

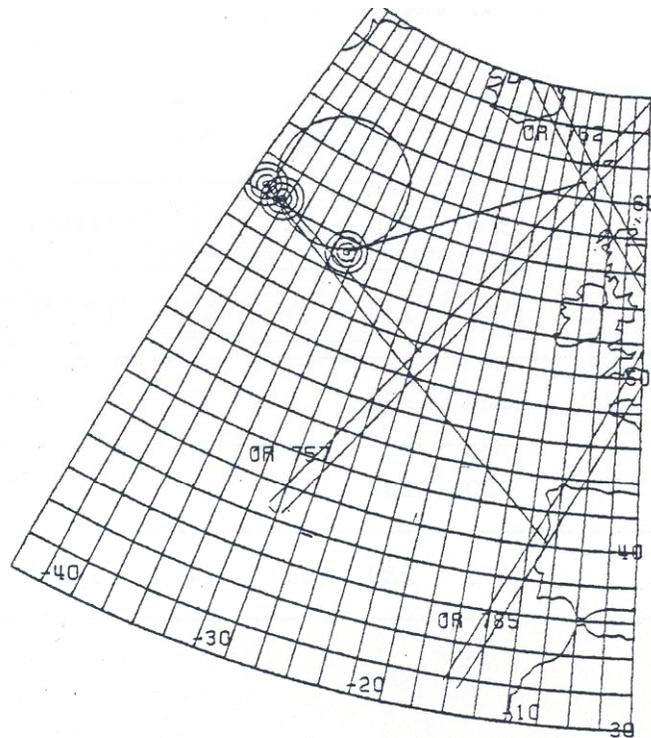
Sea State Measurements by ENVISAT ASAR and TSX Data

Susanne Lehner

German Aerospace Center (DLR), Oberpfaffenhofen

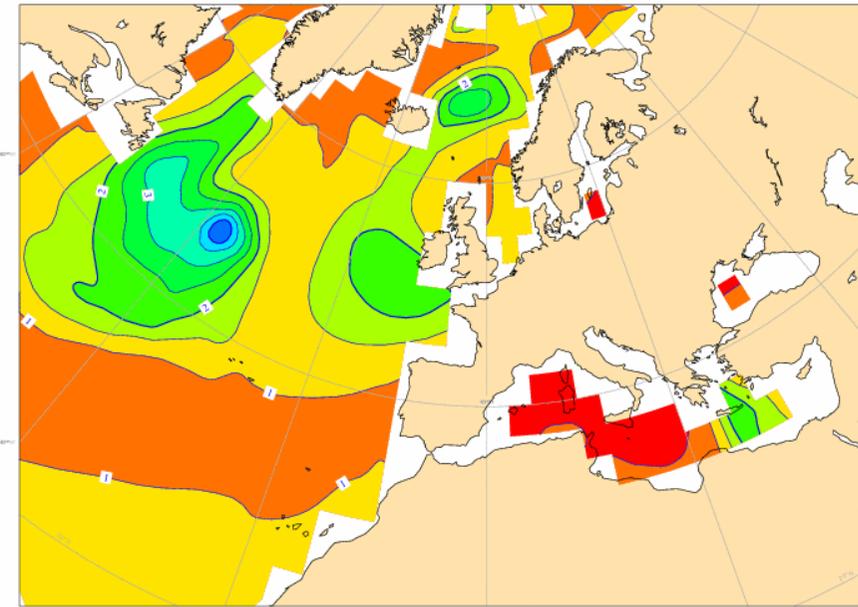


Swell Tracking from Seasat



NORTH ATLANTIC STORM 17/08/78

ECMWF Analysis VT: Wednesday 16 August 1978 00UTC
Surface: significant wave height



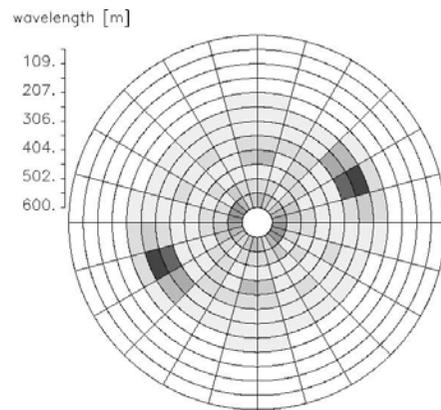
e 36. Map of orbits 757,762 and 785. The solutions for the parameter model are indicated as range rings.



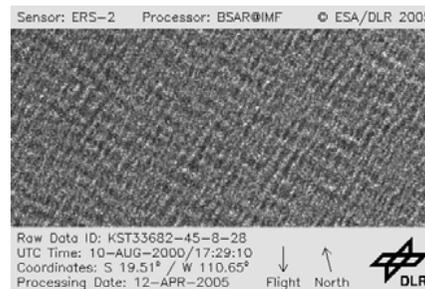
Motivation

Global sea state statistics with SAR wave mode data—Wave Climate

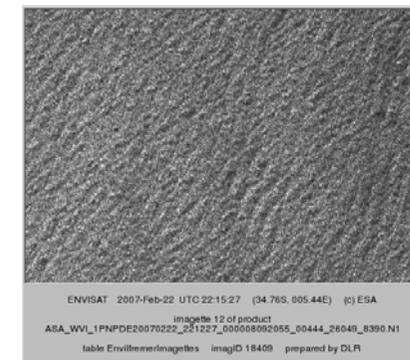
- ERS-1/SAR wave mode data (1991~1995)
- ERS-2/SAR wave mode data (1995~)
- ENVISAT/ASAR wave mode data (2002~)
- oncoming Sentinel satellite wave mode data



ERS-1/2 UWA spectra



Reprocessed ERS-2/SAR wave mode complex data



Standard ESA ENVISAT product--WVI



Outline

❖ **CWAVE - Empirical Algorithm development and validation**

- Empirical algorithm (CWAVE) developed to derive integral ocean wave parameters without use of first guess
- CWAVE_ENV algorithm validation with models and buoy data
- Global Statistics of H_s based on ERS and ASAR global wave mode data

❖ **PARSA - Inversion Algorithm using WAM First Guess**

- Validation against ECMWF, DWD
- Investigation of Spectral Shape

❖ **TSX Coastal Wave Measurements**

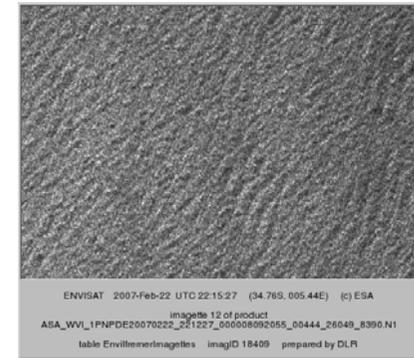
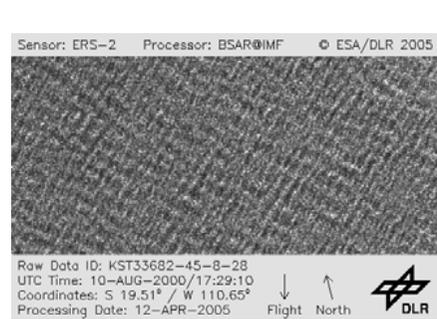
- Wave generation
- Wave Shoaling



Empirical Algorithm ---CWAVE

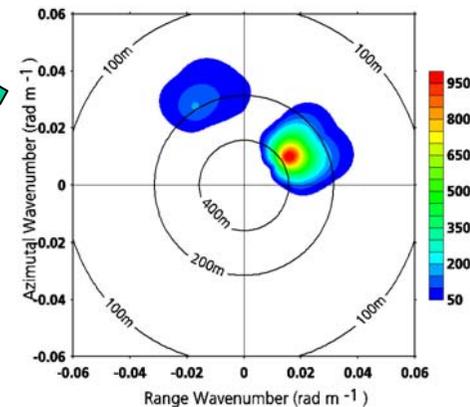
developed for ERS/SAR and ENVISAT/ASAR wave mode data

