

1 **Implementation of an updated radiation stress formulation**  
2 **and applications to nearshore circulation**

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20 **Abstract**

21 Regional Ocean Modeling System (ROMS v 3.0), a three dimensional numerical ocean  
22 model, was previously enhanced for shallow water applications by including wave induced  
23 radiation stress forcing provided through coupling to wave propagation models (SWAN,  
24 REF/DIF). This enhancement made it suitable for surf zone environments and was demonstrated  
25 using applications like oblique incidence of waves on a planar beach and rip current formation in  
26 longshore bar trough morphology (Warner et al., 2008). In this contribution, we present an  
27 update to the coupled model which implements a revised method of the radiation stress term  
28 based on Mellor (2008) and a modification to that method to include a vertical distribution that is  
29 more appropriate for sigma coordinates in very shallow waters. The improvements of the  
30 updated model are shown through simulations of several cases that include: (a) obliquely  
31 incident spectral waves on a planar beach; (b) alongshore variable offshore wave forcing on a  
32 planar beach; (c) alongshore varying bathymetry with constant offshore wave forcing; and (d)  
33 nearshore barred morphology with rip-channels. Quantitative and qualitative comparisons to  
34 previous analytical, numerical and laboratory studies show that the updated model more  
35 accurately replicates surf zone recirculation patterns (onshore drift at the surface and undertow at  
36 the bottom) as compared to the previous formulation.

37  
38 *Keywords:* wave-current interaction, rip currents, ROMS, radiation stress, SWAN, nearshore  
39 circulation

## 40 **1. Introduction**

41 Wave-induced circulation in the nearshore has been the subject of a number of experimental  
42 studies over the last 50 years. Theoretical and analytical studies were initiated in the 60s and 70s  
43 with the works of Longuet-Higgins and Stewart (1964), Longuet-Higgins (1970a, b) and Bowen  
44 (1969). These theories were later incorporated in numerical models that have been developed in  
45 the last 20 years. Such models are predominantly phase-averaged operating in 1-D (across the  
46 surf) or 2-D (assuming uniform along-coast bathymetry and depth-integrated). They solve the  
47 depth averaged Navier Stokes equation focusing on either simulating the development of  
48 alongshore currents (Church and Thornton, 1993; Stive and DeVriend, 1994; Feddersen et al.,  
49 1998; Ruessink et al., 2001), or rip current circulation (e.g., Yu and Slinn, 2003; Reniers et al.,  
50 2004a). Phase resolving 2-D Boussinesq models (e.g., Chen et al., 1999), although considered to  
51 be more comprehensive in modeling wave evolution in the nearshore, are computationally  
52 expensive and their use is limited at present. Lately, point-vortex models (Terrile et al., 2007;  
53 Kennedy et al., 2006) have been also used to study generation, maintenance and advection of  
54 breaking wave induced vortices which are associated with the formation of rip currents.

55 Overall 1-D and 2-D models provide useful information about circulation patterns but are  
56 intrinsically not able to resolve three-dimensional dynamics. It is imperative to resolve the 3-D  
57 circulation to fully investigate such processes as circulation dynamics for nearshore water quality  
58 applications, transport into and out of the surf zone, and sediment transport dynamics. In order to  
59 fill this need, initially quasi 3-D models like SHORECIRC (Svendsen et al., 2002) were  
60 developed. These models have been previously applied to study rip currents (Haas et al., 2003)  
61 and surf beat phenomena (van Dongeren et al., 1995) in nearshore environments. Lately, full 3-D  
62 wave-current coupled models have been developed and implemented in the coastal ocean

63 extending their application to the wave dominated environment of the surf zone.  
64 Implementations include use of the Generalized Lagrangian Mean (GLM) approach (Groeneweg  
65 and Klopman, 1998) to associate wave effects on currents as discussed by Walstra et al. (2000)  
66 and Lesser et al. (2004). Newberger and Allen (2007a, b) added wave forcing in form of surface  
67 stress and body forces in the Princeton Ocean Model (POM), which has evolved as “Nearshore  
68 POM”. Using the vortex force formalism method described in McWilliams et al. (2004) and  
69 Craik and Leibovich (1976), Uchiyama et al. (2009) (hereafter referred to as U09) compares  
70 model simulations to field observations from a barred beach environment.

71 Mellor (2003, 2005) (hereafter referred to as M03 and M05, respectively) describes depth  
72 dependent formulation for radiation stress terms which has been implemented in ROMS by  
73 Warner et al. (2008, hereafter referred to as W08). This has been used to study oblique incidence  
74 of waves on a planar beach and rip currents formed on alongshore bar trough morphology (Haas  
75 and Warner, 2009; hereafter referred to as HW09). Following Ardhuin’s et al. (2008a) remarks,  
76 Mellor (2008) (hereafter referred to as M08) modified his original formulation and provided a  
77 new approach for depth dependent radiation stresses. In this contribution, we present an  
78 implementation of the updated M08 formulations in the Regional Ocean Modeling System  
79 (ROMS) and provide both qualitative and quantitative comparisons for three and two  
80 dimensional flow fields corresponding to conditions favorable for the development of rip current  
81 cell circulation (see below).

82 The objectives of this contribution are to: (i) present the implementation of the updated  
83 M08 formulation, including further modifications to account for shallow water, into the ROMS  
84 model; and (ii) evaluate the performance of the new implementation using 4 study cases. These  
85 cases consist of: (1) obliquely incident waves on a planar beach; (2) uniform nearshore

86 bathymetry with alongshore varying wave forcing; (3) alongshore varying bathymetry with  
87 constant offshore wave forcing,; and (4) nearshore barred morphology with rip-channels.

88 The outline of the paper is as follows. Modifications to the model are presented in  
89 Section 2 together with the results for the case of obliquely incident waves on a planar beach  
90 (Case 1). Section 3 presents the results of the numerical experiments for the alongshore variable  
91 forcing, alongshore varying bathymetry and nearshore barred morphology with rip-channels  
92 (Cases 2, 3, and 4, respectively). The model results are compared to existing analytical solutions  
93 (Bowen, 1969), numerical solutions (Noda, 1974) and laboratory studies (Haller et al., 2002;  
94 Haas and Svendsen, 2002). Section 4 discusses the results with main emphasis on the effect of  
95 wave angle of approach to the development of rip-currents as it is revealed through the numerical  
96 experiments and some implications for model applications related to morphodynamic  
97 development. Finally, the conclusions are presented in Section 5.

## 99 **2. Implementation of updated forcings**

100 ROMS is a three dimensional, free surface, topography following numerical model, which  
101 solves finite difference approximations of Reynolds Averaged Navier Stokes (RANS) equations  
102 using hydrostatic and Boussinesq approximations with a split-explicit time stepping algorithm  
103 (Shchepetkin and McWilliams, 2005; Haidvogel et al., 2008; Shchepetkin and McWilliams,  
104 2009). ROMS includes several options for certain model components such as various advection  
105 schemes (second, third and fourth order), turbulence closure models (e.g., Generic Length Scale  
106 mixing, Mellor-Yamada, Brunt-Väisälä frequency mixing, user provided analytical expressions,  
107 K-profile parameterization), boundary conditions etc.

108 Warner et al. (2008) improved ROMS for nearshore applications through the  
 109 incorporation of the M03 and M05 radiation stress forcing methods. The model equations were  
 110 presented in W08 in Cartesian coordinates  $(x, y, s)$  based on the equations originally given by  
 111 Haidvogel and Beckmann (2000) and Haidvogel et al. (2008). Recently these formulations have  
 112 been commented by Shchepetkin and McWilliams (2009) who presented clarifications to the  
 113 model formulations. For completeness and to avoid confusion we elected to present the equations  
 114 in horizontal, orthogonal curvilinear and vertical terrain following coordinates  $(\xi, \eta, s)$  following  
 115 the definitions and notations of Shchepetkin and McWilliams (2009).

116 The horizontal momentum equations are given as:

$$\begin{aligned}
 & \frac{\partial}{\partial t} \left( \frac{H_z u_l}{mn} \right) + \frac{\partial}{\partial \xi} \left( \frac{u_l H_z u_l}{n} \right) + \frac{\partial}{\partial \eta} \left( \frac{v_l H_z u_l}{m} \right) + \frac{\partial}{\partial s} \left( \frac{w_s u_l}{mn} \right) - \\
 & \left[ \left( \frac{f}{mn} \right) + v_l \frac{\partial}{\partial \xi} \left( \frac{1}{n} \right) - u_l \frac{\partial}{\partial \eta} \left( \frac{1}{m} \right) \right] H_z v_l = - \frac{H_z}{n} \left( \frac{1}{\rho_0} \frac{\partial P}{\partial \xi} \Big|_z \right) - \frac{\partial}{\partial s} \left( \overline{u'w'} - \frac{v}{H_z} \frac{\partial u_e}{\partial s} \right) \\
 & - \frac{\partial}{\partial \xi} \left( \frac{H_z S_{\xi\xi}}{mn} \right) - \frac{\partial}{\partial \eta} \left( \frac{H_z S_{\eta\xi}}{mn} \right) + s \frac{\partial}{\partial \xi} \left( \frac{H_z \partial S_{\xi\xi}}{mn \partial s} \right) + s \frac{\partial}{\partial \eta} \left( \frac{H_z \partial S_{\eta\xi}}{mn \partial s} \right) + \frac{H_z}{mn} (F_u + D_u)
 \end{aligned} \tag{1}$$

117

$$\begin{aligned}
 & \frac{\partial}{\partial t} \left( \frac{H_z v_l}{mn} \right) + \frac{\partial}{\partial \xi} \left( \frac{u_l H_z v_l}{n} \right) + \frac{\partial}{\partial \eta} \left( \frac{v_l H_z v_l}{m} \right) + \frac{\partial}{\partial s} \left( \frac{w_s v_l}{mn} \right) + \\
 & \left[ \left( \frac{f}{mn} \right) + v_l \frac{\partial}{\partial \xi} \left( \frac{1}{n} \right) - u_l \frac{\partial}{\partial \eta} \left( \frac{1}{m} \right) \right] H_z u_l = - \frac{H_z}{m} \left( \frac{1}{\rho_0} \frac{\partial P}{\partial \eta} \Big|_z \right) - \frac{\partial}{\partial s} \left( \overline{v'w'} - \frac{v}{H_z} \frac{\partial v_e}{\partial s} \right) \\
 & - \frac{\partial}{\partial \xi} \left( \frac{H_z S_{\eta\xi}}{mn} \right) - \frac{\partial}{\partial \eta} \left( \frac{H_z S_{\eta\eta}}{mn} \right) + s \frac{\partial}{\partial \xi} \left( \frac{H_z \partial S_{\xi\eta}}{mn \partial s} \right) + s \frac{\partial}{\partial \eta} \left( \frac{H_z \partial S_{\eta\eta}}{mn \partial s} \right) + \frac{H_z}{mn} (F_v + D_v)
 \end{aligned} \tag{2}$$

118

$$- \frac{\partial P}{\partial \eta} \Big|_z = -g \rho \Big|_{s=0} \frac{\partial \zeta}{\partial \eta} - g \int_s^0 \frac{\partial \rho}{\partial \eta} H_z ds; \quad - \frac{\partial P}{\partial \xi} \Big|_z = -g \rho \Big|_{s=0} \frac{\partial \zeta}{\partial \xi} - g \int_s^0 \frac{\partial \rho}{\partial \xi} H_z ds \tag{3}$$

119 with the continuity equation:

$$\frac{\partial}{\partial t} \left( \frac{H_z}{mn} \right) + \frac{\partial}{\partial \xi} \left( \frac{H_z u_l}{n} \right) + \frac{\partial}{\partial \eta} \left( \frac{H_z v_l}{m} \right) + \frac{\partial}{\partial s} \left( \frac{w_s}{mn} \right) = 0 \quad [4]$$

120 the scalar transport given by:

$$\begin{aligned} \frac{\partial}{\partial t} \left( \frac{H_z C}{mn} \right) + \frac{\partial}{\partial \xi} \left( \frac{u_e H_z C}{n} \right) + \frac{\partial}{\partial \eta} \left( \frac{v_e H_z C}{m} \right) + \frac{\partial}{\partial s} \left( \frac{w_s C}{mn} \right) = \\ - \frac{\partial}{\partial s} \left( c' w' - \frac{v_\theta}{H_z} \frac{\partial C}{\partial s} \right) + C_{source} \end{aligned} \quad [5]$$

121 and the Lagrangian velocity is related to Eulerian velocity ( $u_e$ ) and Stokes drift ( $u_s$ ) as:

$$u_l = u_e + u_s \quad [6]$$

122 where  $m^{-1}$  and  $n^{-1}$  are Lamé metric coefficients; where  $u$  and  $v$  are the mean components  
 123 of velocity in the horizontal ( $\xi$  and  $\eta$ ) directions, respectively; subscripts  $l$  and  $e$  define  
 124 Lagrangian and Eulerian velocity;  $w_s$  is the mean component of the vertical velocity in the  
 125 vertical ( $s$ ) direction. Note that no vertical Stokes velocity is defined in the Mellor (2008)  
 126 method. The Lagrangian velocity in Eqn. 1-5 are replaced by Eqn. 6, and the terms  
 127 corresponding to Stokes velocity are moved to right hand side of these equations. The ROMS  
 128 model therefore solves for the Eulerian velocity as the prognostic variable. The vertical sigma  
 129 coordinates  $s = (z - \zeta)/D$  varies from -1 at the bottom to 0 at the free surface;  $z$  is the vertical  
 130 coordinate positive upwards with  $z=0$  at mean sea level;  $\zeta$  is the wave-averaged sea surface  
 131 elevation;  $D (= h + \zeta)$  is the total water depth while  $h$  is the depth below mean sea level of the sea  
 132 floor;  $H_z$  is the grid cell thickness; and  $f$  is the Coriolis parameter. An overbar indicates time  
 133 average, and prime (') indicates a fluctuating turbulent quantity. Pressure is  $P$ ;  $\rho$  and  $\rho_0$  are total  
 134 and reference densities of sea water;  $g$  is the acceleration due to gravity; and  $\nu$  and  $\nu_\theta$  are  
 135 molecular viscosity and diffusivity;  $F_u$  and  $F_v$  are forcing terms (e.g., wind stress and thermal  
 136 forcing, etc);  $C$  represents a tracer quantity;  $C_{source}$  are tracer source/sink terms; Finally,  $D_u$  and

137  $D_v$  are diffusive terms (viscosity and diffusion) explained in details in the ROMS user guide  
 138 (wikiROMS, www.myroms.org ). For Cartesian coordinates ( $x, y$  and  $s$ ) Lamé metric  
 139 coefficients are unity and the curvilinear terms ( $v_i \partial / \partial \xi (1/n) - u_i \partial / \partial \eta (1/m)$ ) reduce to zero.

140 These equations are closed by parameterization of the Reynolds stresses and turbulent  
 141 tracer fluxes as:

$$\begin{aligned} \overline{u'w'} &= -K_M \frac{\partial u_e}{\partial z}, \overline{v'w'} = -K_M \frac{\partial v_e}{\partial z}, \\ \overline{c'w'} &= -K_H \frac{\partial \rho}{\partial z} \end{aligned} \quad [7]$$

142 where  $K_M$  is the eddy viscosity for momentum and  $K_H$  is the eddy diffusivity.

143 Ardhuin et al. (2008) pointed out that the implementation of depth dependent radiation  
 144 stress equations described by M03 and M05 is not accurate and it requires inclusion of higher  
 145 order wave kinematics. M08 attempted to address these issues and developed a modification to  
 146 his original formulation for the radiation stress tensor:

$$S_{\alpha\beta} = kE \left( \frac{k_\alpha k_\beta}{k^2} F_{cs} F_{cc} - \delta_{\alpha\beta} F_{sc} F_{ss} \right) + \delta_{\alpha\beta} E_D \quad [8]$$

147 where,  $k$  is the wave number and  $E$  the wave energy, while the parameter  $F$  denotes the  
 148 vertical distribution defined as:

$$\begin{aligned} F_{SS} &= \frac{\sinh k((s+1)(\zeta+h))}{\sinh k(\zeta+h)}, F_{CS} = \frac{\cosh k((s+1)(\zeta+h))}{\sinh k(\zeta+h)} \\ F_{SC} &= \frac{\sinh k((s+1)(\zeta+h))}{\cosh k(\zeta+h)}, F_{CC} = \frac{\cosh k((s+1)(\zeta+h))}{\cosh k(\zeta+h)} \end{aligned}$$

$$\delta_{\alpha\beta} = \begin{cases} 1 & \text{if } \alpha = \beta \\ 0 & \text{if } \alpha \neq \beta \end{cases}$$

150

$$E_D = 0 \text{ if } z \neq \zeta$$

$$\int_{-h}^{\zeta} E_D dz = E/2 \quad [9]$$

151 As described in M08, “in a finite difference rendering of  $E_d$ , the top vertical layer of  
 152 incremental size  $\delta z$  and only the top layer would be occupied by  $\partial E_D / \partial \zeta = (\delta z)^{-1} \partial (E/2) / \partial \zeta$   
 153 “(hereafter this formulation is referred to as M08<sub>top</sub>). This formulation is appropriate for cases  
 154 where the discrete size of the top layer of the model is of the same order as or greater than the  
 155 wave height. However, in very shallow waters as in the surf zone, the wave height is of the same  
 156 order as the water depth. In such cases the amplitude of waves might be extending through a  
 157 number of sigma levels ( $H_{rms}/2 > \delta z$ ). For this type of applications, if the forcing was applied only  
 158 at the top layer, then the model result would be dependent on the vertical distribution of the  
 159 sigma levels. In order to avoid this deficiency in shallow waters we vertically distribute the  
 160 forcing using a function ( $F_{ED}$ ) with a length that scales with the root mean square wave height  
 161 ( $H_{rms}$ ). We choose a distribution based on a function in Uchiyama et al. (2009):

$$F_{ED} = \frac{FB}{\int_{-h}^{\zeta} FB dz} \text{ where, } FB = \cosh\left(\frac{2\pi}{H_{rms}}((s+1)(\zeta+h))\right) \quad [10]$$

162 so that equation (7) is implemented as:

$$S_{\alpha\beta} = kE \left( \frac{k_\alpha k_\beta}{k^2} F_{CS} F_{CC} - \delta_{\alpha\beta} F_{SC} F_{SS} \right) + \delta_{\alpha\beta} \frac{E}{2} F_{ED} \quad [11]$$

163  
 164 and hereafter referred to as M08<sub>vt</sub>. The M08<sub>vt</sub> method provides a vertical distribution function  
 165 such that the entire term  $E_D$  is not concentrated on the top sigma level. For cases when wave  
 166 amplitude is smaller than the discrete interval of the top sigma level this approach is similar to  
 167 M08<sub>top</sub>.

168 Despite the modifications in Mellor (2008), some of the arguments of Ardhuin et al.  
169 (2008) remain valid for ideal conditions (i.e., high bed slope, non breaking waves propagating  
170 over uneven topography with no dissipative effects). In order to assess the error of Mellor (2008)  
171 as implemented in this work, an analysis was carried out for a similar setup as Ardhuin et al.  
172 (2008) but with a realistic slope and including bottom friction and uniform vertical mixing (see  
173 Appendix A). The results indicate that under the latter conditions the errors are not significant  
174 when compared to the flow field developed by depth induced wave breaking.

