

# Interaction Subrange Spectra of Turbulent Wind Over an Air-Water Interface<sup>1</sup>

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## ABSTRACT

The nonlinear interaction of wave-induced air motion and the turbulent wind is examined in spectral space with the aid of a model developed through two averaging processes. The averaging periods are determined by the time scales of turbulent and of wave-induced air motion. It is shown that an interaction subrange spectrum characterized by a  $-1$  slope may exist in the turbulent wind over the air-water interface. A method which involves the calculation of energy spectra in frequency and in wavenumber-frequency space is described. It permits an assessment of nonlinear interactions in these spectral domains. We are concerned particularly with interactions with the wave-induced motion and with the contribution which this may make to the total turbulent kinetic energy.

## 1. Introduction

The displacement and deformation of air caused by traveling water waves may cause the airflow in the vicinity of the air-water interface to have observable wave disturbance. The purpose of this paper is to examine the nonlinear interaction of wave-induced air motion and turbulent wind in spectral space. By transforming the energy equation into frequency and wavenumber-frequency spaces, a method is outlined to assess the contribution to the total turbulent kinetic energy of a particular component due to nonlinear interaction in the spectral domain. It allows one to examine in detail the effect of the wave-induced motion on the structure of turbulent wind.

The coupling between wind and waves has been formulated by Phillips (1966), Miles (1967) and recently by Yefimov and Pososhkov (1970). For the convenience of later discussion, this coupling model is derived here alternatively by defining two averaging processes, one over a time scale  $T$  of the wave-induced motion and the other over a time scale  $\tau$  of the turbulent motion:

$$\left. \begin{aligned} \bar{\eta}(\mathbf{x}; T) &= \frac{1}{T} \int_{t-T/2}^{t+T/2} \eta(\mathbf{x}; t_i) dt_i \\ \bar{\eta}(\mathbf{x}; \tau) &= \frac{1}{\tau} \int_{t-\tau/2}^{t+\tau/2} \eta(\mathbf{x}; t_i) dt_i \end{aligned} \right\} \quad (1)$$

The time scale  $T$  which has the magnitude of the dominant period of water waves is generally much greater

than  $\tau$ , which may have the order of the integral time scale of turbulence and is still long enough to yield meaningful averages.

Let the instantaneous velocity and pressure fields be decomposed into the forms

$$\left. \begin{aligned} U_i &= \bar{U}_i + U_{wi} + U_i' \\ P &= \bar{P} + P_w + P' \end{aligned} \right\} \quad (2)$$

where  $U_i$ ,  $P$  are the total instantaneous velocity and pressure,  $\bar{U}_i$ ,  $\bar{P}$  the steady, time mean velocity and pressure,  $U_{wi}$ ,  $P_w$  the wave-induced velocity component and pressure, and  $U_i'$ ,  $P'$  the turbulent fluctuations of velocity and pressure. It can be shown from the equations of motion and continuity that the energy equations for the wave-induced motion and the turbulent motion take the forms:

$$\begin{aligned} & \frac{\partial}{\partial t} \left( \frac{U_{wi}^2}{2} \right) \\ &= - \left[ U_{wi} \frac{\partial}{\partial x_j} \bar{U}_i \bar{U}_j + U_{wi} U_{wj} \frac{\partial \bar{U}_i}{\partial x_j} + U_{wi} \frac{\partial \bar{U}_i' U_j'}{\partial x_j} \right] \\ &+ \nu \frac{\partial}{\partial x_j} \left[ U_{wi} \left( \frac{\partial (\bar{U}_i + U_{wi})}{\partial x_j} + \frac{\partial (\bar{U}_j + U_{wj})}{\partial x_i} \right) \right] \\ &- \frac{\partial}{\partial x_j} \left[ U_{wj} \left( \frac{\bar{p} + p_w}{\rho} \right) + (\bar{U}_j + U_{wj}) \frac{U_{wi}^2}{2} \right] \\ &- \nu \left[ \frac{\partial (\bar{U}_i + U_{wi})}{\partial x_j} + \frac{\partial (\bar{U}_j + U_{wj})}{\partial x_i} \right] \frac{\partial U_{wi}}{\partial x_j} + U_{wi} f_{Bi}, \quad (3) \end{aligned}$$