S_1, \dots, S_N



$$H_s = a_0 + \sum_{i=1}^N a_i s_i + \sum_{i=1}^N \sum_{j=1}^i a_{i,j} s_i s_j$$

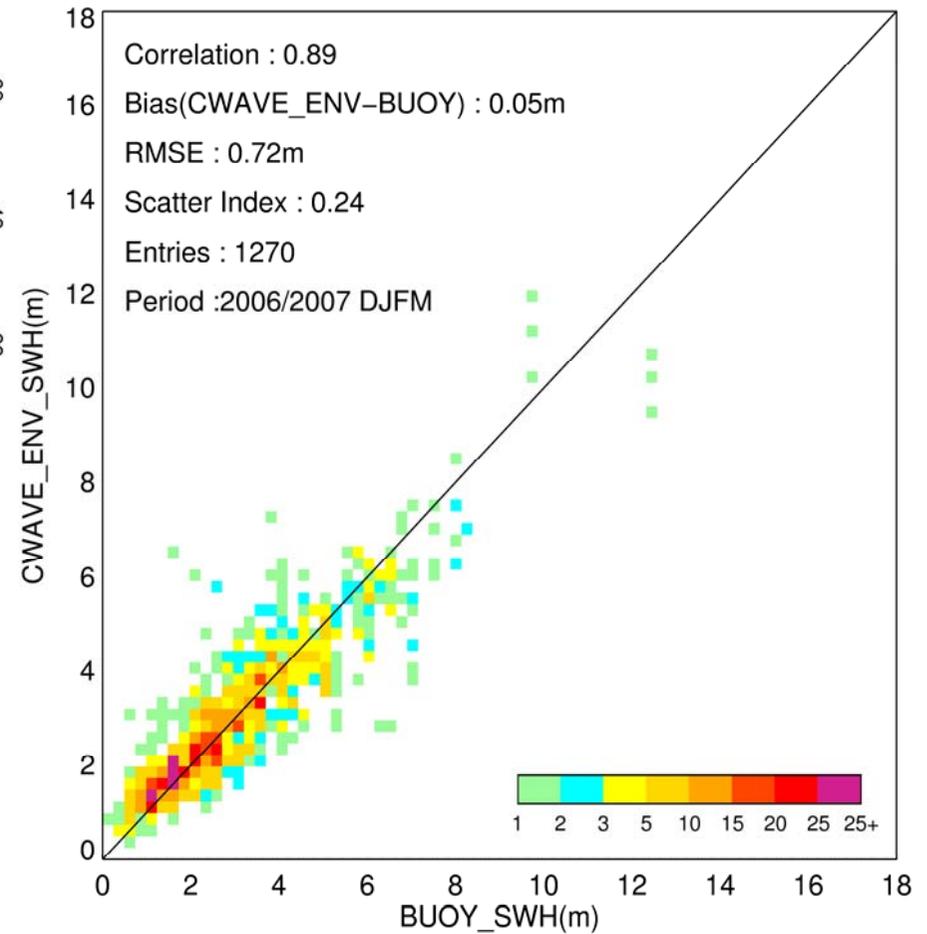
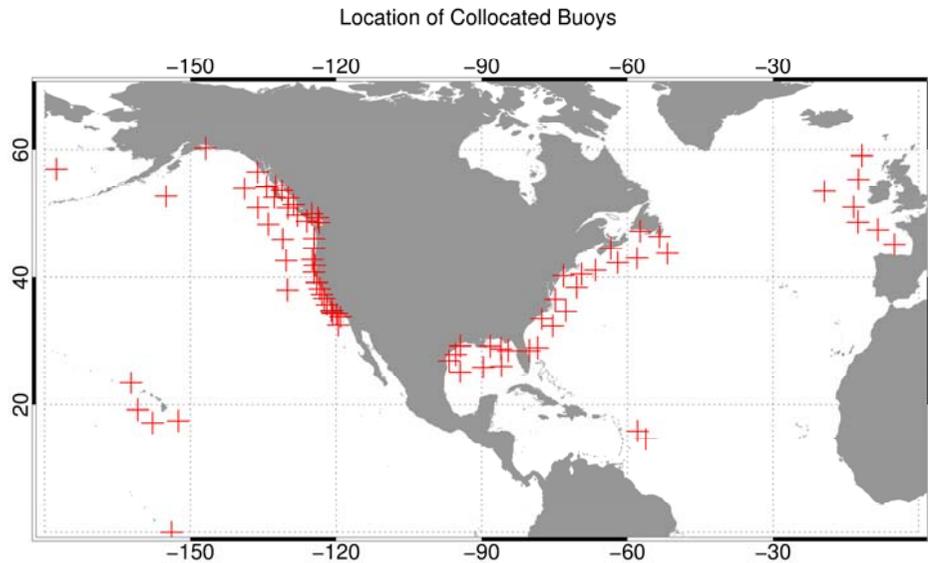
The Parameters $a_0, \dots, a_N, a_{1,1}, \dots, a_{N,N}$ are fitted using a training data set of SAR wave mode data and colocated ECMWF wave spectra





Validation of CWAVE_ENV

➤ CWAVE_ENV vs. Buoy

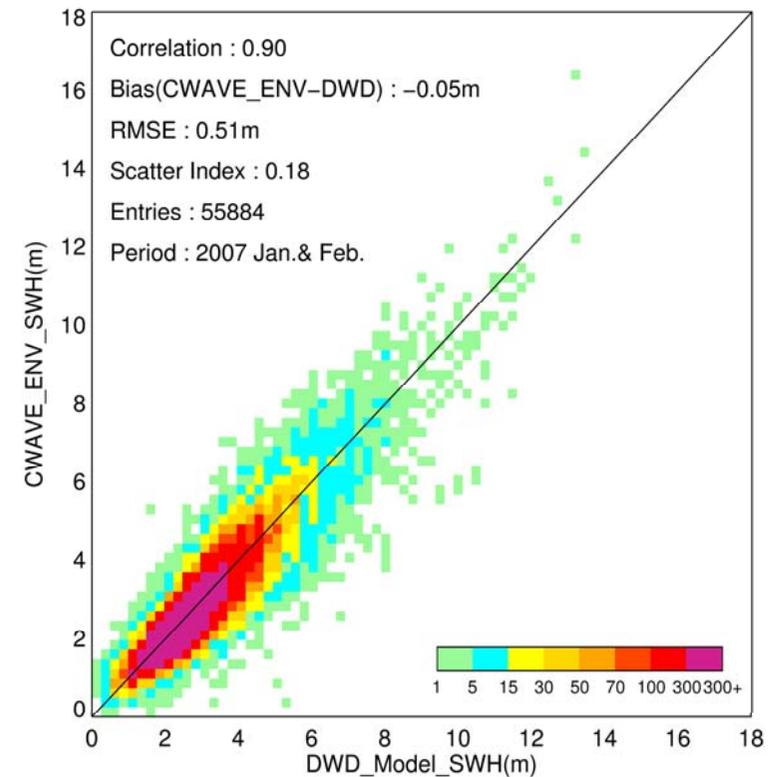
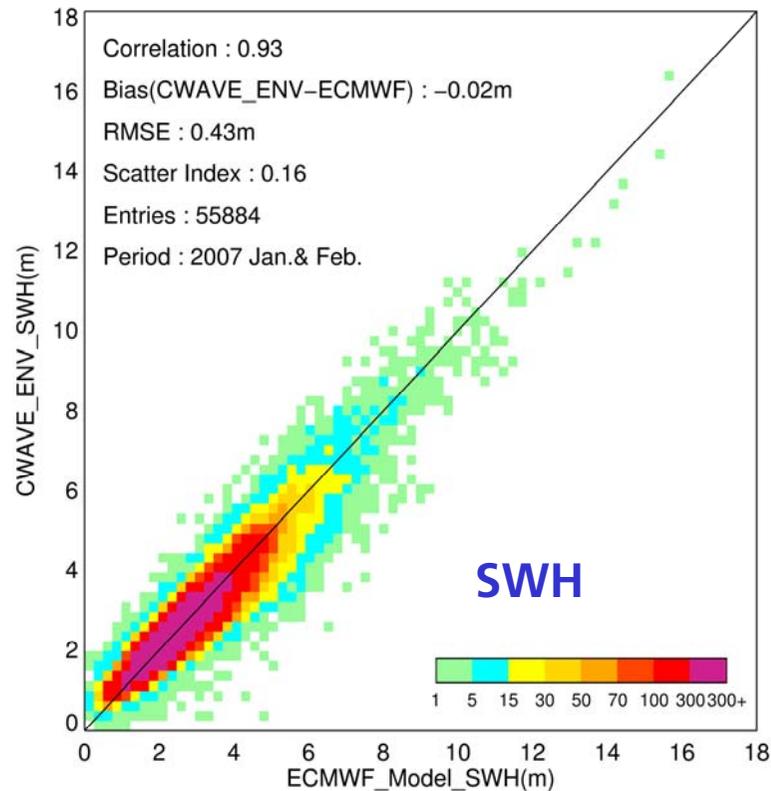


X. Li, S. Lehner, Th. Bruns, sub. 2009



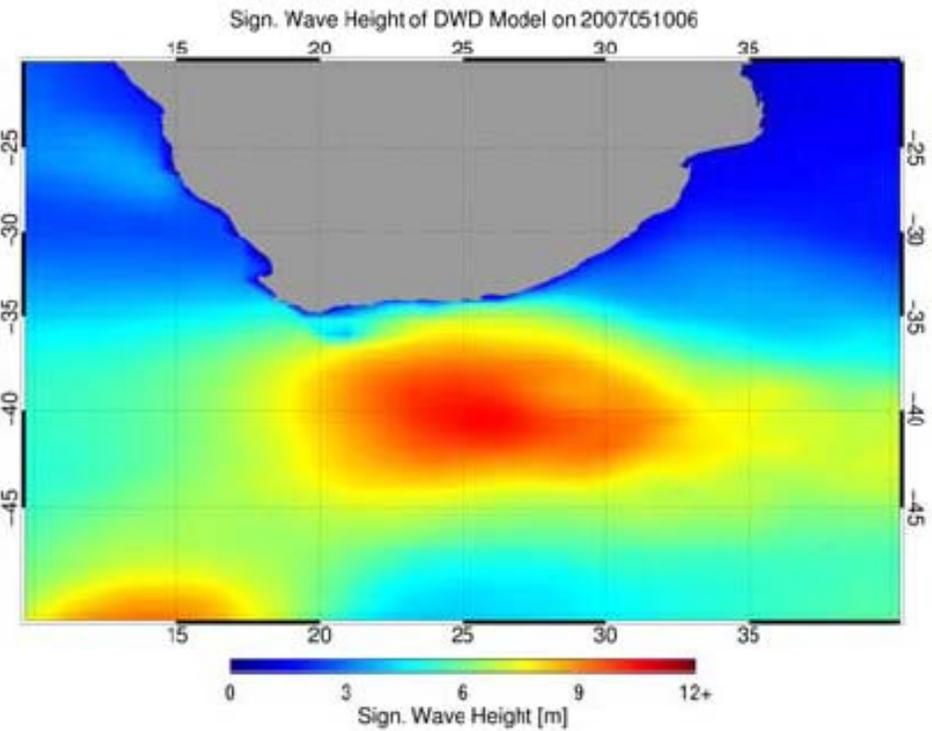
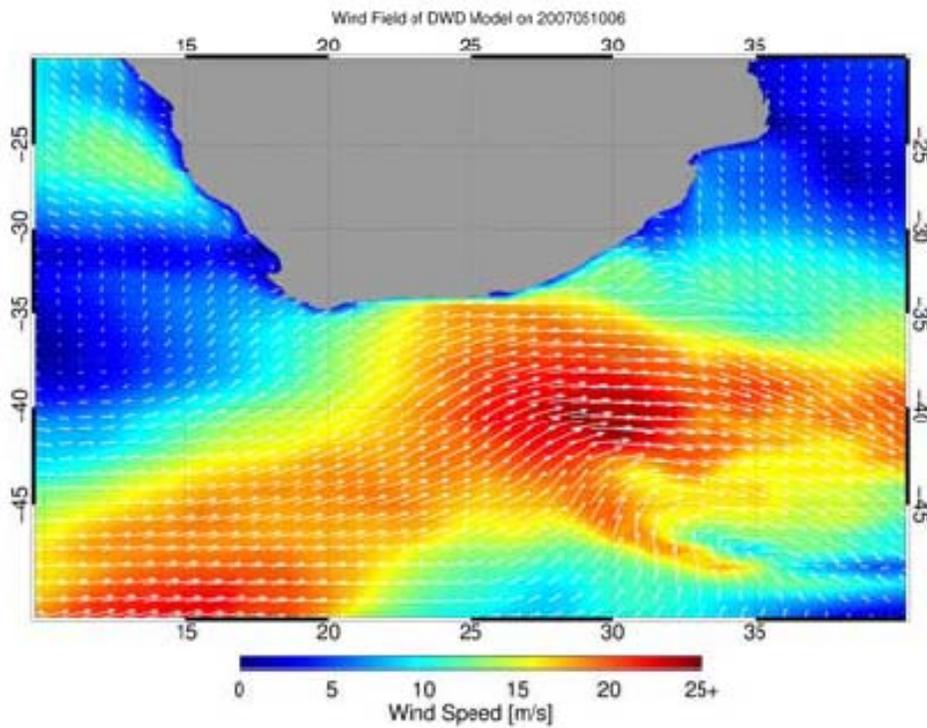
vs. ECMWF_WAM -- reanalyzed

