

Chave Alan, D (Orcid ID: 0000-0002-2460-1172) Luther Douglas, S. (Orcid ID: 0000-0003-0913-8260)

High-Q Spectral Peaks and Nonstationarity in the Deep Ocean Infragravity Wave Band: Tidal Harmonics and Solar Normal Modes

Alan D. Chave¹, Douglas S. Luther² and David J. Thomson³

¹Department of Applied Ocean Physics and Engineering, Woods Hole Oceanographic Institution, Woods Hole, MA 02543, USA.

²Department of Oceanography, JIMAR & SOEST, University of Hawai'i at Mānoa, Honolulu, HI 96822, USA.

³Department of Mathematics and Statistics, Queen's University, Kingston, Ontario K7L 3N6, Canada.

Corresponding author: Alan D. Chave (achave@whoi.edu)

Key Points:

- Tidal harmonics comprise about half of the power in the deep ocean pressure field over 400-1000 μHz.
- Solar pressure or p-modes are readily identified throughout the 400-4000 μHz band,
 both by their characteristic frequencies and through their pervasive nonstationarity.
- Two previously unrecognized sources of infragravity wave variability have been characterized, indicating the complexity of the arguably least studied class of waves in the deep ocean.

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Abstract

Infragravity waves (IGWs) have received the least study of any class of waves in the deep ocean. This paper analyzes a 389-day-long deep ocean pressure record from the Hawaii Ocean Mixing Experiment for the presence of narrowband ($\leq 2 \mu Hz$) components and nonstationarity over 400-4000 µHz using a combination of fitting a mixture noncentral/central C^2 model to spectral estimates, high resolution multitaper spectral estimation and computation of the offset coherence between distinct frequencies for a given data segment. In the frequency band 400-1000 μ Hz there is a non-central fraction of 0.67±0.07 that decreases with increasing frequency. Evidence is presented for the presence of tidal harmonics in the data over the 400-1400 μ Hz band. Above ~2000 μ Hz the non-central fraction rises with frequency, comprising about 1/3 of the spectral estimates over 3000-4000 µHz. The power spectrum exhibits frequent narrowband peaks at 6-11 standard deviations above the noise level. The widths of the peaks correspond to a Q of at least 1000, vastly exceeding that of any oceanic or atmospheric process. The offset coherence shows that the spectral peaks have substantial (p = 0.99-0.9999) inter-frequency correlation, both locally and between distinct peaks within a given analysis band. Many of the peak frequencies correspond to the known values for solar pressure modes that have previously been observed in solar wind and terrestrial data, while others are the result of nonstationarity that distributes power across frequency. Overall, this paper documents the existence of two previously unrecognized sources of IGW variability in the deep ocean.

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1 Introduction

Deep ocean infragravity waves (IGWs) are surface gravity waves that occur at frequencies higher than rotation-dependent, tidal gravity waves ($\geq 100 \mu$ Hz) and at frequencies smaller than those of wind-generated swell and sea waves ($\leq 40,000 \mu$ Hz). Commonly, the term IGW is used for gravity waves at frequencies of 40,000 μ Hz down to 8000 μ Hz or even 3000 μ Hz, depending on the author. By analogy with the electromagnetic spectrum, the term far-IGW has been used to refer to IGWs at frequencies smaller than 3000 μ Hz down to as low as ~100 μ Hz. In this paper, the term IGW will be used to refer to the entire band of frequencies from 100 μ Hz to 40,000 μ Hz.

Like swell waves, pelagic IGWs have a depth-dependent character in the deep ocean (defined as ~5 km depth) until their frequency falls below about 3000 μ Hz, at which point their horizontal velocity and pressure fields are essentially depth-independent. Pelagic IGWs generally propagate horizontally as isotropic free waves, dispersing like linear gravity waves, but near-coast bathymetry can refract and trap them, forming edge waves (e.g., Munk et al., 1964). Pelagic IGWs, including tsunamis, occur at basin scales with very little attenuation due to their large wavelengths (one to hundreds of km) and small water velocities. Because of their scale, they provide a mechanism to couple processes between the ocean, solid earth and atmosphere.

While IGWs have been extensively studied in the near shore zone (e.g., Elgar et al., 1992), they have arguably received the least study of any class of waves in the deep ocean, particularly at the frequencies (400-4000 μ Hz) in this study. Webb et al. (1991) provide observational evidence that deep water IGWs are predominantly free waves radiating from near shore regions where energy is transferred nonlinearly from the higher-frequency swell waves to IGWs, although their work is focused on frequencies at the upper end (and beyond) of the frequency band studied here. With a numerical model, Uchiyama and McWilliams (2008) showed that, while coastal sources of IGWs are strong, it is still plausible that some of the open ocean IGW energy exists as forced waves from locally-interacting swell. All of the IGWs that are forced by swell in some manner can be expected to have a seasonal dependence, in tune with the swell wave energy in each hemisphere, as has been demonstrated by Aucan and Ardhuin (2013). Godin et al. (2013) proposed a universal spectrum model for these higher-frequency IGWs, with which the pressure spectra presented here are in approximate agreement.

At lower frequencies of $\sim 100-1000 \mu$ Hz, seasonal variability of pelagic IGWs is very small (e.g., Filloux et al., 1991; Aucan & Ardhuin, 2013), suggesting the dominance of an

energy source unrelated to atmospheric forcing. Luther et al. (2014) postulated that a nonlinear cascade of tidal energy into the low frequency end of the IGW band in the coastal zone results in IGW leakage out into the pelagic oceans. Propagation of the M4 overtide from the Argentine Basin into the Atlantic Ocean has been documented by Ray (2007), so there is no question that back flux into the open ocean occurs from shallow regions.

IGWs have received recent attention due to the discovery of a persistent low frequency "hum" in seismic records (Nawa et al., 1998), putatively caused by persistent excitation of fundamental mode spheroidal oscillations of Earth. These have been variously attributed to resonant oscillations between the solid earth and atmosphere (Nishida et al., 2000), atmosphere/ocean/seafloor coupling by IGWs (e.g., Rhie & Romanowicz, 2004) and interacting IGWs across the continental shelves or in the pelagic ocean (Webb, 2007, 2008). The analyses in all of these studies utilize record lengths of O(1 d), yielding a resolution bandwidth of O(20 μ Hz), presuming that a reliable data taper is employed. As will be shown in this paper, the deep ocean pressure spectrum in a significant fraction of the IGW band is populated by high-*Q* peaks with a bandwidth of 2 μ Hz or less that cannot be resolved using 1 d data sections. It is not the purpose of this paper to investigate the earth "hum" problem in detail, but it must be noted that no evidence for the presence of terrestrial spheroidal normal modes was found in any of the analyses carried out in the course of this work.

