully
328 developed (*e.g.*, Hasselmann et al., 1973; Perrie and Toulany, 1990; Chen et al. 2002;
329 Drennan et al. 2003). It is known that the wind sea is prevalent in the mid-latitude
330 storm track regions while swell tends to be dominant in the tropics (Hanley et al.
331 2010). These characteristics of surface waves may be assessed based on the values of
332 inverse wave age $1/\beta$, defined as,

333

$$334 \quad 1/\beta = U_{10} \cos \theta_w / c_p. \quad (13)$$

335

336 The inverse wave age is believed to be a useful indicator of the degree of coupling
337 between the wind and waves and in distinguishing different wave regimes (*e.g.*,

338 [Hanley et al. 2010](#)). Here, U_{10} is the wind speed at 10 m above sea level, c_p is the
339 peak phase speed and θ_w is the relative angle between the wind and waves.

340 Following Alves et al. (2003), when $1/\beta > 0.83$, the waves are able to grow by
341 absorbing and storing momentum from winds. During the growing stage, wind-
342 generated waves are dominant and typically $|\tau_a| > |\tau_{oc}|$. The intermediate range,
343 when $0.15 < 1/\beta < 0.83$, corresponds to the mixed wind sea–swell sea state,
344 composed of both wind sea and swell. This range consists of both growing waves
345 extracting momentum from the wind, as well as fast waves (swell) imparting
346 momentum back to the wind. Finally, $1/\beta < 0.15$ corresponds to the
347 swell-dominated stage, in which the sea state is dominated by long-wavelength swell
348 and the momentum flux sometimes experiences sign reversal, which may lead to the
349 situation where $|\tau_a| < |\tau_{oc}|$. Note that growing waves may still extract momentum
350 from winds in this range. However, these are only qualitative descriptions to
351 characterize different sea states and the corresponding status of the air-sea momentum
352 flux, rather than hard limits.

353 As a test case, we consider the effects of the waves on the momentum flux and on
354 WPI in the case of a sudden change in the wind direction and speed, with the mixed
355 layer depth setup as $H = 50$ m at this single point. Specifically, in Fig. 1, we present 4
356 days (day 7.5 to day 12.5) time series of the difference in magnitudes between the
357 air-side stress τ_a and water-side stress τ_{oc} ($\Delta\tau = |\tau_a| - |\tau_{oc}|$), and inverse wave age
358 $1/\beta$, during January 2005 at the location $[57^\circ, -15^\circ]$ in the North Atlantic Ocean. In

359 this case, the most notable sudden wind change lasts approximately from day 10.2 to
360 day 12; the wind increases sharply from about 6 to 30 m/s, followed by a drop to 5
361 m/s and a change in wind direction by about 90° from north to the east. On day 10.2,
362 the inverse wave age is small, $1/\beta = 0.04$, and the corresponding ratio R_a between
363 $\Delta\tau$ and $|\tau_{oc}|$ is only -10.5%, indicating that under swell-dominated conditions, the
364 magnitude of τ_{oc} is larger than that of τ_a . With the sharp wind speed increase
365 beginning at day 10.2, a young wind wave regime begins to be generated with a very
366 high inverse wave age peak $1/\beta = 1.5$. In this situation, the difference between
367 magnitudes of τ_a and τ_{oc} reaches 0.4 N/m^2 , and the ratio R_a increases to 21%.

368 In this section, surface wave effects on WPI and NI-KE are examined, based on
369 the slab model with mixed layer depth specified as $H = 50 \text{ m}$, forced by a sudden
370 wind event with the varying momentum fluxes (τ_a and τ_{oc}). The two components of
371 the near inertial currents (U, V) of the slab modeled are shown in Figs. 2 (a) and (b).
372 When the effects of the surface waves on the momentum fluxes are not included, both
373 components are overestimated by using the air-side stress τ_a . The largest difference
374 in the near inertial motions occurs during the sudden wind event, up to 13%, which
375 corresponds to the peak event shown in Fig. 1, for both inverse wave age $1/\beta = 1.5$
376 and also for $\Delta\tau$. After the sudden wind change event, the difference in near inertial
377 currents is generally lower than 5%.

378 Note that the damping of the near inertial currents takes several inertial periods, or
379 \sim a few days (e.g., Alford 2016). Therefore, after a sudden wind event, strong near

380 inertial currents will last for several days before dissipation, which results from the
 381 latitude-dependent damping parameter r in the slab model. The time series of
 382 NI-KE_a and NI-KE_{oc} calculated from the near inertial currents driven by τ_a and τ_{oc} ,
 383 are shown in Fig. 2(c), and the corresponding time-integrated estimates of NI-KE, in
 384 Fig. 2(d). Here, the time-integrations are computed as $\int \text{NI-KE}_a dt$ and $\int \text{NI-KE}_{oc} dt$
 385 over January 2005. An overestimate (by 35%) occurs in NI-KE_a just after the onset
 386 of the sudden wind change event in Fig 2(c) because of the overestimates of the near
 387 inertial velocity by the air-side wind stress. Moreover, during this wind change event,
 388 the difference in the time-integrated values for NI-KE, specifically $\int \text{NI-KE}_a dt$ and
 389 $\int \text{NI-KE}_{oc} dt$, is up to 40%, and is primarily determined by the increase in NI-KE_a , as
 390 shown in Fig. 2(d). By comparison, during other times (before and after the sudden
 391 wind change event), overestimates in the near inertial current only contribute 5% to
 392 the total NI-KE difference, due to relatively weak wind variations.

393 Finally, by considering the combined effects of forcing stresses (τ_a and τ_{oc}) and
 394 near inertial currents, the time series (denoted π_a and π_{oc}), and time-integrations of
 395 WPIs (denoted as $\int \pi_a dt$ and $\int \pi_{oc} dt$.) are given in Fig. 2(e)-2(f). Consistent with
 396 above results, the difference between $\int \pi_{oc} dt$ and $\int \pi_a dt$ also reflects the
 397 overestimated wind power input (WPI) to the near inertial currents, reaching 19%;
 398 most of the contributions from the surface wave effects in Fig. 2(f) occur during the
 399 sudden wind change event. By comparison, the damping of near inertial currents is
 400 sometimes overestimated by air-side stress τ_a , as indicated by the negative values of

401 WPI, shown in Fig. 2(e), denoted as π_a and π_{oc} . Here, the relative direction between
402 τ_a and the near inertial current is over 90 degrees. Note that although the time series
403 of WPI can have negative values, indicated as π_a and π_{oc} in Fig. 2(e), the
404 time-integrated series for WPI is always positive, indicated as $\int \pi_a dt$ and $\int \pi_{oc} dt$
405 in Fig. 2(f). This suggests that the effects of surface waves will always lower the wind
406 power input to the near inertial motions, particularly those resulting from sudden wind
407 change events, such as storms and moving fronts in mid-latitudes.