175 In addition to the radiation stress term, spatial distribution of wave energy is affected by  
176 wave breaking process. This is usually incorporated through the inclusion of wave rollers (e.g.,  
177 Ruessink et al., 2001) that modify the radiation stress and associated alongshore and cross-shore  
178 velocities. A formulation for these processes was already incorporated in ROMS using an  
179 empirical parameterization (Warner et al., 2008). A new formulation based on the evolution  
180 equation of roller action density (Reniers et al., 2004) is currently being developed. However, in  
181 this manuscript no roller effects are included and this is the subject of a subsequent publication.

182 The wave fields required to compute the radiation stress terms are provided by SWAN  
183 (Booij et al., 1999), a third generation, phase averaged, wave propagation model, which  
184 conserves wave action density (energy density divided by relative frequency). The details of  
185 coupling ROMS to SWAN have been provided in W08 and will not be discussed further in here.

186

## 187 **2.1 Case 1: Obliquely incident waves on a planar beach**

188 The effects of updated forcing methods are examined through simulations for obliquely  
189 incident waves on planar beach. This case has been previously discussed by HW09 using the  
190 M03 formulation. The model domain has a cross-shore width ( $x$ ) of 1,180 m and an alongshore

191 length (y) of 140 m. The grid resolution is 20 m for both directions. The water depth varies from  
192 12 m at the offshore boundary to 0 m at the shoreline. The vertical domain has been distributed  
193 in 30 vertical layers. The boundary conditions are periodic in the alongshore (i.e., north and  
194 south boundaries), closed at the shoreline, and Chapman like radiation condition at the offshore  
195 end of the domain. Effect of earth rotation has not been included. The bottom stress has been  
196 formulated using a quadratic bottom drag with a  $C_d$  value of 0.0015. The turbulence closure  
197 scheme is Generic Length Scale (GLS, k-epsilon) as described in Warner et al. (2005). For this  
198 simulation, wave forcing is provided by SWAN, which propagates an offshore JONSWAP wave  
199 spectrum with a significant wave height of 2m, a peak period of 10 seconds and a  $10^\circ$  angle of  
200 incidence.

201 U09 conducted similar tests on the same setup using the vortex force formalism  
202 (McWilliams et al., 2004) to compute the wave forcings. Results were compared to those in  
203 HW09, which was based on the original vertical distribution of M03. Here we compare the  
204 vertical structure of cross-shore velocity between M03 and the present model using both  $M08_{top}$   
205 and  $M08_{vrt}$  in order to reveal the differences between the older and newer formulations, but also  
206 to examine the performance of the radiation stress vertical distribution shown in Eqn. 10.

207 The cross-shore distribution of wave height, water depth and sea surface elevation after 6  
208 hours of model simulation time are shown in Figure 1a. The free surface is very close to zero at  
209 the offshore boundary and gradually decreases landward with a maximum setdown at  $x=560m$ .  
210 The waves start breaking at  $x > 560$  m as determined by wave setdown and reduction in wave  
211 height. A comparison of the depth averaged, cross-shore and alongshore Eulerian velocities for  
212 the different simulations (i.e., M03,  $M08_{top}$  and  $M08_{vrt}$  formulations) are shown in Figures 1b and  
213 c. The cross-shore profile of the depth-averaged cross-shore velocity (Fig. 1b) is identical for all

214 three simulations with the maximum current occurring at 700m. On the other hand, the strength  
215 of the maximum depth averaged alongshore velocity (Fig. 1c) for M08<sub>top</sub> and M08<sub>vt</sub> is slightly  
216 weaker in comparison to M03. This reduction in alongshore velocity in M08<sub>top</sub> and M08<sub>vt</sub> is  
217 compensated for by an increase in alongshore velocity further offshore from the shoreline.

218 The vertical structure of the cross-shore Eulerian velocity at five different locations  
219 across the shoreface and for each simulation is shown in Figure 2. At the furthest offshore  
220 location ( $x=100$  m), the M03 cross-shore velocity profile shows offshore directed velocity  
221 increasing in strength from  $0 \text{ ms}^{-1}$  at  $z=-4$  m to  $0.15 \text{ ms}^{-1}$  at  $z=-10.5$  m. For  $z>-4$  m, the velocity  
222 is directed onshore with maximum strength at the surface layer. At the same location, the M08<sub>vt</sub>  
223 results show no velocity at the surface, increasing towards the bed with offshore directed  
224 velocity of  $0.15 \text{ ms}^{-1}$ . The M08<sub>top</sub> simulations are similar to those of M08<sub>vt</sub> except near the  
225 surface, where offshore velocity of  $0.10 \text{ ms}^{-1}$  is observed at the surface layer. The velocity profile  
226 at  $x=300$  m follows similar trend as before for M03 and M08<sub>vt</sub>, while for M08<sub>top</sub> offshore  
227 advection at the surface layer is observed with a velocity of the order  $\sim 0.2 \text{ ms}^{-1}$ .

228 At the location just offshore of the wave breaking zone (i.e.,  $x=500$  m), M03 runs have  
229 maximum offshore directed velocity ( $\sim 0.2 \text{ ms}^{-1}$ ) at the bottom layer which decreases to 0 at the  
230 surface. For M08<sub>top</sub> run, strongest offshore flow is at the bottom layer which decreases to a  
231 magnitude  $\sim 0.1 \text{ ms}^{-1}$  at  $z=0$  m. The velocity profile from M08<sub>vt</sub> run has maximum offshore  
232 velocity at  $z=-6$  m with a strength of  $\sim 0.2 \text{ ms}^{-1}$ , reducing to  $\sim 0.05 \text{ ms}^{-1}$  at the surface.

233 Within the surf zone ( $x>500$  m), the original model (M03) run predicts a strong offshore  
234 directed velocity near the bed. At the surface, the velocity is still directed offshore but with a  
235 significantly reduced strength. The M08<sub>top</sub> and M08<sub>vt</sub> results are very similar within the surf  
236 zone. Close to the bottom boundary, velocity is directed offshore with a higher value than that

237 observed for the M03 run. Near the surface, velocity is directed onshore as expected in the surf  
238 zone while an offshore directed undertow is developed near the bottom (also see Fig. 1, in  
239 Longuet-Higgins, 1953). This vertical segregation of flow leads to the development of a cross-  
240 shore circulation cell with a vertical velocity (not shown here) directed upwards at  $x \sim 500$  m and  
241 downwards close to the shoreline at  $x \sim 900$  m. This is generally consistent with field observations  
242 of cross-shore velocity profile within the surf zone that show similar vertical flow segregation for  
243 both barred (Garcez-Faria et al., 2000) and non-barred planar beaches (Ting and Kirby, 1994).

244 Overall, the M03 formulation predicts onshore velocity for areas outside the surf zone  
245 and fails to reproduce the recirculation pattern within the surf zone. The M08 based simulation  
246 with stress applied to top layer (M08<sub>top</sub>) works well within the surf zone but creates weak  
247 offshore advection of cross-shore velocity near the surface. However this offshore advection is  
248 eliminated when, implementing Eq. 10 (M08<sub>vrt</sub>). Furthermore, at the breaking zone the M08<sub>vrt</sub>  
249 model results are qualitatively in agreement with the field observations of Garcez-Faria et al.  
250 (2000) that show slight onshore flow near the surface and offshore flows below increasing with  
251 proximity to the bed (see Fig. 1c in Garcez-Faria et al., 2000).

252

### 253 **3. Nearshore Circulation Cell Cases**

254 Rip currents have been the subject of modeling (Bowen et al., 1969b; Tam, 1973; Noda,  
255 1974; Dalrymple, 1975; Haas et al., 2000; Haller et al., 2001; Haas et al., 2003) but also  
256 experimental studies in both the field (MacMahan et al., 2005; Aagaard et al., 1997; Brander and  
257 Short, 2001; Sonu, 1972), and the laboratory (Haller et al., 2002; Drønen et al., 2002). They  
258 provide a good example for testing nearshore numerical models as they invoke a number of  
259 nearshore processes and wave and current interaction patterns. In this section we have applied

260 the M08<sub>vrt</sub> formulation and examine its performance on rip current development by comparing to  
261 previously published work.

262 Initially, two ideal cases are presented where rip current cells develop in response to  
263 alongshore variability of wave forcing (Case 2) and alongshore variable bottom bathymetry  
264 (Case 3). The former condition can be the result of temporal variability in wave group forcing  
265 (e.g., Long and Özkan-Haller, 2009) or due to incidence of intersecting wave trains of similar  
266 frequency (e.g., Dalrymple, 1975). On the other hand, the latter condition is not uncommon in  
267 barred beach profiles. In each case, alongshore differences in wave setup, caused by alongshore  
268 variation of the wave breaking position, create an alongshore pressure gradient which in turn  
269 drives an alongshore current. In both cases the creation of alongshore gradient in wave setup  
270 leads to the development of a circulation cell like pattern in the surf zone as described in Bowen  
271 (1969) and Noda (1974).

272 In addition, the laboratory studies of rip currents by Haller et al. (2002) are well  
273 documented and provide an excellent set of data for comparison to numerical model results.  
274 HW09 provided a qualitative comparison of rip current formation to results from Haller et al.  
275 (2002). Expanding on this previous work we use the updated model to simulate the formation of  
276 rip currents on an alongshore bar trough morphology (Case 4) which is a scaled up experiment of  
277 the laboratory study conducted by Haller et al. (2002) and Haas and Svendsen (2002).

278

### 279 **3.1 Case 2: Alongshore variable wave forcing.**

280 The setup of this case study includes incidence of alongshore variable wave height  
281 distribution on a planar beach as described by Bowen (1969). Our case differs from Bowen's

282 setup as we use spectral instead of monochromatic waves and the domain size has been increased  
283 to resemble realistic field conditions.

284 The alongshore uniform, planar bathymetry is analytically described by:

$$d = \tan \beta \cdot x \cdot (1 + \varepsilon \cdot \cos \lambda y) \quad [12]$$

285 For  $\varepsilon \ll 1$ , this can be approximated as  $d \approx \tan \beta x$ . The beach slope  $\tan \beta$  is 0.02 and the  
286 water depth ( $d$ ) varies from 12 m offshore to 0 m close to the shoreline. The domain is 650 m in  
287 the cross-shore and 1,000 m in the alongshore direction, with a resolution is 5 and 10m,  
288 respectively. In the following discussion, results only from the area 600m (x from 0m to 600m)  
289 by 1000m (y from 0 to 1000m) is shown, so that boundary effects are excluded. Vertically, the  
290 domain is distributed in 10 equally distributed sigma layers. Closed boundary conditions are used  
291 at the two lateral sides and the shoreline, while Neumann boundary conditions have been used at  
292 the offshore boundary. A logarithmic bottom friction is used with a roughness length of 0.005 m,  
293 a value close to those reported from field studies (e.g., Feddersen et al., 1998).

294 The wave model (SWAN) is run for the same grid as ROMS. The wave forcing applied at  
295 the offshore boundary is directed perpendicular to the domain, has a period of 5 s and an  
296 alongshore varying wave height described (see eqn. 30, 31 in Bowen, 1969) by:

$$H = \gamma \cdot \frac{(1 - K) \tan \beta}{f} \cdot x \cdot (1 + 0.2 \cdot \cos(\lambda y)) \quad [13]$$

297 where  $\lambda$  is the alongshore wavenumber of the wave height variability ( $2\pi/L_y$ , with  $L_y=1,000\text{m}$ ),  $f$   
298 is a scaling constant,  $\tan \beta$  is the beach slope,  $K$  (a parameter which relates wave setup to slope)  
299 is calculated as  $(1 + 8/3\gamma^2)^{-1}$  and  $\gamma$  ( $=0.6$ ) is the depth-induced wave breaking constant (Battjes  
300 and Janssen, 1978; Eldeberky and Battjes, 1996). The wave forcing is described by a directional  
301 spectrum consisting of 20 frequency bands in the range 0.04 Hz to 1 Hz, and 36 directional bins  
302 of  $10^\circ$  each from  $0^\circ$  to  $360^\circ$  with a directional spreading of  $6^\circ$ . The bottom friction used in SWAN  
Kumar et al.

303 is based on the eddy viscosity model of Madsen et al. (1988) with a bottom roughness length  
304 scale of 0.05 m. The modeling system for this case is configured in one way coupling where  
305 there is no feedback of the currents or water levels to the wave model, and in a two way-coupling  
306 mode where exchange of wave and current information takes place between ROMS and SWAN  
307 at a synchronization interval of 20s. Both model configurations were run for a simulation time of  
308 two hours over which the computational domain achieves stability. Unlike Yu and Slinn (2003)  
309 very small differences were observed between the final results of one and two way coupling  
310 based simulations. We attribute this to a number of reasons including differences in wave  
311 forcing, bottom friction values and on the width of the rip current jet in the two cases. As Yu and  
312 Slinn (2003) mention the current effect on waves is stronger for narrow offshore rip currents as  
313 in their case, while in the present study the rip system is approximately 250 m wide. In the  
314 following sections we discuss the two way coupled results unless otherwise mentioned.

315 The wave height distribution over the domain is shown in Figure 3. The wave incident at  
316 the offshore boundary is alongshore variable with a maximum value of 1.5 m at the lateral  
317 boundaries and a minimum value of 1 meter at the center of the domain. At the center of the  
318 domain (i.e.,  $\lambda y = \pi$ ), the incident wave height initially decreases and then increases before it  
319 starts breaking in shallower water depths. The initial decrease is due to bottom friction and  
320 depth induced dissipation and the increase after that is due to interaction of the incoming wave  
321 field with the outgoing currents. This outgoing current locally increases the wave height by a  
322 small value (0.05-0.10 m).

323 The depth averaged Lagrangian (Eulerian + Stokes) velocity and the associated  
324 streamlines are compared to analytically derived streamlines - following Bowen (1969) -  
325 assuming a breaking position of  $\lambda x = \pi/2$  (Fig. 4). The flow patterns are symmetrical about  $\lambda y = \pi$ ,

326 therefore only the bottom half is shown and discussed here. The flow pattern within the surf zone  
327 ( $\lambda x < \pi/2$ ) is onshore, offshore and alongshore directed at  $\lambda y = 0, \pi, \pi/2$  respectively. The  
328 alongshore current within the surf zone increases from 0 to  $0.2 \text{ ms}^{-1}$  and then reduces to  $0 \text{ ms}^{-1}$  at  
329  $\lambda y = \pi$ . For locations outside the breaking zone ( $\lambda x > \pi/2$ ), the alongshore current is relatively  
330 weaker and is directed from  $\lambda y = \pi$  to  $\lambda y = 0$ . Within the surf zone the streamline patterns observed  
331 are similar for both the analytical solution (Fig. 4c) and the model simulation (Fig. 4b). It is  
332 important to note that longshore symmetry of streamlines about the center of circulation is  
333 observed which suggests that the strength of offshore and onshore directed flow at  $\lambda y = 0$  and  $\lambda y =$   
334  $\pi$  are of the same magnitude. Outside the surf zone (i.e., for  $\lambda x > \pi/2$ ), the two streamline patterns  
335 differ. The model based streamlines show uniform distribution pointing at equal strength of  
336 alongshore and cross-shore velocity from  $\pi/2 < \lambda x < 6\pi/5$ . The analytical solution (Fig 4c)  
337 suggests reduction in velocity when moving further offshore (seen by increase in distances  
338 between the corresponding streamlines). These differences occur because the analytical solution  
339 includes only bottom friction as a parameter for dissipation whereas the model simulations  
340 include additional dissipative and mixing processes which make the velocity distribution uniform  
341 outside the surf zone. Overall even though we use different bottom friction, turbulence closure  
342 schemes etc., qualitatively the results are comparable to Bowen 1969 (their Fig. 6) and to the  
343 results of LeBlond and Tang (1974) who included wave-current interaction in their analytical  
344 solution.

345 In order to examine the effect of lateral mixing on circulation pattern, we implement a  
346 sensitivity analysis based on a Reynolds Number defined as  $VL_y/A_H$ , where  $V$  is the maximum  
347 alongshore velocity speed,  $L_y$  is the alongshore wavelength of the forcing perturbation and  $A_H$  is  
348 the horizontal coefficient of viscosity (Fig 5). Small changes in bottom friction affect the

349 maximum velocity value but not the circulation pattern (not shown here). On the other hand,  
350 changes in horizontal mixing, affect both velocity strength and circulation pattern. As the  
351 Reynolds number increases, the solution becomes more skewed, i.e., outflowing current at the  
352 location of lower waves tends to become narrow and the onshore flow broadens. This effect is  
353 shown in Fig. 5 where the stream function for different values of Reynolds number is presented.  
354 As  $A_H$  decreases from 6 to 0.5, the Reynolds Number increases from 42 to 500, making the  
355 solution more skewed about the individual circulation cell centers. Qualitatively this solution  
356 compares well to both theory of Arthur (1962) and the results derived by Bowen (1969) by  
357 numerically solving the non linear problem for streamline distribution.

358         The vertical structure of the cross-shore and alongshore Eulerian velocities along three  
359 profiles at  $\lambda y = \pi/5, \pi/2$  and  $4\pi/5$  (for locations see Fig. 3), corresponding to locations where the  
360 depth averaged cell flow is directed onshore, alongshore and offshore, respectively, are shown in  
361 Fig. 6, respectively. These results correspond to simulation runs with  $A_H = 0.5 \text{ m}^2 \text{ s}^{-1}$ . The first  
362 location (Fig. 6a) corresponds to bigger waves which start breaking further offshore ( $\lambda x \sim 0.5\pi$ ).  
363 The region onshore of location  $\lambda x = 0.5\pi$ , shows a vertical segregation of the flow. The onshore  
364 flow observed at the surface layer at  $\lambda y = \pi/5$  (Fig. 6a) is stronger than the surface onshore flow  
365 at  $\lambda y = \pi/2$  (Fig. 6b). Presence of a circulation pattern in the domain reinforces current directed  
366 towards the shoreline at  $\lambda y = \pi/5$ . The offshore flow in this case is weak and is limited to the  
367 bottom boundary. The vertically integrated flow is directed onshore as shown in Fig 4a. Outside  
368 the surf zone (i.e.,  $\lambda x > 0.5\pi$ ), the flow is predominantly weak, onshore directed ( $\sim 0.05 \text{ ms}^{-1}$ ) at  
369 the upper half of the sigma layers and gradually decreases to no flow at the bottom layer (Fig  
370 6a).

371 At the third vertical profile (Fig. 6c), the incoming waves are small and break close to the  
 372 shoreline ( $\lambda x \sim 0.4\pi$ ). The flow field close to the surface is weakly ( $<0.05 \text{ ms}^{-1}$ ) onshore directed  
 373 as this velocity at the surface is reduced by the rip current jet directed offshore. Also the onshore  
 374 flow is limited to the top layer. The offshore directed undertow is stronger in this case and  
 375 occupies the largest part of the water column. The vertically averaged flow is strongly offshore  
 376 directed. Outside the wave breaking zone (i.e.  $\lambda x > 0.4\pi$ ) the velocity strength steadily decreases  
 377 from  $0.2 \text{ ms}^{-1}$  to  $0.05 \text{ ms}^{-1}$ .

