

# **Recent developments in Air sea exchange and wind wave interactions**

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Peter Janssen  
Josh Kousal

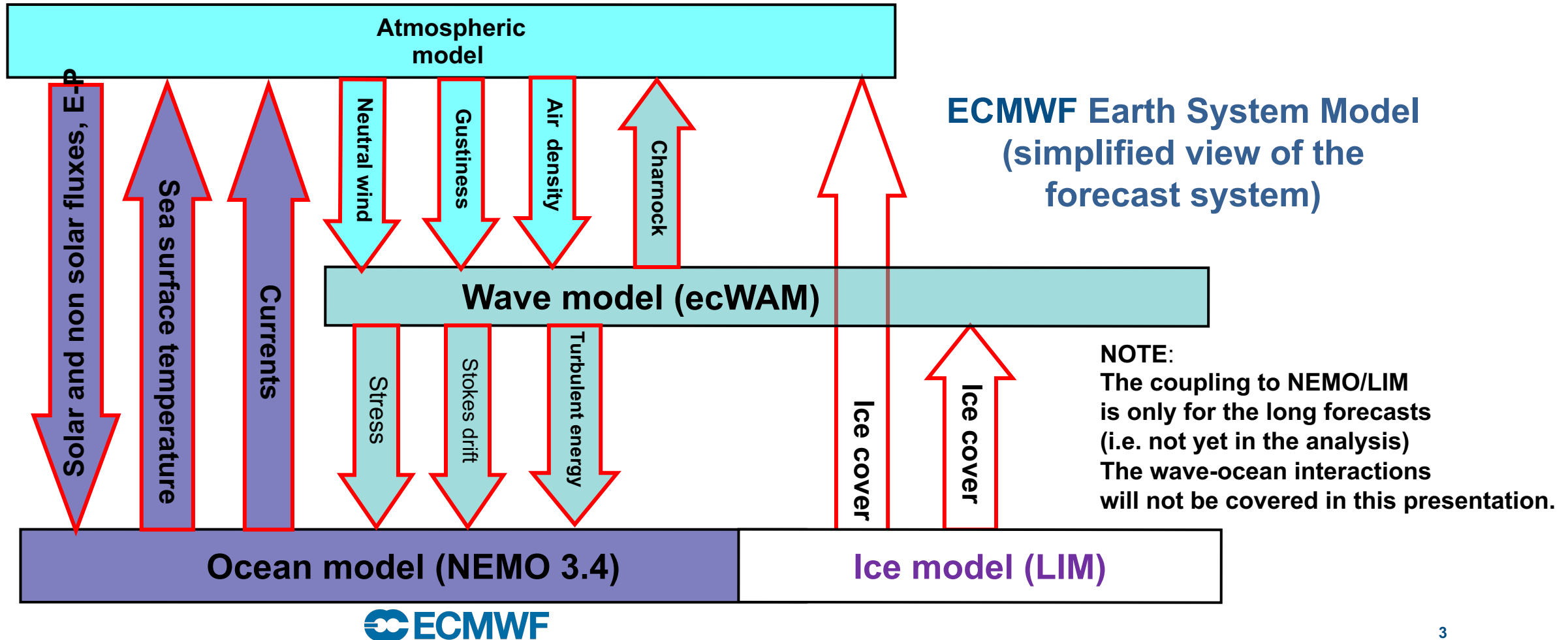
European Centre for Medium-range Weather Forecasts (ECMWF)

## Outline:

1. Ocean Wave modelling at ECMWF.
2. Wind – wave interaction for surface momentum exchange.
3. Wind – wave interaction for heat and moisture exchanges.
4. A glimpse into the next ECMWF/C3S reanalysis (ERA6).

# 1. Wave modelling at ECMWF

*ECMWF main focus is in the context of its Earth System Model in which the wave model plays an active role in many exchanges between atmosphere and ocean.*



## Atmospheric model

## Atmosphere- ocean wave system

$$U_{10} = \frac{u_*}{\kappa} \ln\left(\frac{10}{z_0}\right)$$

Neutral wind

Every  
IFS  
time step

Charnock

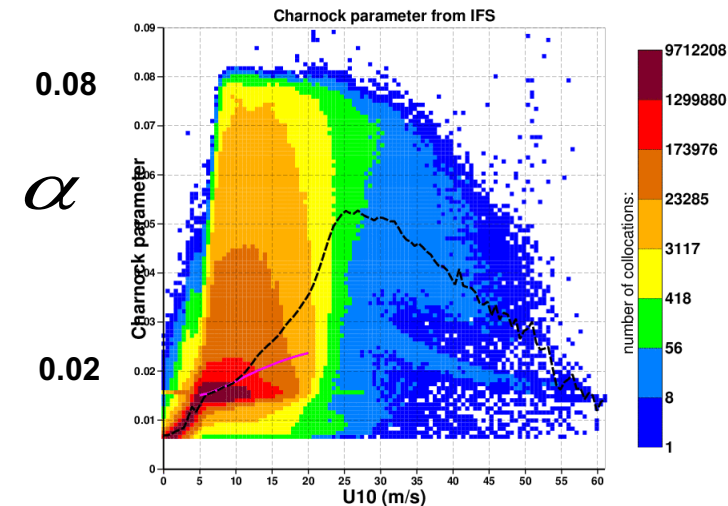
Charnock relation

$$z_0 = \frac{\alpha u_*^2}{g}$$

(there is also a viscous contribution to  $z_0$  in the IFS)