408 Thus, we have found that the momentum flux is sometimes overestimated when
409 surface waves are neglected, based on the single-point numerical experiment for
410 sudden wind change events. In fact, WPI and NI-KE are relatively small and can be
411 neglected even when the sea state corresponds to actively growing waves, with
412 inverse wave age $1/\beta > 0.83$. For example, although $1/\beta$ is around 1 on day 9.2 in
413 Fig. 1, the wind speed is relatively low at 7.2 m/s, and causes a very small negligible
414 overestimate in the magnitudes for WPI and NI-KE, as indicated by Figs. 2(c) and
415 2(d). Therefore, for surface waves to have large effects on WPI, there must be
416 relatively high values for varying wind speeds (wind stress), and thus, big differences
417 between the magnitudes of τ_a and τ_{oc} , as well as for the inverse wave ages (young
418 waves).

419 Therefore, an additional joint parameter μ , denoted as the Wave-Effect-Parameter
420 (WEP) and based on inverse wave age $1/\beta$ and $\Delta\tau$, is defined as

421

$$\mu = \begin{cases} -\Delta\tau \times \frac{1}{\beta} & \text{if } \Delta\tau < 0 \text{ and } \frac{1}{\beta} < 0 \\ \Delta\tau \times \frac{1}{\beta} & \text{if otherwise} \end{cases} \quad (14)$$

423

424 We show that the Wave-Effect-Parameter μ is a useful indicator for the effective
425 identification of surface wave effects on WPI. The WPI difference, $\Delta\pi = \pi_{\mathbf{a}} - \pi_{\mathbf{oc}}$, is
426 defined as the difference between the WPIs calculated by $\tau_{\mathbf{a}}$ and by $\tau_{\mathbf{oc}}$. The
427 correlation coefficient R between $\Delta\pi$ and μ is 0.51 as displayed in Fig. 3, for the
428 single-point experiment. For some particular locations in the open ocean, the
429 correlation coefficient R may be reduced because of a couple of factors. First, typical
430 hurricane/storm wind events might have shorter durations than NIMs (several days
431 before being dissipated). Second, NIMs are very sensitive to the presence of previous
432 NIMs, generated by previous wind events, which therefore affect WPI and thus, $\Delta\pi$
433 and R . Both factors may cause variations in $\Delta\pi$ and μ to occur at different times,
434 thereby resulting in reduced simultaneity and lowered correlation coefficient.

435 Following the method used for the single-point experiment, but using the
436 climatological monthly mixed layer depth, we calculate the time series for the means
437 of $\Delta\tau$, inverse wave age $1/\beta$, the Wave-Effect-Parameter μ , and the WPI difference
438 $\Delta\pi$ for the global ocean, the tropics (20°S -20°N), northern mid-latitudes (30°N-65°N
439 and southern mid-latitudes (35°S-65°S), as shown in Fig. 4 (a)-(d). For northern and
440 southern mid-latitude regions, relatively high values of $1/\beta$, μ and $\Delta\tau$ are found,
441 which generally correspond to high $\Delta\pi$. In these storm track regions, WPI is

442 overestimated significantly when surface wave effects are neglected, which is
443 reflected by $\Delta\pi$, shown in Fig. 4(d). For tropical regions, low values for $1/\beta$, μ and
444 $\Delta\tau$ are found, corresponding to very small values for $\Delta\pi$, which can be negligible.
445 The global mean of $\Delta\pi$ is also quite small, compared to storm track areas. Noting that
446 the conclusions for surface wave effects on WPI from the spatial mean are
447 complicated and not so straightforward as those of the single-point experiments. That
448 is because the NIMs are determined by variations in $\frac{T}{H}$, indicated by equation (7).
449 Thus, spatial variations of mixed layer depth “contaminate” the general conclusion for
450 surface wave effects on WPIs. Therefore, a detailed analysis of geographical
451 distribution $1/\beta$, μ , $\Delta\pi$, and their relationships is necessary to explore the surface
452 waves effects on WPI, which is given in the following sections.

453

454 **3. Global distribution and features of wave effects on WPI**

455 Based on the analysis of the previous section, it appears that high wind forcing
456 events, like hurricanes or storms, result in WPI estimates that would be overestimated
457 by calculations that ignore the waves. The strongest near inertial currents and highest
458 values for WPI tend to be caused by such hurricanes and storms, usually occurring
459 along the mid-latitude storm tracks. Over the global oceans, the effects of the surface
460 waves should significantly modulate the amplitude of the near inertial currents, and
461 thus affect WPI values. In order to explore and quantify the extent to which the
462 amplitude of WPI is affected by the impacts of surface waves on the water-side

463 stress τ_{oc} geographically, both τ_a and τ_{oc} are used to calculate π_a and π_{oc} , based
464 on the slab model constructed in Section 2.

465

466 *a. Geographical distribution of $\Delta\pi$*

467 The time mean (over years 2005-2008) spatial distributions of π_a and π_{oc} ,
468 forced by τ_a and τ_{oc} , respectively, calculated by the slab model gives very similar
469 results (not shown) as presented by previous researchers (*e.g.*, Alford 2001; 2003).

470 The largest wind power input, WPI, occurs around 30° - 50° in the Northern
471 Hemisphere winter, with broad maxima, closely associated with winter storm track
472 regions. Moreover, broad minima span the central and eastern portions of each basin.

473 As a whole, the 4-year average wind power input π_a by τ_a is 0.27 TW, which is
474 overestimated by up to 10.3% for the global mean, when the effects of growing waves
475 on the wind momentum flux from atmosphere to near inertial motions are not
476 considered.

477 On one hand, as demonstrated by Fig. 5, the effect of waves is to reduce the wind
478 power input to near inertial motions in the mixed layer, over the mid-latitude western
479 portions of the Atlantic and Pacific Oceans. These areas provide over two-thirds of
480 the total reduction of WPI. The remaining one-third of the wave-related reductions
481 occurs mainly within the band of 35° - 50° S. On the other hand, negative values of $\Delta\pi$
482 can appear over the tropical areas, for example, especially in the east-southern Pacific
483 Ocean. The contributions of these negative values to the total $\Delta\pi$ are rather

484 negligible (less than 5%), mainly caused by the modulation of the momentum flux
485 with $|\tau_a| < |\tau_{oc}|$ due to swell.