378 Panels d, e, and f, in Fig. 6, show the vertical structure of alongshore velocity at the same  
 379 locations as in Figs. 6a, b and c, respectively. At  $\lambda y = \pi/5$  (Fig. 6d), alongshore velocity within the  
 380 surf zone ( $\lambda x < 0.45\pi$ ) has a strength of  $0.1 \text{ ms}^{-1}$  while at  $\lambda y = \pi/2$  (Fig. 6e), velocity is positive and  
 381 strongest ( $0.2 \text{ ms}^{-1}$ ) at the surface, gradually decreasing to  $0.15 \text{ ms}^{-1}$  near the bed. This is  
 382 reflected in the strong depth averaged alongshore velocity observed within the surf zone in Fig.  
 383 4a. At  $\lambda y = 4\pi/5$  (Fig. 6f), velocity within the surf zone is stronger than that at  $\lambda y = \pi/5$ . This occurs  
 384 because the streamlines in this case are not symmetrical about the center of the circulation (Fig.  
 385 5a) and the offshore flow occurs over a smaller area in comparison to broadened onshore flow.  
 386 Offshore of  $\lambda x = 0.45\pi$  (outside the surf zone), the alongshore flow is small and gradually  
 387 increases to  $-0.10 \text{ ms}^{-1}$  for rest of the vertical domain for  $\lambda y = \pi/5, \pi/2$  and  $4\pi/5$ .

388

### 389 **3.2 Case 3: Alongshore Varying Bathymetry**

390 In this case study the alongshore bathymetry of the beach is varied to produce a  
 391 sinusoidal pattern according to (Noda, 1974):

$$d(x, y) = \tan \beta \cdot x \cdot \left( 1 + a \cdot \exp \left( - \left( \frac{x}{(\alpha/3)} \right)^{1/3} \right) \cdot \sin^{10} \left( \frac{\pi y}{L_y} \right) \right) \quad [14]$$

392 where the beach slope ( $\tan\beta$ ) is 0.025, the wavelength( $L_y$ ) of the alongshore variation is 80m and  
393  $\alpha$  is a constant (20). This analytical expression generates a periodic beach bathymetry with  
394 channels concentrated at alongshore distances multiples of  $L_y$ , while it produces a straight  
395 coastline at  $x=0$  m.

396 The numerical model domain is 110m and 560m in the cross-shore and alongshore  
397 directions, respectively with a resolution of 2 m in both directions. Application of Eqn. 14 over  
398 the domain generates 7 channel-like features. In the following discussion, results only from the  
399 central feature, over an area 100m ( $x$  from 0m to 100m) by 80m ( $y$  from 240 to 320m) is shown,  
400 so that boundary effects are excluded. Ten equally spaced sigma layers were used in the vertical.  
401 Closed boundary conditions are implemented in the lateral and coastline and Neumann  
402 conditions at the offshore boundary. Logarithmic bottom friction has been implemented with a  
403 roughness length of 0.005 m.

404 The same grid is used by the SWAN wave model and the wave forcing is a directional  
405 spectrum as that used in Case 2 but with a directional spreading of  $2^\circ$ . Wave conditions are  
406 similar to those used by Noda (1974) with a significant wave height 0.92 m, peak wave period 4  
407 s and normally incident at the offshore boundary. The other variable parameters are same as in  
408 Case 2 (i.e., depth induced breaking constant,  $\gamma=0.6$  and bottom friction with roughness length of  
409 0.05m). The ROMS-SWAN system in this case is operated in a two way coupling mode,  
410 exchanging wave current information at a 20s interval. The results presented here are after 1 hour  
411 of simulation when the model has achieved stability.

412 The depth-averaged Eulerian velocity and wave height distribution are shown in Figs. 7a  
413 and b, while the vertical distribution of the cross-shore current for two transects corresponding to  
414  $y=240$  and  $280$  m are shown in Figs. 7c and d. The results indicate the development of rip

415 currents and the interaction of the waves with the bathymetry which is exhibited as alongshore  
416 differences in wave breaking position (not shown in here). In addition, it is characteristic that the  
417 wave height slightly increases over the area of the rip current development (see cross-shore  
418 locations 60 to 80 m) due to the interaction of strong outgoing current with the incoming waves.

419 The vertical profile of cross-shore Eulerian velocity at the transect located at  $y=240$  m is  
420 shown in Fig. 7c. Wave breaking starts at  $x=70$  m as determined by a vertical shear observed in  
421 the cross-shore velocity profile. Further offshore ( $x > 70$  m), the entire water column shows an  
422 onshore directed velocity due to the background circulation pattern observed in the domain (Fig.  
423 7a). In a normal surf zone circulation pattern (see Case 1, Fig. 2) onshore flow is observed near  
424 the surface. This onshore surface flow is further enhanced in this case due to the presence of the  
425 onshore component of the circulation cell. The offshore flow is limited to elevations close to the  
426 bottom boundary other than in very shallow waters ( $z < -0.5$  m), where the entire water column is  
427 directed offshore. The vertical profile of cross-shore velocity at  $y=280$  m is depicted in Fig. 7(d).  
428 Wave breaking takes place at 1.5 m depth; some 60 m from the shoreline (see Fig. 7b). The rip  
429 current strength is approximately  $0.5 \text{ ms}^{-1}$  and is strongest at the bottom layer gradually reducing  
430 on moving up the water column. In shallow waters (1 m) rip current strength decreases and close  
431 to the shoreline a vertical shear in velocity is observed. The vertical structure of the cross-shore  
432 flow at  $y=0$  and 40 m is similar to that at locations  $\lambda y = \pi/5$  and  $4\pi/5$  respectively for Case2 and  
433 are shown in Fig. 6a and 6c.

434 The normalized stream function calculated using the depth averaged Lagrangian  
435 velocities from the model output is shown in Fig. 8 together with the stream function generated  
436 by Noda (1974). In both cases the streamlines converge at  $y=40\text{m}$ , creating a flow pattern from  
437 shallower to deeper waters, simulating a rip current like situation. The maximum value of stream

438 function occurs close to  $x=60$  m for Noda (1974) and  $x=70$  m for our simulations. Both results  
439 are almost symmetrical around line  $y=40$ m. It is worth noticing that our system of stream  
440 function is shifted slightly to the right in comparison to Noda (1974).

441 The depth averaged cross-shore velocity in the rip channel is approximately  $0.5 \text{ ms}^{-1}$  (Fig.  
442 7a), a value more reasonable than that of Noda (1974), where for the same setting he predicted a  
443 rip current velocity in excess of  $4 \text{ ms}^{-1}$ . The differences in distribution of stream function and  
444 magnitude of rip current velocity occurs because, as acknowledged by Noda (1974, see pp.  
445 4105), his depth averaged model was rather simplified as it only accounts for pressure gradient,  
446 radiation stress and bottom friction and does not account for current-induced wave refraction and  
447 modifications of the wave field due to Doppler shift, as in the present model. Furthermore, the  
448 unrealistic rip current velocity predicted by Noda (1974) implies that the stream function might  
449 not be accurate enough for direct comparison with our model which seems to give more realistic  
450 results.

451

### 452 **3.3. Case 4: Comparison to Scaled Laboratory Studies**

453 This case study investigates the dynamics for a barred beach bathymetry that develops rip  
454 currents. The application is based on a laboratory scale experiment and is similar to a case  
455 demonstrated in HW09. However there are two major differences: (i) in HW09 the wave driver  
456 was a monochromatic wave model (REF/DIF), while here we use a spectral wave model  
457 (SWAN); and (ii) the domain used in HW09 was identical to the laboratory experiments while in  
458 our simulations the domain has been scaled by a factor of 10 (kinematic similarity, Hughes,  
459 1993) to create more realistic field conditions.

460 The bathymetry domain (Fig. 9) is an idealized version of that used by Haller et al.  
461 (2002) and Haas and Svendsen (2002). The scaling of the domain by a length scale,  $N_L=10$  lead  
462 to a maximum depth of 5 m, a nearshore bar of 0.60 m located 40m off the coastline, cross-shore  
463 domain width of 146 m and alongshore length of 262 m. To avoid interaction of rip channel flow  
464 with the lateral boundaries, the domain was extended laterally by 40m in either direction. Rip  
465 channels are spaced 92 m apart and the channel width is 18.2 m which makes the ratio of channel  
466 width to rip current spacing 0.2, a value consistent with those found in the field (e.g., Huntley  
467 and Short, 1992; Aagaard et al., 1997, Brander and Short, 2001). The model grid has a horizontal  
468 resolution of 2 m in both directions and consists of 8 equally spaced sigma layers. The boundary  
469 conditions at shoreline, offshore boundary and lateral ends are no flow conditions (i.e., closed  
470 boundary conditions at the coast, lateral boundaries and offshore) and same as the laboratory  
471 experiments of Haller et al., (2002). Bottom friction (bottom roughness of 0.015m) similar to that  
472 of HW09 is used in our work. Our simulations were carried out with both the updated vertical  
473 distribution (Eqn. 10) of the radiation stress ( $M08_{vrt}$ , see section 2) and the original version  
474 (M03) used in HW09.

475 At the offshore boundary, SWAN was forced with 0.5m waves with peak period of 3.16  
476 s, and directional spreading of  $3^\circ$  propagating perpendicular to the shoreline. From these values  
477 SWAN computes a wave spectrum based on a JONSWAP distribution. The spectral resolution is  
478 20 frequency bands in the frequency range between 0.04 Hz and 1 Hz, and 36 directional bins of  
479  $10^\circ$  each from  $0^\circ$  to  $360^\circ$ . The other variable parameters are same as in Case 2 and 3 (i.e., depth  
480 induced breaking constant,  $\gamma=0.6$  and bottom friction with roughness length of 0.05m). The time  
481 stepping used for ROMS and SWAN are 2 and 10 seconds respectively and the coupling  
482 between the models take place at 20 s intervals. Initial comparisons are done only for 30 minutes

483 of simulation time. The model remains stable because we use a higher bottom friction coefficient  
484 and horizontal mixing than typically observed in field.

485 The wave height distribution over the domain using the original and newer version of  
486 ROMS (i.e., M03 and M08<sub>vert</sub> formulations, respectively) is shown in Fig.10a & b. At the location  
487 of rip channel, the increase in wave height due to offshore directed rip current is lower in the  
488 M08<sub>vert</sub> than the M03 simulations. The waves propagating over the bar break and generate a  
489 higher wave setup than the setup generated by waves propagating over the channel. This creates  
490 feeder currents moving from the bar towards the channel. Waves approaching the shoreline over  
491 the channel become steeper, decrease in wavelength and increase in height due to interaction  
492 with the rip current. These bigger waves break close to the shoreline creating alongshore currents  
493 which move away from the channel at shallow depths. This phenomenon can be further  
494 confirmed by comparing the mean sea surface elevation over the bar and channel for M08<sub>vert</sub>  
495 based simulations (Fig.11). The elevation is lower at the location of the channel than over the  
496 bar. On the other hand, closer to the shoreline the sea surface at the channel location is higher  
497 than over the bar driving the observed flow patterns.

498 M03 derived depth averaged, Eulerian cross-shore velocity (see Fig. 10c & d) at the  
499 channel is 25% stronger than that predicted by the updated M08<sub>vert</sub>. The stronger offshore directed  
500 velocity locally creates a greater increase in wave height at the location of rip channel in M03.  
501 Further offshore of the rip channel, the magnitude of cross-shore velocity is similar in both M03  
502 and M08<sub>vert</sub> and hence the wave height pattern is also similar. The primary circulation pattern  
503 with feeder currents exiting through the rip channel and return flow over the bar is evident  
504 irrespective of the formulation used. These circulation cells are symmetric both with respect to  
505 the rip channel and about the axis of the alongshore bar.

506 Noticeable differences in secondary circulation pattern for M03 and M08<sub>vert</sub> based  
507 simulations can be seen in Fig. 10 (c & d). Waves with greater wave height at the vicinity of the  
508 rip channel, for M03 formulations, drive a larger setup and stronger alongshore pressure gradient  
509 close to the shoreline in comparison to M08<sub>vert</sub> formulations. As a consequence the secondary  
510 circulation pattern close to the shoreline is stronger for the M03 than the M08<sub>vert</sub> based  
511 simulations.

512 The vertical variability of cross-shore Eulerian velocity at the center of the channel is  
513 shown in Figures 12a & b for M03 and M08<sub>vert</sub>, respectively. Inshore of the bar location wave  
514 breaking induces onshore directed velocity at the surface extending all the way to the bed for  
515 M03 (Fig. 12a), while for the M08<sub>vert</sub> simulation a return flow develops near the bed (Fig. 12b).  
516 Over the bar and shoreward the cross-shore flow structure differs between the two simulations  
517 (Figs. 12c & d). The M03 simulation (Fig. 12c) shows the development of offshore flow  
518 throughout the water column, while the improved model simulation results in an onshore flow  
519 near the sea surface with a stronger return flow near the bed. Further offshore both simulations  
520 give similar results. These findings, show that the incorrect vertical distribution of the radiation  
521 stress in M03 fails to create a surf zone vertical recirculation system, while the M08<sub>vert</sub> run  
522 provides more realistic results that show qualitative agreement to field observation of cross-shore  
523 velocity profile for barred beaches (see Fig. 1c, Garcez-Faria et al., 2000).

524 Our scaled numerical experiment conditions correspond to Test B of Haller et al. (2002)  
525 and Test R of Haas and Svendsen (2002). Thus, we use the results of those lab experiments to  
526 provide semi- quantitative comparison between the measured and modeled vertical structure of  
527 the cross-shore velocity field. For this comparison we use all of the bin averaged velocities from  
528 Test R (Fig. 11, Haas and Svendsen, 2002) and for all reported locations (Fig. 12e). The

529 measured and model calculated velocities are normalized by the maximum cross-shore velocity  
 530 measured and modeled at the bar crest (i.e.  $x=27\text{m}$ , Fig. 12e), respectively. The simulated  
 531 normalized cross-shore current vertical structure from the upgraded model agrees well with the  
 532 experimental data. Inside the channel, rip current speed is maximum at the level of the bar crest  
 533 and decreases toward the surface and bed. However no experimental data are available near the  
 534 surface. Just off the bar, the normalized data show the best agreement with our simulation using  
 535 M08<sub>vir</sub>. Such a relative agreement between data and model persists in areas further offshore of  
 536 the bar location.

537 For steady flow the depth and time averaged cross-shore (x) momentum equation can be  
 538 written as:

$$\frac{\partial}{\partial x}(U^2 h) + \frac{\partial}{\partial y}(U.V.h) = -gh \frac{\partial \eta}{\partial x} - \frac{1}{\rho} \left( \frac{\partial S_{xx}}{\partial x} + \frac{\partial S_{xy}}{\partial y} \right) - \frac{\partial}{\partial x} \left( A_H \frac{\partial U}{\partial x} \right) - \frac{\tau_x^b}{\rho} \quad [15]$$

539 where U and V are the depth averaged cross and along shore Lagrangian velocities, respectively,  
 540 h is the total depth,  $\rho$  is the fluid density,  $S_{ij}$  represents the components of the radiation stress  
 541 tensor,  $\tau_x^b$  is the component of the bottom stress acting in the x-direction and  $A_H$  is the horizontal  
 542 viscosity coefficient.

543 Since the depth averaged distribution of these terms is same for both the original and  
 544 updated model, only results from the latter are shown here (Fig. 13). Alongshore variation of the  
 545 depth averaged horizontal advection, bottom friction terms and gradient of alongshore radiation  
 546 stress ( $\partial S_{xy}/\partial y$ ) in the cross-shore direction, are shown in Fig. 13a-d for four locations (40, 30, 26  
 547 and 20 m respectively from the shoreline, see Fig. 9). Since horizontal advection and bottom  
 548 stress depend on velocity magnitude and gradients, these terms become important within and in  
 549 the vicinity of the rip channel as seen in Fig. 13(b & c). Close to the shoreline and further  
 550 offshore, bottom friction and horizontal advection become less significant. For normally incident

551 waves  $S_{xy}$  and  $\partial S_{xy}/\partial y$  should be 0 at all the locations, as is observed in Fig. 13 (a, b, c and d) for  
552 all alongshore positions other than the rip channel. Local wave refraction effects due to  
553 interaction of rip currents with incoming waves lead to the development of  $\partial S_{xy}/\partial y$  within the rip  
554 channel. These terms are partially in balance with the horizontal advection terms, at locations  
555 within and outside the rip channel area as shown in Fig. 13(a, b and c).  $\partial S_{xy}/\partial y$  becomes  
556 relatively insignificant very close to the shoreline (Fig. 13d).

557 The alongshore variation of depth averaged horizontal viscosity, pressure gradient and  
558 radiation stress at the same transect locations as for the other terms (see above) are shown in Fig.  
559 13e-h. At distances 40m from the shoreline, where no wave breaking occurs, the gradient of  
560 cross-shore radiation stress ( $\partial S_{xx}/\partial x$ ) and pressure gradient terms are insignificant. Within the  
561 surf zone,  $\partial S_{xx}/\partial x$  is balanced by the pressure gradient for all alongshore locations (Fig. 13 f, g  
562 and h). As wave breaking initiates at the bar crest,  $\partial S_{xx}/\partial x$  is weaker within the rip channel (Fig.  
563 13 f, g) than over the bar. When waves propagate over the channel and break close to the  
564 shoreline, pressure gradient and  $\partial S_{xx}/\partial x$  obtain greater values than at other alongshore positions  
565 (Fig.13h). The horizontal viscosity is always small except at locations with increased rip  
566 velocities, thus increasing the mixing within the rip channels. All these results were found to be  
567 qualitatively similar and in agreement with the experimentally-derived results of Haller et al.  
568 (2002).

569

#### 570 **4. Discussion**

571 Overall results presented here indicate that the modifications introduced using the M08  
572 formulation modified with a vertical distribution function as shown in Eqn. 10 (M08<sub>vrt</sub>) provide  
573 results consistent with previous solutions in the depth-averaged sense, but also improve the

574 vertical distribution of the circulation patterns. In this section, our findings are explored for a  
575 more comprehensive discussion of the forces operating in the cases suitable for rip current  
576 development and in particular we discuss the implication for sediment transport and also the  
577 variability of rip current strength as function of the wave incident angle.