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$$\begin{aligned}
& \frac{\partial}{\partial t} \left( \frac{\overline{U_i'^2}}{2} \right) \\
&= - \left[ \overline{U_i' U_j'} \frac{\partial \tilde{U}_i}{\partial x_j} + \overline{U_i' U_j'} \frac{\partial U_{wi}}{\partial x_j} \right] \\
&+ \nu \frac{\partial}{\partial x_j} \overline{U_i' \left( \frac{\partial U_i'}{\partial x_j} + \frac{\partial U_j'}{\partial x_i} \right)} \\
&- \frac{\partial}{\partial x_j} \left[ U_j' \left( \frac{p'}{\rho} + \frac{U_i'^2}{2} \right) + (\tilde{U}_j + U_{wj}) \frac{\overline{U_i'^2}}{2} \right] \\
&- \nu \left( \frac{\partial U_i'}{\partial x_j} + \frac{\partial U_j'}{\partial x_i} \right) \frac{\partial U_i'}{\partial x_j}, \quad (4)
\end{aligned}$$

where  $f_{Bi}$  is the external force.

The interaction or production terms, the first terms on the right of (3) and (4), are of primary interest. Since the variation of  $\tilde{U}_i \tilde{U}_j$  in general is zero, (3) indicates that the wave-induced motion can draw energy from the mean flow through the wave-induced Reynolds stresses  $U_{wi} U_{wj} \partial \tilde{U}_i / \partial x_j$  and that it can grow due to the work done by the turbulent Reynolds stresses  $U_{wi} \partial \overline{U_i' U_j'} / \partial x_j$ . While turbulence constantly draws energy from the mean flow through turbulent Reynolds stresses  $\overline{U_i' U_j'} \partial \tilde{U}_i / \partial x_j$ , the growth of wave-induced motion, which enhances the local velocity gradient through vortex stretching, will help the growth of turbulent kinetic energy through  $\overline{U_i' U_j'} \partial U_{wi} / \partial x_j$  as shown in (4). This interaction process indicates that the rapidly varying turbulent motion could help the growth of the wave-induced motion while in return the wave-induced motion may enhance the rapidly varying turbulence.

## 2. Interaction subrange spectra

It is well known from the equation of total energy dissipation that the energy associated with wavenumbers not exceeding  $k$  may interact with the remainder of the spectrum in the forms of inertial transfer, shear production, and inhomogeneous diffusion. Several equilibrium subranges are thus obtained by considering the relative predominance of the processes.

As shown initially by Tchen (1953) and discussed in more detail by Gisina (1966), there can exist a  $-1$  subrange in the energy spectra of turbulent shear flow. This occurs as a consequence of strong interaction between turbulence and a large mean velocity gradient inside the boundary layer. It results in a strong production of turbulence energy. For a turbulent wind over the air-water interface, this interaction mechanism of Tchen and Gisina may be enhanced through the appearance of wave-induced motion, which increases the local velocity gradient and produces additional inter-

action terms  $\overline{U_i' U_j'} \partial U_{wi} / \partial x_j$ . Consequently, the effects of wave-induced motion will show up in the turbulence not only as a spectral peak at the frequency of the water waves, but possibly as a modification of the spectrum over a broad range of frequencies. If the interaction mechanism of the motion induced by the waves and that due to mechanical turbulence can be considered as equivalent to the mechanism of Tchen, then one may expect to find a  $-1$  region in a spectrum of turbulence over waves which is caused by this interaction.

To test the above hypothesis, some published experimental data were examined. Figs. 1a and 1b are the horizontal velocity spectra obtained by Kato and Sano (1969) in a wind-wave tunnel. In the case with mechanical waves (Fig. 1a), the spectra of  $M-2$  measured at height  $z=40$  cm from the mean water surface display a very dominant peak near 0.6 Hz corresponding to the frequency of the mechanical waves. The spectra at  $z=6$  cm have only a small peak near  $f=0.6$  Hz, which, according to Kato and Sano, is due to the fact the fluctuations induced by mechanical waves are fairly comparable with and rather hidden in the background turbulence which would exist without those mechanical waves. Both spectra, however, clearly reveal the existence of a  $-1$  subrange which is bounded between the frequency of dominant water waves and the Kolmogoroff's  $-5/3$  inertial subrange. Similar characteristics are observed in the turbulent spectra over pure wind waves (Fig. 1b). Although these data do not contradict our hypothesis of an interaction subrange, they cannot be considered as a conclusive proof since the  $-1$  subrange in longitudinal turbulence results from the combined effects of the wave-induced motion and the overall mean velocity gradient.