- 2D spectra are achieved at 00, 06, 12 and 18 UTC
- spatial resolution 0.5 degree
- 24 direction bins and 30 frequency bins from 0.03452Hz, log. increment of 1.1Hz.





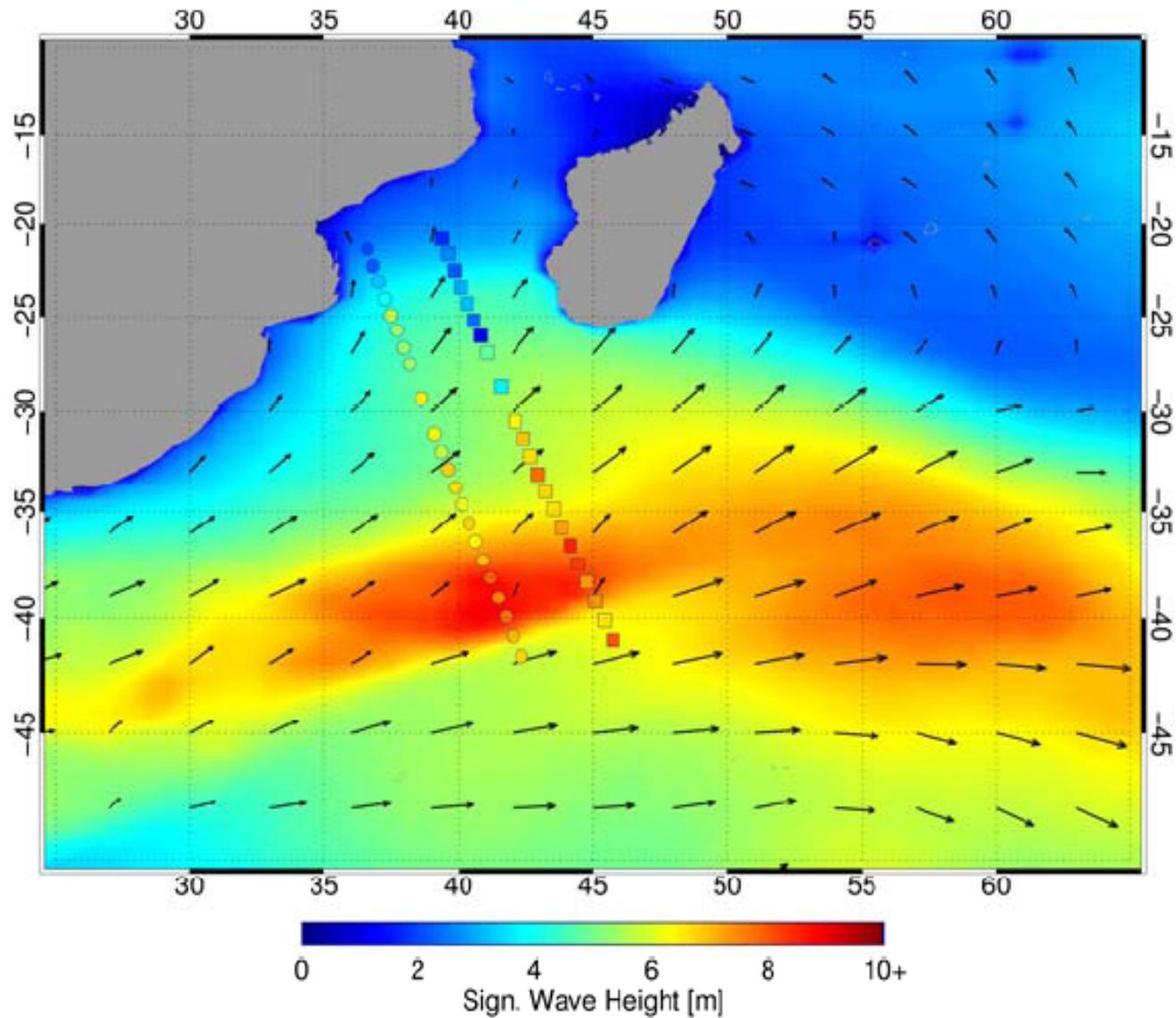
La Reunion Storm





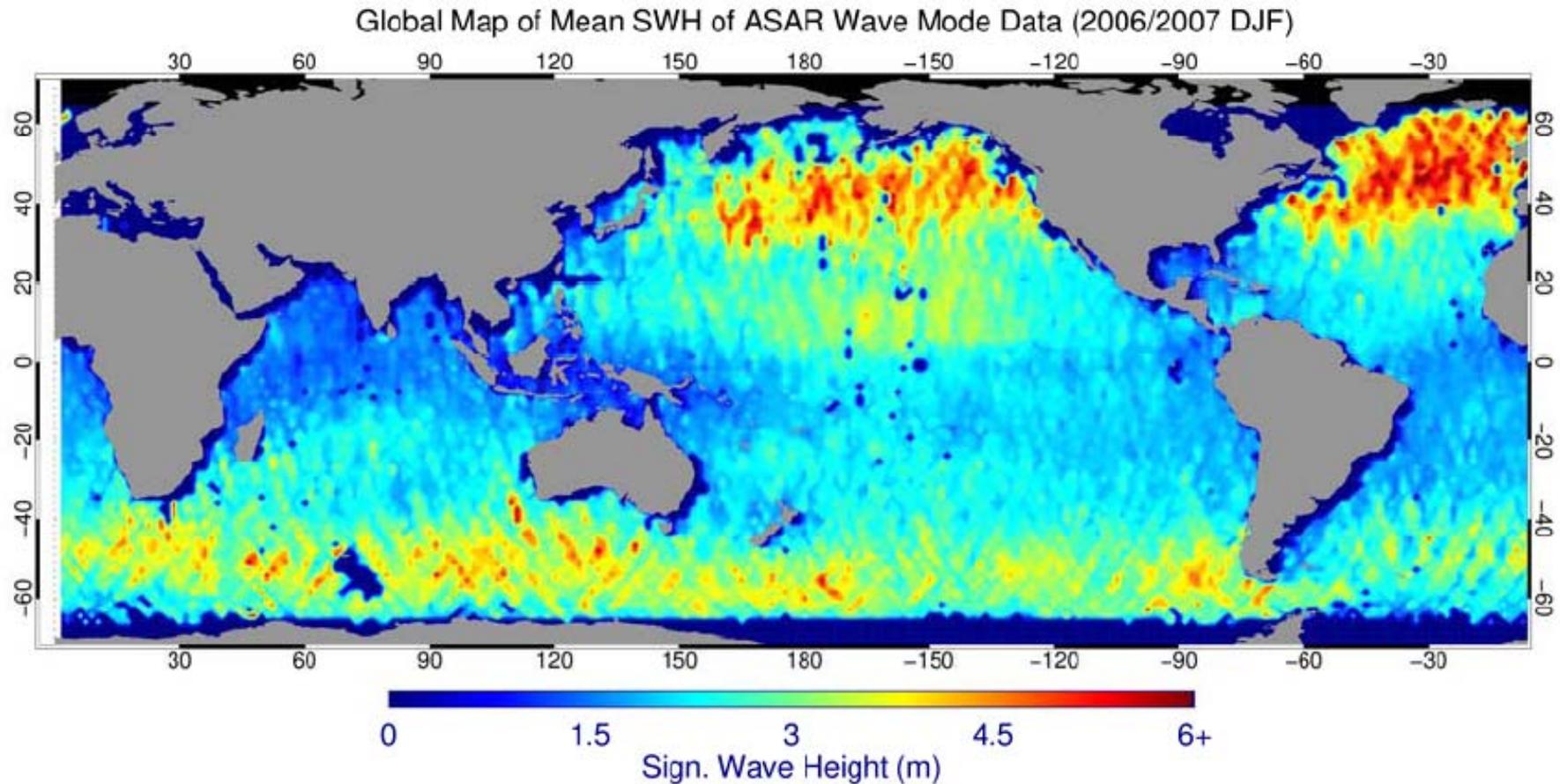
La Reunion Case

Sign. Wave Height and Swell Direction of DWD Model on 2007051121





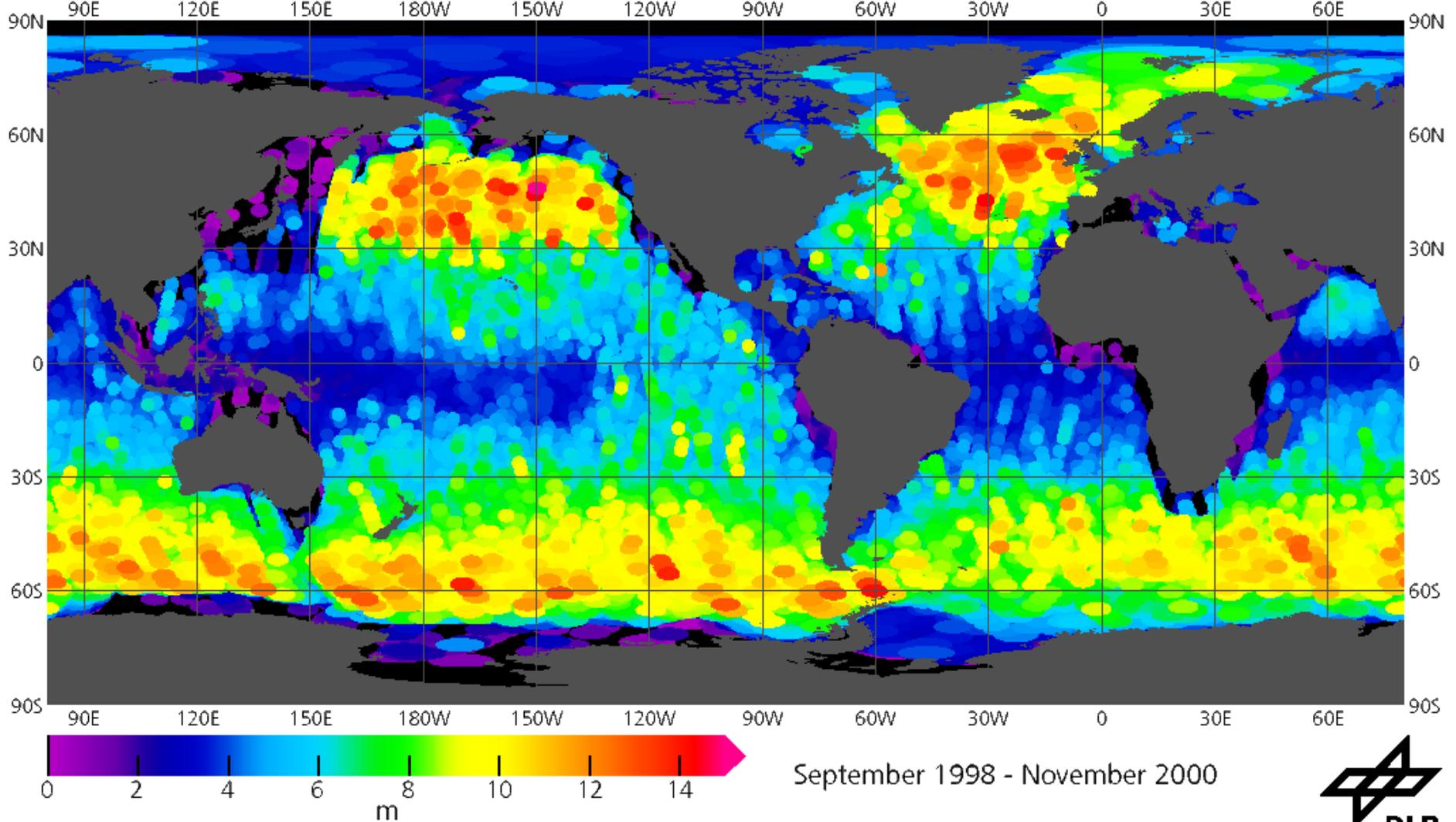
Global Statistics from ENVISAT ASAR only



X. Li, S. Lehner, Oceans 2009



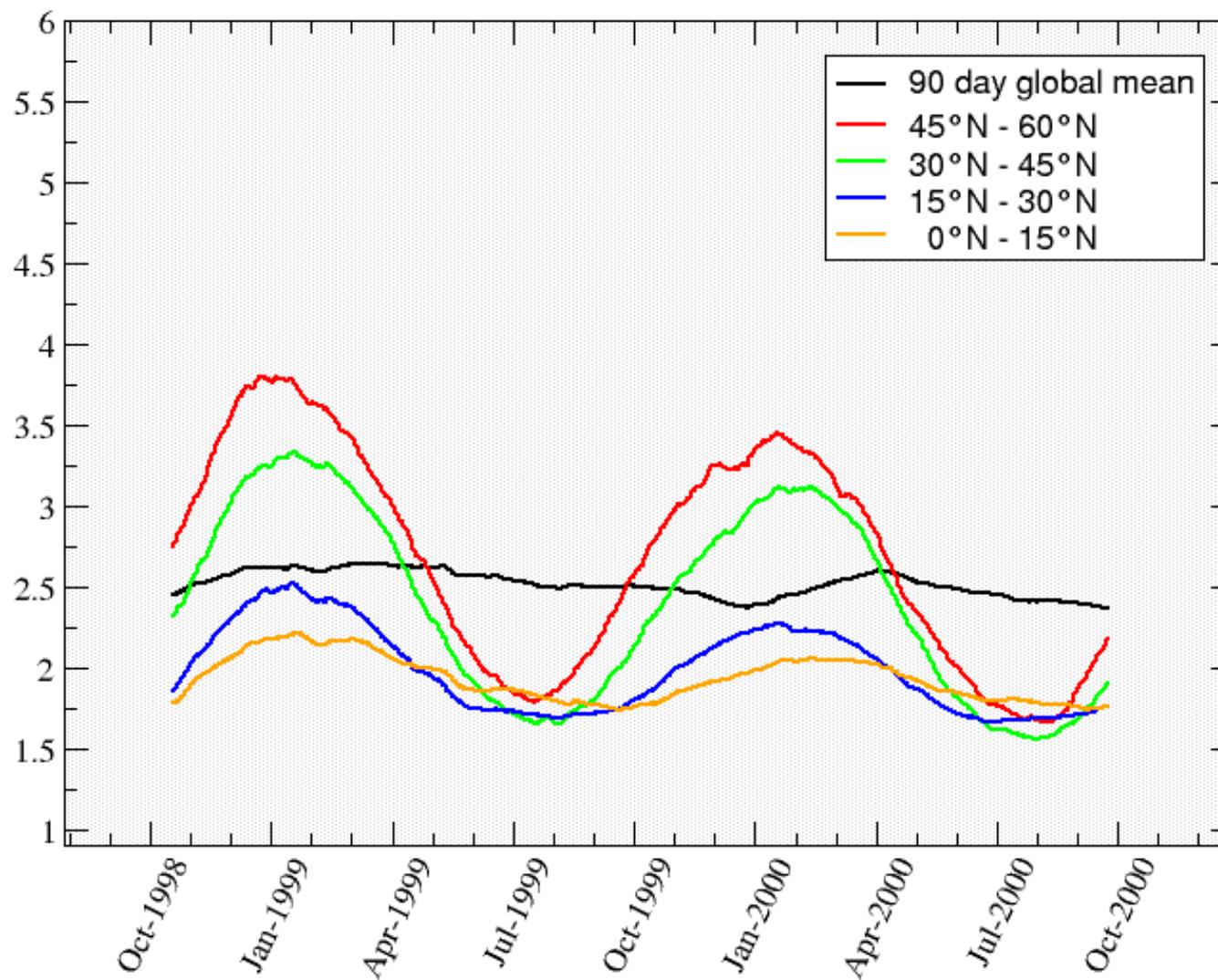
Global Maximum Significant Wave Height (CWave 1.0, modified) of Imagettes in 200 km Surrounding





90-Day Zonal Mean Significant Wave Height

Northern Hemisphere



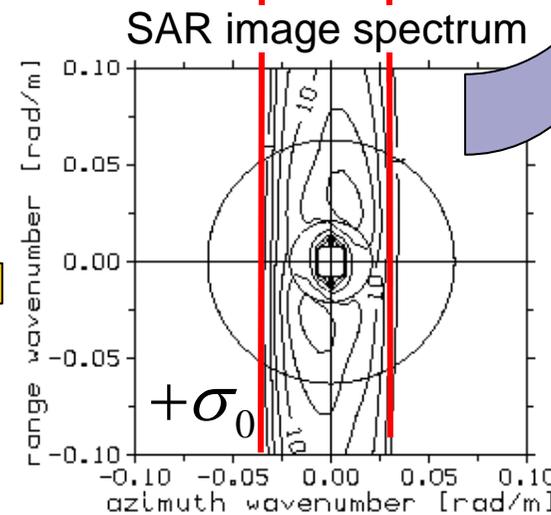
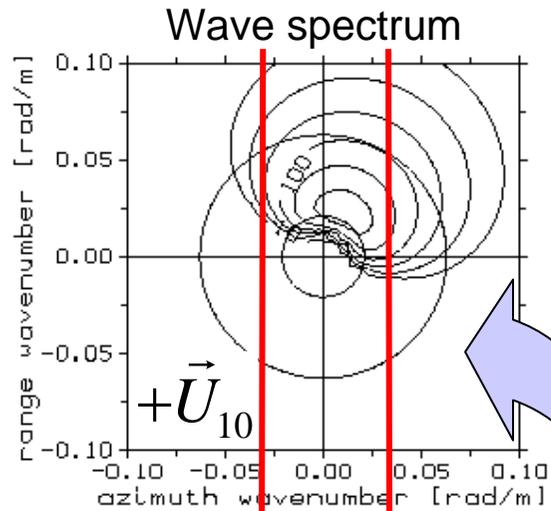


Sea State Parameters – 2D Spectra

H_s ←

↑ empirical

← S



Flight direction →

Retrieve complete 2d wave spectrum using prior information

- MPI scheme (power spectra)
- PARSA scheme (cross spectra)