486 Since most of the wind power input is caused by moving storms which occur in
487 winter time, we consider the effects of surface waves on $\Delta\pi$ during winter time in
488 both hemispheres. Fig. 5 shows the average $\Delta\pi$ for winter, which is December,
489 January, and February (DJF) in the North, and June, July and August (JJA) in the
490 South. Thus, the difference occurs mainly over the mid-latitude northwestern Atlantic
491 and Pacific, and the mid-latitude Southern Ocean. There are some exceptions, like
492 large WPI values found in summers over the Gulf of Mexico, due to strong tropical
493 cyclones. Generally, in winters, overestimates in WPI caused by surface waves reach
494 25% over the storm track areas of the mid-latitude North Atlantic, with a global mean
495 of 11%. In summers, the surface wave effects on $\Delta\pi$ are not as significant, causing a
496 mean reduction of WPI by about 6% (~ 0.013 TW, from 0.22 TW to 0.233 TW),
497 globally. Furthermore, as shown in Fig. 5(b), there are negative $\Delta\pi$ values on both
498 sides of tropics. These areas are mainly dominated by swell and partially mixed sea
499 states. The detailed spatial relationships among $\Delta\pi$, $1/\beta$, and μ are discussed in the
500 following section.

501

502 **b. Relationship between global maps of evolving surface waves and $\Delta\pi$**

503 In this section, we investigate the effects of surface waves on WPI as
504 characterized by relationships among $1/\beta$, μ and $\Delta\pi$. Here, μ and $\Delta\pi$ are calculated

505 globally following the method used for the single-point experiment in section 2.4. For
506 winter and summer of 4-year time mean, the inverse wave age $1/\beta$, is computed
507 using equation (13) and displayed in Figs. 6(a) and 6(b).

508 Typically, higher values for the inverse wave age ($1/\beta > 0.83$) correspond to
509 waves that are more strongly coupled to the wind (i.e., transfer of momentum from
510 the wind to waves is larger). Thus, relatively more energy and momentum are stored
511 in the young surface waves than in swell-dominated seas. Under such circumstances,
512 the surface waves will reduce the momentum flux to NIMs and thus reduce the WPI.
513 As demonstrated by Fig. 5, there are mainly two zonal bands at mid-latitudes over
514 both hemispheres, where the effect of waves on WPI is largest, and dominates the $\Delta\pi$.
515 However, as indicated by the averaged inverse wave age distribution shown in Fig. 6,
516 there are three zonal bands in the open oceans with relatively high values for inverse
517 wave age: the mid-latitude storm tracks and the trade wind regions in the North
518 Pacific and North Atlantic, and mid-latitude Southern Ocean. Low values of inverse
519 wave age appear for the eastern portion of the tropics and subtropics, coinciding with
520 areas of relatively minimal wind speeds.

521 Comparing Figs. 5 and 6, the largest values for $\Delta\pi$ and inverse wave age (young
522 waves) correspond to the mid-latitude storm track areas, where the wind speeds are
523 highest and change rapidly with more momentum stored in the surface waves,
524 compared to swell-dominated areas with lower winds. Moreover, we find that the
525 lowest values for inverse wave age and even negative $\Delta\pi$ values occur for swell

526 dominated regions like the eastern Pacific Ocean, where $|\tau_a|$ is equal or less than
527 $|\tau_{oc}|$. In these regions, surface waves release energy to the atmosphere, transferring
528 wave momentum to the wind (Hanley et al. 2010; Sullivan and McWilliams 2010).

529 Trade wind regions over the western portion of the North Pacific and North
530 Atlantic Oceans correspond to relatively high inverse wave age, with mostly low
531 values for $\Delta\pi$. The main reason for this situation is that the winds in the trade wind
532 regions are much weaker than those in the mid-latitude storm track areas and thus
533 weaker NIMs are generated. The distributions of air-side stress $|\tau_a|$ for winter and
534 summer are shown in Fig. 7(a) and 7(b). On average, over mid-latitude Pacific and
535 Atlantic regions, and mid-latitude Southern Ocean, $|\tau_a|$ reaches up to 0.3 N/m^2 ,
536 which is more than three times that of $|\tau_a|$ in the lower latitude trade wind regions.
537 This agrees well with the conclusions of the single-point numerical experiment, that
538 the high winds developed by hurricanes and storms are prerequisites for the
539 importance of evolving surface waves on WPI. Moreover, it is easy to exclude these
540 trade wind regions, where surface waves do not significantly affect WPI, by
541 calculating the Wave-Effect-Parameter μ with equation (14) based on wind stress and
542 inverse wave age. As shown by Fig. 7(c) and 7(d), μ is very low in these regions, with
543 even negative values in the tropics, as determined by values for $\Delta\tau$ or $1/\beta$.

544 Note that there are two regions with very high values for $1/\beta$ and μ ,
545 corresponding to low values for $\Delta\pi$: i) Coastal or closed/semi-closed regions, where
546 fetch effects cause high $1/\beta$ and thus high μ , like Taiwan Strait and coastal areas of

547 the Mediterranean Sea. ii) High latitudes $> 55^\circ$ for both hemispheres, where both
548 $1/\beta$ and wind speed are high and thus μ and $\Delta\tau$ are high. The main reason for this
549 result is that the joint effect of a deep mixed layer depth and reduced near inertial
550 variability of the wind causes much weaker inertial currents and thus much lower
551 values for WPI and $\Delta\pi$ than those that are obtained in areas of the mid-latitude storm
552 tracks.

553 The inverse wave age values presented in Fig. 6 are averaged over 4 years, which
554 means that it is possible to identify the regions that are dominated by wind sea or
555 swell regimes, but not possible to identify how frequently these regimes occur.
556 Moreover, the peaks in the inverse wave age, which generally correspond to large
557 values for $\Delta\pi$ occurring in the open ocean, are significantly smoothed. In addition,
558 the generation of strong NIMs depends on highly intermittent wind events, which can
559 cause peaks in resulting inverse wave age values.

560 In order to understand the frequency of occurrence for the lowering of the WPI by
561 surface wave effects, a technique following Hanley et al. (2010) is used. The
562 frequency of occurrence, is defined as 1 if the inverse wave age is $1/\beta > 0.83$ for
563 wind wave regimes and 0 otherwise. Taking an average of the frequency of
564 occurrence provides the fraction of the time that the ocean is in the wind wave regime.
565 Corresponding to the swell-dominated regime, the frequency of occurrence is also
566 defined to be 1 if the inverse wave age is $1/\beta < 0.15$ and 0 otherwise. Figures 8(a)
567 and 8(b) show the frequency of occurrence of the wind sea regime for winter and

568 summer time, averaged over 2005-2008; these results lead to estimates for the fraction
569 of time that the ocean is in the wind sea regime or the swell regime, in Figs. 8(c) and
570 8(d). Growing waves are commonly exhibited in Figs. 8(a) and 8(b) over the
571 mid-latitude storm track areas. It is found that in both winters and summers, growing
572 young waves are dominant less than 10% of the total time, contributing to nearly the
573 total reduction of WPI (e.g. most of $\Delta\pi$).