In Fig. 2, two spectra of vertical velocity fluctuations are shown which were measured by Shemdin and Lai (1970) in a wind-wave tunnel. The spectral bump and  $-1$  subrange observed in the longitudinal turbulence appear here also. Since there is no overall mean velocity in this direction, the  $-1$  subrange must be a sole consequence of the nonlinear interaction between the wave-induced local velocity gradient and turbulence Reynolds stresses.

The results shown in Figs. 1 and 2 indicate that the laboratory surface waves perturb the air flow at low frequencies, while the inertial subrange of turbulence appears to be unaffected by the presence of surface waves. The turbulence in the atmosphere over the agitated sea surface may not show this behavior as clearly. Because of its much higher Reynolds number and much larger turbulence scale, atmospheric turbulence has a wide inertial subrange in its spectrum. The sea wave-induced motions, unlike those due to the laboratory-generated waves, generally have a dominant frequency which lies inside the inertial subrange of the

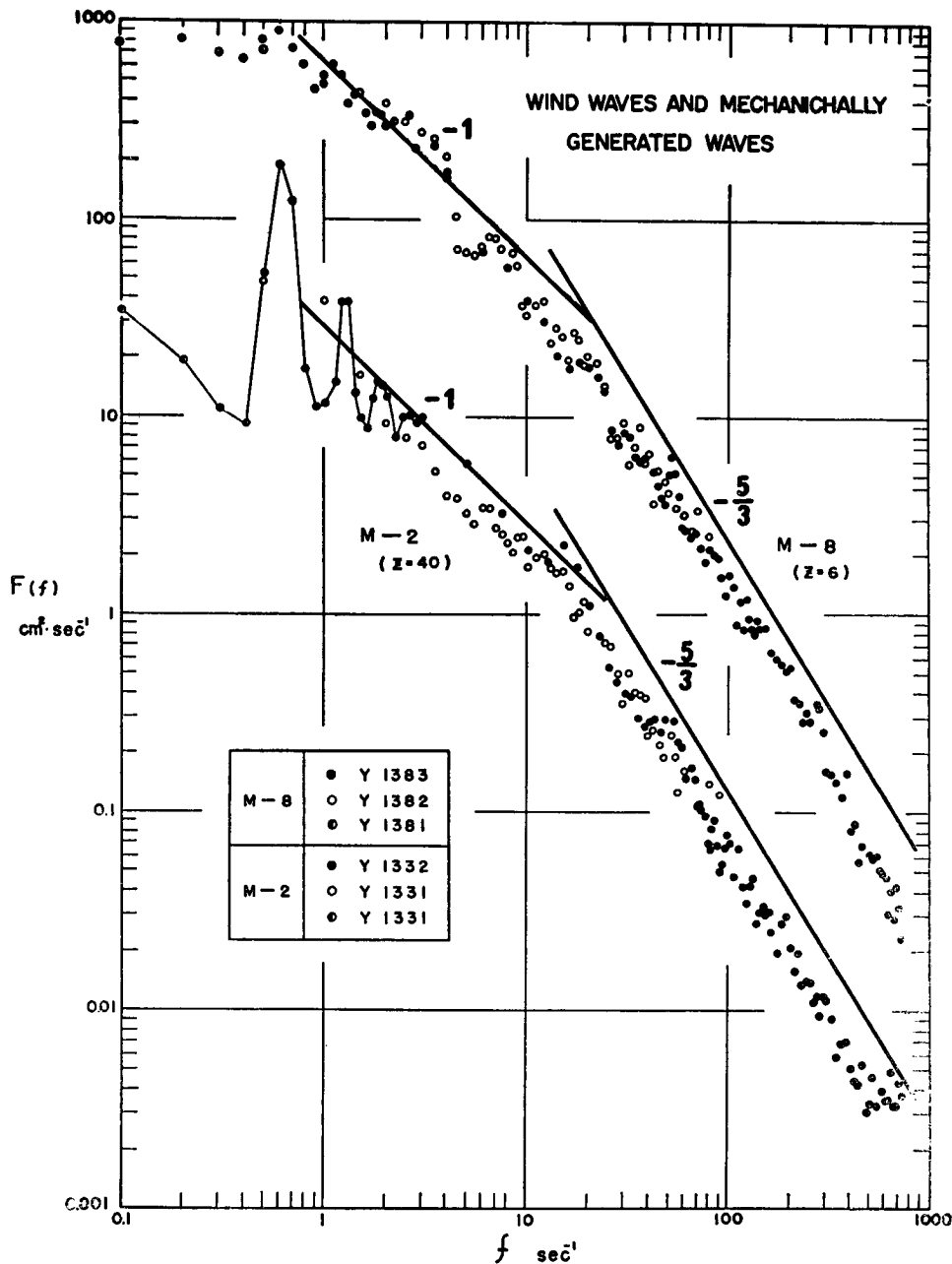


FIG. 1a. Horizontal air velocity spectra over wind waves and mechanically generated waves (from Kato and Sano, 1969).

atmospheric turbulence. The inertial subrange is persistent in preserving its similarity structure, so that wave-induced perturbations are localized in spectral space. The model developed in Section 1 under the assumption that wave-induced motions and background turbulence have distinctively different time scales does not apply in this case. The  $-1$  interaction subrange may thus fail to show up in atmospheric spectra over the sea even though a clearly discernible wave-induced peak is obtained. These characteristics are observed in

many atmospheric turbulence spectra above the sea such as those presented by Kitaigorodsky (1969), Volkov (1969) and Volkov and Mordukhovich (1971).

### 3. Nonlinear interaction in frequency and wave-number-frequency spaces

The previous discussions give only a qualitative picture of the effect of wave-induced motions on the structure of turbulent air. In order to estimate quantitatively