Thomson et al. (2007) and Thomson and Vernon (2015, 2016) presented a comprehensive analysis of a wide range of terrestrial data, including seismic, geomagnetic, barometric and interplanetary ones, which showed that their spectra are dominated by narrowband, high-Q modes whose frequencies and behaviors are consistent with normal modes of Sun at frequencies above ~250 µHz. Such modes on Earth will be of planetary scale given their origin. Those works build on Thomson et al. (1995, 2001), where it was demonstrated that solar normal modes are present in the solar wind and interplanetary magnetic field propagating out from Sun.

It is the purpose of this paper to investigate the spectral characteristics of a deep ocean pressure record over the frequency band 400-4000 μ Hz, including evaluation of the null hypotheses that the observed characteristics are inconsistent with forcing by solar modes (tidal harmonics) against the alternate hypothesis that they are due to the presence of normal modes (tidal harmonics). Section 2 summarizes the data analysis methods that will be utilized. Section 3 presents the data and Section 4.1 shows that spectra of the data contain a pervasive and substantial non-central C^2 component over parts of the 400-4000 μ Hz band.

Section 4.2 provides a more detailed investigation of the data by analyzing spectra and offset coherences. Section 4.3 is a discussion of the results, and Section 5 is the conclusions. A Supporting Information document contains further details and figures to supplement the text, and the pressure data are also provided.

2 Data Analysis Methods

Assuming that a time sequence x_t is from a harmonizable random process (i.e., a process that is representable as the superposition of random, infinitesimal harmonic oscillators) implies a spectral representation (Cramér, 1940)

$$x_{t} = \underbrace{\grave{0}}_{-1/2}^{1/2} e^{i2\rho xt} dX(x)$$
(1)

where $\mathscr{E}[dX(f)] = 0$, \mathscr{E} denotes the expected value and dX(f) is an unobservable increments process, or generalized Fourier transform, whose statistical moments are of interest. If the process is stationary, the increments are orthogonal, so that at two distinct frequencies

$$\mathscr{L}\left[dX\left(f_{1}\right)dX^{*}\left(f_{2}\right)\right] = S\left(f_{1}\right)\mathcal{O}\left(f_{1}-f_{2}\right)df_{1}df_{2}$$

$$\tag{2}$$

where S(f) is the true power spectral density and d(x) is the Dirac delta function. In other words, stationarity requires that the spectral density at distinct frequencies be uncorrelated.

When a process is nonstationary, the spectral representation has non-orthogonal increments, and (2) is replaced by

$$\mathcal{Z}\left[dX\left(f_{1}\right)dX^{*}\left(f_{2}\right)\right] = S_{L}\left(f_{1},f_{2}\right)df_{1}df_{2}$$

$$(3)$$

where S_L is the Loève spectrum (Loève, 1945, 1946; Hanssen et al., 2004). Nonstationarity implies that the spectral density at distinct frequencies will be correlated. In the presence of forcing at a given frequency, this also implies that power will be redistributed from that point to other frequencies. Since such a power transfer cannot be modeled as a linear process, this means that forced nonstationarity is inherently nonlinear. Further, it is well known that, while linear processes are essentially Gaussian (Mallows, 1967), nonlinear processes can lead to non-Gaussian behavior. These properties will be exploited to understand seafloor pressure data in the IGW band.

The fundamental unit of spectral frequency resolution is the Rayleigh denoted by $\mathcal{R} = 1/ND$, where N is the time series length and Δ is the sample interval. For the 60 d

analysis intervals used widely in this paper, $\mathcal{R}\simeq 0.19 \,\mu\text{Hz}$.

3 Data

The data used in this study were collected as a component of the Hawaii Ocean Mixing Experiment (HOME) in 2001-2; see Pinkel et al. (2000) for details about HOME. The specific time series is from site PN2 located at 26°52.5'N, 161°56.7'W, north-northwest of the island of Kauai at 5235 m water depth. The data were sampled at 64/h, and the record length is 389 d beginning in late April 2001. The pressure sensor is a multiturn Bourdon tube with an optical readout, as described by Filloux (1971, 1980, 1983). The instrument was calibrated against a manometer in the laboratory before and after deployment.

A large amplitude (~300 Pa peak to peak) event is present in the time series at ~62 d from the start of the record that is due to a tsunami generated by a M8.4 earthquake offshore Peru. This biased many of the analyses in this paper, and so a 4 d long section beginning at the time of tsunami arrival was removed from the data set for all analyses save those based on the entire record. The remaining 5 seismic events during the measurement interval with magnitudes above M7 were searched for in the time series, but only a M7.8 event in China in mid-November 2001 could be detected, and even then was barely discernible.

Figure 1 shows a multitaper power spectrum of the entire pressure record. The timebandwidth *NW* of the estimate is 8 with 15 Slepian tapers, so the resolution bandwidth is 0.48 μ Hz and there are about 30 degrees of freedom at each frequency. The diurnal, semidiurnal and over tides are readily apparent at frequencies below ~100 μ Hz. The spectrum is approximately proportional to $1/f^2$ to ~1800 μ Hz, beyond which it flattens and becomes humped due to the rising influence of swell-generated IGWs with increasing frequency, and finally falls off beyond ~8000 μ Hz due to hydrodynamic filtering of free IGWs as their wavelength decreases toward the water depth. In shallow water, the spectrum remains flat or grows, depending on the strength of trapped edge waves, until it reaches the swell band at ~40,000 μ Hz. Figure 1 is similar to spectra for other deep ocean pressure records (e.g., Filloux, 1980; Webb et al., 1991; Godin et al., 2013). Figure 1 also shows the bands where proposed mechanisms for IGWs occur, and whose investigation is a key goal of this paper. The buoyancy frequency at the location of the instrument is less than 200 μ Hz, so that internal waves, which propagate at frequencies below the buoyancy frequency, do not contribute to the pressure variance in the 400-4000 μ Hz band.