574 These results confirm that the wave effects on WPI are intermittent, consistent
575 with the peaks in the inverse wave age distributions and the largest values for $\Delta\pi$
576 occurring in the mid-latitude storm track areas. Moreover, as shown in Figs. 8(c) and
577 8(d), swell dominates the eastern side of the Indian, Pacific, and Atlantic Oceans,
578 corresponding to the three swell pools identified by Chen et al. (2002). It appears that
579 during either winters or summers, the average frequency of occurrence for swell can
580 reach 70%. Moreover, wind speeds and the Wave-Effect-Parameter μ values
581 (indicating the importance of surface waves on WPI) in these regions are much
582 weaker than those of the mid-latitudes. Therefore, surface waves are in
583 near-equilibrium and the effects of evolving surface waves on WPI are negligible.

584 We show additional confirmation of the influence of evolving surface waves on
585 WPI and $\Delta\pi$ in Figs. 9(a)–(f): zonal means of $\Delta\pi$, air-side stress $|\tau_a|$, μ , frequency
586 of occurrence of wind sea and swell regimes and inverse wave age. The zonal mean
587 for $\Delta\pi$ shows that the effects of growing surface waves are dominant on WPI in
588 winters over the storm track regions. The blue line has two peaks over mid-latitude

589 storm track areas in both hemispheres, centered at about 40°N and 45°S (Fig. 9(a)).
590 These peaks correspond to relatively high μ and frequencies of concurrency for wind
591 sea (10%), as shown in Fig. 9(c) and (d). In tropical regions, the effects of surface
592 waves on $\Delta\pi$ are weak for both summer and winter seasons. Tropical regions
593 correspond to relative high frequencies of concurrency for swell, and low values for
594 air side stress and μ , as shown in Fig. 9(e), (b) and (c). At about 15°N in the trade
595 winds region, there are relatively high values for the frequency of concurrency
596 (~about 10% Fig. 9(d)) and inverse wave age (Fig. 9(f)) for winter. However, the
597 trade wind region corresponds to a weak wind stress, indicated by the blue line in Fig.
598 9 (b). Thus, μ is low as shown in Fig. 9(c). On one hand, at high latitudes, the mixed
599 layer is very deep and the near inertial currents are inversely proportional to the mixed
600 layer depth. On the other hand, there is a reduction in the near inertial wind variability
601 (Rath et al. 2014). Both factors cause relative weak generation of near inertial currents,
602 and therefore small values for WPI and $\Delta\pi$, along with high values for air-side wind
603 stress, μ and frequency of concurrency for wind sea, shown in Fig. 9 (a)-(d).

604

605 4. Conclusions and discussions

606 In the present study, we quantify the influence of evolving surface waves on WPI
607 through using momentum fluxes from a spectral wave model: air-side stress τ_a and
608 water-side stress τ_{oc} . The surface wave effects on WPI are analyzed by using a
609 single-point numerical experiment, as well as by a global ocean time mean analysis.

610 For a sudden wind change event, the single-point numerical experiment shows that
611 when surface wave effects on momentum flux are not considered, overestimates are
612 20% for WPI and 40% for NI-KE. Over the open oceans, we conclude the following:

- 613 1) Without considering the surface wave effects, the 2005-2008 global time mean
614 WPI is overestimated by a non-negligible 10%. In the winter seasons the WPI
615 overestimates due to the neglect of surface waves reaches 25%, over storm track
616 areas of the North Atlantic, with a global mean of 12%. In the summer seasons,
617 the surface wave effects on the WPI difference $\Delta\pi$, are not as significant, causing
618 a mean reduction in WPI of about 6% over the entire ocean.
- 619 2) Two regions where the reductions in WPI due to surface waves are maximal are
620 determined. One is the mid-latitude northwestern portions of the Atlantic and
621 Pacific Oceans, contributing over two thirds of the total reduction of WPI. A
622 second region is the band between 35°S and 50°S, over the winter storm track
623 regions of the Southern Ocean, which contributes to remaining one third reduction
624 of WPI. Although some areas of negative values for $\Delta\pi$ can appear over the
625 tropical areas over the east-southern Pacific and Atlantic Oceans, their
626 contributions to the total $\Delta\pi$ appear to be negligible.
- 627 3) We analyzed the relationships between inverse wave age $1/\beta$, the
628 Wave-Effect-Parameter μ , and $\Delta\pi$ in geographical space and calculated zonal
629 means. It is found that hurricanes and storms are prerequisites for situations where
630 surface waves are important contributors to WPI, generally with high values for

631 $1/\beta$ and μ . However, there are exceptions. For high latitudes and some enclosed,
632 or semi-enclosed regions, although values for $1/\beta$ and μ are high, results suggest
633 that corresponding values for $\Delta\pi$ are low and consequently the impacts of surface
634 waves are small.

635 4) Relatively low frequencies of occurrence of wind sea are found in the mid-latitudes.
636 This implies that the influence of evolving surface waves on WPI is intermittent,
637 occurring less than 10% of the total time, but making up the dominant
638 contributions to overestimates in WPI.

639 Estimates of WPI have been extensively investigated over the last decade (e.g.,
640 Alford 2001). However, these estimates overlooked the effects of surface wave on
641 momentum fluxes. As shown in the present study, WPI is reduced because of the
642 effects of surface wave effects. Our results point to the potential role of surface wave
643 effects in influencing the upper and interior ocean processes by modulating the NIMs.
644 Specifically, for the upper ocean, growing surface waves absorb and store wind
645 momentum and energy, thereby lowering the intensity of NIMs and thus, their shears
646 at the base of the mixed layer. Consequently, less vertical mixing occurs in the upper
647 ocean, resulting in relatively higher sea surface temperatures, which is crucial to every
648 aspect of upper ocean dynamics, such as for heat flux exchanges under tropical
649 cyclones (e.g., Chen and Curcic 2016).

650 For the interior ocean, NIWs are capable of propagating downward and
651 equatorward into the deep ocean, interacting with the rest of the internal wave

652 continuum ([Henyey et al. 1986](#)) and thus causing mixing in the abyssal oceans.
653 Therefore, a lowered WPI because of surface wave effects might induce weaker
654 diapycnal mixing and meridional overturning circulation, which are key processes for
655 climate variation. Specifically, since estimates for WPI are reduced by 20% by
656 considering the ocean-surface-velocity dependent wind stress parameterization (Rath
657 et al. 2013), together with about 10% reduction caused by surface wave effects, there
658 is a one-third reduction in WPI, compared to previous estimates. Typically, only
659 around 20% of the WPI can penetrate into the deep ocean (Furuichi et al. 2008; Zhai
660 et al. 2009), or even less, ~10% according to Rimac et al (2016). Therefore, it seems
661 that the NIWs might not be as important as previously thought for deep ocean
662 diapycnal mixing, and thus for ocean general circulation (Wunsch and Ferrari 2004).