Retrieve longer waves only using minimum prior information

- ESA algorithm

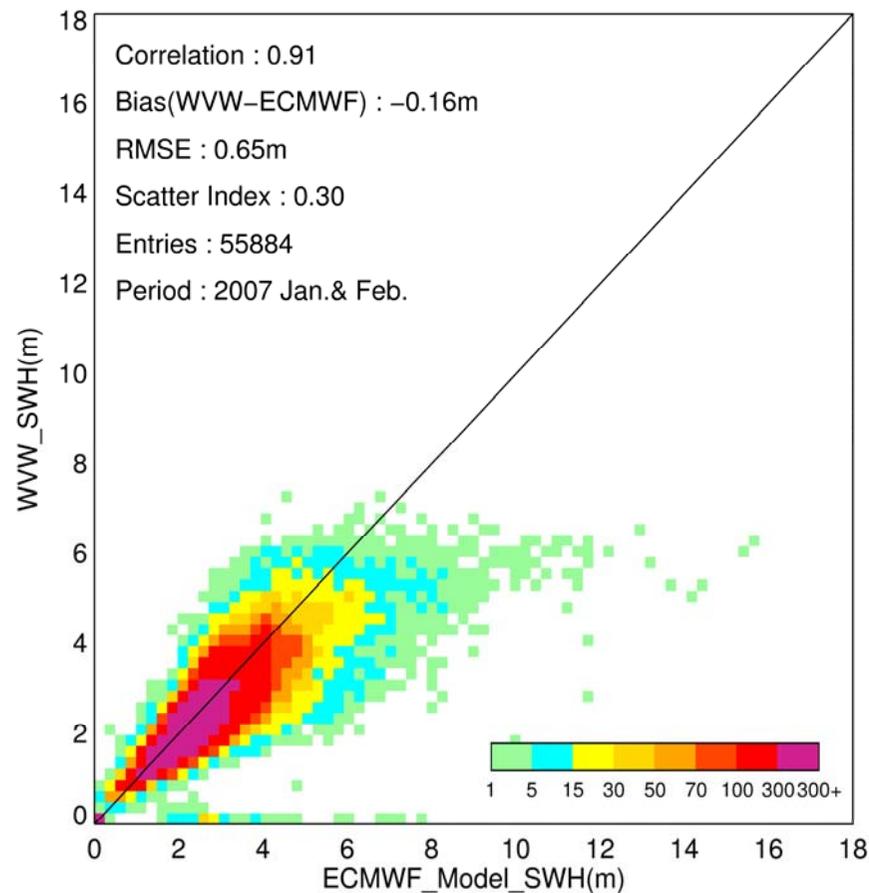
Only integral wave parameters, no prior information