578

#### 579 **4.1. Cell circulation and potential morphological impacts.**

580 Our Case 2 has re-affirmed how small differences in offshore wave height distribution  
581 can lead to the development of rip-current circulation patterns. However, one of the fundamental  
582 questions is the association of rip currents with bathymetry (i.e., bar-channel morphology). One  
583 suggestion from this work is that although a rip current circulation may develop due to offshore  
584 variable wave conditions, a positive feedback with the sea bed through sediment transport might  
585 lead to the bar-channel configuration that is usually associated with rip currents. In a simplified  
586 approach, we use results from Case 2 to assess the sediment transport patterns that such rip cells  
587 may create. Assuming that the combined action of wave oscillatory motion and mean current is  
588 the main mechanism for sediment resuspension and that the mean current is the advective  
589 transport mechanism (i.e., ignoring the effects of wave asymmetry) a simplified proxy for  
590 sediment erosion or accumulation can be established:

$$P_{ST} = \frac{\partial(U_t^2 \cdot \bar{u})}{\partial x} + \frac{\partial(V_t^2 \cdot \bar{v})}{\partial y} \quad [16]$$

591 where,  $U_t$  is the total instantaneous maximum velocity, comprising of the vector sum of the  
592 wave orbital velocity and mean current vector and  $u$  and  $v$  are the cross-shore and alongshore  
593 Eulerian velocities, while the overbar denotes mean values. Although this proxy is very  
594 simplified and does not account for settling of sediment and other processes important in  
595 morphological evolution (see Warner et al., 2008), it gives some indication of the trend for bed

596 evolution under these conditions. As shown in Fig 14, the erosion potential is maximum at  
 597 alongshore location  $\lambda y = \pi$ , which corresponds to the area influenced by the outgoing rip current.  
 598 The erosion potential reduces as we move towards the side boundaries  $\lambda y = 0$  and  $\lambda y = 2\pi$ . Such  
 599 tendency suggests that alongshore changes in wave forcing creating a rip current cell eventually  
 600 might contribute to the development of the typical bar channel configuration.

601

#### 602 **4.2 Driving forces for Rip cell circulation**

603 As described earlier, rip cells can be developed either due to alongshore variability in the  
 604 offshore forcing of wave height (Case 2) or due to variability in the nearshore bathymetry (Case  
 605 3). In this section we attempt to examine the differences in the forces that drive the cell through  
 606 an analysis of the depth and time averaged alongshore momentum balance (steady state, U and V  
 607 are depth averaged Lagrangian velocities):

$$\frac{\partial}{\partial x} (U \cdot V \cdot h) + \frac{\partial}{\partial y} (V^2 \cdot h) = -gh \frac{\partial \eta}{\partial y} - \frac{1}{\rho} \left( \frac{\partial S_{yy}}{\partial y} + \frac{\partial S_{xy}}{\partial x} \right) - \frac{\partial}{\partial y} \left( A_H \frac{\partial v}{\partial y} \right) - \frac{\tau_y^b}{\rho} \quad [17]$$

608 These terms are plotted in Fig. 15 as function of alongshore distance (normalized by the  
 609 length scale of the offshore forcing (Case 2) or bathymetric perturbation as in Cases 3 and 4).  
 610 The transects were taken well within the surf zone ensuring uniform alongshore water depth for  
 611 Case 4 (Fig. 9, alongshore transect inshore of rip channel), and are located at the middle of the  
 612 surf zone for Cases 2 and 3 (see dotted line in Figs. 3 and 7b respectively). The transect location  
 613 for each case corresponds qualitatively to where the alongshore flows of the circulation cell (Fig  
 614 15a) converge to feed the main rip current. Case 2 produces an alongshore variability of the  
 615 alongshore current that resembles the alongshore variability of the wave forcing, but being  $90^\circ$   
 616 out of phase. A similar alongshore variability is observed for Case 3 and 4, although in these

617 cases the peak alongshore feeder current is stronger than in Case 2 and located closed to the  
618 center of the rip cell.

619 The pressure gradient term (PG) shown in Figs 15b, c and d co-oscillates with the feeder  
620 current for each case. This indicates that pressure gradient is the dominant driver for both cases.  
621 However, within each case, the other terms exhibit similar relative behavior with the exception  
622 of the radiation stress ( $RAD_H$ ) term that changes sign for each case. In Case 2 (Fig 15b)  $RAD_H$  is  
623 positive to the left of the rip channel and negative to the right, while the opposite is true for  
624 Cases 3 and 4 (see Fig. 15c & d). Also it is noticeable that the absolute values of the terms for  
625 Case 2 and Cases 3, 4 are almost an order of magnitude different, while the resulting absolute  
626 current velocities are of the same order. This increase in magnitude between the terms is  
627 attributed to the fact that in Case 3 and 4, the undulated bathymetry creates local wave refraction  
628 effects that lead to increased values of the  $S_{xy}$  term. This term qualitatively should be directed  
629 away from the center of the channel (location of minimum value) attaining a maximum value  
630 near the bathymetric highs. In terms of gradient, this corresponds to zero values at the center and  
631 either side of the channel as it appears to be the case in Fig 15c & d (zero values at 0.3, 0.5 and  
632 0.7, respectively). In Case 2, the radiation stress gradient term is solely due to  $S_{yy}$  and it has a  
633 small value. This increased importance of radiation stress gradient in Cases 3 and 4 is  
634 compensated by an increase in the absolute value of the pressure gradient. The latter is driven  
635 partially by increased wave setup over the shoals due to bathymetry, but also due to increased  
636 wave height caused by focusing of the waves over the shoal due to refraction (i.e., the same  
637 process that increases the importance of the radiation stress gradient term). Thus overall,  
638 independent of the conditions (i.e., variable forcing or bathymetry), alongshore pressure gradient  
639 appears to be the main mechanism for the generation of feeder currents. Any increase in the

640 alongshore radiation stress term is compensated by similar increase in pressure gradient so that  
641 the net forcing remains of the same order. In all the cases discussed above, the horizontal  
642 advection contribution is dominant only within the rip channel area. Of the terms  $\partial(V.V.h)/\partial y$  and  
643  $\partial(U.V.h)/\partial x$  responsible for horizontal advection, the latter has a greater magnitude in the vicinity  
644 of the rip channel because of stronger cross-shore velocity within the channel area.

645

### 646 **4.3 Obliquely Incident Waves on LBT**

647 In order to assess the effect of wave incidence angle to the development of rip current  
648 circulation a longshore bar-trough morphology domain as in Case 4 was subjected to offshore  
649 waves with height of 0.5 m and period of 3.16s incident at angles  $0^\circ$ ,  $5^\circ$ ,  $10^\circ$  and  $20^\circ$  with  
650 respect to the shore normal. The model uses two way coupling, allowing for interaction of waves  
651 and currents and the results are shown in Fig 16.

652 The top panel (Fig. 16) shows the depth averaged Eulerian velocity field in the rip  
653 channel for obliquely incident waves. As the incidence angle increases from  $0^\circ$  to  $20^\circ$ , the angle  
654 of exit of the rip current increase with respect to the shore normal. The trend is linear and for  
655 angles greater than  $20^\circ$  the current becomes almost parallel to the shoreline. Svendsen et al.  
656 (2000) simulated rip currents on barred beaches incised by channels using SHORECIRC and  
657 observed similar behavior of strong inertia of alongshore flow and weak rip currents for high  
658 wave angle of incidence. As expected the strength of alongshore velocity increases as the wave  
659 angle of incidence increases.

660 The wave height distribution over the domain, for different wave incidence angles, is  
661 shown in the middle panel of Fig. 16. When waves are normally incident, the rip current flow  
662 makes the waves steeper at the location of the channel, locally increasing the wave height (Fig.

663 16 column (a)). For incidence of  $5^\circ$ , wave steepening at the rip channel is also observed, but the  
664 increase in wave height is smaller than that observed for  $0^\circ$ . At higher angle of incidence ( $10^\circ$ ),  
665 wave current interaction reduces as only the component of the rip current along the direction of  
666 wave propagation interacts directly with the incoming waves. For waves coming at an angle of  
667  $20^\circ$  to shore normal, the difference in wave breaking location over the bar and the channel is  
668 negligible further hinting at the lack of substantial rip currents.

669 Circulation pattern at the channel location is depicted through the vorticity vector (Fig.  
670 16, bottom panel). For normal incidence primary and secondary circulation cell formation occurs  
671 outside the rip channel and close to the shoreline, respectively. These cells are symmetric about  
672 the rip channel center with opposite sign of vorticity indicating reverse sense of circulation. Such  
673 vortices are similar to the macrovortices formed due to wave breaking examined both  
674 analytically and computationally in Brocchini et al. (2004) and Kennedy et al. (2006). When  
675 waves are incident at  $5^\circ$ , the secondary circulation pattern weakens but the primary circulation  
676 pattern is reinforced as seen by increase in the magnitude of vorticity vector. Stretching and  
677 alongshore advection of vortices is also observed in this case. At a wave incidence of  $10^\circ$ , the  
678 secondary circulation cell close to the shoreline disappears and the vortices close to the channel  
679 become weak. The vorticity at the channel for  $20^\circ$  incidence shows only one circulation cell  
680 which is constrained at the original location where primary circulation was observed.

681 Fig. 17 (top panel) shows the Eulerian cross-shore velocity for varying angle of  
682 incidences ( $0^\circ$ ,  $5^\circ$ ,  $10^\circ$ ,  $20^\circ$ ) in three columns (a), (b) and (c) corresponding to alongshore  
683 transects onshore and within the rip channel (see Fig. 16a top panel, alongshore transects). Rip  
684 current velocity at these locations is stronger when wave incidence is at  $5^\circ$  and  $10^\circ$ . Onshore of  
685 the channel, maximum offshore directed flow within the channel area occurs for  $5^\circ$  whereas at

686 transects within the channel, rip current velocity is slightly higher for  $10^\circ$  in comparison to  $5^\circ$   
687 incidence (Fig. 17, top panel, column c). Higher angle of incidence ( $> 20^\circ$ ) inhibits rip currents  
688 due to inertia of alongshore motion. Aagaard et al. (1997) observed similar increase in the rip  
689 current velocity due to oblique incidence and attributed this phenomenon to “*wind enhanced*  
690 *longshore current*”. Haller et al. (2002) observed an abrupt increase in cross-shore velocity for  
691 wave incidence angle of  $10^\circ$  in their test F. The reason for this behavior is suggested to be due to  
692 increase in alongshore radiation stress forcing in alongshore direction created by breaking of  
693 obliquely incident waves at the bar crest.

694 The contribution of alongshore velocity on rip current circulation pattern is determined  
695 by correlating the gradient of Eulerian alongshore velocity in alongshore direction (GAV) to the  
696 rip current magnitude. A steep gradient of alongshore velocity from one end of channel to other  
697 signifies a sharp change in alongshore velocity. The reduction of alongshore velocity feeds the  
698 alongshore momentum in cross-shore direction which intensifies the cross-shore velocity. Fig. 17  
699 (bottom panel) shows GAV in alongshore direction for  $0^\circ$ ,  $5^\circ$ ,  $10^\circ$  and  $20^\circ$  angle of incidence for  
700 all three transects. The GAV values for  $0^\circ$  and  $5^\circ$  incidence show similar distribution pointing at  
701 presence of a circulation pattern whereas GAV distribution for  $10^\circ$  and  $20^\circ$  incidence are  
702 different implicating a loss of the circulation cells.

703 GAV is maximum for  $5^\circ$  at all locations except at the alongshore transect at center of the  
704 rip channel, where this quantity is equally steep for  $10^\circ$  (Fig. 17, bottom panel, column c). Thus  
705 most of alongshore momentum for  $5^\circ$  incidence advects through the rip channel due to the  
706 inherent rip current circulation in the domain. At higher angle of incidence the circulation pattern  
707 is destroyed and momentum transfer in cross-shore direction reduces. This information of

708 maximum rip current velocity for oblique incidence is useful for prediction of rip currents when  
709 waves coming at a small angle maybe more hazardous.

710

711

## 712 **5. Conclusions**

713 A full 3D, finite difference, circulation model Regional Ocean Modeling System (ROMS)  
714 coupled with spectral, phase averaged, wave propagation model SWAN has been updated to the  
715 formulations presented by Mellor (2008) and used to study nearshore circulation processes. The  
716 focus here was complicated flow regimes, including alongshore variability in wave height and  
717 water depth, i.e. phenomenon responsible for rip current like structure formation in the surf zone.

718 The results indicate that the implementation of the updated radiation stress forcing with a  
719 modified vertical distribution ( $M08_{\text{vrt}}$ ) that incorporates wave height as a scale significantly  
720 improves the performance of the model creating vertical profiles of cross-shore velocities that  
721 are both realistic and in agreement with experimental results.

722 Comparisons of the depth integrated circulation of the 3-D runs were found to be in  
723 agreement with the general dynamics for formation of nearshore circulation cell on normal  
724 incidence of alongshore varying wave height over a planar bathymetry (Bowen, 1969) and under  
725 alongshore variable bathymetry forced with alongshore uniform wave height (Noda, 1974).  
726 Furthermore, it has been shown that increasing the Reynolds number by decreasing the viscosity,  
727 the circulation cells become skewed with the offshore directed flow becoming narrower and  
728 faster while onshore flow broadens and becomes slower. The development of the model  
729 provided us with insights on the vertical distribution of the cross-shore velocities in these

730 circulation patterns allowing us to provide an insight into wave breaking induced flow at the  
731 surface and bottom boundary layer.

732 The new formulation of radiations stress forcing demonstrated a strong agreement with the  
733 scaled up laboratory experiments of Haller et al. (2002) and Haas and Svendsen (2002).

734 By using a proxy for sediment transport, it is determined that rip current circulation cells  
735 formed due to differences in alongshore wave forcing may lead to formation of alongshore  
736 barred beaches interrupted by rip channels.

737 Finally, the effect of obliquely incident waves on rip channels is studied and it is found that  
738 rip current strength observed within the channel is stronger when waves come at angle of  $5^\circ$  and  
739  $10^\circ$  in comparison to normally incident waves. This information may be helpful in prediction of  
740 rip currents.

741 Overall the implementation of Mellor (2008) based distribution of vertical radiation stress  
742 along with a vertical scaling as a function wave height ( $M08_{\text{vrt}}$ ) improves the ability of coupled  
743 ROMS-SWAN model in resolving wave and current effects in the surf zone. This modeling tool  
744 can be used to understand the physical mechanism for phenomenon observed in surf zone along  
745 with prediction of nearshore circulation.

746

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757

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758 **Appendix A**

759 Mellor (2003) introduced depth dependent formalism for radiation stresses to accommodate  
760 wave averaged effects on mean currents. These formulations when vertically integrated are  
761 consistent with the depth integrated solution of Longuet-Higgins and Stewart (1964). Arduin et  
762 al. (2008) showed that use of the M03 formulation in non breaking wave propagation over an  
763 uneven topography produces a spurious circulation pattern at the location where  $\partial h/\partial x \neq 0$ . In  
764 response to this, a new set of depth dependent equations for wave current interaction was  
765 presented (Mellor, 2008), which has been further modified and implemented in this paper for  
766 applications in the surf zone. Mellor (2008, see Section 2) suggested that for variable  
767 topography, the new set of equations would cause some errors but overall there is a good chance  
768 that these equations can be applied to shallow water environment (i.e.,  $kD \approx 1$ , where  $k$  is the  
769 wave number and  $D$  is the total water depth), when effects of viscosity and turbulence are  
770 included. In this section we test the above argument by carrying out two numerical simulations  
771 corresponding to the setup originally proposed by Arduin et al. (2008) and to a setup using a  
772 milder slope that is found in Duck, NC and including friction and mixing processes. Both setups  
773 are forced with a shoaling, non-breaking monochromatic wave with a significant wave height of  
774 1.02 m and wave period  $T$  of 5.24 s, propagating from east to west. These runs are described in  
775 some detail below.

776 In the setup resembling Arduin's et al. (2008) conditions the bottom profile has a  
777 channel in which the water depth smoothly transitions from 6 m to 4 m ( $dh/dx_{max} = 0.0266$ ), and  
778 is symmetric about the vertical axis at the center (i.e.,  $x = 300$  m, Fig. A1a). The non dimensional  
779 water depth,  $kD$  varies from  $0.85 < kD < 1$  (Fig. A1b). The model domain is alongshore uniform  
780 with a cross-shore width ( $x$ ) of 600 m and an alongshore length ( $y$ ) of 800 m. Grid resolution is 4

781 m and 100 m in x and y direction, respectively. The vertical domain has been distributed in 32  
782 vertical layers. The boundary conditions are constant flux at east and west boundary (Neumann  
783 conditions) and closed in the north and south. Effect of earth's rotation, bottom stress and  
784 viscosity have not been included in this case. Simulations have been done using both M08<sub>top</sub> and  
785 M08<sub>vt</sub> formulations.

786 In absence of wave breaking, mixing and bottom friction the only dynamic effects occur  
787 due to changes in wave height. Shoaling of waves in shallower waters create divergence of the  
788 Stokes drift which is compensated by the Eulerian mean current. The correct representation of  
789 Lagrangian velocity field (Eulerian + Stokes) for this wave field and domain setup is a flow  
790 along the direction of wave propagation ( $U_l = 0.025 \text{ ms}^{-1}$ ) at the surface which decreases  
791 gradually to no flow at  $z = -2 \text{ m}$  and then changes to a return flow of  $U_l = -0.01 \text{ ms}^{-1}$  close to  
792 bottom layer. The flow field at the surface and bottom follows the bathymetric contours (see Fig.  
793 2, in Bennis and Arduin, submitted, [http://arxiv.org/PS\\_ cache/arxiv/pdf/1003/1003.0508v1.pdf](http://arxiv.org/PS_cache/arxiv/pdf/1003/1003.0508v1.pdf)  
794 ).

795 The vertical profile of Lagrangian cross-shore velocity based on M08<sub>top</sub> are shown in  
796 Figs. A2a. At the location where  $dh/dx \neq 0$  and where the waves are propagating upslope,  
797 spurious flow pattern is observed in the upper half of the water column showing a current along  
798 the direction of wave propagation ( $U_{lmax} = 0.15 \text{ ms}^{-1}$ ) and a compensating flow, of same strength  
799 but opposite sign, in the lower half of the water column. A reversed flow structure is established  
800 on the down-slope wave propagation region (Fig. A2a). When we use M08<sub>vt</sub> based formulations  
801 (Fig. A2b), significant part of the water column shows a weak flow,  $U_l \approx 0.01-0.10 \text{ ms}^{-1}$  towards  
802 wave propagation direction, while the surface layer shows a relatively stronger flow of  $0.20-0.25$   
803  $\text{ms}^{-1}$  in opposite direction. The flow field is reversed when waves propagate down the slope.

804 Irrespective of updating the formulation for radiation stresses, in an idealistic situation, M08 and  
805 M08<sub>vt</sub> based simulation still create incorrect flow patterns for unforced waves traversing on a  
806 sloping bottom. This is consistent with Bennis and Ardhuin (submitted) and Ardhuin et al.  
807 (2008).

808 The second setup uses a milder, more realistic slope  $dh/dx_{max}= 0.0066$ , bottom friction  
809 (quadratic drag,  $C_d=0.003$ ) and mixing (constant eddy viscosity,  $0.0028 \text{ m}^2\text{s}^{-1}$ ). The domain is  
810 also symmetric about the vertical axis at the center (i.e.,  $x= 1200 \text{ m}$ , Fig. A1c). The non  
811 dimensional water depth,  $kD$  is the same as before. The model domain is alongshore uniform  
812 with a cross-shore width ( $x$ ) of 2400 m and an alongshore length ( $y$ ) of 800 m. Grid resolution  
813 and vertical domain remain the same as previously. In this run the Lagrangian velocity (Fig A2c)  
814 is along the direction of wave propagation at the surface layer except at the upslope wave  
815 propagation location where small perturbations in the velocity flow field are observed..  
816 Compensating return flow in the lower half of water column is also observed. However, the  
817 strength of Lagrangian velocity is reduced by a factor of  $\sim 5$  when compared to the ideal  
818 conditions (Fig. A2a and b). Also it is noticeable that velocity contours “try” to follow the  
819 bathymetric contours as in Bennis and Ardhuin (submitted).