663 Our results show the necessity to include the effects of surface wave in estimating
664 WPI in investigating upper ocean processes. However, to date, nearly all climate
665 models and atmosphere-ocean coupled models ignore this physics. Specifically, the
666 coupled atmosphere-ocean models often assume $\tau_a = \tau_{oc}$ for the boundary condition
667 at the air-sea interface. Although this appears to be mathematically acceptable and
668 ensures momentum conservation between the atmosphere and ocean systems, it
669 ignores the existence of surface waves and their evolution. Moreover, this approach
670 introduces errors in estimates for the momentum flux from the atmosphere to the
671 ocean, and in estimates for NIMs. The amount of wave momentum that leaves a given
672 hurricane/storm is exactly the excess amount that is delivered to upper ocean currents

673 in a typical atmosphere-ocean coupled model. Basically, surface waves provide the
674 dynamical surface boundary condition that is in perpetual adjustment in response to
675 surface winds and currents. Therefore, surface waves are necessary in accounting for
676 the upper oceanic dynamical processes and the effects of surface waves on the
677 momentum flux and should therefore be included in coupled models. Moreover, as
678 one of the strongest components of surface currents in the open ocean, near inertial
679 currents have an obvious effect on the surface wave heights (up to 20%). This is
680 especially evident at high latitudes, as found in the Northwest Pacific, based on wave
681 buoy records (Gemmrich and Garrett, 2012) and wave-current coupled simulations in
682 the Northeast Atlantic (Liu et al., 2015). The detailed effects of near inertial currents
683 on surface waves will be discussed elsewhere.