- CWAVE model

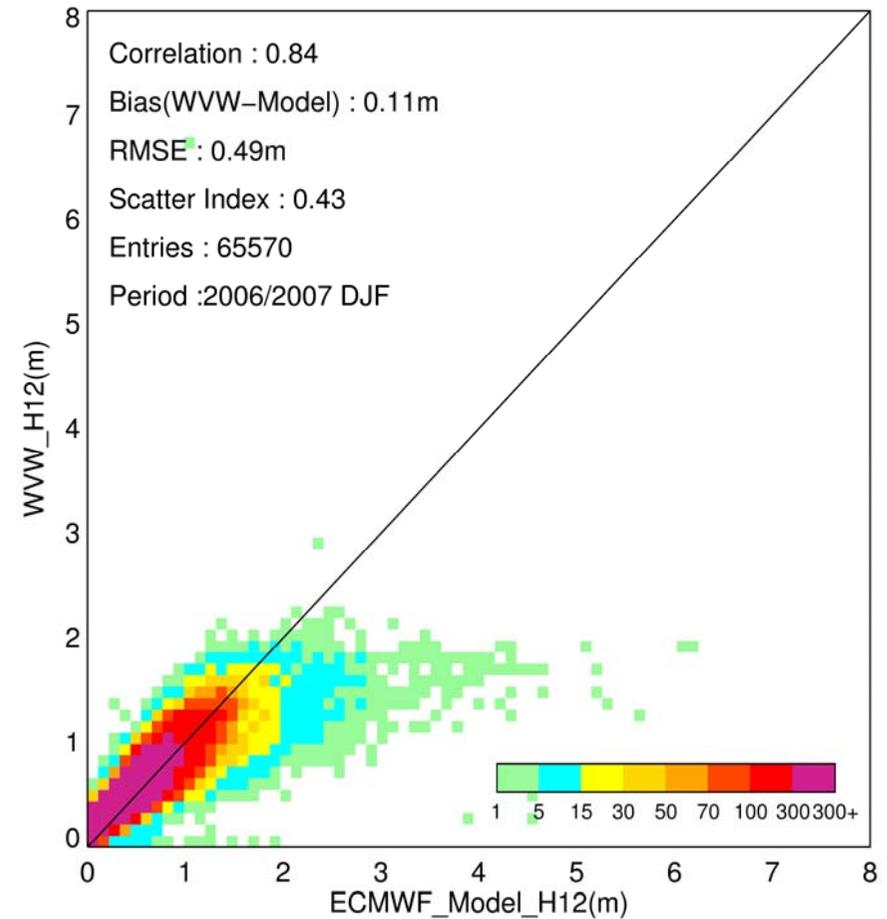


ENVISAT Level 2 Product

Significant wave height H_s against ECMWF



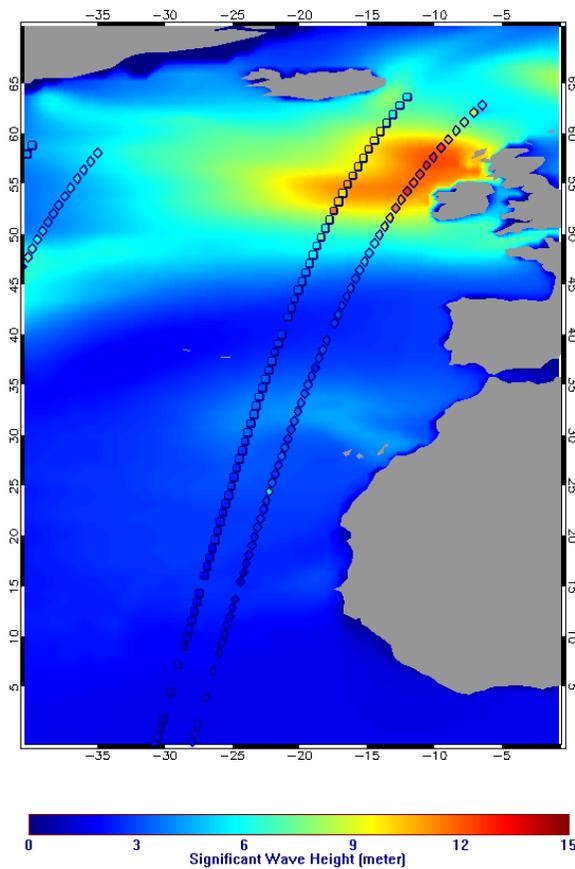
Swell wave height H_{12} against ECMWF





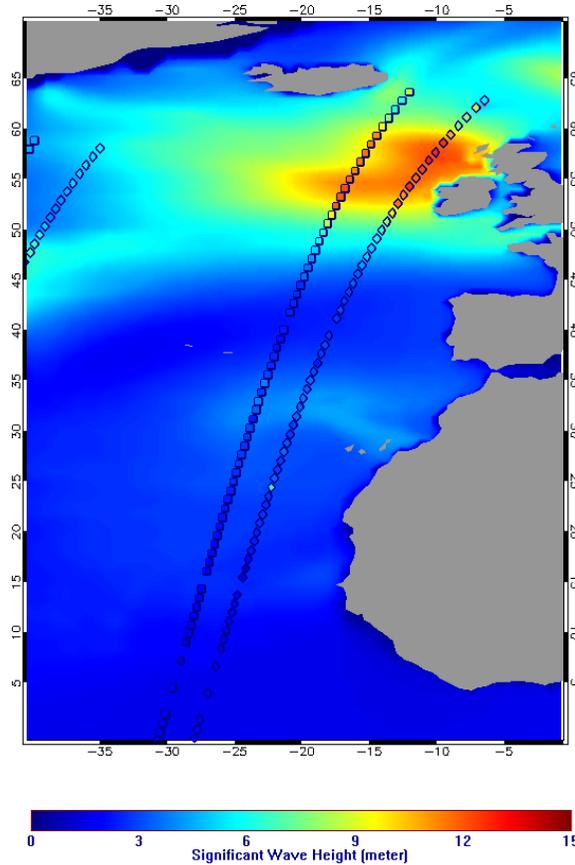
SAR and Altimeter Hs on DWD Model

Significant Wave Height of CWAVE and Altimeter on 2007011112



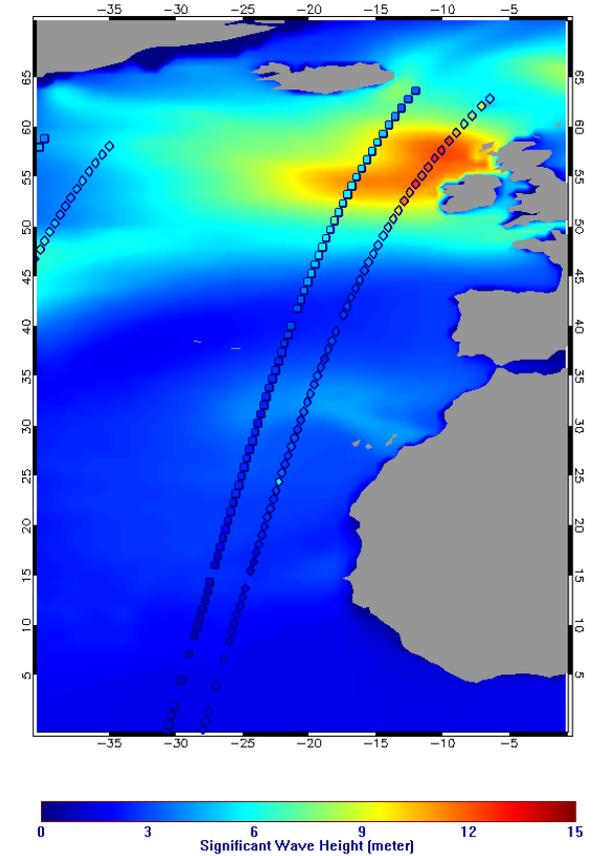
CWAVE and RA-2

Significant Wave Height of PARSA and Altimeter on 2007011112



PARSA and RA-2

Significant Wave Height of ASAR WVV Level2 and Altimeter on 2007011112

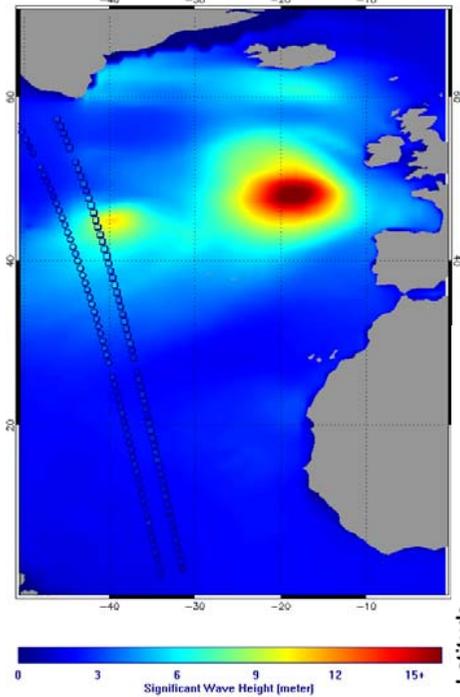


WVV and RA-2



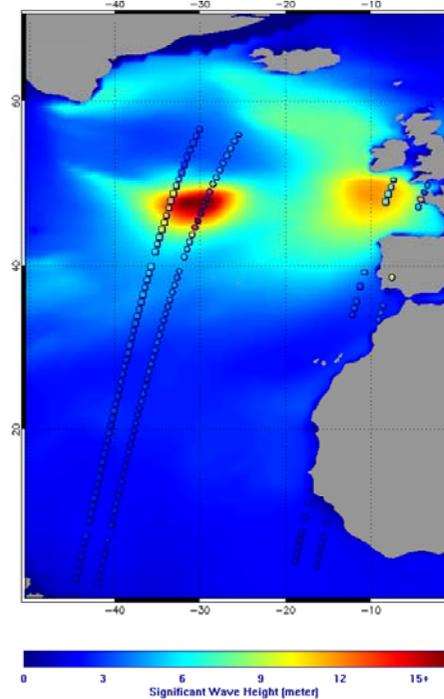
ASAR + RA altimeter – double tracks for storm investigation

SWH of DWD Model [background] CWAVE[Squares] ALT [Circles] on 2007021000

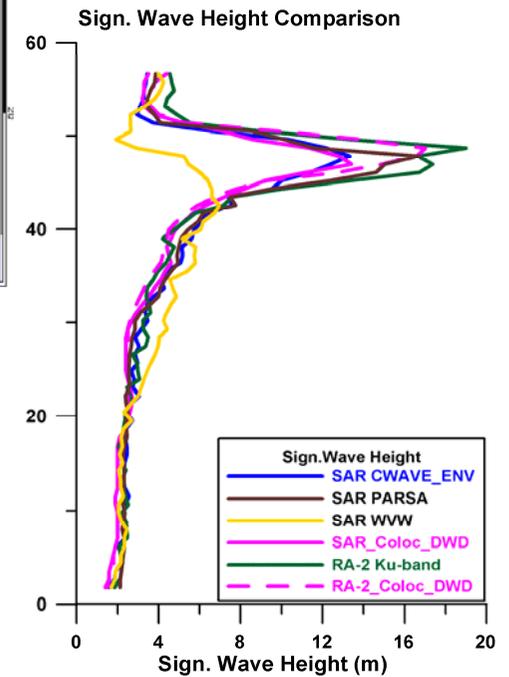
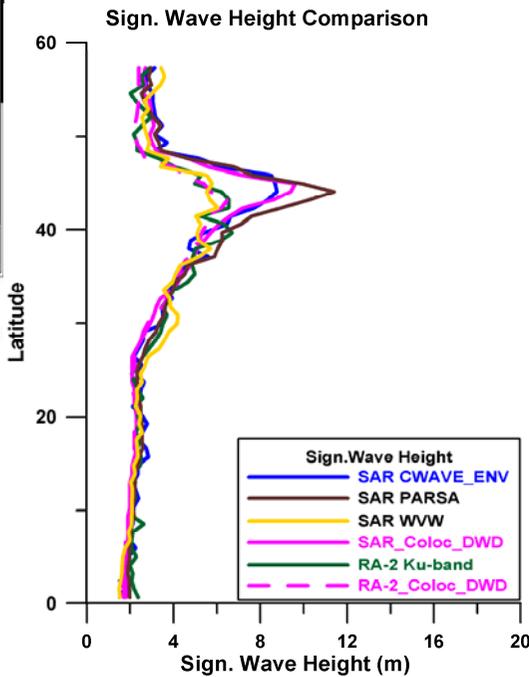


0:00 UTC

SWH of DWD Model [background] CWAVE[Squares] ALT [Circles] on 2007021012



12:00 UTC



Storm on 2007 Feb. 10 at NA

Squares : ASAR Diamonds: RA-2



Conclusion and Outlook

The empirical algorithm CWAVE yields ocean wave measurements with SAR Wave Mode data without first guess information.