The instrument noise level of $\sim 10^{-4} \text{ Pa}^2/\mu\text{Hz}$ is reached near the Nyquist frequency in Figure 1. The horizontal red line denotes the 400-4000 μHz analysis band of this paper as

well as the noise level. It is important to note that the spectral level over the analysis band is one to two orders of magnitude above the instrument noise level. What is less apparent is that the variability of the high frequency components of the spectrum are larger than expected for a C_{30}^2 variate. Figure 2 shows the power spectrum detail over just the 400-4000 µHz band, which suggests that the variability rises with frequency.

A set of tidal components comprising 6 long period, 21 diurnal, 19 semidiurnal and 22 overtides was fit to the entire record using a robust iterative least squares algorithm (Leffler & Jay, 2009) that was extensively tested on real and synthetic bottom pressure data to confirm the optimal Cauchy weighting function. The resulting constituents are shown in Table S1 in Supplementary Information for reference. As is typical, the pressure data are dominated by the diurnal and semidiurnal tides, with the K1, M2, O1 and S2 components having amplitudes of 1600, 1261, 929 and 761 Pa, respectively.

Text S3 and Figure S4 in Supplementary Information describe the coherence between the PN2 pressure record and a second one located 236 km distant. The coherence is fit well by a model of random waves propagating in a horizontal plane with different directions, but with similar magnitudes and the same phase velocity for a given frequency, as originally derived by Aki (1957); see also Webb (1986). This clearly establishes the presence of free, isotropic, horizontally propagating IGWs over the analysis frequency band.

4 Results

4.1 Noncentrality in the Pressure Spectrum

For the remainder of this paper, power spectra are obtained on data that are high pass filtered by twice passing a three-pole Butterworth filter with a 3 dB point at 46 μ Hz forward and backward across them. The multitaper spectrum of a 60 d section of the high passed pressure record is computed with a time-bandwidth of 5 and 9 Slepian tapers, yielding a resolution bandwidth of 1.9 μ Hz, 18 degrees of freedom per frequency and sidelobe protection of at least 120 dB. The log spectrum versus log frequency over the 200-4000 μ Hz band is then detrended using a quadratic polynomial to yield a standardized spectrum. The result over a selected analysis band is plotted as linear frequency against linear standardized spectrum. Linear scale spectra are used because they enable resolution of the bandwidth of spectral features, and are easily assessed for significance because the standard deviation of a multitaper estimate is the noise level divided by the square root of the number of Slepian sequences used to obtain it. Figure S1 shows the standardized power spectrum of the entire pressure record over two frequency intervals and the degrees of freedom over 400-4000 μ Hz. Standardized spectra for 60 d long, 50% overlapping blocks were computed. A possible cause for higher than expected spectral variability (see Figure 2) is the presence of deterministic components that would be distributed as the non-central C^2 distribution that has longer right tails than its central counterpart. In this context, deterministic means a process whose bandwidth is smaller than or comparable to the Rayleigh resolution and whose phase remains substantially constant over the analysis interval. A mixture non-central and central

 C^2 model

$$2K\left[e C_{2K}^{2}\left(/\right) + \left(1 - e\right) C_{2K}^{2}\left(0\right)\right]$$

$$\tag{4}$$

where e is the non-central proportion and / is the non-centrality parameter, was fit to each data block over a range of frequency bands by the maximum likelihood method implemented using a nonlinear multivariable programming solver.

Figures 3 and 4 show the non-central proportion over the 400-1000 and 800-1400 μ Hz bands, and the 2000-3000, 2500-3500 and 3000-4000 μ Hz bands, respectively. The mixture model could not be fit to the frequency bands spanning the values in Figures 3–4, where typical solutions are either approximately central C_{18}^2 or yield a non-physical negative mixture proportion because the empirical distributions of the spectra are shifted to the left compared to a central C_{18}^2 distribution.

Over the lower frequency bands (Figure 3), there is evidence for a weak semiannual variation peaking in the fall and spring, although the minimum in winter is quite pronounced for the 800-1400 μ Hz band. However, the statistical procedure is not robust, and the anomalously low values over 800-1400 μ Hz have non-centrality parameters that are about twice as large as the remaining ones, possibly due to the signature of frequent winter storms in the data. The non-centrality parameters are nearly constant over 400-1000 μ Hz. The non-central proportion averages (standard deviations) are 0.669 (0.074) and 0.402 (0.167) for the 400-1000 and 800-1400 μ Hz bands, respectively.

For the higher frequency bands (Figure 4), the evidence for seasonality is weaker. The average (standard deviation) for the non-central proportion is 0.060 (0.053), 0.285 (0.199) and 0.306 (0.053) for the 2000-3000, 2500-3500 and 3000-4000 μ Hz bands, respectively. The non-central proportion is barely distinguishable from zero in the lowest frequency band, and the standard deviation for the middle frequency band is large because of the high values observed in summer 2001.

Figure S2 contains four examples of the mixture C^2 model fit that are typical rather than unusual. The empirical distribution of a set of spectral estimates is obtained using a kernel density estimator, which is the sum of smoothing operators applied repeatedly across the support, and is in effect a smoothed probability histogram (Chave, 2017, § 4.8.3). In all instances, the fit of the mixture C^2 model is qualitatively reasonable.

Overall, the picture that emerges is one of narrowband elements comprising over half of the spectral variability over 400-1000 μ Hz, decreasing with increasing frequency, an anomalous (i.e., not central C^2) distribution over 1400-2000 μ Hz and the re-emergence of a narrowband element as frequency rises above 2000 μ Hz, but with a non-central proportion of about 1/3. The first and last of these bands corresponds to regions of enhanced power in Figure S1 (middle panel), while the intermediate band where the C^2 mixture model fails is at a relative minimum in power.