684

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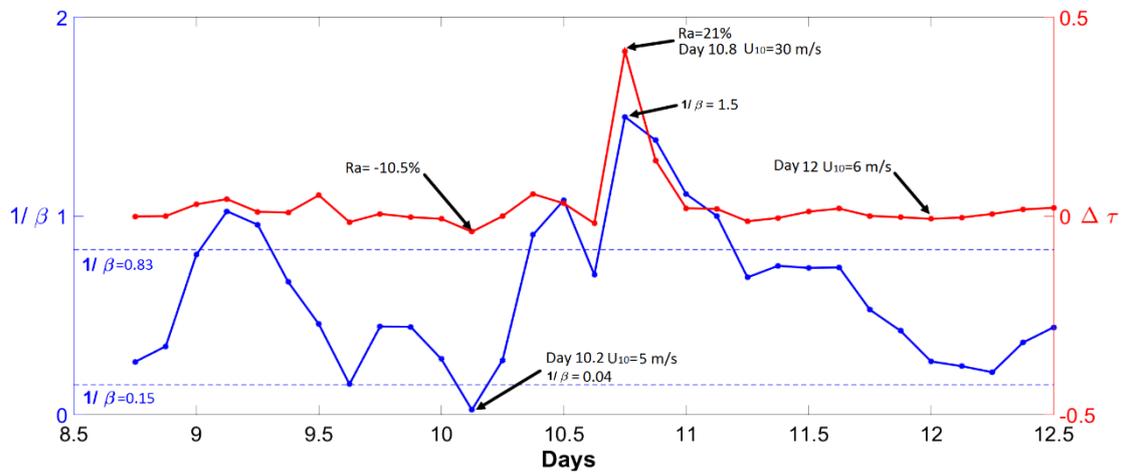
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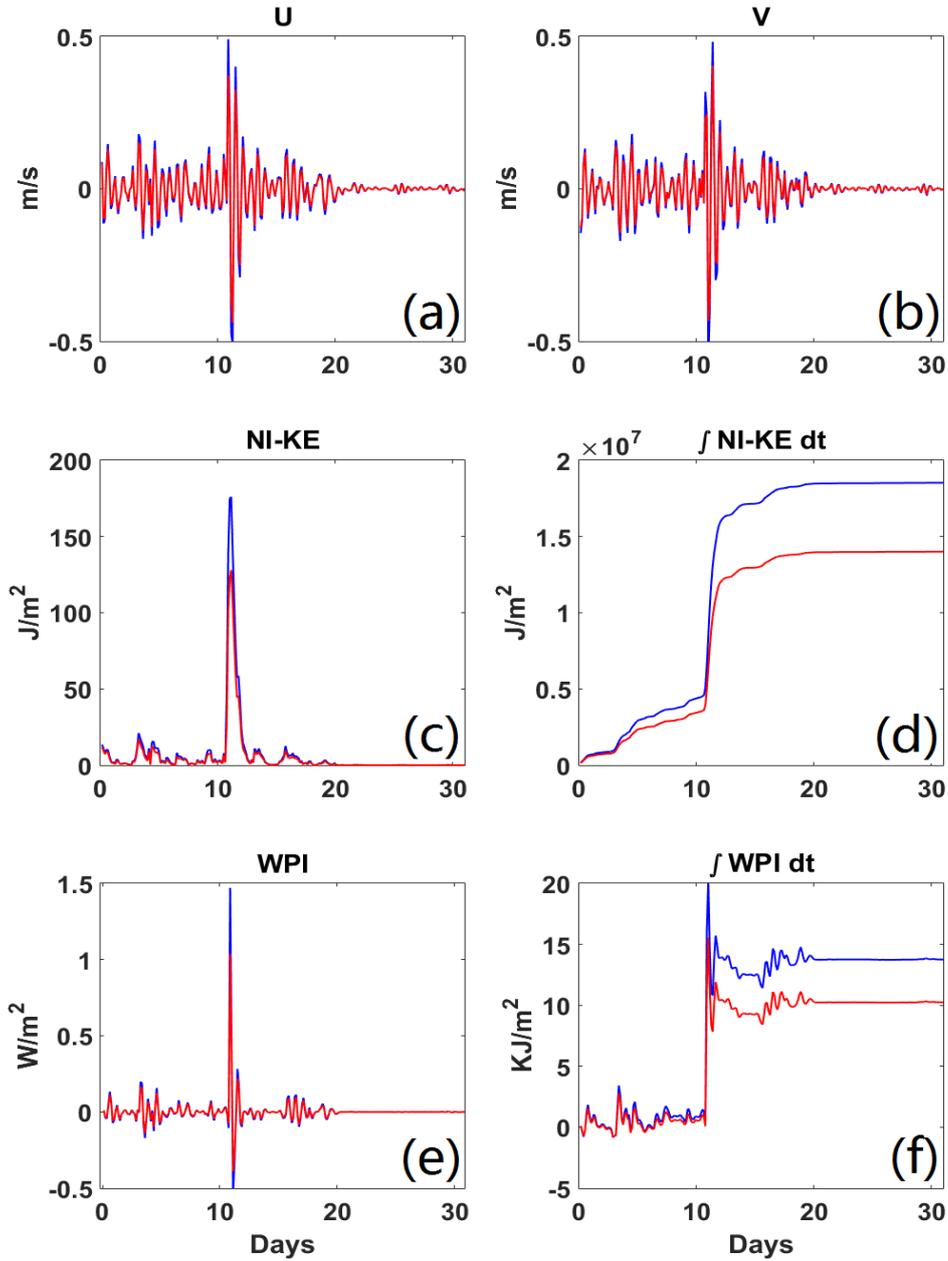
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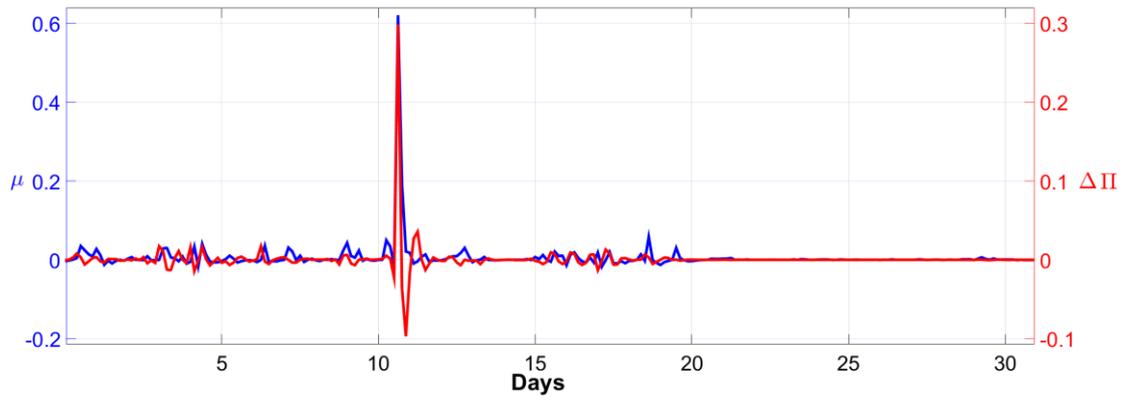