CWAVE results have been validated both for ERS and ENVISAT wave mode with scatter index below 24 percent against buoys for significant wave height

Long time series ERS-2/SAR and ENVISAT/ASAR wave mode data are being processed with the CWAVE algorithm. Wave mode data are available since 1991 and thus contribute to global wave climate analyses.

A two year statistical analysis has been performed on ERS data



Table 1 Statistical results of different SAR ocean wave algorithms for SWH compared to *in situ* buoy measurements and numerical wave models

Algorithm	Vs. buoy			Vs. ECMWF			Vs. DWD		
	Bias (m)	RMSE (m)	SI	Bias (m)	RMSE (m)	SI	Bias (m)	RMSE (m)	SI
PARSA	0.09	0.64	0.21	0.01	0.25	0.09	-0.01	0.46	0.16
WWW	-0.19	0.88	0.36	-0.16	0.65	0.30	-0.19	0.67	0.31

Table 2. Statistical results describing the performance of CWAVE_ENV for Hs in different sea state

SWH (m)	Data Pairs	Bias (m)	EP (100%)	RMSE (m)	SI
(0,1.25]	170	0.45	47.6%	0.60	0.43
(1.25, 2.5]	456	0.20	10.0%	0.63	0.31
(2.5,4]	370	0.07	2.0%	0.69	0.21
(4,6]	208	-0.34	7.0%	0.77	0.14
>6	66	-0.91	12.6%	1.41	0.15



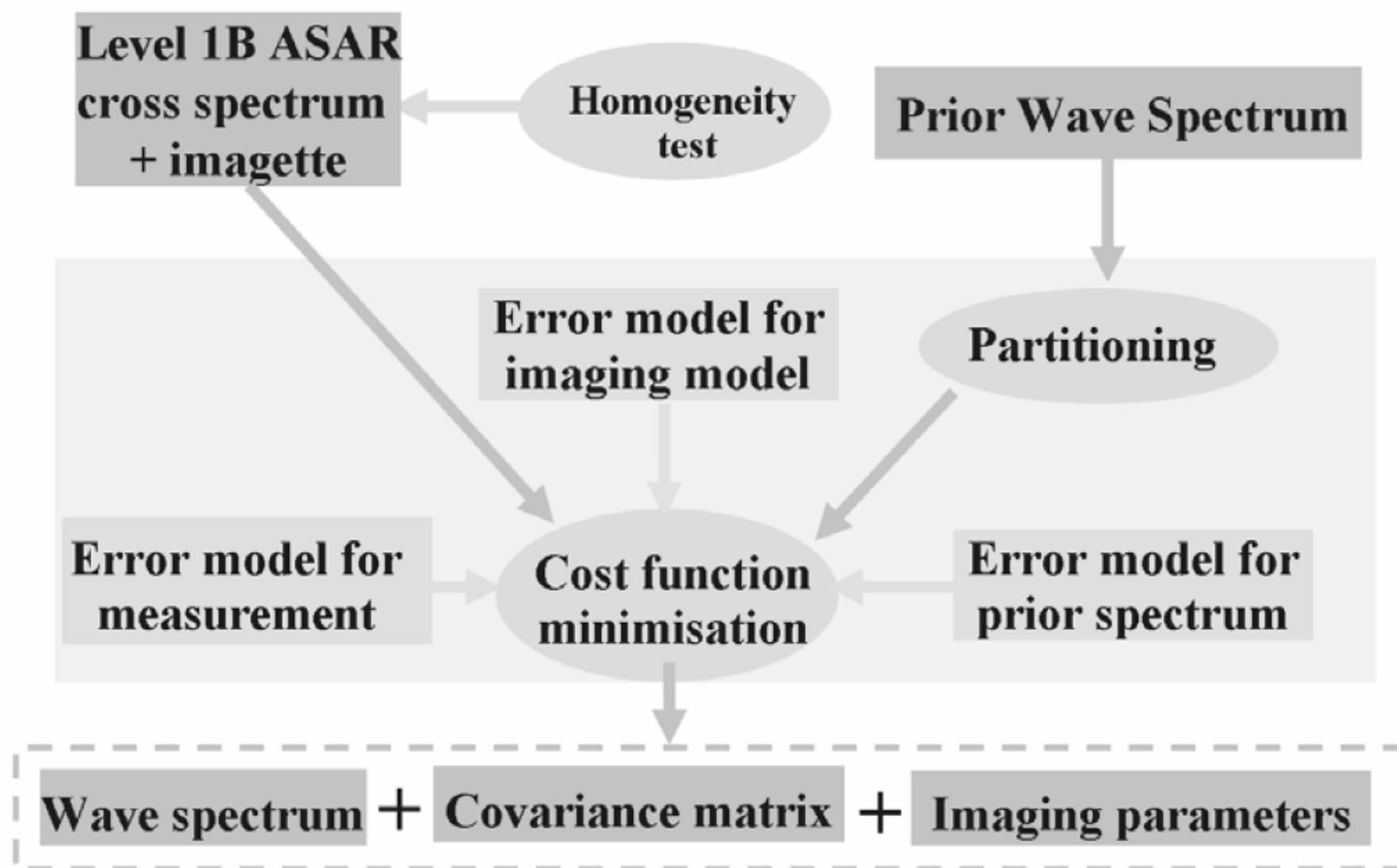
Additional Schemes using first guess, Yielding 2 D wave spectra