Luther et al. (2014) investigated the same pressure record, and suggested that over the \sim 140–1100 µHz band, IGWs may be forced by tides. Figure S1 (middle panel) suggests that there is a region of enhanced power over the interval \sim 400-1400 µHz, and the bottom panel shows that this is not distributed uniformly in frequency, but rather is higher in the vicinity of 600, 760, 950, 1070, 1200 and 1350 µHz than at intervening frequencies. A multitaper F-test was applied to 60 d long, adjoining data segments, and all frequencies where the F statistic was significant at the 0.99 level were compared to the harmonics of the tidal frequencies from Table S1. At nearly all frequencies where the F-test was significant, a tidal harmonic exists within 2 \mathcal{R} , and more typically within the 1 \mathcal{R} resolution of the F-test, and these occur every 6-9 μHz on average. Figure S3 shows the frequencies of the significant F statistics that occur within 0-1 \mathcal{R} and 1-2 \mathcal{R} of a tidal harmonic. These are not evenly distributed in frequency or time, but tend to be concentrated toward the lower end of the 400-1400 μ Hz band and with some correlation to Figure S1 (bottom panel), which is an average over the one year record. In addition, significant F-tests that do not correlate with the frequencies of tidal harmonics occur more prevalently over 800-1400 μ Hz as compared to 400-1000 μ Hz. Finally, the multitaper F-test applied to the entire pressure record does not yield very many significant values at tidal harmonics, suggesting that they are intermittent rather than persistent. This ephemeral characteristic is consistent with the hypothesized forcing mechanism of variable nonlinear amplification of the barotropic tide in the shallow seas around the Pacific Ocean, especially the Gulf of Alaska. The variability arises due to non-tidal sea level fluctuations and coastal currents, followed by propagation off the shallow seas and into deep water with refraction and diffraction from multiple sources that this implies.

Since multitaper F-tests that are significant at the 0.99 level occur predominantly at tidal harmonics over 400-1000 μ Hz, an estimate for their variance obtains from reshaping the multitaper power spectrum at those frequencies, thereby separating the tidal harmonics from the stochastic power spectrum. This was carried out over 60 d, 50% overlapped data sections. Table 1 contains the center year-day of each data section relative to 01.01.2001, the total variance of tidal harmonics, the variance in the original spectrum obtained by integration over frequency, the ratio of the line to spectrum variance and the non-central fraction from Figure 4. For comparison purposes, Table 1 also shows the tidal variance and tidal fraction obtained by reshaping at the 0.95 level. The variance ratio is ~15-20% of the non-central fraction using a 0.99 criterion in each case, and is a lower bound because this calculation does not account for tidal harmonics are ~40-50% of the non-central fraction. Consequently, an important source of non-centrality in these pressure data is tidal harmonics over 400-1000 μ Hz, but an additional contribution exists that remains to be explained.

4.2 Power Spectra and Offset Coherences

Text S2 in Supporting Information provides a summary of the characteristics of solar normal modes. Some key properties are a planetary scale, Qs of several thousand that rise with increasing frequency, which leads to the persistence of a given mode for about 60 d on average, amplitudes that are random and nonstationary, and the expectation that a given mode will be observed on Earth about 1/6 of the time, or conversely that about 1/6 of the modes in a given time segment will be active.

This section contains a more detailed look at the frequency domain properties of the seafloor pressure record using two tools: standardized (as defined in Section 4.1) power spectra and offset coherences defined in Text S1 of Supporting Information. The choice of 60 d data sections is motivated by the desire for a resolution bandwidth that can detect high Q features and the persistence time of p-modes. From Figure 1, the power spectral density is at least an order of magnitude above the noise level over 400-4000 µHz, and so a conservative estimate of the noise level is the 0.1 quantile over an analysis band. This is larger than the 0.05 quantile used in Thomson and Vernon (2015), and likely overestimates the noise level for frequencies below ~1500 µHz (see Figure 1). The figures presented here and in the Supplementary Information are examplars rather than extreme, and many other time

segments or frequency bands could have been depicted, consistent with the 1/6 occurrence rate.

The coherence is the generalized likelihood ratio test for independence (Schreier & Scharf, 2010, § 4.5.3), either between time series or between frequencies within a single time series. In addition, a multitaper offset coherence has the resolution bandwidth 2W on the ordinary frequency axis, but the Rayleigh resolution \mathcal{R} on the offset frequency axis

(Thomson, 2001). Plotting of the offset coherence is carried out as in Thomson and Vernon (2015, § 9) to emphasize the most highly coherent elements in the data. Since a key goal is evaluation of the null hypothesis that the pressure spectra are independent of solar modes, the offset coherence will be evaluated under the condition that its true value is zero, implying no nonstationarity. The probability density function for coherence is given in Thomson and Chave (1991; Eq. 2.53; note that the factor m - 1 at the lead of that equation should be in the numerator), and when the true coherence is zero, reduces to

$$p(\hat{g}^{2}) = (K-1)(1-\hat{g}^{2})^{K-2}$$
(5)

where *K* is the number of Slepian tapers used in the multitaper estimator. Integrating (5) over 0 to *x*, where $x \le 1$, yields the cumulative probability distribution (cdf)

$$P(x) = 1 - (1 - x)^{K-1}$$
(6)

The offset coherence is plotted as

$$-\log_{10}(1-P) = -(K-1)\log_{10}(1-\hat{g}^2)$$
(7)

and is clipped at a value of 3 to 5. Values of 1, 2, 3, 4 and 5 for (7) correspond to the 0.9, 0.99, 0.999, 0.9999 and 0.99999 probability levels that two frequencies are correlated.

For reasons that will become apparent, the presentation will extend from high to low frequencies. Figure 5 shows the standardized power spectrum over $3700-3750 \mu$ Hz (central period of ~268 s) for a 60 d data block ranging from year-day 418-478, or early spring 2002. The solid horizontal line shows the estimated noise level, and the horizontal dotted lines show successive increments in standard deviations from that value. The vertical dashed lines show the nominal center frequencies of solar p-modes from MDI (Rhodes et al., 1997) close to the peaks in the spectrum, without including rotational splitting. Given the high degree of these modes, the latter would nearly cover the figure with vertical dashed lines.

There are two peaks in Figure 5 that exceed 11 standard deviations from the 0.1 quantile, and an additional peak that exceeds 9 standard deviations. The probability of a single peak

exceeding 9σ above the 0.1 quantile is 3.2×10^{-7} for a central C_{18}^2 variate. Consequently, the probability of independently observing three such peaks is 3.3×10^{-20} , which is effectively impossible unless there is a non-random component present. The probability of a single peak exceeding 11σ above the 0.1 quantile is 3.0×10^{-9} , so the probability of independently observing two such peaks is 9.0×10^{-18} , which is also extremely unlikely. This strongly argues for the presence of quasi-harmonic forcing at one or more frequencies in the analysis band.