820 The maximum velocity at the surface in Fig. A2c is twice the velocity calculated by  
821 Bennis and Ardhuin (submitted), hence the flow field may be still slightly erroneous. Bennis and  
822 Ardhuin (submitted) also stated (but not shown) that on using a realistic mixing, the erroneous  
823 flow reduces by a factor of 4 from that estimated for a higher bottom slope (Fig. A1a). In  
824 addition, all the simulations presented in this contribution (Cases 1-4) are for surf zone  
825 conditions, where the wave breaking induced flow is an order of magnitude higher than the  
826 topography-induced flow shown in Fig. A2c (i.e., realistic topography and mixing). This

827 suggests that although the Mellor (2008) formulation is mathematically inconsistent the errors  
828 might be inconsequential for practical applications. This will be even more valid when injection  
829 of wave turbulence and wave roller processes are included which would further reduce the  
830 importance of these discrepancies in the mean flow.

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969 **Figure Captions**

970

971 **Figure 1.** Case 1: Obliquely incident waves on a planar beach using the original radiation stress  
972 forcing M03, the updated forcing applied at the top layer M03<sub>top</sub>, and with a vertical distribution  
973 as defined by Eq. 10 of M08<sub>vert</sub>. Cross-shore distribution of: (a) significant wave height (m),  
974 water depth (m) and sea surface elevation (m); The water depth and wave height have been  
975 scaled as  $h/20$  and  $H_{sig}/10$  respectively; (b) depth averaged Eulerian cross-shore velocity ( $\bar{u}$ ); and  
976 (c) depth averaged Eulerian alongshore velocity ( $\bar{v}$ ).

977

978 **Figure 2.** Comparison of vertical profile of cross-shore Eulerian velocity,  $u(x, z)$  between  
979 simulations using M03 (solid grey line), M08<sub>top</sub> (dashed black line), M08<sub>vert</sub> (solid black line).  
980 The sea surface elevation ( $\zeta$ , dotted grey line) is also shown. Vertical black lines indicate  
981 locations of model sampling and zero value for each profile.

982

983 **Figure 3.** Color shading of significant wave height distribution over the computational domain  
984 after 2 hours of model simulation for two way coupling between ROMS and SWAN. Note the  
985 significant wave height at offshore boundary is 1.5 m at the ends and decreases to a minimum  
986 value of 1m at the center of the domain. The alongshore and cross-shore domain has been scaled  
987 by a value of  $(\lambda=2\pi/1000)$ . Dashed lines indicate the location of transects shown in Figure 6 and  
988 dotted lines indicate the location of alongshore transect shown in Figure 15.

989

990 **Figure 4.** Rip current simulation results for the bottom half of the computational domain (Case  
991 2, two-way coupling) after 2 hours of simulation. (a) Depth averaged Lagrangian velocity

992 distribution; (b) Transport stream function ( $\psi$ ) showing formation of circulation cell in the surf  
993 zone; (c) Transport stream function ( $\psi$ ) calculated using the analytical solution provided by  
994 Bowen, 1969 for the present model setup. Note:  $\lambda x = \pi/2$  is the location where waves start  
995 breaking.

996

997 **Figure 5.** Transport stream function,  $\psi$  over the computational domain for Reynolds Number  
998 (Re) values of (a) 500, (b) 125, (c) 62.5, and (d) 42. Note the solution gets skewed about the  
999 individual centers of the circulation cells with increased Re value causing a narrower outflow  
1000 from shallow to deeper waters and broader inflow from deeper to shallower waters. Also note  
1001 that the individual circulation cells are not exactly symmetric about the line  $y = \pi$ . The grey  
1002 circulation cell in (a), (b), and (c) is same as (d); it is shown for comparison purposes.

1003

1004 **Figure 6.** Contour plots showing the vertical structure of the Eulerian cross-shore (a, b, c) and  
1005 alongshore velocity (d, e, f) along three transects located at  $\lambda y = \pi/5$  (a, d),  $\lambda y = \pi/2$  (b, e), and  
1006  $\lambda y = 4\pi/5$  (c, f) from the southern lateral boundary (see dashed lines in Figure 3). Solid black line  
1007 corresponds to zero velocity.

1008

1009 **Figure 7.** (a) Depth averaged Eulerian velocity (black arrows) after 1 hour of simulation. Light  
1010 grey lines in background depict the bathymetry contours; (b) Contour of significant wave height  
1011 distribution over the computational domain. The incident wave height at the offshore boundary  
1012 of the domain is 0.92 m. Contour plots showing the vertical structure of cross-shore Eulerian  
1013 velocity,  $u(x, z)$  along two transects located at (c)  $y = 0$  m, (d)  $y = 40$  m from the southern lateral

1014 boundary (see grey lines in Figure 7b). The grey dotted alongshore transect in Fig. 7b is location  
1015 at which alongshore momentum balance term is shown in Fig. 15(c).

1016

1017 **Figure 8.** Transport stream function ( $\psi$ ) over the computational domain computed from the  
1018 model results after (a) depth averaging the horizontal Lagrangian velocity field; (b) and from  
1019 Noda (1974) paper.

1020

1021 **Figure 9.** Bathymetry for Case 4, showing the longshore bar and the rip channels. The solid  
1022 black lines show the location of vertical transects at which the cross-shore velocity distribution is  
1023 discussed in Fig. 12. The 4 horizontal white lines represent the alongshore transects at which  
1024 cross-shore momentum balance terms are shown in Fig. 13 and alongshore momentum balance  
1025 term is shown in Fig. 15(d).

1026

1027 **Figure 10.** Contours of significant wave height after 30 minutes of model simulation using (a)  
1028 the original version of the model as in HW09 and; (b) the updated model with the M08  
1029 formulations for the vertical distribution of the radiation stress ( $M08_{\text{vrt}}$ ). Bathymetric contours  
1030 and depth integrated Eulerian mean currents over the computational domain using (c) the  
1031 original version of the model as in HW09 and; (d) the updated model with the M08 formulations  
1032 for the vertical distribution of the radiation stress ( $M08_{\text{vrt}}$ ). The black line (10c) depicts a velocity  
1033 of  $0.5 \text{ ms}^{-1}$ .

1034

1035 **Figure 11.** Cross-shore variation of mean sea surface elevation at two locations corresponding to  
1036 alongshore positions centered at the middle of the rip channel (black) and alongshore bar (grey).

1037

1038 **Figure 12.** Vertical structure of cross-shore Eulerian velocity  $u(x,z)$  at the center of rip channel  
1039 (a and b) and bar (c and d) derived from original version of the model as in HW09 (a and c) and  
1040 the updated model with the M08 formulations(M08<sub>vt</sub>) (b and d); (e) Comparison of normalized  
1041 model derived cross-shore velocity with normalized data from Haas and Svendsen, 2002 (key:  
1042 symbols ● and ■ denote data at the center and 4m off the channel, grey line (center of the  
1043 channel M03), black dash dot (center of the channel M08<sub>vt</sub>), blue dashed line (M08<sub>vt</sub>, 4 m off  
1044 the channel)).

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1046 **Figure 13.** Alongshore variation of the depth averaged cross-shore momentum balance equation  
1047 terms. Horizontal advection ( $ADV_H, \partial/\partial x(U^2h)+\partial/\partial y(UVh)$ , black line), bottom stress (BT,  $\tau_x/\rho$ ,  
1048 grey line) and radiation stress forcing ( $\partial S_{xy}/\rho\partial y$ , black dashed) terms are shown in (a) to (d).  
1049 Cross-shore pressure gradient (PG,  $gh(\partial\eta/\partial x)$ , black line), radiation stress forcing (RAD<sub>H</sub>,  $\partial S_{xx}$   
1050  $/\rho\partial x$ , grey line) and horizontal viscosity (VISC<sub>H</sub>,  $\partial(A_H\partial u/\partial x)/(\rho\partial x)$ , black dashed) are shown in  
1051 (e) to (h). The distances at which the terms are estimated are 40 m (a) and (e), 30 m (b) and (f),  
1052 26 m (c) and (g), and 20 m (d) and (h) from the shoreline (see Fig. 9).

1053

1054 **Figure 14.** Contour of sediment transport proxy ( $P_{st}$ ) over computational domain for the run of  
1055 Case 2 of alongshore variable wave forcing.

1056

1057 **Figure 15.** (a) Depth averaged alongshore Eulerian velocity,  $V$  ( $ms^{-1}$ ) at alongshore transects  
1058 shown by dotted line in Fig.3 for Case 2, dotted line in Fig.7b for Case 3 and alongshore transect  
1059 onshore of the rip channel (Fig. 9) for Case 4; Alongshore variation of the depth averaged

1060 alongshore momentum balance terms for (b) Case 2 and (c) Case 3 for alongshore transect as  
1061 15(a), (d) Case 4 for alongshore transect as 15(a). The alongshore normalizing length scale ( $L_y$ )  
1062 used in (b), (c) and (d) are 1000 m, 80 m and 90 m, respectively, and represent the corresponding  
1063 perturbation length in forcing or bathymetry (key: alongshore pressure gradient (PG,  $gh(\partial\eta/\partial y)$ ,  
1064 black line), radiation stress forcing ( $RAD_H$ ,  $(\partial S_{yy}/(\rho\partial y) + \partial S_{xy}/(\rho\partial x))$ , black dashed), Horizontal  
1065 advection ( $ADV_H$ ,  $\partial/\partial x(UVh) + \partial/\partial y(V^2h)$ , grey line), bottom stress (BT,  $\tau_y/\rho$ , grey dashed-dot  
1066 line))

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1068 **Figure 16.** Circulation (depth averaged, Eulerian current vector, top row), significant wave  
1069 height distribution (middle row) and vorticity field (bottom row) results for different wave  
1070 incident angles (columns a to d, corresponding to incident angles of 0, 5, 10 and 20 degrees,  
1071 respectively) The thin grey lines in top row, column (a) show the alongshore transects at which  
1072 relevant terms are plotted in Figure. 17. Note: The bathymetry used in this case is same as Figure  
1073 9, but only the relevant part of the domain has been shown here.

1074

1075 **Figure 17.** Eulerian cross-shore velocities (top panel) and absolute value of alongshore gradient  
1076 of Eulerian alongshore velocities (bottom panel) at 3 alongshore transects located (a) 16 m (b) 22  
1077 m (c) 28 m from the shoreline as shown by grey lines in Fig. 16 (top panel, column (a)) for waves  
1078 incident at angles  $0^\circ$ ,  $5^\circ$ ,  $10^\circ$ ,  $20^\circ$ .

1079

1080 **Figure A1.** Model forcing (wave height) and non dimensional depth (a and c) and bottom  
1081 bathymetry (b and d) used to test the Mellor (2008) formulation. (a) and (b) are identical as in

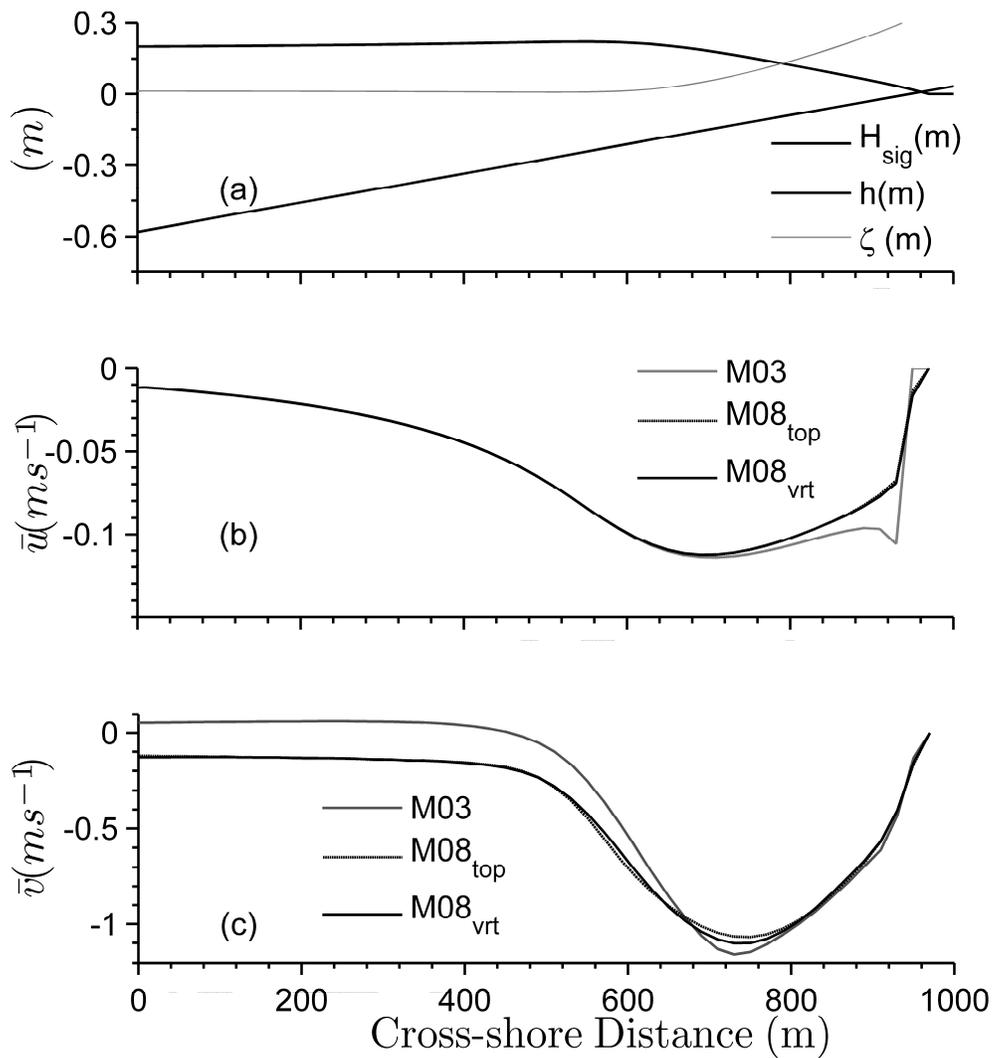
1082 Arduin et al. (2008). Forcing in (c) is the same as (a) but the bathymetry (d) has a reduced  
1083 bottom slope (note differences in horizontal scale between b and d).

1084

1085 **Figure A2.** Vertical distribution of Lagrangian velocity,  $U_l$  (Eulerian velocity + Stokes drift)  
1086 calculated using (a)  $M08_{top}$  with a domain geometry as in Arduin et al. (2008); (b)  $M08_{vrt}$  on the  
1087 same domain as (a); and (c)  $M08_{vrt}$  with a similar geometry but reduced bottom slope (note  
1088 differences in horizontal scale), uniform vertical mixing and bottom friction. Contour line  
1089 spacing is  $0.01 \text{ ms}^{-1}$  in (a), (b) and  $0.002 \text{ ms}^{-1}$  in (c). Note different scales in colorbar used in (c).