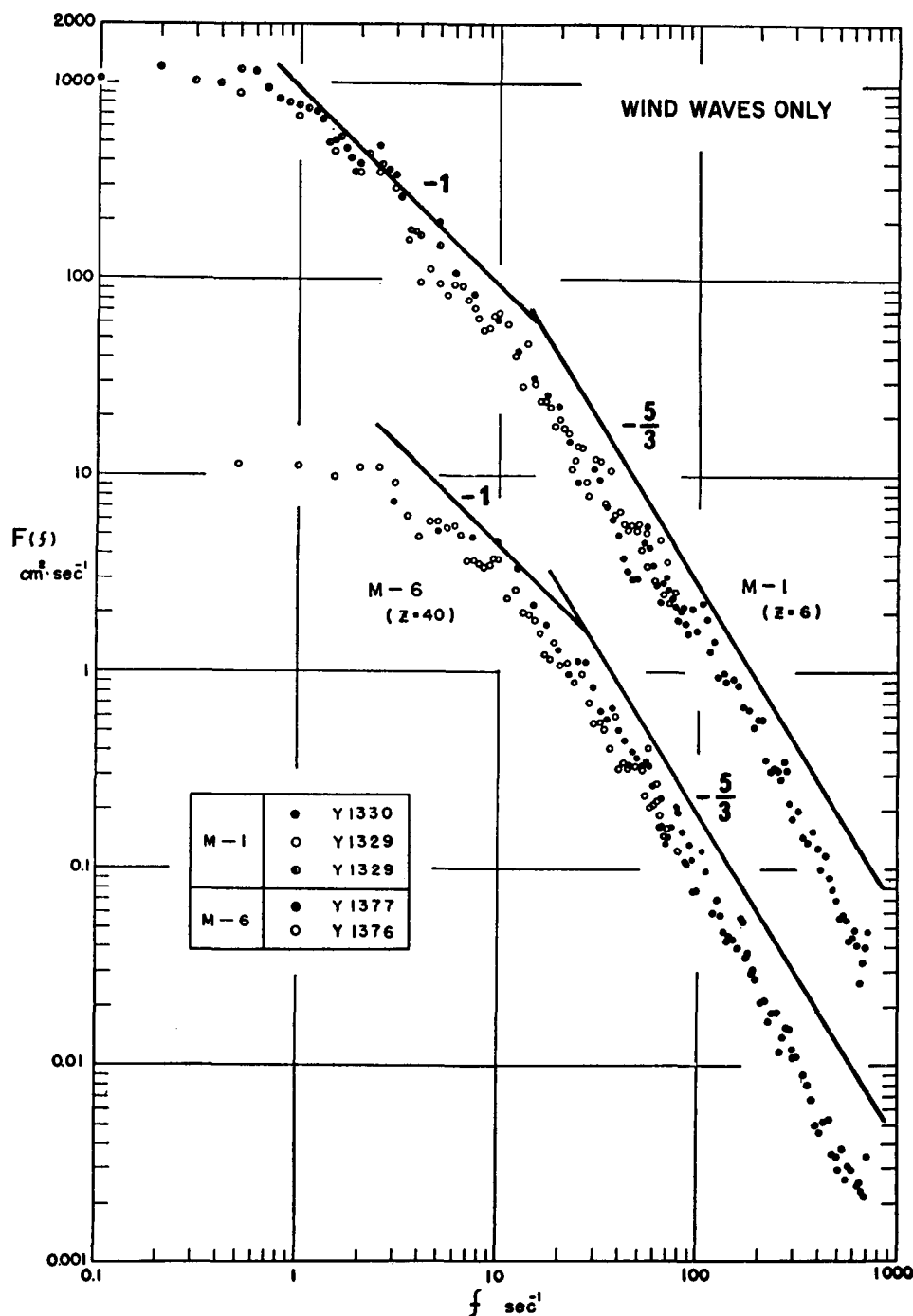


FIG. 1b. Horizontal air velocity spectra over wind waves only (from Kato and Sano, 1969).

how the wave-induced motions with the frequency of underlying water waves transfer energy in spectral space through nonlinear processes, one must analyze the motion in frequency and wavenumber-frequency spaces.

If  $q(x,t)$  is a real, single-valued function which is piecewise differentiable,  $q(x,t)$  may be transformed into wavenumber-frequency space or into frequency space for a long period of time at a certain point (Kao, 1968). The Fourier transforms and their inverse transforms

for these two cases are as follows:

*In frequency space only*

$$Q(f) = \frac{1}{2\pi} \int_0^T q(t) e^{-if t} dt, \quad (5)$$

$$q(t) = \int_{-\infty}^{\infty} Q(f) e^{if t} df. \quad (6)$$

*In wavenumber-frequency space*

$$Q(k, f) = \frac{1}{4\pi^2} \int_0^T \int_0^L q(x, t) e^{-i(kx + ft)} dx dt, \quad (7)$$

$$q(x, t) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} Q(k, f) e^{i(kx + ft)} df dk, \quad (8)$$

where  $k$  and  $f$  represent wavenumber and frequency, respectively.

Considering another scalar function  $s(x, t)$  with Fourier transform  $S(k, f)$ , it can be shown that:

*In frequency space*

$$\frac{1}{2\pi} \int_0^T s(t) q(t) e^{-if t} dt = \int_{-\infty}^{\infty} S(m) Q(f-m) dm, \quad (9)$$

where

$$S(f) = \frac{1}{2\pi} \int_0^T s(t) e^{-if t} dt.$$

*In wavenumber-frequency space*

$$\begin{aligned} \frac{1}{4\pi^2} \int_0^T \int_0^L s(x, t) q(x, t) e^{-i(kx + ft)} dx dt \\ = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} S(j, m) Q(k-j, f-m) dm dj, \end{aligned} \quad (10)$$

where

$$S(j, m) = \frac{1}{4\pi^2} \int_0^T \int_0^L s(x, t) e^{-i(jx + mt)} dx dt.$$

The above convolution relations are useful for transforming the nonlinear terms in the equations of motion into frequency and wavenumber-frequency spaces. This is illustrated in the following by transforming the  $x$ -component equations of motion and energy for two-dimensional flow  $(x, z)$ .