Figure 5 also shows the center frequencies of the ${}_{8}S_{4}$, ${}_{4}S_{9}$, ${}_{0}S_{29}$ and ${}_{6}S_{8}$ terrestrial normal modes given by Masters and Widmer (1995) as labeled vertical dash-dot lines. Both ${}_{8}S_{4}$ and ${}_{0}S_{29}$ are located near large spectral peaks. Masters and Widmer (1995) give a model frequency and Q of 3707.73 µHz and 93.5 for the former; a terrestrial normal mode peak will have a Lorentzian shape, and with this Q, the full bandwidth at half power would be ~40 µHz if the mode were present, which is clearly not observed. Smith and Masters (1989) give a measured frequency of 3724.75 µHz and a Q of 222 for ${}_{0}S_{29}$. With the specified Q, the full bandwidth at half power would be 16.8 µHz. However, the bandwidth of the peak in Figure 5 is only 3 µHz, hence is not consistent with a terrestrial mode origin.

The offset coherence was computed over 3700-3750 μ Hz and for offset frequencies up to $\pm 25 \mu$ Hz. After excluding a 2 \Re wide band centered at zero offset frequency, the cumulative distribution function (6) was computed from the coherence estimates. Under the probability integral transform, the coherence cdf estimates should have a uniform distribution if there is no correlation between frequencies, and hence a percent-percent plot (Chave, 2017, § 4.8.4) of the quantiles of the uniform distribution against the sorted cdf estimates should plot as a straight line. Figure 6 shows such a plot for the offset coherence, and there is no need for a formal test to establish that there is significant coherence between frequencies, and hence nonstationarity, in these pressure data. Percent-percent plots over other frequency bands are similar to Figure 6, and will not be shown.

Figure 7 shows the transformed offset coherence using (7) along with its color map. The abscissa is the frequency ranging from 3700 to 3750 μ Hz, and the ordinate is the offset frequency ranging from -25 to +25 μ Hz. Excluding a 2 \mathcal{R} wide band centered at zero offset frequency, there are 14973 (2423) frequency-offset frequency pairs above 4 (5), and these appear in horizontally elongate clusters that reflect the different resolutions in ordinary frequency and offset frequency. Figure 7 is quite striking in that symmetric trapezoidal blocks

appear about zero offset frequency at each of the broad peaks in Figure 5. The trapezoidal shape is not difficult to understand; if frequency f_1 is coherent with frequency $f_2 > f_1$, then the coherence will be high at a positive offset frequency $f_2 - f_1$ at frequency f_1 , and hence at a negative offset frequency

 $f_1 - f_2$ at frequency f_2 . Each of the trapezoidal blocks along zero offset frequency has an internal structure that suggests that each of the peaks in Figure 5 comprises numerous narrowband components that are themselves coherent. In fact, the spacing of the elongate peaks within each block is approximately the ~440 nHz p-mode rotational splitting frequency (see Text 2 in Supporting Information). Trapezoidal blocks also occur at offset frequencies consistent with coherence between the peaks, which coherence certainly extends beyond the ±25 µHz offset frequencies shown in the figure. The picture that emerges is one of strongly nonstationary behavior that is manifest both as high offset coherence within spectral peaks and between them.

Text S4 in Supporting Information describes Figures S5 and S6 that show the power spectrum and offset coherence over 2800-2870 μ Hz (central period of ~353 s) for a 60 d data block ranging from year-day 418-478. These demonstrate the presence of numerous peaks at the resolution bandwidth that display marked nonstationarity. The occurrence of peaks near the center (azimuthal quantum number *m* of zero) frequency of many solar p-modes is suggestive of their origin.

Figure 8 shows the power spectrum over the frequency band 1745-1795 μ Hz (central period of 565 s) for year-days 358-418, or winter 2002. The largest peak in the figure is 11 σ above the noise level, followed by a 9 σ peak, a 7 σ peak and two 4 σ ones. The probability of independently observing the two largest peaks in a central C_{18}^2 population is 9.9 × 10⁻¹⁶, and for the three largest peaks it is 2.7 × 10⁻²⁰. Either of these scenarios is extremely unlikely, and so the presence of a non-random component in the pressure data is assured. The three largest peaks in Figure 8 (as well as the smaller ones) have a full bandwidth at half power of ~2 μ Hz, implying a *Q* of at least 890. It is notable that most of the peaks in Figure 8 occur near the center (*m* = 0) frequency of solar p-modes.

Figure 9 contains the offset coherence over the same band and data interval as in Figure 8; note the different color map as compared to Figure 7. There is a very large trapezoidal coherent patch in the middle of the plot extending from ~1758-1785 μ Hz along zero offset frequency, indicating pervasive coherence within the spectrum over the middle 2/3 of Figure 8. As in Figure 7, there is fine structure within the trapezoidal structure at offset frequency

intervals of ~400 nHz. There is also coherence with the large peak in Figure 8 at 1793 μ Hz, and that peak is in turn coherent with something that is 22-24 μ Hz higher in frequency, as seen through the coherent patch at the upper right of the figure.

Text S5 in Supporting Information, along with Figures S7 and S8, describes the power spectrum and offset coherence over 1175-1225 μ Hz for year-days 113-173. These further demonstrate the presence of peaks at the resolution bandwidth with marked nonstationarity, along with the likely presence of tidal harmonics.

Figure 10 shows the multitaper power spectrum over 780-830 μ Hz for the 60 d data block ranging from year-day 268-328, or fall 2001. The vertical dashed lines show the nominal frequencies of solar p-modes, including rotational splitting, from Provost et al. (2000). There are five narrow peaks over the 50 μ Hz analysis band that exceed the noise level by at least 4 σ , and two peaks that exceed it by nearly 6 σ , and all have a bandwidth comparable to the resolution value. Two of the five 4 σ peaks in Figure 10 occur within ~1 μ Hz of the p_{3,5} and p_{4,2} families of solar normal modes.

The probability of a single peak exceeding 4σ above the 0.1 quantile is 0.0097 for a central C_{18}^2 variate. Consequently, the probability of five peaks occurring independently above the 4σ level is 8.6×10^{-11} , which is very unlikely unless there is a non-random component present. Further, four of the large peaks in Figure 10 have the characteristic rectangular shape with the resolution bandwidth 2*W* of narrowband components in multitaper estimates.

The full bandwidth at half power of the peaks in Figure 10 is that of the multitaper spectral window (1.9 μ Hz), so their *Q*s are at least 420, and could be much larger. Figure 10 also shows the location of the $_0S_0$ terrestrial "breathing" spheroidal normal mode. Yu et al. (2008) give a frequency of 814.66 μ Hz and a *Q* of 5400 for this mode; this is by far the highest *Q* terrestrial normal mode. With such a high *Q*, a peak associated with it would have the resolution bandwidth of 1.9 μ Hz. However, there is no peak, but rather a minimum in power, at the frequency of $_0S_0$.