- At DLR PARSA scheme available, implemented at UK Met Office
- Should be used at weather centers, where first guess is available
- Improve the model, but depend at the present stage on the first guess
- Validated in the OSIRIS project

In preparation: first guess from SAR image itself

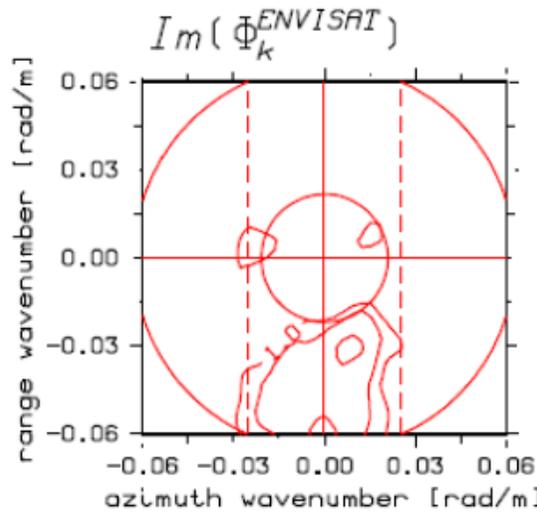
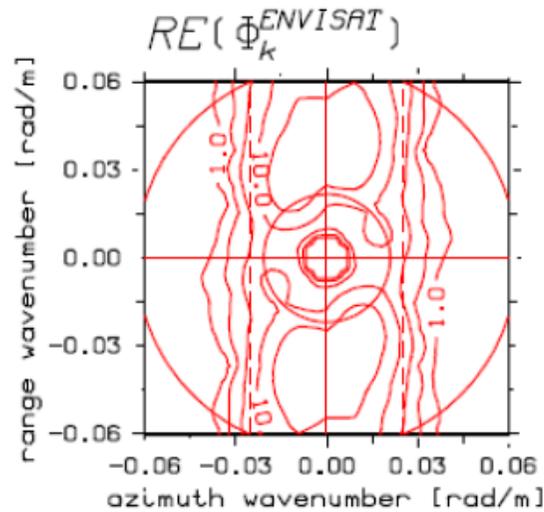


Block Diagram of PARSA Scheme

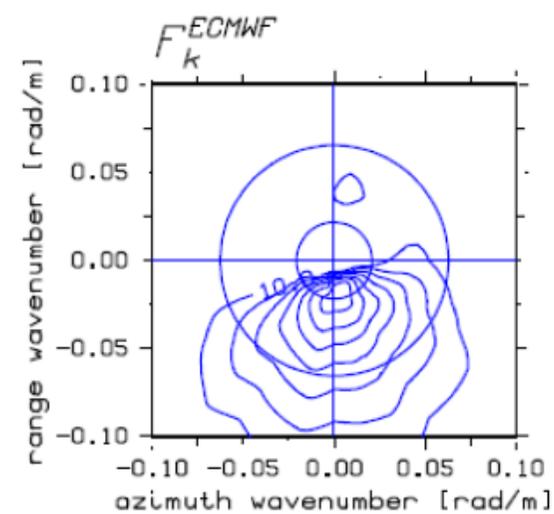
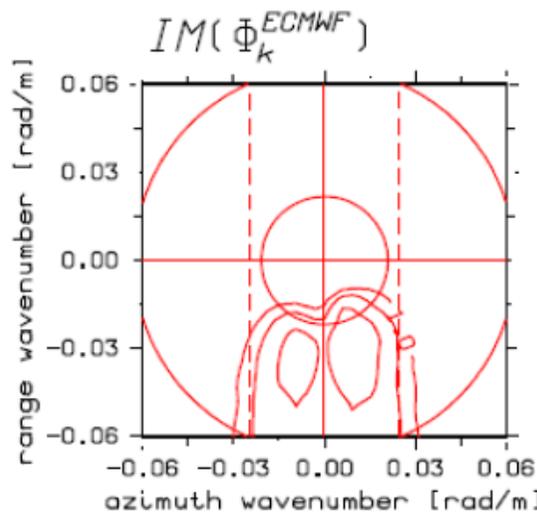
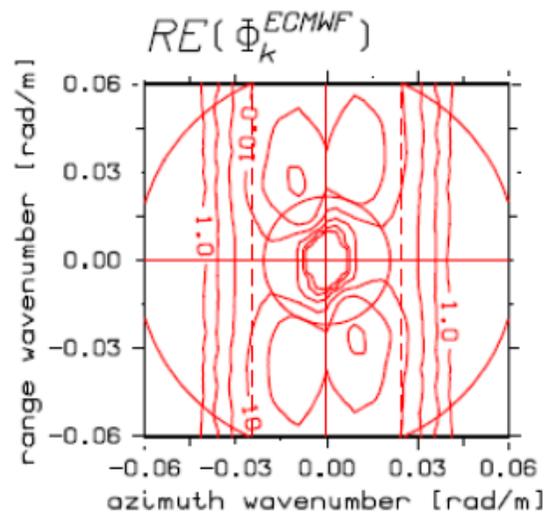




Parsa Example, Part 1

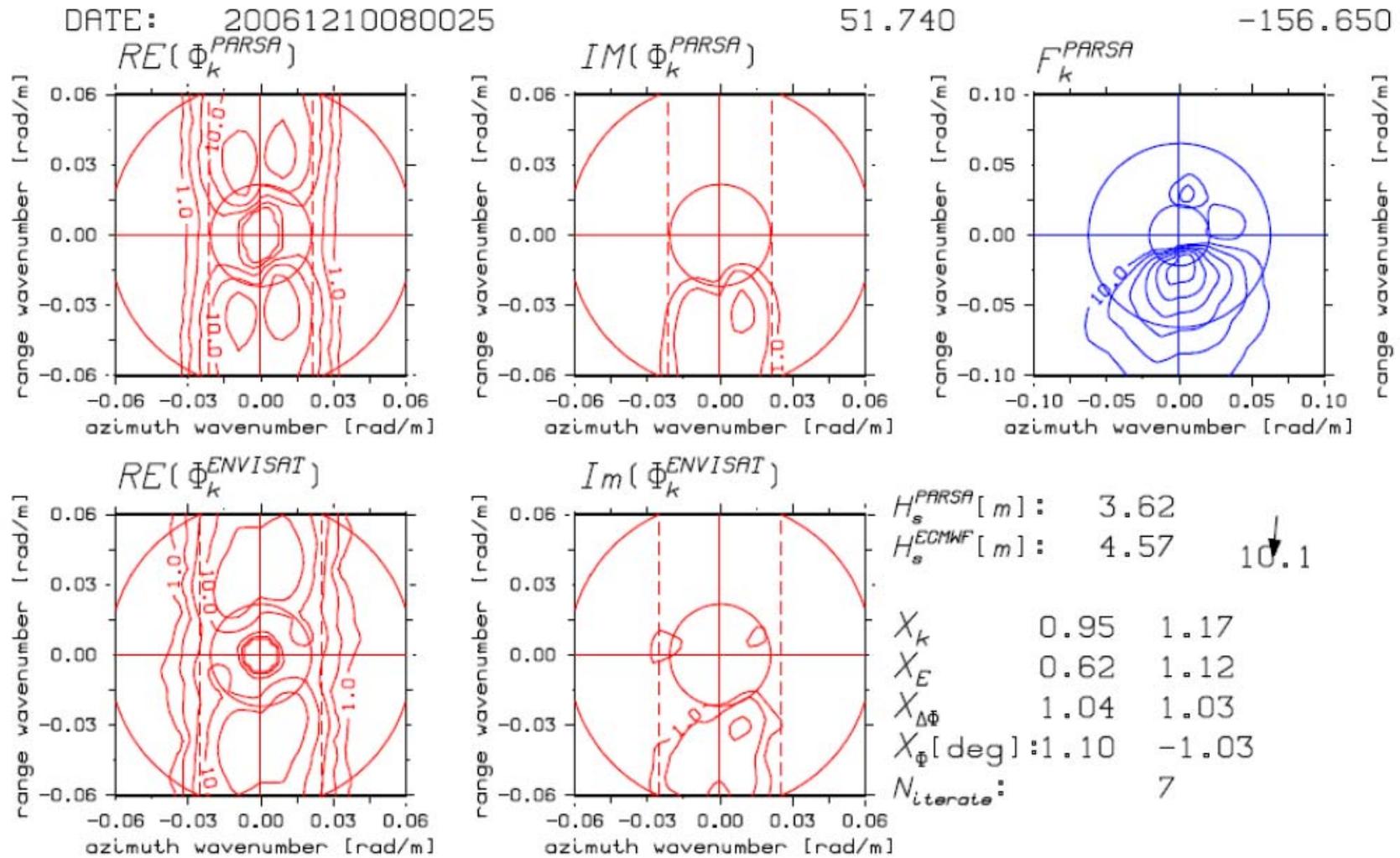


H_s^{PARSA} [m]: 3.62
 H_s^{ECMWF} [m]: 4.57 ↓ 10.1
 X_k 0.95 1.17
 X_E 0.62 1.12
 $X_{\Delta\phi}$ 1.04 1.03
 X_{ϕ} [deg]: 1.10 -1.03
 $N_{iterate}$: 7