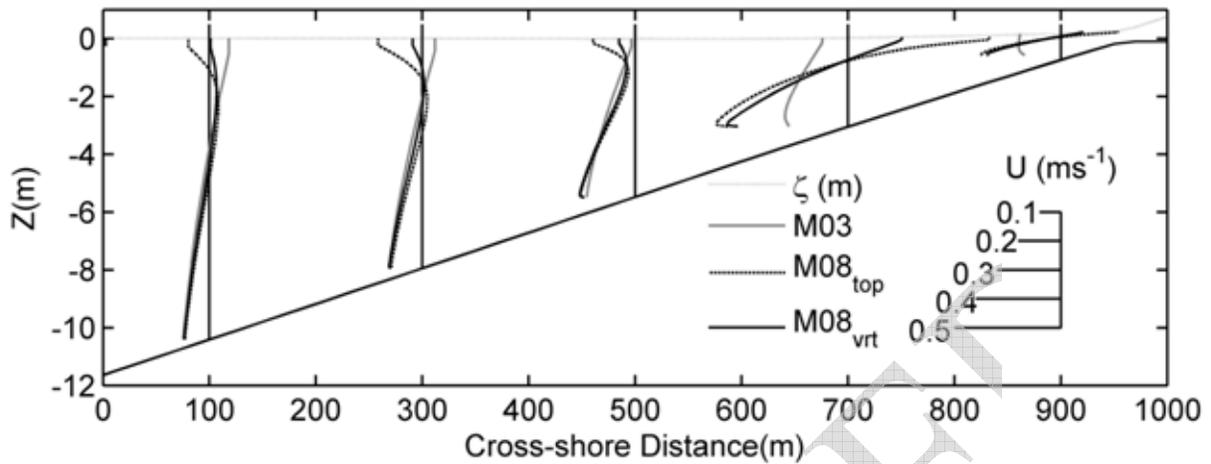
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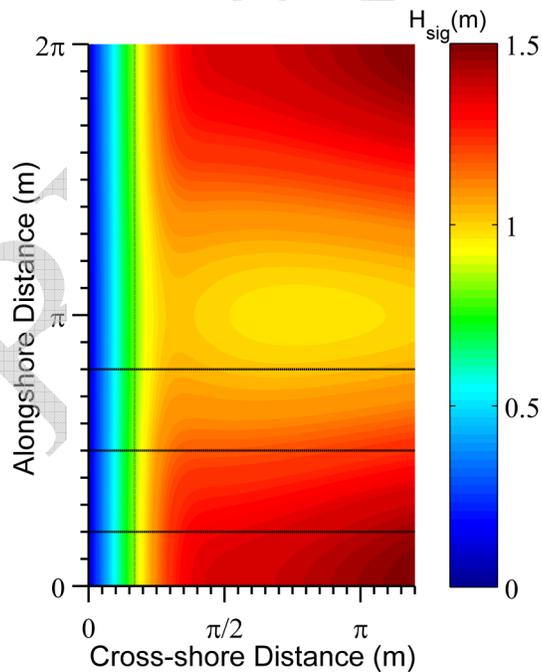
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1092 **Figure 1.** Case 1: Obliquely incident waves on a planar beach using the original radiation stress  
 1093 forcing M03, the updated forcing applied at the top layer M03<sub>top</sub>, and with a vertical distribution  
 1094 as defined by Eq. 10 of M08<sub>VRT</sub>. Cross-shore distribution of: (a) significant wave height (m),  
 1095 water depth (m) and sea surface elevation (m); The water depth and wave height have been  
 1096 scaled as  $h/20$  and  $H_{sig}/10$  respectively; (b) depth averaged Eulerian cross-shore velocity ( $\bar{u}$ ); and  
 1097 (c) depth averaged Eulerian alongshore velocity ( $\bar{v}$ ).  
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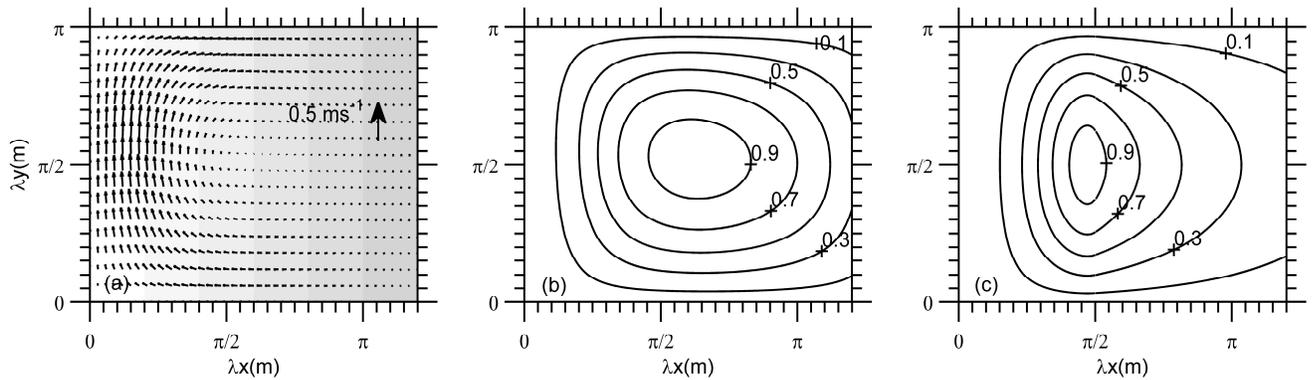
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1100 **Figure 2.** Comparison of vertical profile of Eulerian cross-shore velocity,  $u(x, z)$  between  
 1101 simulations using M03 (solid grey line), M08<sub>top</sub> (dashed black line), M08<sub>vrt</sub> (solid black line).  
 1102 The sea surface elevation ( $\zeta$ , dotted grey line) is also shown. Vertical black lines indicate  
 1103 locations of model sampling and zero value for each profile.  
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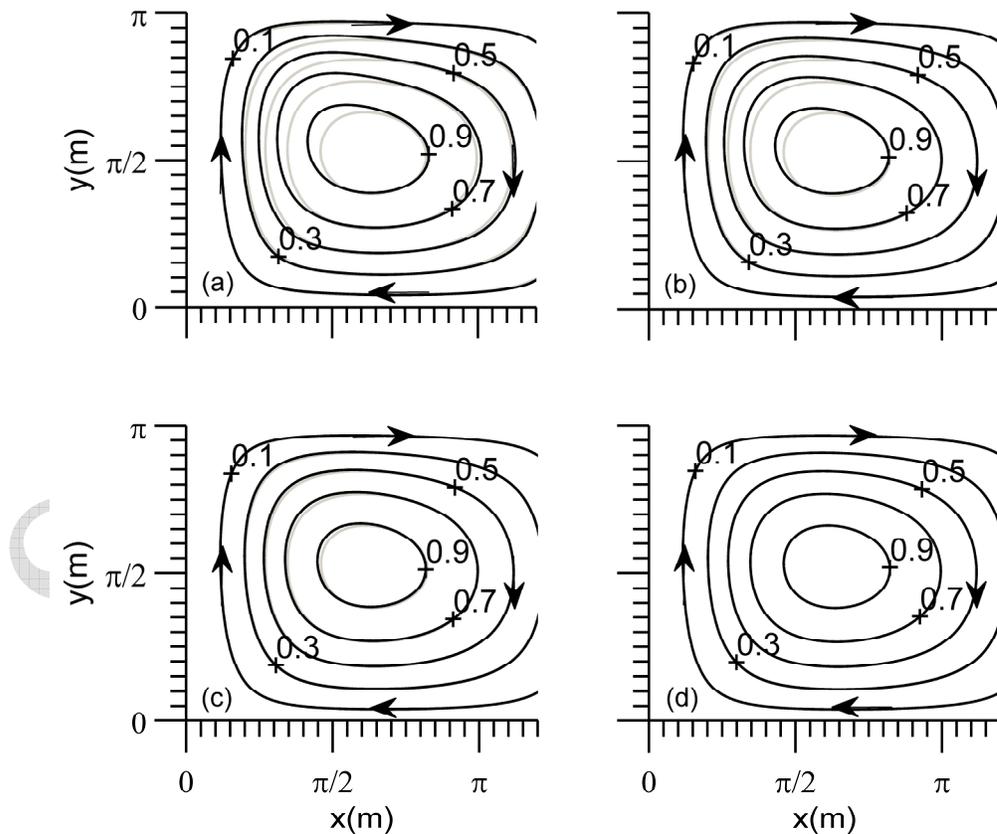
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1106 **Figure 3.** Color shading of significant wave height distribution over the computational domain  
 1107 after 2 hours of model simulation for two way coupling between ROMS and SWAN. Note the  
 1108 significant wave height at offshore boundary is 1.5 m at the ends and decreases to a minimum  
 1109 value of 1m at the center of the domain. The alongshore and cross-shore domain has been scaled  
 1110 by a value of ( $\lambda=2\pi/1000$ ). Dashed lines indicate the location of transects shown in Figure 6 and  
 1111 dotted lines indicate the location of alongshore transect shown in Figure 15.



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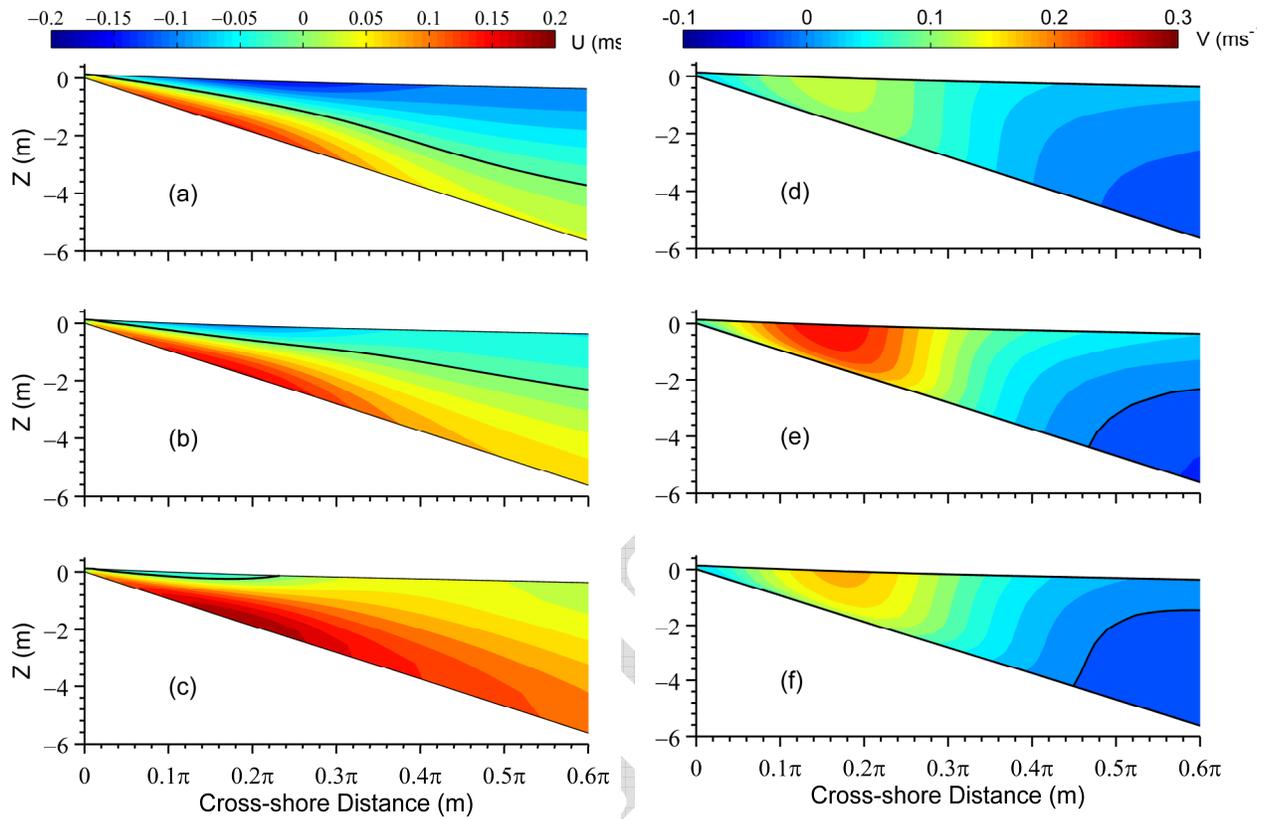
1113 **Figure 4.** Rip current simulation results for the bottom half of the computational domain (Case  
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 1115 distribution; (b) Transport stream function ( $\psi$ ) showing formation of circulation cell in the surf  
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 1117 Bowen, 1969 for the present model setup. Note:  $\lambda x = \pi/2$  is the location where waves start  
 1118 breaking.  
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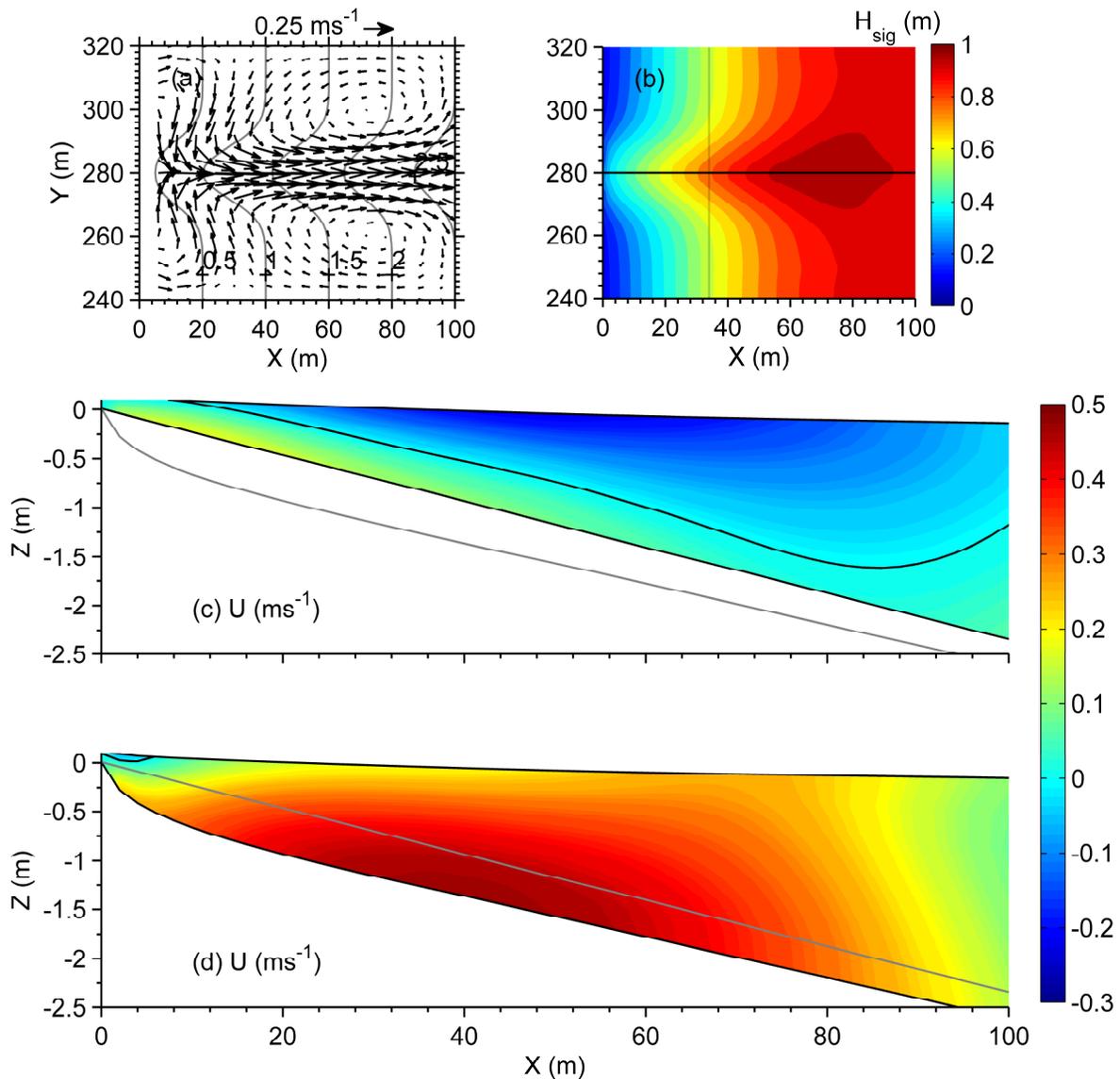
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1121 **Figure 5.** Transport stream function,  $\psi$  over the computational domain for Reynolds Number  
 1122 (Re) values of (a) 500, (b) 125, (c) 62.5, and (d) 42. Note the solution gets skewed about the  
 1123 individual centers of the circulation cells with increased Re value causing a narrower outflow

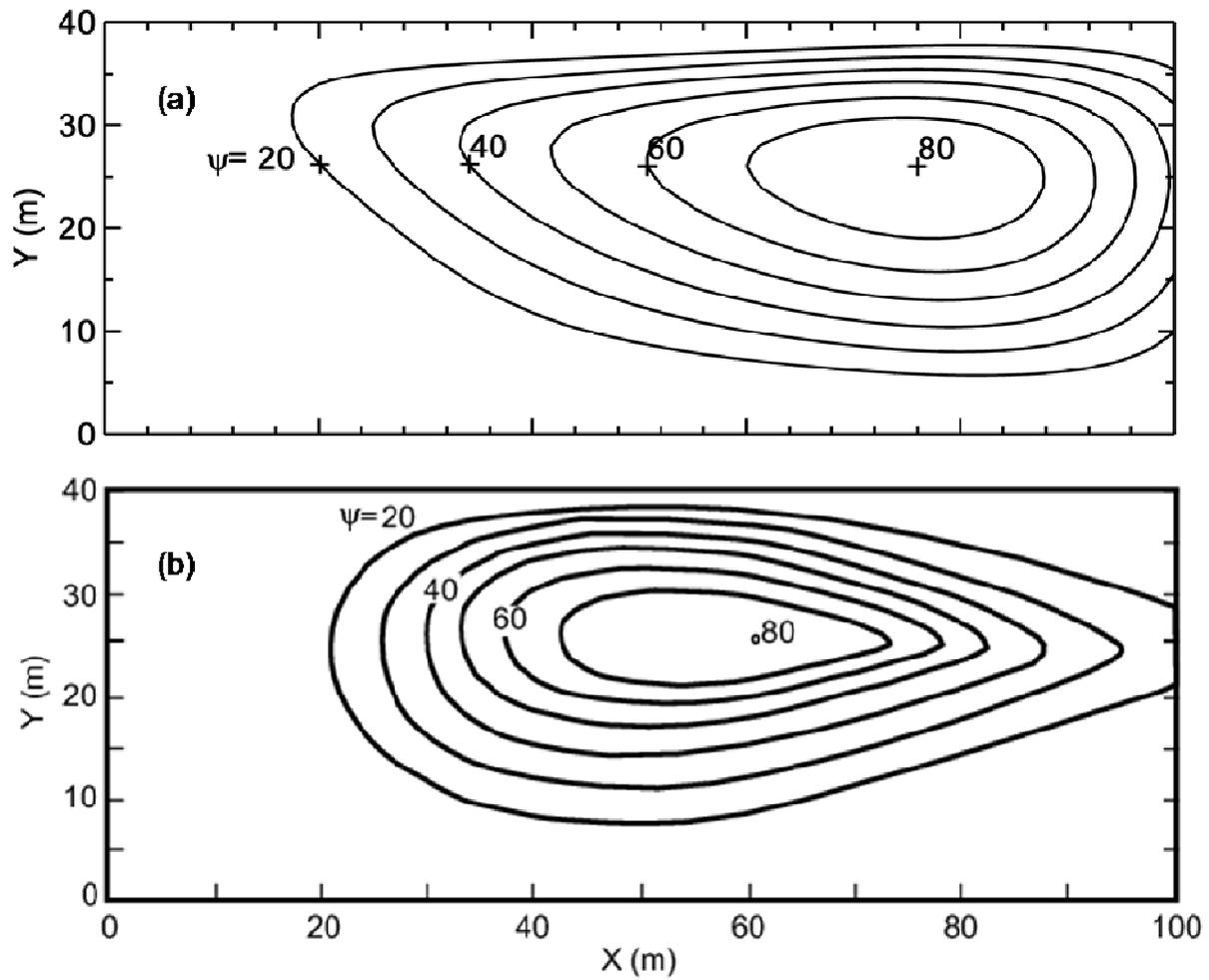
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 1125 that the individual circulation cells are not exactly symmetric about the line  $y = \pi$ . The grey  
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1128  
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 1130 alongshore velocity (d, e, f) along three transects located at  $\lambda y = \pi/5$  (a, d),  $\lambda y = \pi/2$  (b, e), and  
 1131  $\lambda y = 4\pi/5$  (c, f) from the southern lateral boundary (see dashed lines in Figure 3). Solid black line  
 1132 corresponds to zero velocity.  
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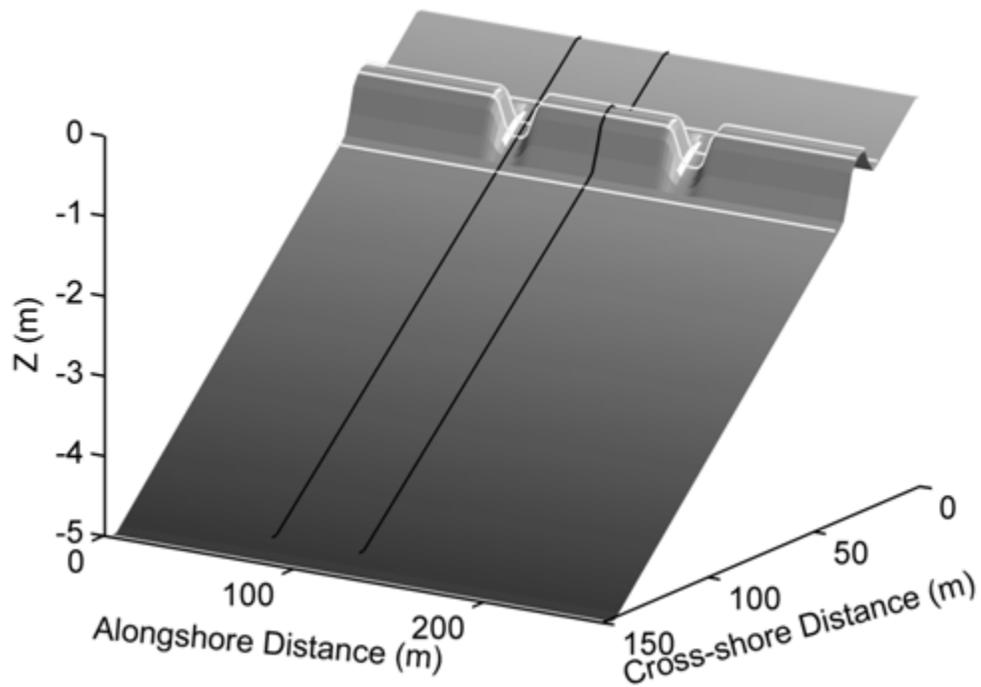