In a multitaper F test, both 804.1 μ Hz (second unlabeled peak near the center of Figure 10) and 828.5 μ Hz (near the right end of Figure 10) are significant at the 0.99 level. The first peak is within 0.7 \mathcal{R} of the 38th harmonic of tidal constituent ϵ 2 and 1.3 \mathcal{R} of the 36th harmonic of tidal constituent H1. The second peak is within 0.1 \mathcal{R} of the 72nd harmonic of

 $\pi 1$, 0.5 \mathcal{R} of the 37th harmonic of H2, 1.3 \mathcal{R} of the 71st harmonic of $\varphi 1$, and 1.8 \mathcal{R} of the 77th harmonic of O1.

Figure 11 shows the offset coherence over 780-830 μ Hz and for offset frequencies of ±25 μ Hz. There are two trapezoidal regions of enhanced offset coherence that have been outlined with red dashed lines. The right trapezoidal pattern over ~808-820 μ Hz encompasses the peak labeled p_{4,2} and the 2 σ peak to its right in Figure 10. The left trapezoidal pattern covering ~782-793 μ Hz corresponds to the left peak in Figure 10 that does not correspond to any solar p-mode frequency. The two trapezoidal regions are themselves coherent, as seen through the patch of high coherence outlined by dashed ellipses at -17.5 μ Hz offset frequency at a frequency of ~809 μ Hz, and at +17.5 μ Hz offset frequency at a frequency of ~792 μ Hz. This suggests that there is forcing at p_{4,2} that is transferred both to the 2 σ peak to its right and to ~792 μ Hz by nonstationarity. Note also that neither the location of p_{3,5}, nor the two large peaks to its right at 801.7 and 804.1 μ Hz, nor the 828.5 μ Hz peak at the right side of Figure 10 display substantial offset coherence, suggesting that they have a different origin that does not involve nonstationarity, consistent with a tidal origin.

4.3 Discussion

Solar p-modes are readily detectable throughout the 400-4000 µHz analysis band, as seen through the appearance of features with a Q whose lower bound is determined by the resolution bandwidth of the estimate, yet with a value much higher than that of known terrestrial or oceanic phenomena, and in close association with the known frequencies of solar p-modes. This is most readily seen at lower frequencies where the frequency density of p-modes is relatively low. For example, Figures 10 and 11 unambiguously establish the presence of a 5σ peak at the frequency of the p_{4,2} family of modes that is coherent with other local and more distant frequencies. The most probable interpretation of these figures is that power enters the system at some or all of the p_{4,2} singlets, and then is transferred to other frequencies due to pervasive nonstationarity. Such an interpretation is more ambiguous in Figures 8 and 9 because there are many more families of modes with higher degrees, hence more extensive rotational splitting. The most coherent patch in Figure 8 extends from ~1777- $1784 \,\mu\text{Hz}$ at zero offset frequency, but extends to lower frequencies as evidenced by the large trapezoidal patch in Figure 9. The largest (11σ) peak at 1783 µHz in Figure 8 occurs at the center of the $p_{7.16}$ p-mode family, but is highly coherent with the $p_{10.4}$ peak at 1777 μ Hz, and it is difficult to determine which one is active versus the result of nonstationarity, or whether both peaks are the result of solar mode forcing. Similar interpretations can be inferred at

higher frequencies, although uncertainty rises with frequency due to an increasing frequency density of p-modes. However, the association of high offset coherence with large spectral peaks that occur near the central frequency of p-mode families is ubiquitous.

Further, the magnitude relative to the noise level of a given mode rises with frequency, although this is mitigated to a degree by the fact that the 0.1 quantile overestimates the noise level below ~1500 μ Hz. There are two 10 σ and one 8 σ peaks at 3700-3750 μ Hz in Figure 5, two 8 σ and one 6 σ peaks at 2800-2870 μ Hz in Figure S4, one 11 σ and one 9 σ peak at 1745-1795 μ Hz in Figure 8, two 6 σ peaks at 1175-1225 μ Hz in Figure S6, but only two 5 σ peaks at 780-830 μ Hz in Figure 10. This is reflected in the characteristics of the offset coherence that is pervasive over large patches of frequency-offset frequency space at high frequencies (Figures 7 and S5), but subtle at lower frequencies (Figures S7 and 11). Such behavior is consistent with that of the p-modes whose amplitudes rise rapidly at around 2500 μ Hz and peak at 2800-3400 μ Hz before falling off to higher frequencies (Christensen-Dalsgaard, 2002, Fig. 11).

Another feature of solar p-modes in the pressure field is that only small *m* splitting appears to be involved even when the degree *l* is fairly large. This is apparent in Figure 5, where the ~3704 µHz peak that is possibly associated with $p_{7,152}$ has a half power bandwidth of ~6 µHz, or about three times the resolution bandwidth. This corresponds approximately to the frequencies of splitting terms ranging from $-6 \le m \le 6$ despite the allowed range of $-152 \le m \le 152$. A similar analysis pertains to the 3735 µHz peak in the same figure, and to the other power spectra plots. The reason for this is not difficult to discern; as the splitting quantum number increases, the active areas on Sun producing modes at Earth become smaller, and also are increasingly concentrated at the solar equator. A shrinking active region that covers a smaller fraction of Sun will be a weaker source of mode energy at Earth as compared to low *m* modes that cover a substantial fraction of the solar disk.

As described in Section 4.1, tidal harmonics appear in the pressure data below ~1400 μ Hz, and constitute 10-40% of the non-central variability at the lower end of the 400-1400 μ Hz band. Seasonality of the reshaped part of the power spectrum that constitutes tidal harmonics is nearly nonexistent (Table 1), which is not consistent with the mixture C^2 model results in Figure 3, where the non-central fraction peaks in late summer and exhibits a minimum in winter, although the variability is not marked.

The mixture C^2 model results in Figures 3 and 4 imply about twice as large a non-central fraction below 1000 µHz as compared to above 3000 µHz. Taking all of the observations into

account, the most consistent explanation for these results is an important contribution from tidal harmonics over 400–1400 μ Hz that decreases with rising frequency, and predominance of solar modes above ~2500 μ Hz. However, solar modes are clearly present at intervening frequencies, and in fact the ubiquitous presence of highly significant features with the resolution bandwidth of the estimate occurs across the 400–4000 μ Hz band.