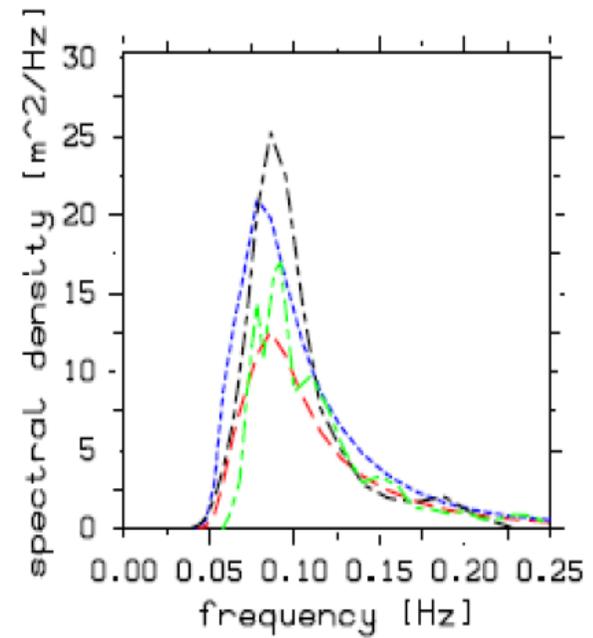
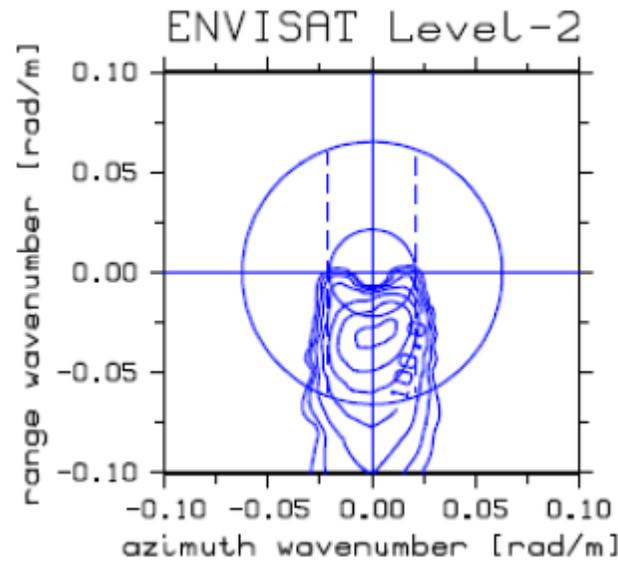
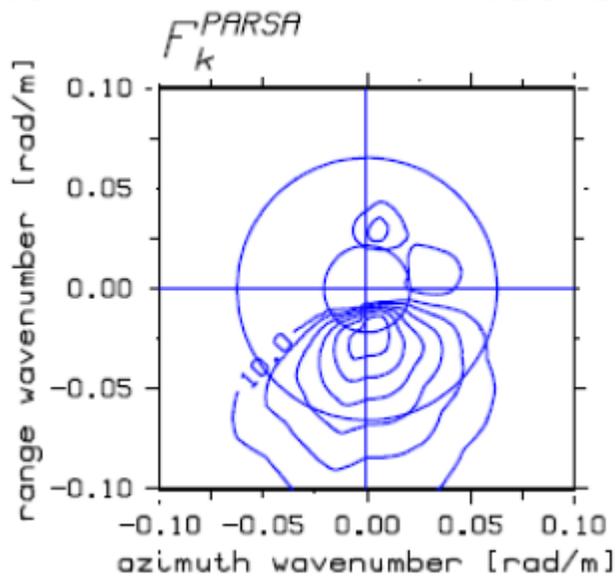


Parsa Example, Part 2





Parsa Example, Part 3



$H_s^{PARSA} [m] : 3.62$
 $H_s^{ECMWF} [m] : 4.57$

$H_s^{L2} : 4.37$
 $H_s^{BUOY} : 3.70$

RED: PARSA
 BLUE: WAM
 BLACK: L2
 GREEN: BUOY

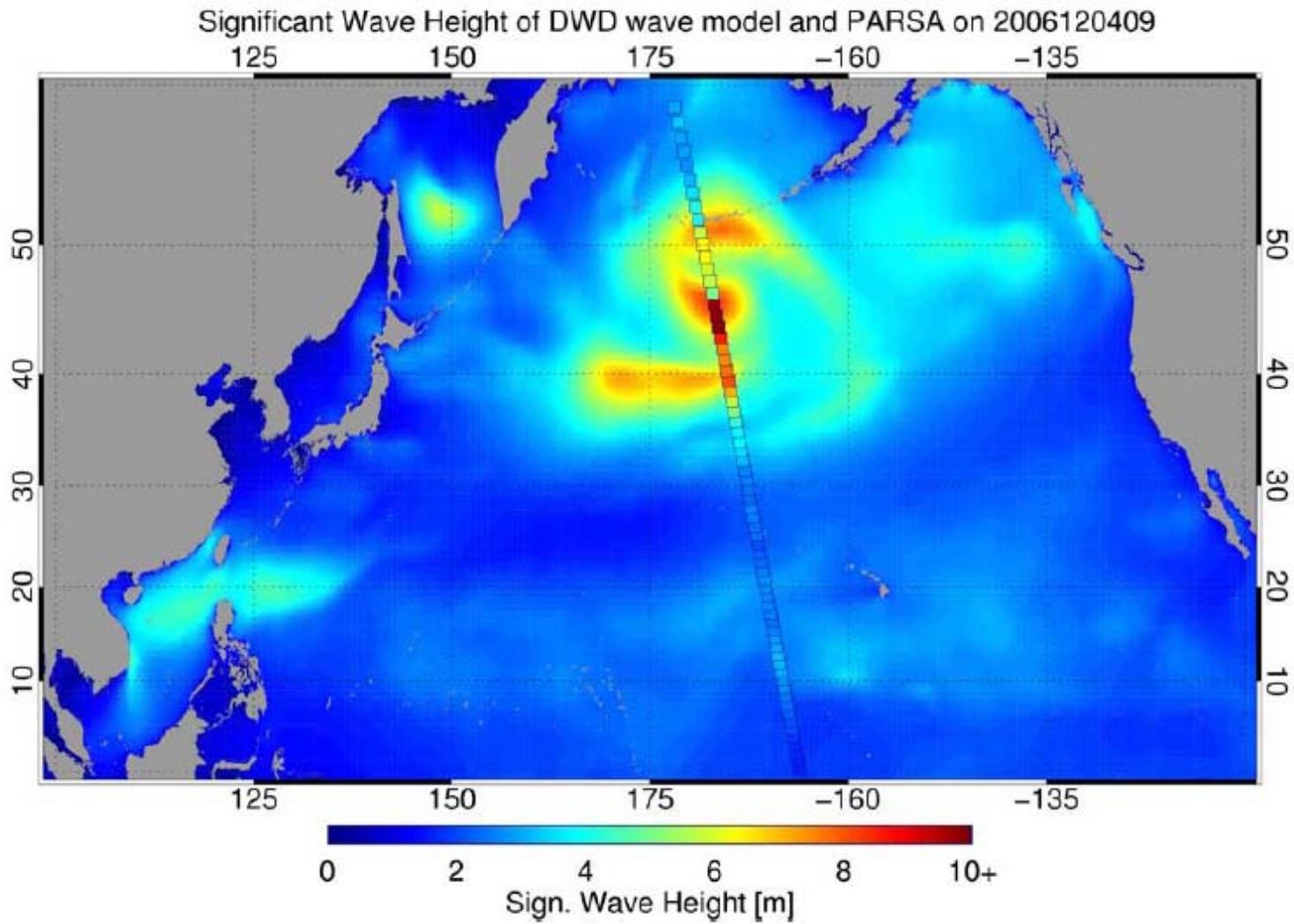


Figure 4. SWH of DWD forecast wave model on Dec.4th, 2006 at 09: 00 UTC superimposed with SWH derived from ASAR wave mode data by the PARSA scheme





Algorithms and Examples published in:

- Lehner, S., Schulz-Stellenfleth, J., Schättler, J. B., Breit, H., and Horstmann, J. (2000): Wind and wave measurements using complex ERS-2 wave mode data. *IEEE Trans. Geosci., and Rem. Sens.*, **38**, 2246-2257.
- Schulz-Stellenfleth, J., S. Lehner and D. Hoja (2005): A parametric scheme for the retrieval of two-dimensional ocean wave spectra from synthetic aperture radar look cross spectra, *J. Geophys. Res.*, 110, doi: 10.1029/2004JC002822.
- Schulz-Stellenfleth, J., Th. Koenig and S. Lehner, An Empirical Approach for the Retrieval of Integral Ocean Wave Parameters from Synthetic Aperture Radar Data, *J. Geophys. Res.*, vol. 112, doi:10.1029/2006JC003970, 2007
- Lehner, S., J. Schulz-Stellenfleth, Th. König, X. Li, Sea State Statistics observed by satellite, *Proceed. Of the OMAE 2008*, June 2008, Estoril Portugal, 2008
- Li, X.-M., Lehner, S., M.-X. HE, (2008) : Ocean wave measurements based on satellite synthetic aperture radar (SAR) and numerical wave model (WAM) data - extreme sea state and cross sea analysis, *Int. J. Remote Sensing*, 29 (21), 6403 - 6416, doi: 10.1080/01431160802175546.
- Li, X.-M., S. Lehner and Th. Bruns, Ocean Wave Integral Parameter Measurements Using ENVISAT ASAR Wave Mode Data, under submission, 2009