1134 **Figure 7.** (a) Depth averaged Eulerian velocity (black arrows) after 1 hour of simulation. Light  
 1135 grey lines in background depict the bathymetry contours; (b) Contour of significant wave height  
 1136 distribution over the computational domain. The incident wave height at the offshore boundary  
 1137 of the domain is 0.92 m. Contour plots showing the vertical structure of Eulerian cross-shore  
 1138 velocity,  $u(x, z)$  along two transects located at (c)  $y=0$  m, (d)  $y=40$  m from the southern lateral  
 1139 boundary (see grey lines in Figure 7b). The grey dotted alongshore transect in Fig. 7b is location  
 1140 at which alongshore momentum balance term is shown in Fig. 15(c).  
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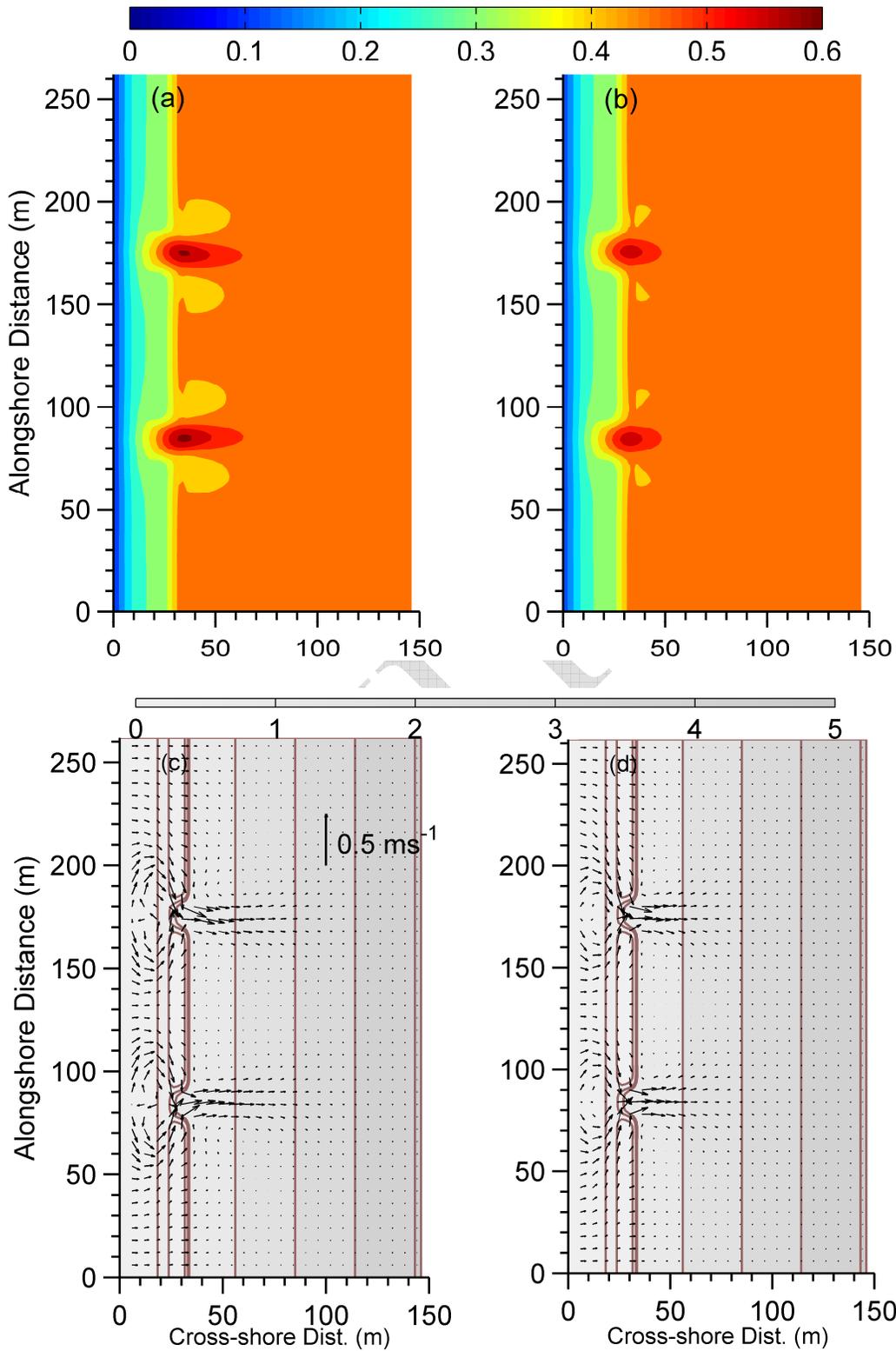
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1148

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 1151 discussed in Fig. 12. The 4 horizontal white lines represent the alongshore transects at which  
 1152 cross-shore momentum balance terms are shown in Fig. 13 and alongshore momentum balance  
 1153 term is shown in Fig. 15(d).

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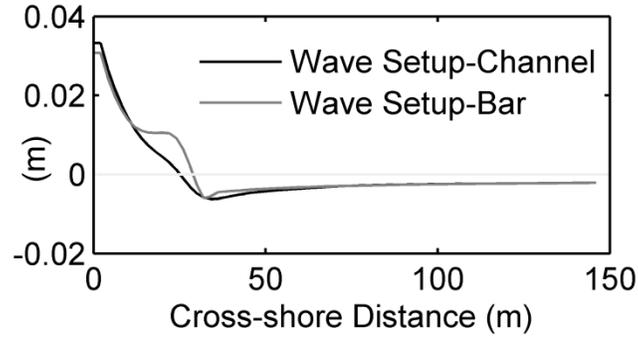


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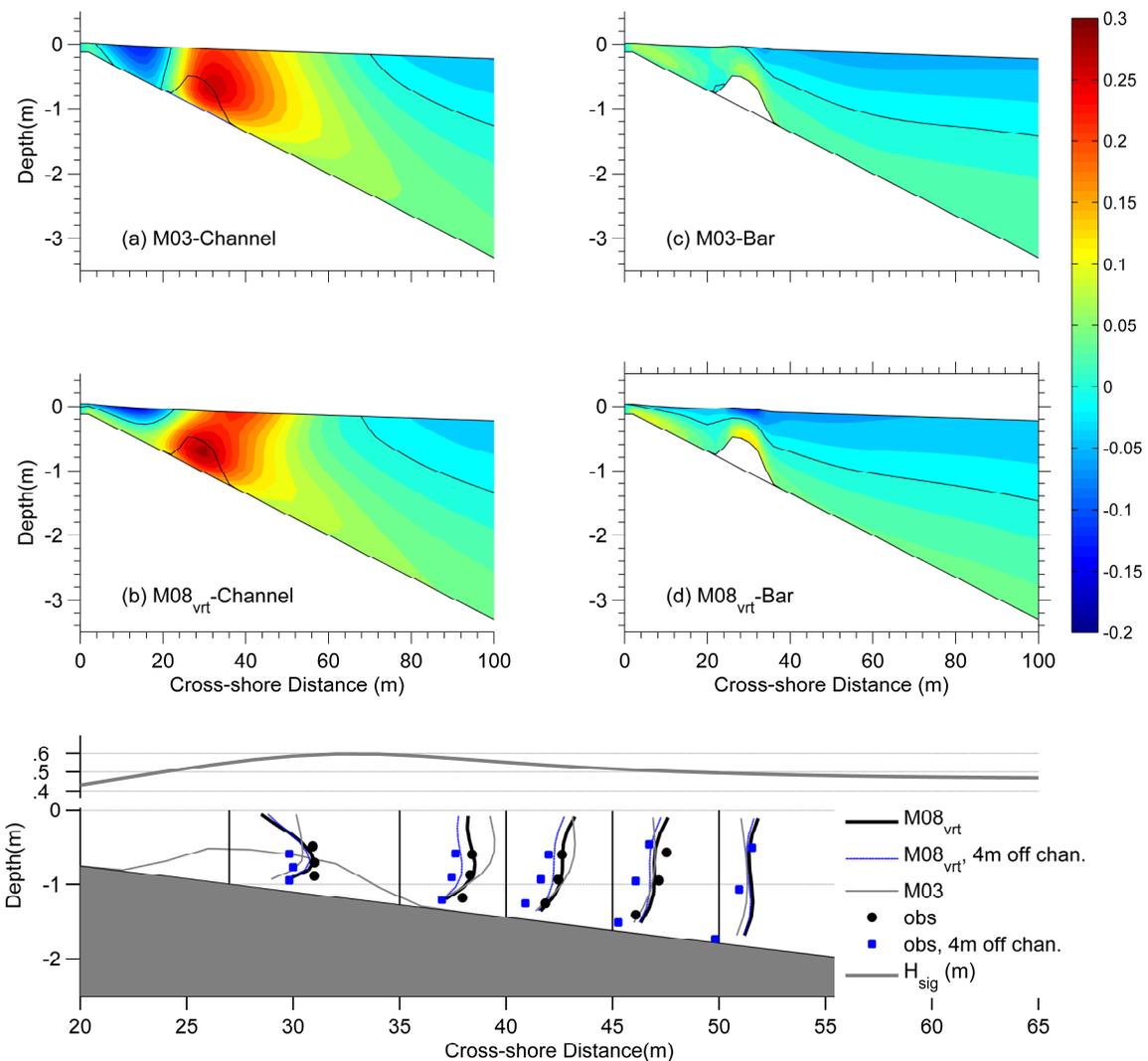
1157 **Figure 10.** Contours of significant wave height after 30 minutes of model simulation using (a)  
 1158 the original version of the model as in HW09 and; (b) the updated model with the M08  
 1159 formulations for the vertical distribution of the radiation stress (M08<sub>vr</sub>). Bathymetric contours

1160 and Eulerian depth integrated mean currents over the computational domain using (c) the  
1161 original version of the model as in HW09 and; (d) the updated model with the M08 formulations  
1162 for the vertical distribution of the radiation stress ( $M08_{vt}$ ). The black line (10c) depicts a velocity  
1163 of  $0.5 \text{ ms}^{-1}$ .

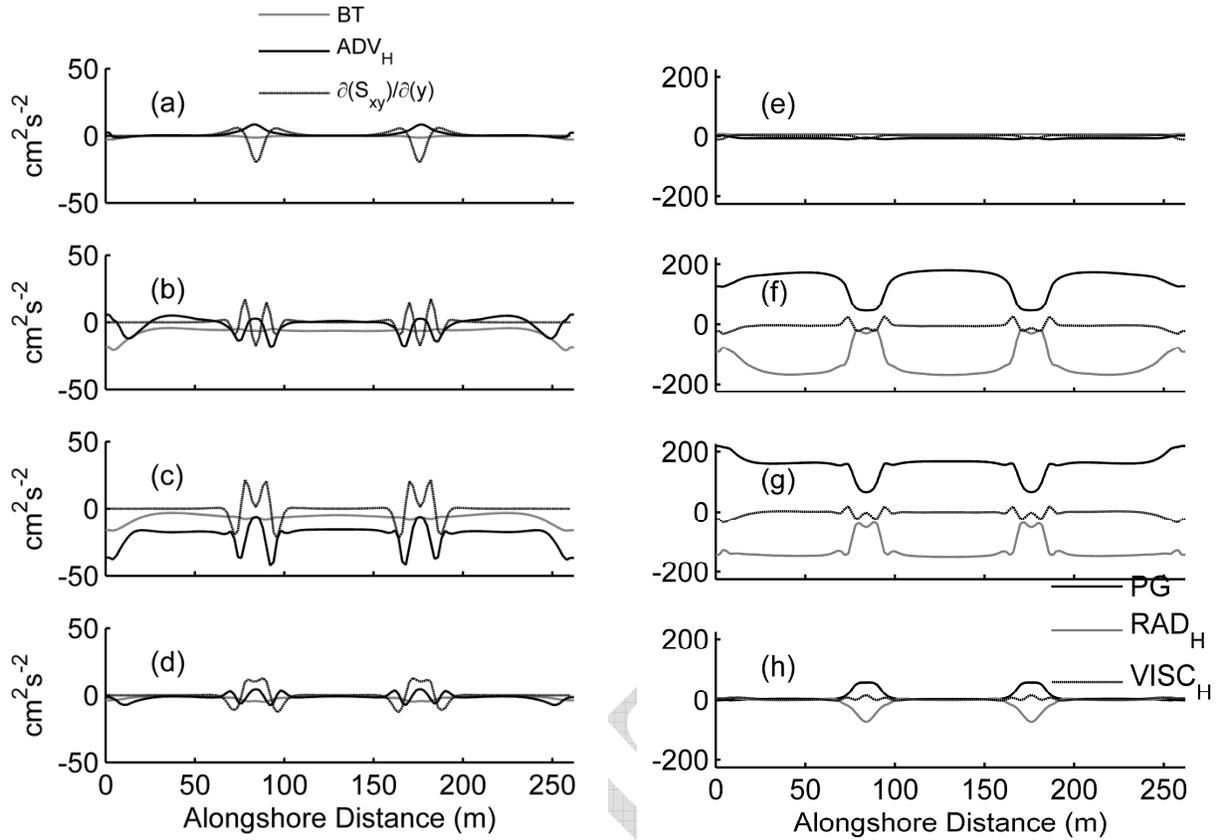


1164 **Figure 11.** Cross-shore variation of mean sea surface elevation at two locations corresponding to  
1165 alongshore positions centered at the middle of the rip channel (black) and alongshore bar (grey).  
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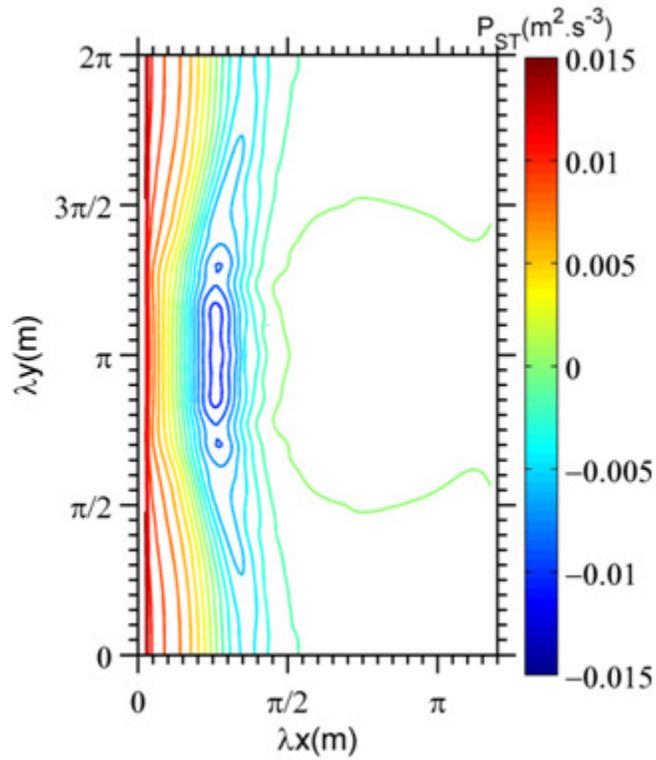


1169  
 1170 **Figure 12.** Vertical structure of cross-shore Eulerian velocity  $u(x,z)$  at the center of rip channel  
 1171 (a and b) and bar (c and d) derived from original version of the model as in HW09 (a and c) and  
 1172 the updated model with the M08 formulations (M08<sub>vrt</sub>) (b and d); (e) Comparison of normalized,  
 1173 model derived cross-shore velocity with normalized data from Haas and Svendsen, 2002 (key:  
 1174 symbols ● and ■ denote data at the center and 0.4m off the channel, grey line (center of the  
 1175 channel M03), black dash dot (center of the channel M08<sub>vrt</sub>), blue dashed line (M08<sub>vrt</sub>, 0.4 m off  
 1176 the channel)).  
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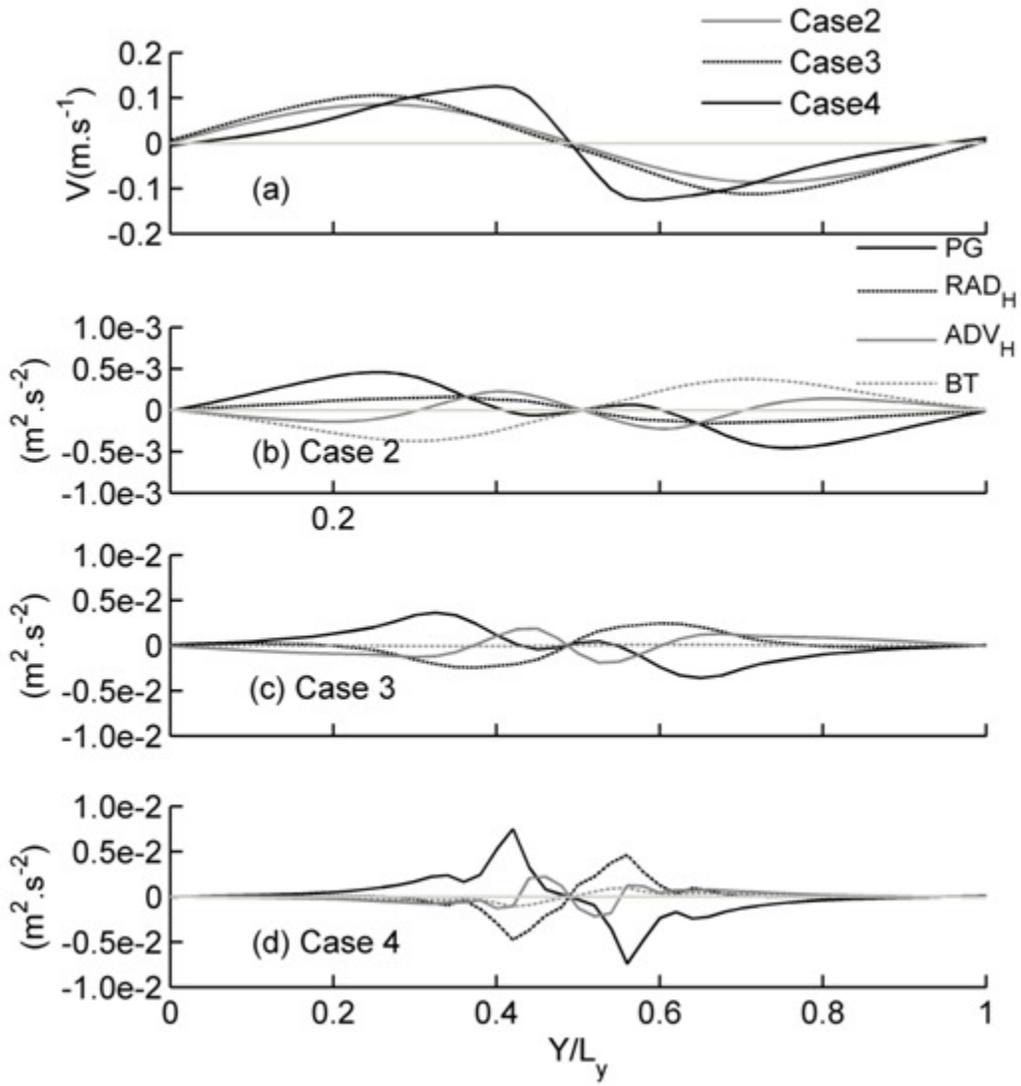
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**Figure 13.** Alongshore variation of the depth averaged cross-shore momentum balance equation terms. Horizontal advection ( $ADV_H$ ,  $\partial/\partial x(U^2h) + \partial/\partial y(UVh)$ , black line), bottom stress (BT,  $\tau_x/\rho$ , grey line) and radiation stress forcing ( $\partial S_{xy}/\rho\partial y$ , black dashed) terms are shown in (a) to (d). Cross-shore pressure gradient (PG,  $gh(\partial\eta/\partial x)$ , black line), radiation stress forcing ( $\partial S_{xx}/\rho\partial x$ , grey line) and horizontal viscosity ( $VISC_H$ ,  $\partial(A_H\partial u/\partial x)/(\rho\partial x)$ , black dashed) are shown in (e) to (h). The distances at which the terms are estimated are 40 m (a) and (e), 30 m (b) and (f), 26 m (c) and (g), and 20 m (d) and (h) from the shoreline (see Fig. 9).