The source locations of tidal-forced IGWs will be relatively small, corresponding to places where the coastal tidal range is largest. However, insight can be obtained by observing how tsunami energy (which overlaps the IGW band of interest in this paper) propagates and reverberates in the ocean basins, homogenizing and becoming isotropic after ~40 h in the North Pacific Ocean (Van Dorn, 1984). Unlike impulsive tsunamis, tidal forcing is continuous, hence it may be difficult to clearly identify source locations when the domain is in a persistent state of reverberation. Further work is required on this topic.

It is difficult to further quantify the fraction of solar modes and tidal harmonics in the pressure data because of the pervasive nonstationarity of the former. Nonstationarity results in the transfer of power from frequencies where modal forcing is occurring to other frequencies. There is no constraint that requires that the narrowband characteristics of solar mode peaks be preserved during the power transfer process, and in fact that is what is observed throughout Figures 5, 8 and 10, along with the power spectra in Supporting Information. Further, nonstationarity is not limited to the frequency intervals used for analysis, and does occur over larger frequency increments. Consequently, it is difficult to separate out mode forcing from nonstationary power transfer, especially at high frequencies where the frequency density of modes becomes large.

It is important to note that a mixture χ^2 model can be consistently fit to most frequencies in spectra of the pressure record, implying that the underlying data are approximately Gaussian. Nonstationarity in these data is not strong enough to produce sufficient nonlinearity to lead to non-Gaussianity.

It is well known (e.g., Viall et al., 2009) that the solar wind directly forces magnetospheric disturbances, which then couple to the ionosphere. Both the magnitude of solar modes, as measured through the number of standard deviations from the noise level, and their nonstationarity, as determined from the probability level of the offset coherence, are higher in the geomagnetic field as compared to either the seismic or seafloor pressure fields. This argues for a driving mechanism in which solar modes couple into the magnetosphere/ionosphere, then into the geomagnetic field and finally into other terrestrial observables. Further work is required to verify and quantify this assertion. In closing, the null hypotheses posed in Section 1 that the pressure data are not influenced by solar normal modes (tidal harmonics) in the IGW band must be rejected. Solar modes explain as much as ~1/3 of the data variance over 400-4000 μ Hz. In addition, tidal harmonics are present at up to ~1400 μ Hz, and explain up to 40% of the variance over that band. Finally, none of the analyses presented in this paper refute the existence of additional sources for IGW variability in the deep ocean, such as that proposed by Webb et al. (1991). Rather, the results show that IGWs in the deep ocean are complex phenomena with multiple sources.

5 Conclusions

It is well established in statistics that the power spectral density of a stationary process is uncorrelated at distinct frequencies, while that of a nonstationary process is correlated across frequency. Further, nonstationarity implies that forcing at a given frequency will result in the transfer of power to other frequencies, and such a behavior is inherently nonlinear. In this paper, these characteristics of stationary and nonstationary processes are applied to understand the fluctuations of the deep ocean pressure field over the IGW band.

IGWs have arguably received the least study of any class of waves in the deep ocean. This paper contains the analysis of a 389 day long deep ocean pressure record collected northnorthwest of Kauai in the North Pacific Ocean during the Hawaii Ocean Mixing Experiment for narrowband components and nonstationarity over 400-4000 μ Hz using a combination of fitting a mixture non-central/central c^2 model to spectral estimates, high resolution multitaper spectral estimation and computation of the offset coherence between distinct frequencies for a given data segment. In the frequency band 400-1000 μ Hz, a mixture non-central/central c^2 model is fit to the spectral estimates, showing a non-central fraction of 0.67±0.07 that decreases with increasing frequency, vanishing beyond ~1400 μ Hz. Evidence is presented for the presence of tidal harmonics in the data over the 400-1400 μ Hz band, possibly due to a coastal nonlinear energy cascade originating in the Gulf of Alaska. The mixture c^2 model can also be fit to the spectral estimates beyond ~2000 μ Hz, with the non-central fraction rising with frequency and comprising about 1/3 of the spectral estimates over 3000-4000 μ Hz.

Over the 3700-3750 μ Hz band, there are two peaks at the 2 μ Hz resolution bandwidth of the estimate that exceed 11 standard deviations from the noise level, and an additional peak that exceeds 9 standard deviations; the estimated *Q* for these peaks is over 1000. The probability of independently observing three such peaks is 3.9×10^{-10} , which is effectively impossible unless there is a non-random component present. This strongly argues for the

presence of quasi-harmonic forcing at one or more frequencies in the analysis band. The corresponding offset coherence is quite striking in that symmetric trapezoidal blocks with p = 0.9999 appear about zero offset frequency at each of the peaks in the power spectrum. These have an internal structure that suggests that each of the peaks comprises numerous narrowband components that are themselves coherent. Trapezoidal blocks also occur at offset frequencies consistent with coherence between the peaks. The picture that emerges is one of nonstationary behavior that is manifest both as high offset coherence within spectral peaks and between them.

The power spectrum over the frequency band 1745-1795 μ Hz exhibits a single peak that is 11 σ above the noise level, followed by a 9 σ peak, a 7 σ peak and two 4 σ ones. The probability of independently observing the two largest peaks in a central C_{18}^2 population is 4.6 × 10⁻⁸, and for the three largest peaks it is 3.2 × 10⁻¹⁰. Either of these scenarios is extremely unlikely, and so the presence of a non-random component in the pressure data is assured. The peaks have a full bandwidth at half power of ~2 μ Hz, implying a *Q* of at least 890. Further, most of the peaks occur near the center (*m* = 0) frequency of solar p-modes. The corresponding offset coherence exhibits a very large trapezoidal coherent patch in the middle of the plot extending from ~1758-1785 μ Hz along zero offset frequency, indicating pervasive coherence over the middle 2/3 of the power spectrum.

The power spectrum at 780-830 μ Hz exhibits five narrow peaks over the 50 μ Hz analysis band that exceed the noise level by at least 4 σ , and two peaks that exceed it by nearly 6 σ , and all have a bandwidth comparable to the resolution value. The probability of five peaks occurring independently above the 4 σ level is 2.2×10^{-5} , which is very unlikely unless there is a non-random component present. Two of the spectral peaks occur at harmonics of tidal species, and do not have a significant offset coherence. Analysis of the offset coherence and spectral density unambiguously establishes the existence of forcing at the frequencies of the p_{4,2} solar mode, along with the transfer of power to other frequencies due to nonstationarity.