To obtain the energy equation in frequency and wavenumber-frequency spaces, the following notation for the Fourier coefficients is introduced:

$$\frac{q(x, z, t)}{Q(k, z, f)} \left| \begin{array}{l} u, w, p, f_{B1} \\ U, W, P, F_{B1} \end{array} \right.$$

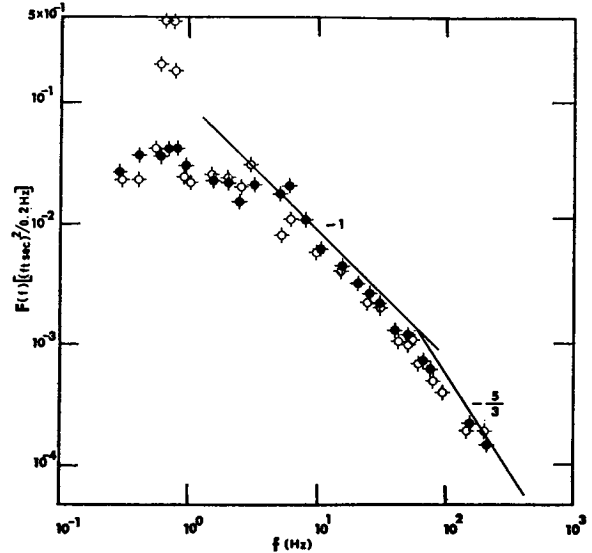


FIG. 2. Vertical air velocity spectra over an air-water interface (from Shemdin and Lai, 1970): solid symbols, over wind waves only; open symbols, over wind waves and mechanical waves.

The resulting energy equation can be shown to take the form:

*In frequency space*

$$|U(f)|^2 = \frac{i}{f} \int_{-\infty}^{\infty} \{ U_x(m) U(-f) U(f-m) \quad (U1)$$

$$+ U_z(m) U(-f) W(f-m) \} dm + \frac{1}{\rho f} P_x(f) U(-f) \quad (U2)$$

$$- \frac{i}{f} \nu \{ U_{xx}(f) + U_{zz}(f) \} U(-f) - \frac{i}{f} F_{B1}(f) U(-f) \quad (11)$$

*In wavenumber-frequency space*

$$|U(k, f)|^2 = \frac{i}{f} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left\{ \frac{i}{2} j U(j, m) U(-k, -f) U(k-j, f-m) \quad (U1)$$

$$+ U_z(j, m) U(-k, -f) W(k-j, f-m) \right\} dm dj \quad (U2)$$

$$\begin{aligned} + \frac{1}{\rho f} P(k, f) U(-k, -f) - \frac{i}{f} \nu [-k^2 U(k, f) \\ + U_{zz}(k, f)] U(-k, -f) - \frac{i}{f} F_{B1}(k, f) U(-k, -f). \end{aligned} \quad (12)$$

The subscripts  $x$  and  $z$  indicate partial differentiation with respect to  $x$  and  $z$ , respectively, and  $i = \sqrt{-1}$ . The relation  $Q^*(k, f) = Q(-k, -f)$ , which denotes the complex conjugate of  $Q(k, f)$ , has been used to derive these equations.

The terms on the left-hand side of (11) and (12) represent the total spectral energy at a given frequency  $f$ , or at given wavenumber  $k$  and frequency  $f$ . The nonlinear terms represented by U1 in (11) and (12) stem

from the transformed horizontal advection of the  $x$ -component kinetic energy while those represented by U2 arise from the transformed convection of  $z$ -component kinetic energy. The rest of the terms derive from the linear terms of the equations of motion.

The nonlinear terms in transform space involve sums of products of transforms for various wavenumbers and frequencies. In actual computations these are replaced by appropriate summation. For example, the trans-