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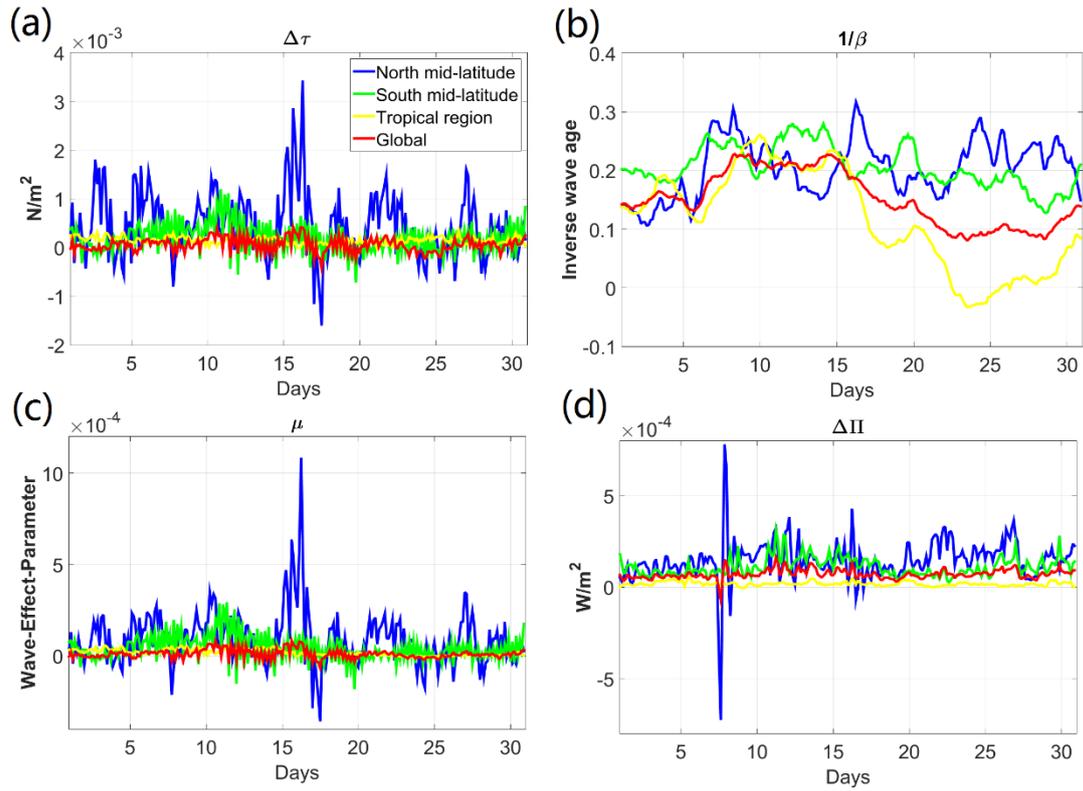
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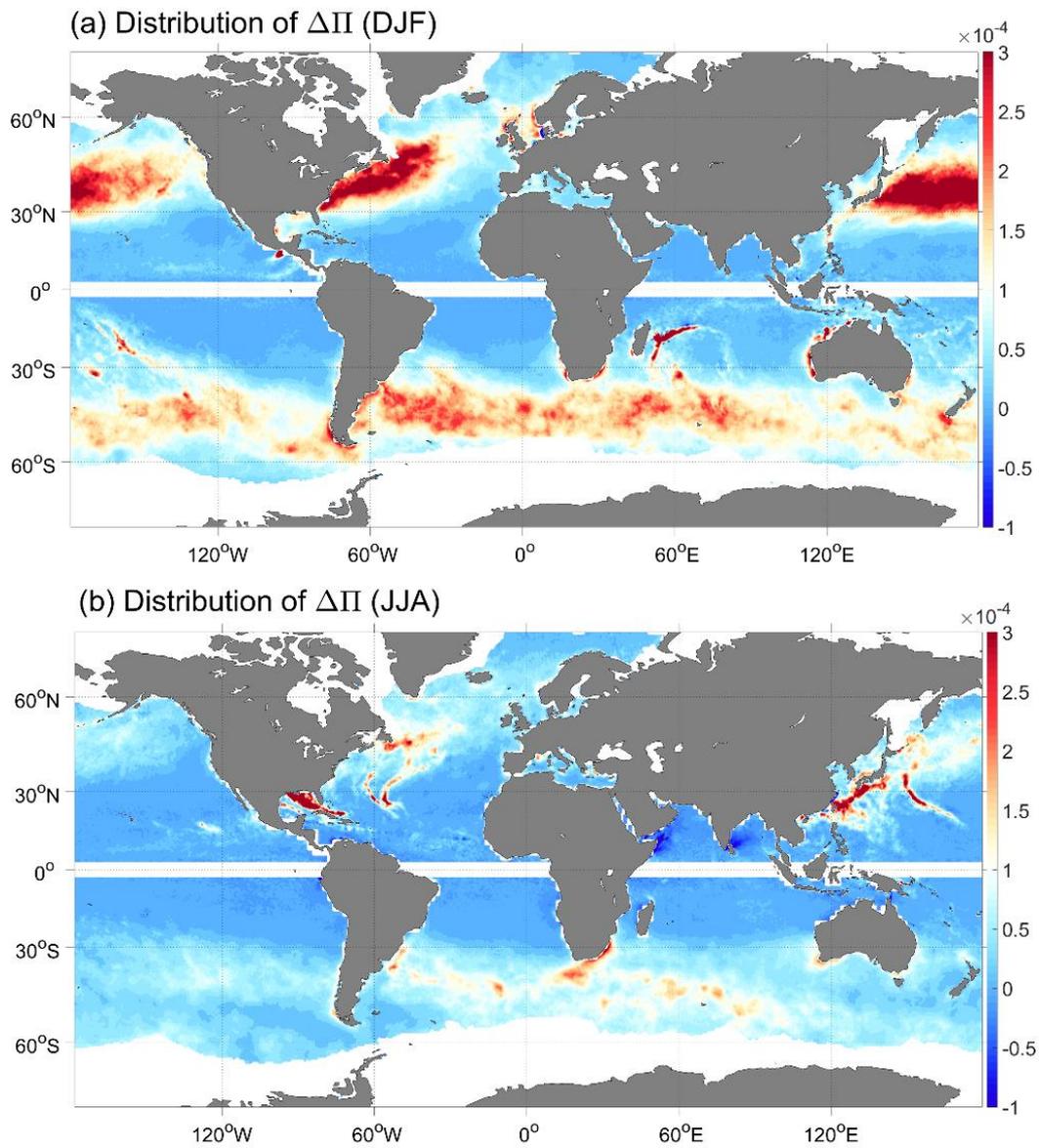
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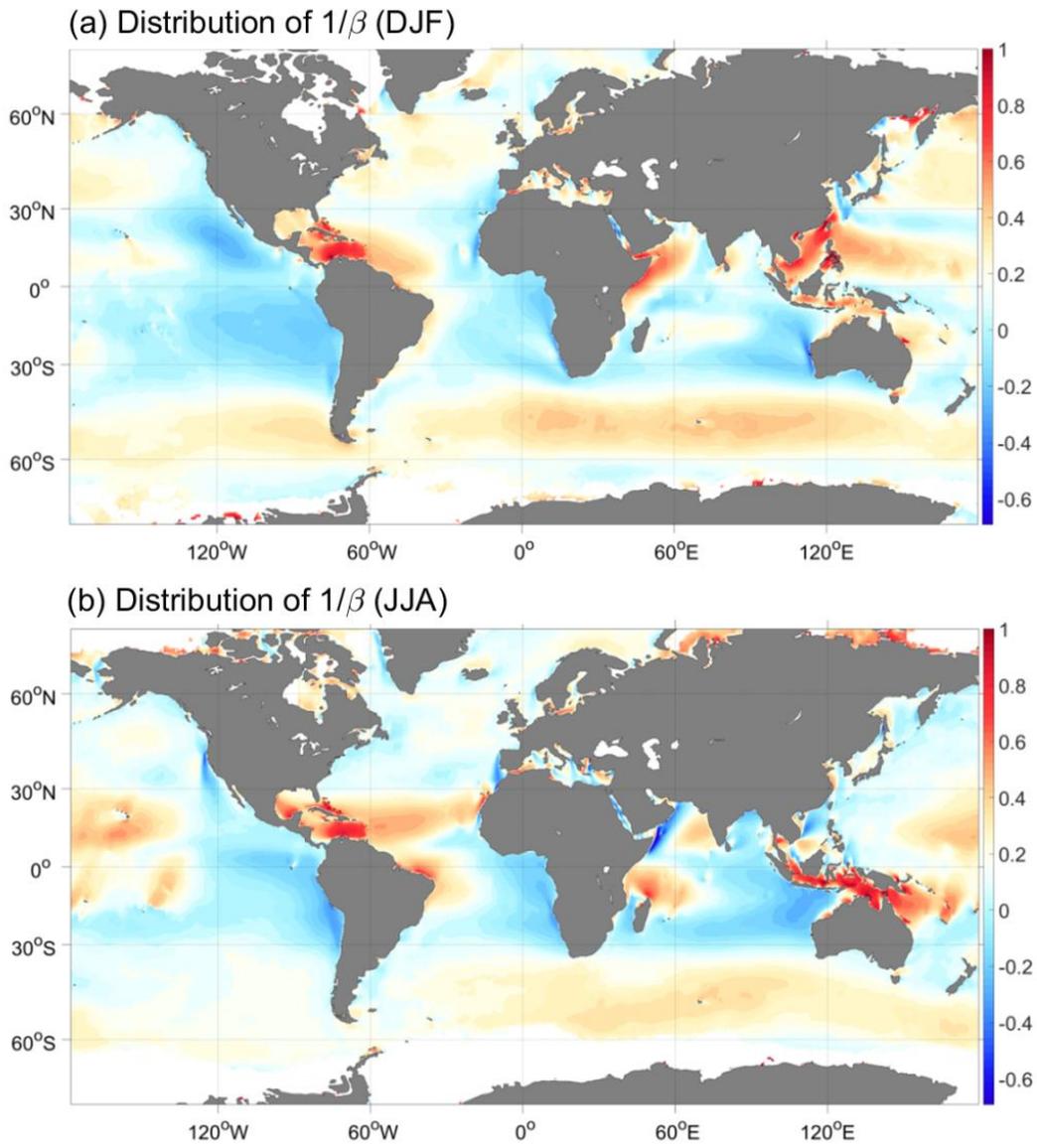