Solar p-modes are readily detectable throughout the 400-4000 μ Hz analysis band, as seen through the appearance of features with a Q whose lower bound is determined by the resolution bandwidth of the estimate, yet with a value much higher than that of known terrestrial or oceanic phenomena, and in close association with the known frequencies of solar p-modes. Tidal harmonics appear in the pressure data below ~1400 μ Hz, and constitute up to 40% of the non-central variability at the lower end of the 400-1400 μ Hz band. It is difficult to further quantify the fraction of solar modes and tidal harmonics in the pressure

data because of the pervasive nonstationarity of the former. Nonstationarity results in the transfer of power from frequencies where modal forcing is occurring to other frequencies. There is no constraint that requires that the narrowband characteristics of solar mode peaks be preserved during the power transfer process. Further, nonstationarity is not limited to the frequency intervals used for analysis, and does occur over larger frequency increments. Consequently, it is difficult to separate out mode forcing from nonstationary power transfer, especially at high frequencies where the frequency density of modes becomes large.

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Year-Day	S_{ii}^2	S^2 .	Tidal Fraction	Non-central χ^2
	0.99 (0.95)	total	0.99 (0.95)	Fraction
143	1.96 (5.59)	16.22	0.121 (0.345)	0.636
208	2.25 (7.33)	23.09	0.097 (0.318)	0.624
238	2.04 (6.83)	20.03	0.102 (0.341)	0.657
268	1.95 (5.63)	16.57	0.118 (0.340)	0.790
298	1.63 (5.30)	16.07	0.101 (0.330)	0.740
328	2.16 (6.34)	18.24	0.118 (0.348)	0.705
358	2.11 (6.50)	19.40	0.109 (0.335)	0.514
388	1.84 (5.09)	17.18	0.107 (0.296)	0.609
418	1.74 (5.33)	14.28	0.122 (0.373)	0.709
448	1.41 (4.70)	15.15	0.093 (0.310)	0.741
475	1.63 (5.45)	15.77	0.103 (0.346)	0.635

Table 1. Variance in Tides/Total Variance Over 400-1000 μ Hz

Accepted



Figure 1. The multitaper power spectral density of the entire bottom pressure record versus frequency on a loglog scale. The time-bandwidth is 8 and 15 Slepian tapers were applied, yielding 30 degrees of freedom per frequency and a resolution bandwidth of 0.48 μ Hz. The horizontal red line shows the analysis band of 400–4000 μ Hz used in this paper; the line also denotes the instrument noise level. Also shown are the approximate frequency bands in which two other energy sources for IGWs have been identified or postulated.

Accepte



Figure 2. The power spectrum of Figure 1 over the analysis band of 400–4000 μ Hz.



Figure 3. The proportion of the spectral estimates that are consistent with a non-central C^2 distribution after fitting the mixture model (4) over the frequency bands defined in the inset box against year-day. The symbols denote the maximum likelihood estimate (mle) and the error bars are double-sided 95% confidence limits using the asymptotic Fisher information for the mle. The mixture model was fit to 60 d time segments overlapped by 50% except for the first one.

Accept



Figure 4. The proportion of the spectral estimates that are consistent with a non-central C^2 distribution after fitting the mixture model (4) over the frequency bands defined in the inset box against year-day. The symbols denote the maximum likelihood estimate (mle) and the error bars are double-sided 95% confidence limits using the asymptotic Fisher information for the mle. The mixture model was fit to 60 d time segments overlapped by 50% except for the first one.

Accept



Figure 5. Standardized pressure power spectral density versus frequency on linear scales over year-day 418-478. The time-bandwidth is 5 and there are 9 data tapers, yielding a resolution bandwidth of 1.9 μ Hz and 18 degrees of freedom per frequency. The solid horizontal line is the 0.1 quantile of power over the 3700-3750 μ Hz band that defines the noise level, and the dashed horizontal lines are increments of 1 standard deviation from that value, with the 5 and 10 σ levels labeled on the right. The vertical dashed lines show the locations of solar pmodes, excluding rotational splitting, as listed at the top, and the vertical dash-dot lines are the locations of terrestrial spheroidal normal modes labeled at the bottom.

Accepte



Figure 6. Percent-percent plot showing the ranked transformed coherences using (6) plotted against uniform quantiles. The result would be a straight line if the hypothesis that there is no inter-frequency correlation in the pressure data were correct.

Accepted





Accepted



Figure 8. Pressure power spectral density versus frequency on linear scales over year-day 358-418. The timebandwidth is 5 and there are 9 data tapers, yielding a resolution bandwidth of 1.9 μ Hz and 18 degrees of freedom per frequency. The solid horizontal line is the 0.1 quantile of power over the 1745-1795 μ Hz band that defines the noise level, and the dashed horizontal lines are increments of 1 standard deviation from that value, with the 5 and 10 σ levels labeled at right. The vertical dashed lines show the locations of solar p-modes, excluding rotational splitting, as listed at the top.

Accepte



Figure 9. Contours of the offset coherence transformed using (7) against frequency on the abscissa and offset frequency on the ordinate over 1745-1795 μ Hz for year-days 358-418. The time-bandwidth is 5 and 9 Slepian tapers were used, yielding 18 degrees of freedom per frequency. The vertical dashed lines denote the center frequencies of the p-modes delineated at the top. Note the different color scale compared to Figure 7.

Accepte



Figure 10. Standardized power spectral density versus frequency on linear scales over year-day 268-328. The time-bandwidth is 5 and there are 9 data tapers, yielding a resolution bandwidth of 1.9 μ Hz and 18 degrees of freedom per frequency. The solid horizontal line is the 0.1 quantile of power over the 780-830 μ Hz band that defines the noise level, and the dashed horizontal lines are increments of 1 standard deviation from that value, with the 3 and 6 σ labeled at right. The vertical dashed lines show the locations of solar p-modes, including rotational splitting, as listed at the top, and the location of the $_0S_0$ terrestrial spheroidal normal mode is denoted by the dash-dot line labeled at the bottom.



Figure 11. Contours of the offset coherence transformed using (7) against frequency on the abscissa and offset frequency on the ordinate over 780-830 μ Hz for year-days 268-328. The time-bandwidth is 5 and 9 Slepian tapers were used, yielding 18 degrees of freedom per frequency. The vertical dashed lines denote the center frequencies of the p-modes delineated at the top.

Accepted