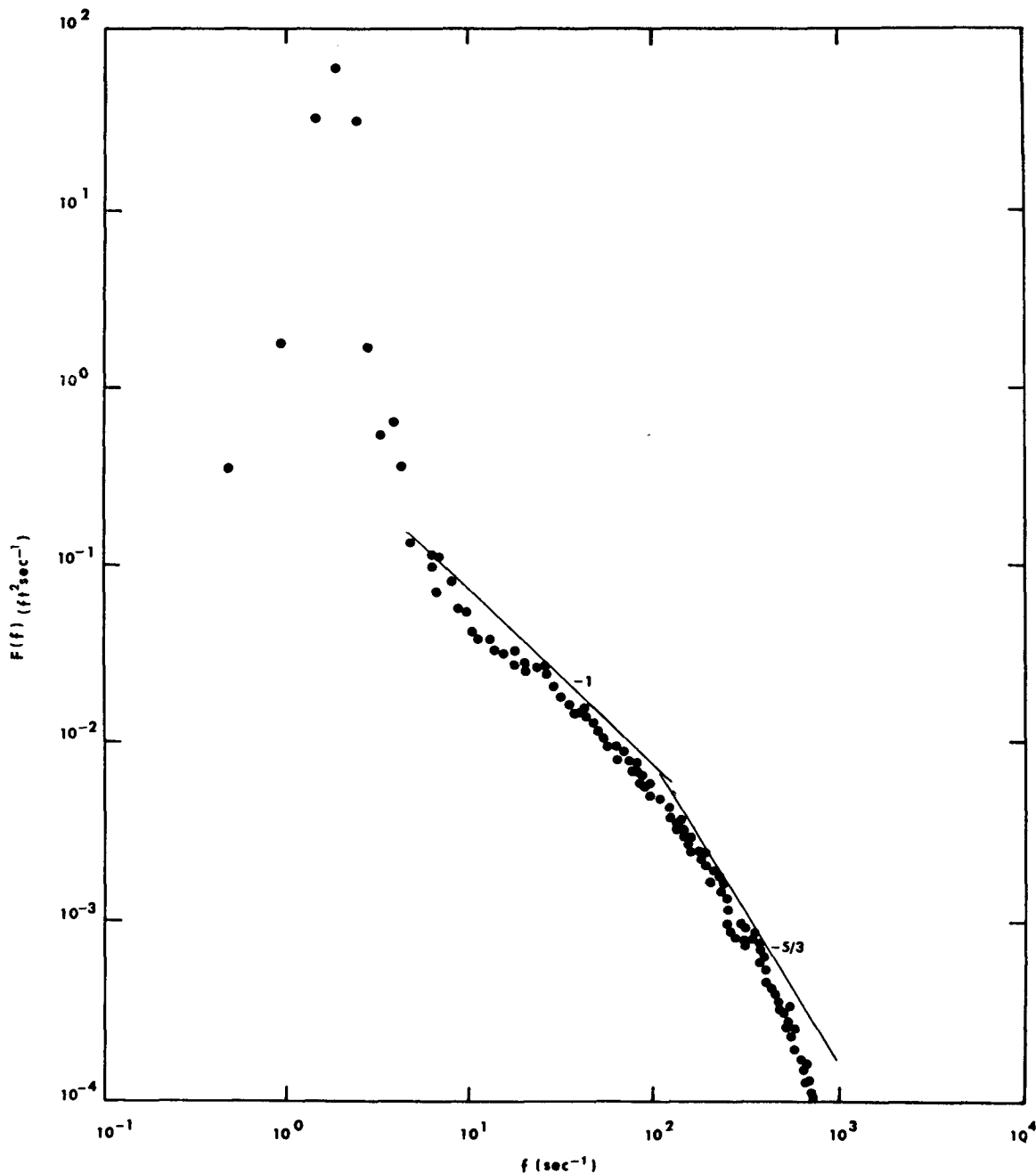


FIG. 3. Horizontal velocity spectrum in a periodic turbulent boundary layer with a dominant disturbing frequency of 1.88 Hz (from Cheng and Chang, 1971).



space. In the wavenumber-frequency space, on the other hand, one has to carry out several measurements along the  $x$  direction in addition to the two-point measurements along the  $z$  direction for each fixed  $x$  position. The number of  $x$  positions will depend on the wavenumber of interest. All the measurements can be achieved by using hot-wire or hot-film probes. In practice, because of the extremely large number of possible interactions, it is necessary for analysis purposes to make use of a system of classification which places the energy over specified groups of frequencies into particular frequency ranges (Cheng and Chang, 1971). One has to be careful in the selection of an upper limit of frequency  $m_s$ ; generally this is a compromise between accuracy of computation and computer time.

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