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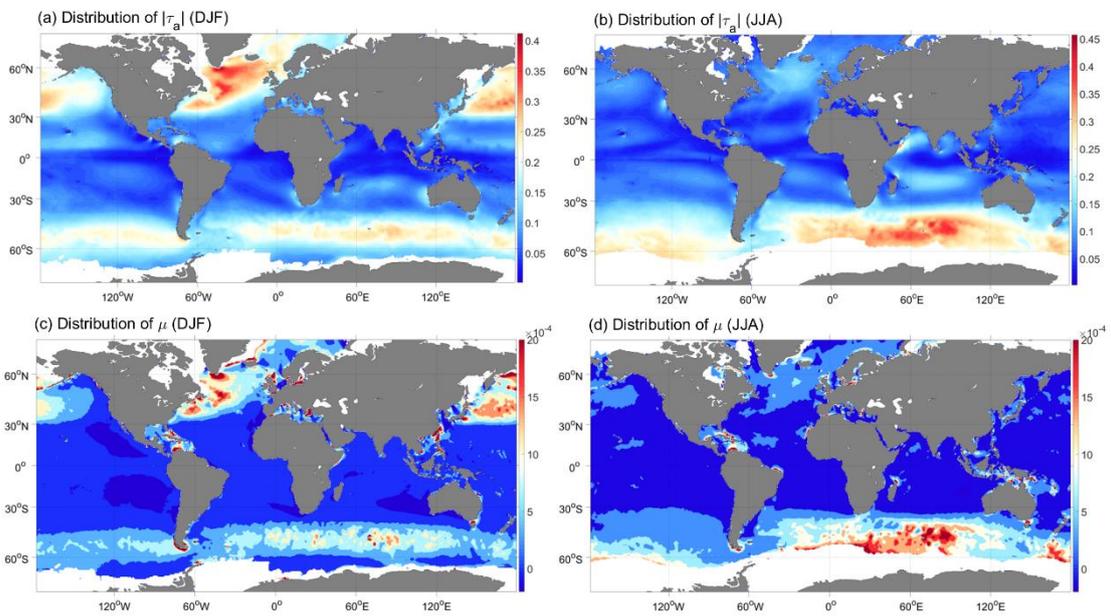
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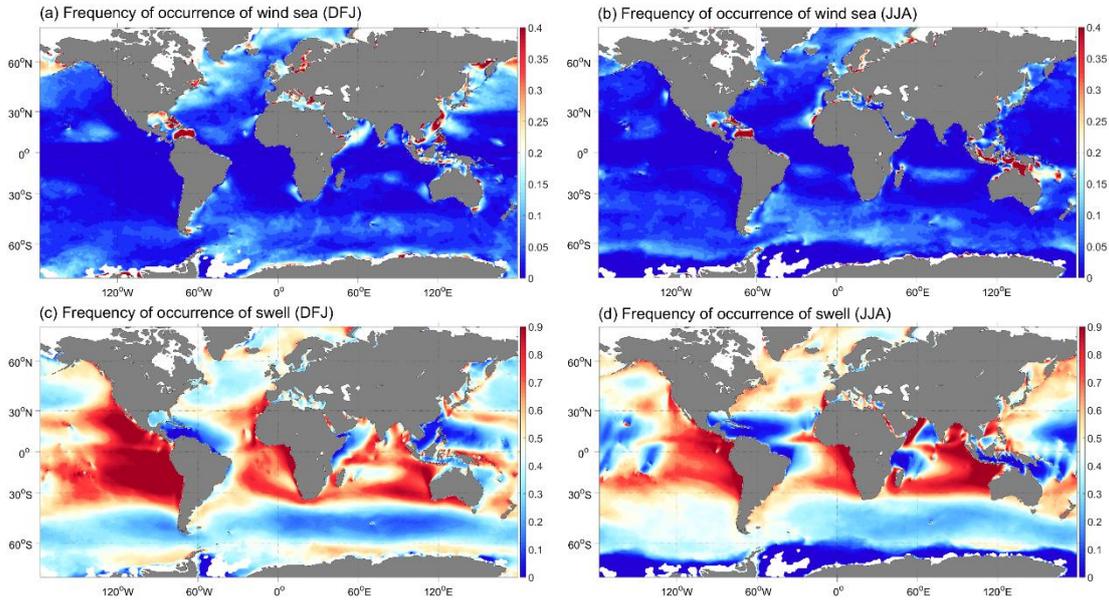
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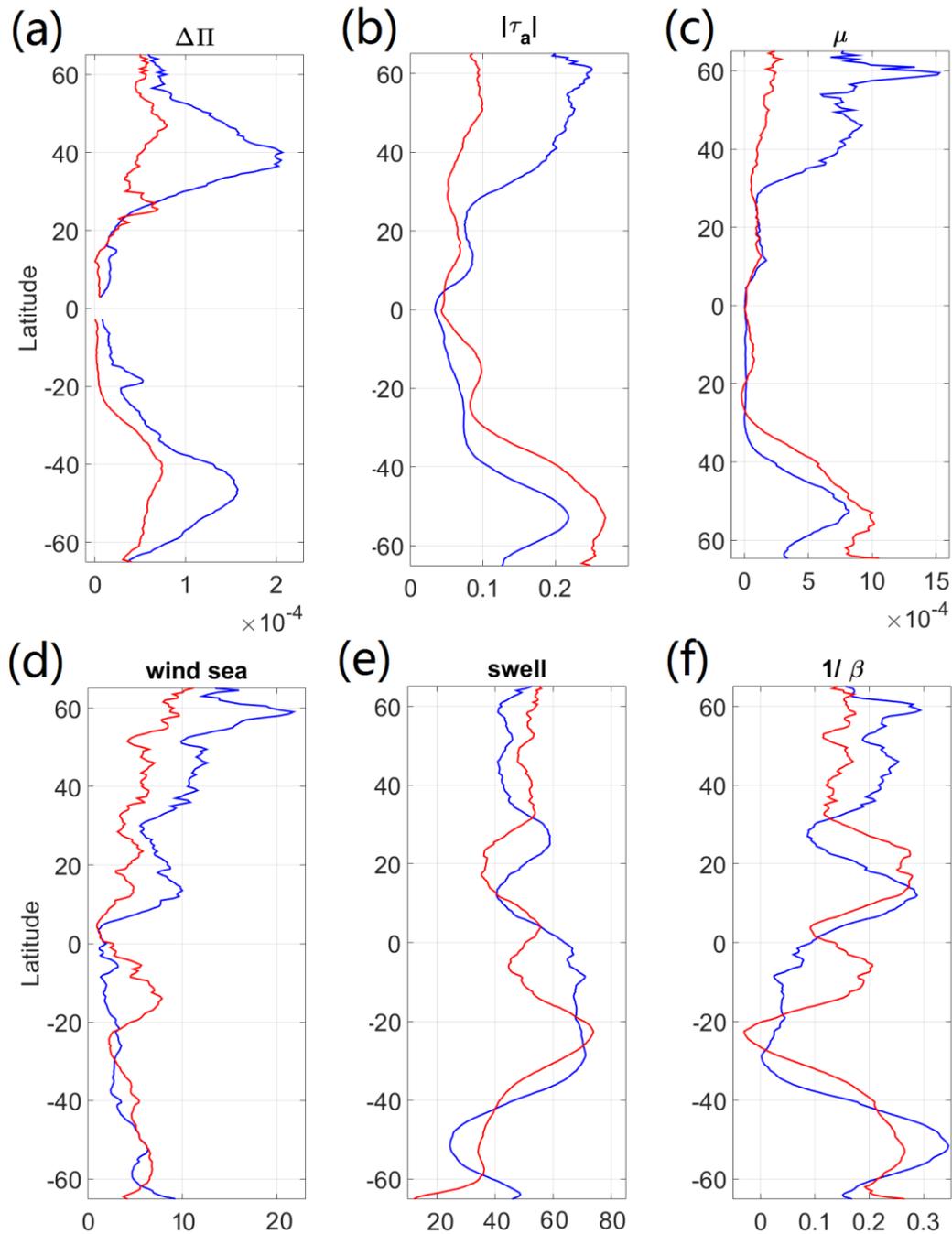
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