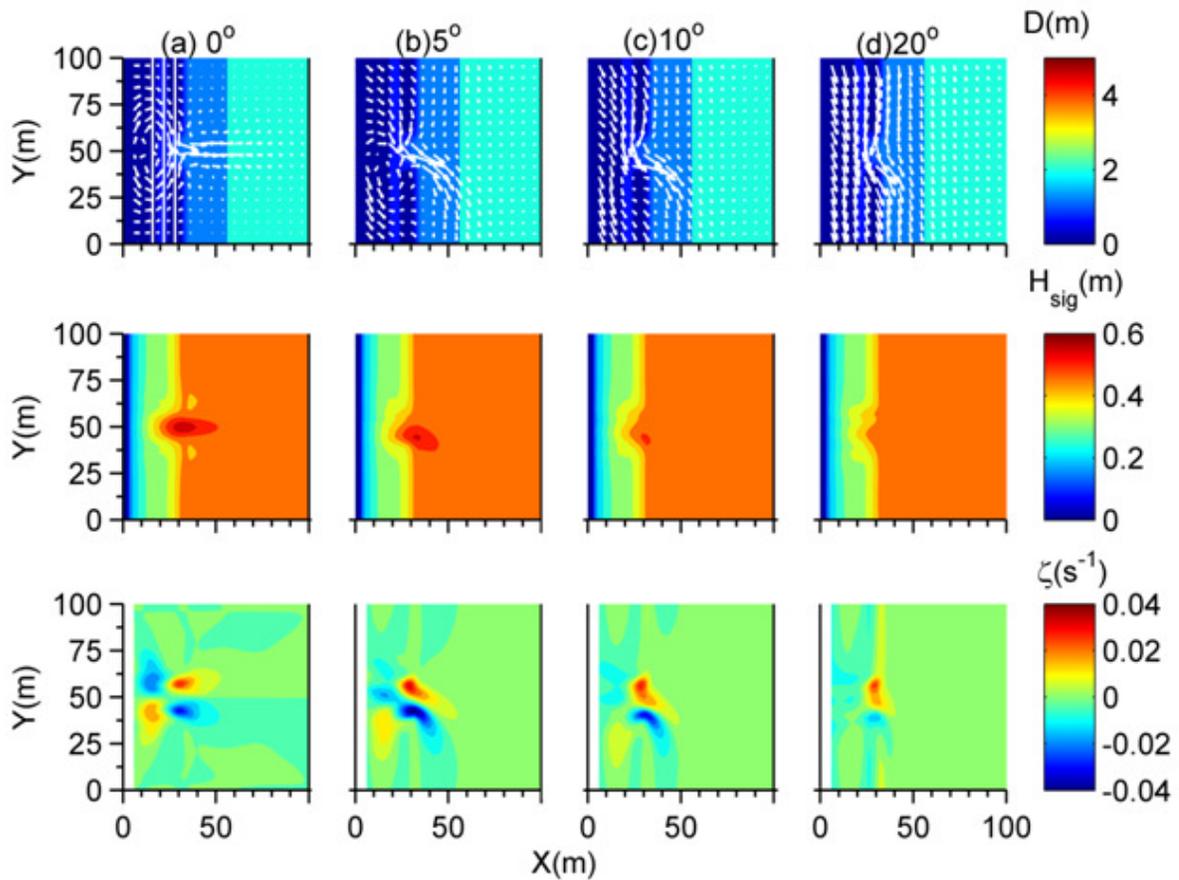


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**Figure 14.** Contour of sediment transport proxy ( $P_{st}$ ) over computational domain for the run of Case 2 of alongshore variable wave forcing.

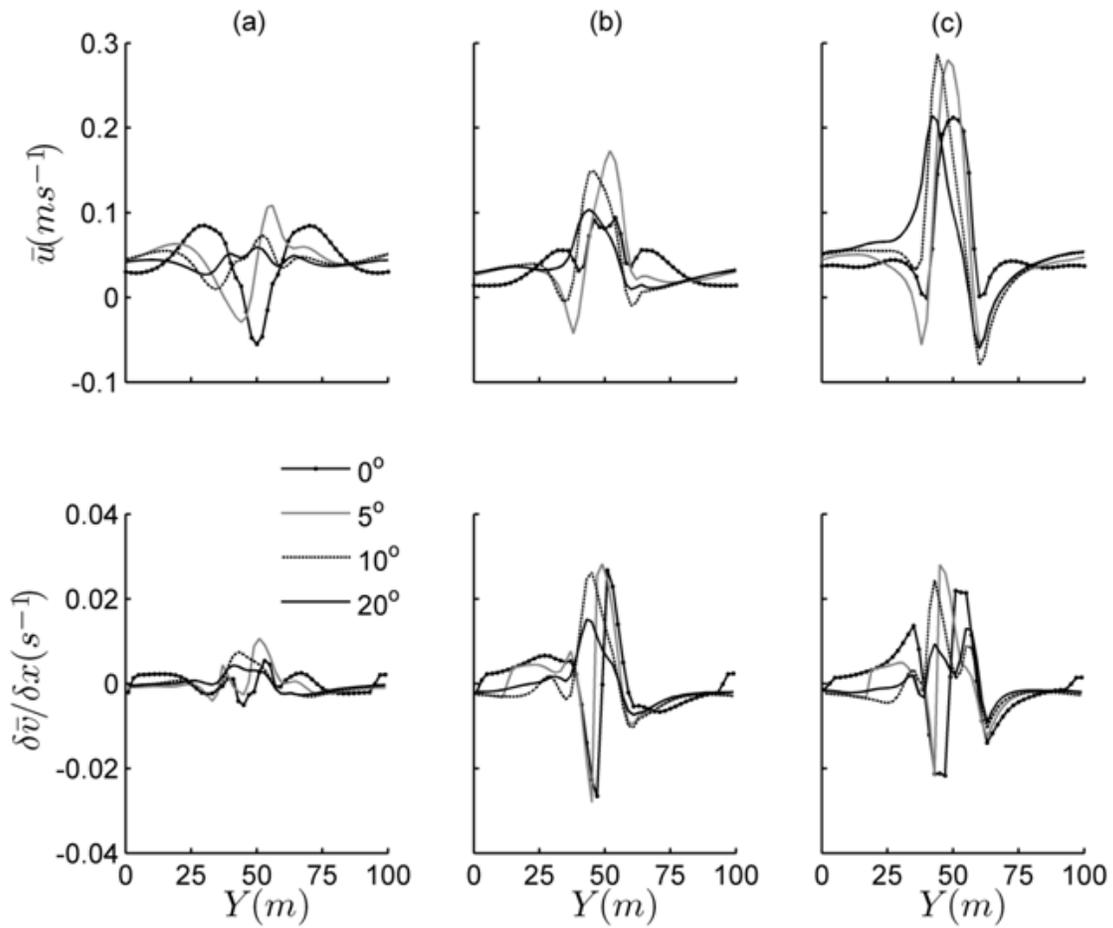


1192  
 1193 **Figure 15.** (a) Depth averaged alongshore velocity,  $V$  ( $\text{ms}^{-1}$ ) at alongshore transects shown by  
 1194 dotted line in Fig.3 for Case 2, dotted line in Fig.7b for Case 3 and alongshore transect onshore  
 1195 of the rip channel (Fig. 9) for Case 4; Alongshore variation of the depth averaged alongshore  
 1196 momentum balance terms for (b) Case 2 and (c) Case 3 for alongshore transect as 15(a); (d) Case  
 1197 4 for alongshore transect as 15(a). The alongshore normalizing length scale ( $L_y$ ) used in (b), (c)  
 1198 and (d) are 1000 m, 80 m and 90 m, respectively, and represent the corresponding perturbation  
 1199 length in forcing or bathymetry (key: alongshore pressure gradient (PG,  $gh(\partial\eta/\partial y)$ , black line),  
 1200 radiation stress forcing ( $\text{RAD}_H$ ,  $(\partial S_{yy}/(\rho\partial y) + \partial S_{xy}/(\rho\partial x))$ , black dashed), Horizontal advection  
 1201 ( $\text{ADV}_H$ ,  $\partial/\partial x(UV_h) + \partial/\partial y(V^2_h)$ , grey line), bottom stress (BT,  $\tau_y/\rho$ , grey dashed-dot line)).  
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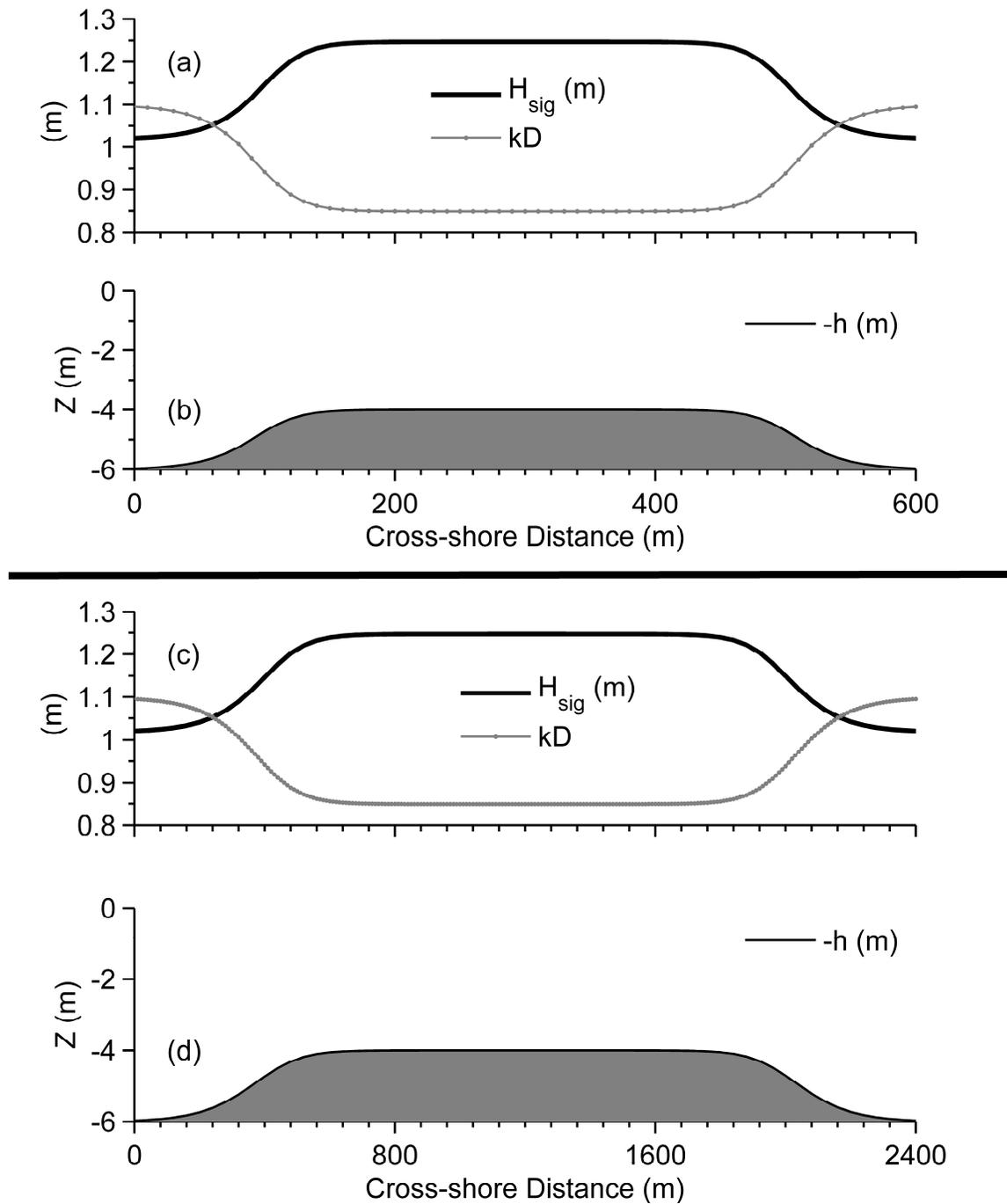


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**Figure 16.** Circulation (depth averaged, Eulerian current vector, top row), significant wave height distribution (middle row) and vorticity field (bottom row) results for different wave incident angles (columns a to d, corresponding to incident angles of 0, 5, 10 and 20 degrees, respectively) The thin grey lines in top row, column (a) show the alongshore transects at which relevant terms are plotted in Figure. 17. Note: The bathymetry used in this case is same as Figure 9, but only the relevant part of the domain is shown here.

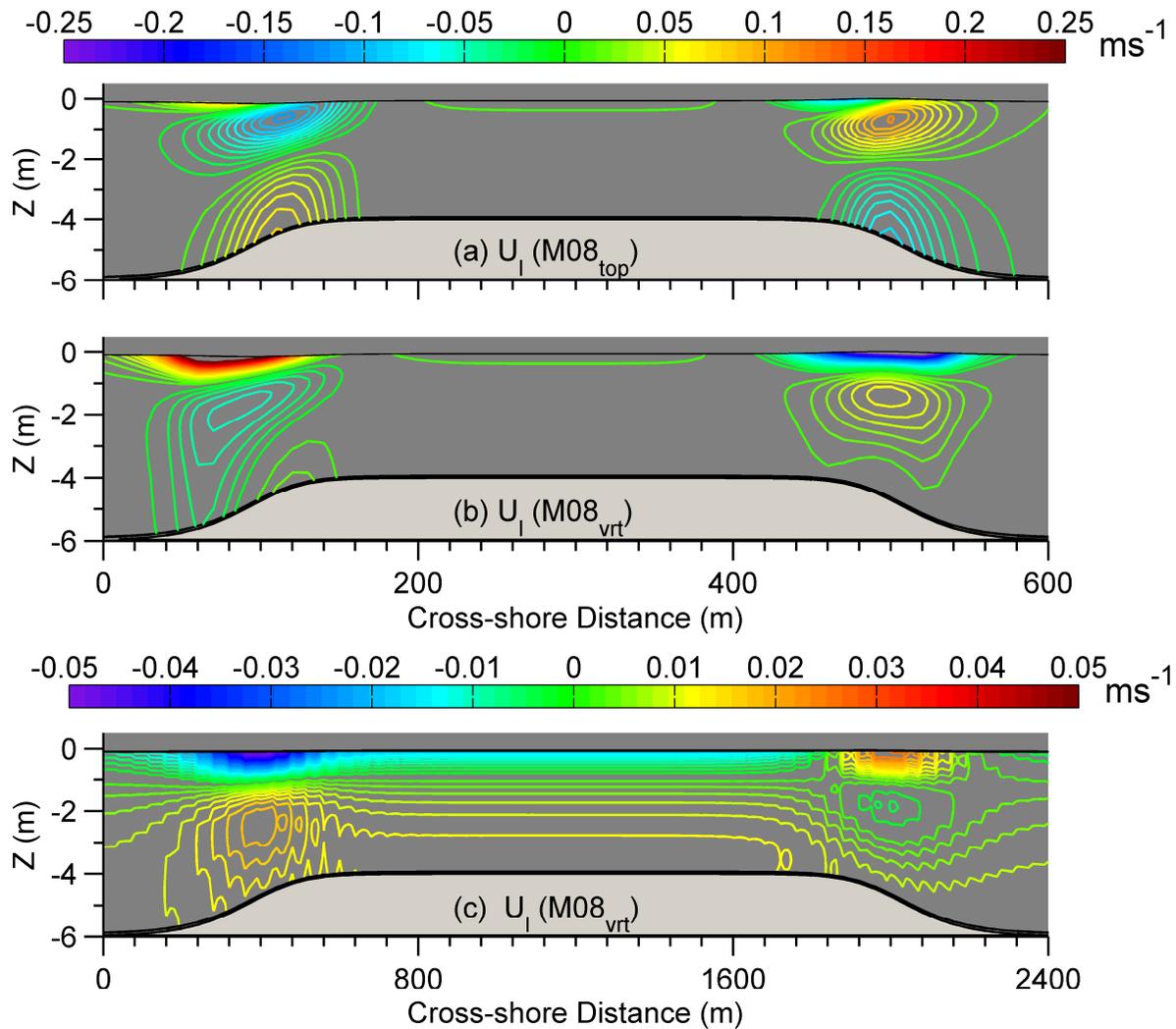


1211  
 1212 **Figure 17.** Eulerian cross-shore velocities (top panel) and absolute value of alongshore gradient  
 1213 of Eulerian alongshore velocities (bottom panel) at 3 alongshore transects located (a) 16 m (b) 22  
 1214 m (c) 28 m from the shoreline as shown by grey lines in Fig. 16 (top panel, column (a)) for waves  
 1215 incident at angles  $0^\circ$ ,  $5^\circ$ ,  $10^\circ$ ,  $20^\circ$ .  
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1218 **Figure A1.** Model forcing (wave height) and non dimensional depth (a and c) and bottom  
 1219 bathymetry (b and d) used to test the Mellor (2008) formulation. (a) and (b) are identical as in  
 1220 Arduin et al. (2008). Forcing in (c) is the same as (a) but the bathymetry (d) has a reduced  
 1221 bottom slope (note differences in horizontal scale between b and d).  
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 1223



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1225 **Figure A2.** Vertical distribution of Lagrangian velocity,  $U_l$  (Eulerian velocity + Stokes drift)  
 1226 calculated using (a) M08<sub>top</sub> with a domain geometry as in Arduin et al. (2008); (b) M08<sub>vrt</sub> on the  
 1227 same domain as (a); and (c) M08<sub>vrt</sub> with a similar geometry but reduced bottom slope (note  
 1228 differences in horizontal scale), uniform vertical mixing and bottom friction. Contour line  
 1229 spacing is  $0.01 \text{ ms}^{-1}$  in (a), (b) and  $0.002 \text{ ms}^{-1}$  in (c). Note different scales in colorbar used in (c).