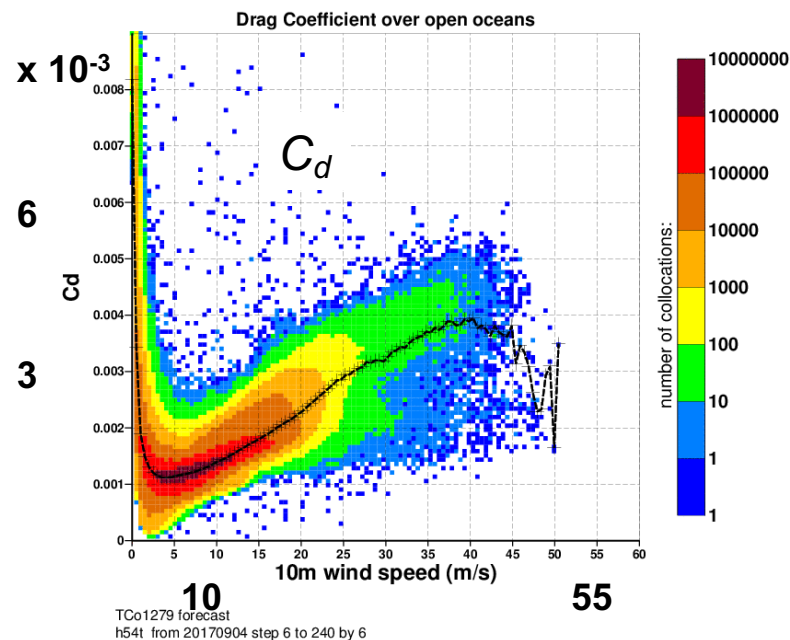
## Wave model (ecWAM)

The Charnock coefficient is linked to the amount of momentum that is used to generate waves which varies depending on the sea state evolution (wave induced stress  $\tau_w$ )

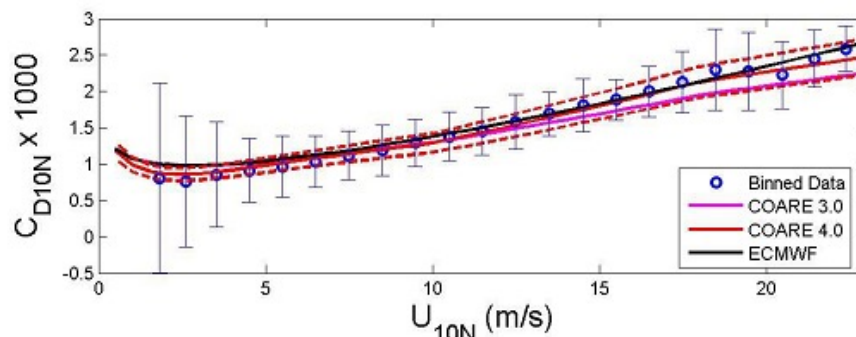


Forecast data from stream da, class rd, expver gyca, all Sea points with sea ice cover <= 0.3 from 20170904 00UTC, for steps from 6 to 240 by 6

## 2. Impact of ocean waves on the surface stress



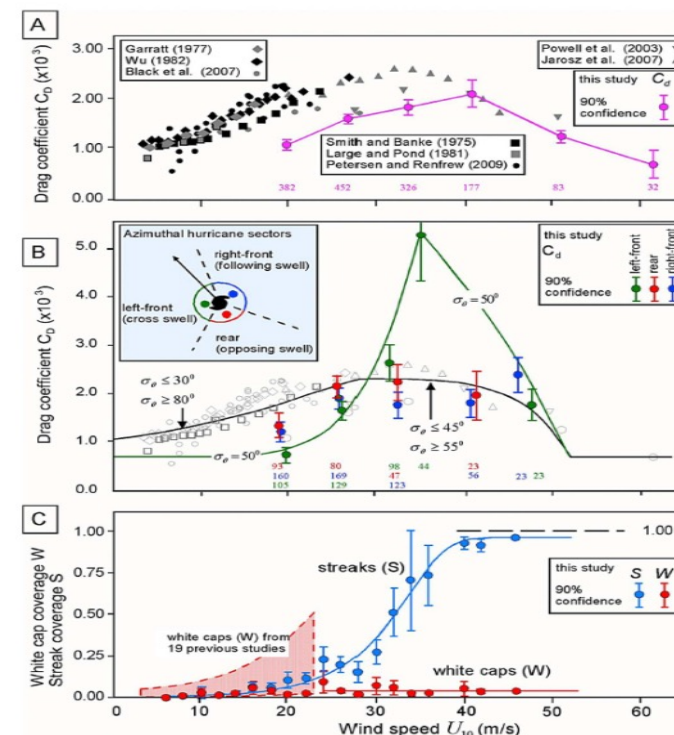
Drag coefficient ( $C_d$ )  
with respect to wind speed  
Operational model (June 2019)



Edson et al., 2013

$C_d$  fits well observations  
for winds up to 20m/s  
But it is too high for larger winds

Holthuijsen et al. , 2012



$C_d$  is sea state dependent !

- It is now accepted that the drag coefficient should generally attained maximum values for storm winds but should level or even decrease for very strong winds, namely in tropical cyclones or intense mid-latitude wind storms.

A modification of the wind input source was implemented in CY47R1 (June 2020), whereby the Charnock coefficient estimated by the wave model and therefore the drag coefficient sharply reduce for large winds (> 30 m/s)

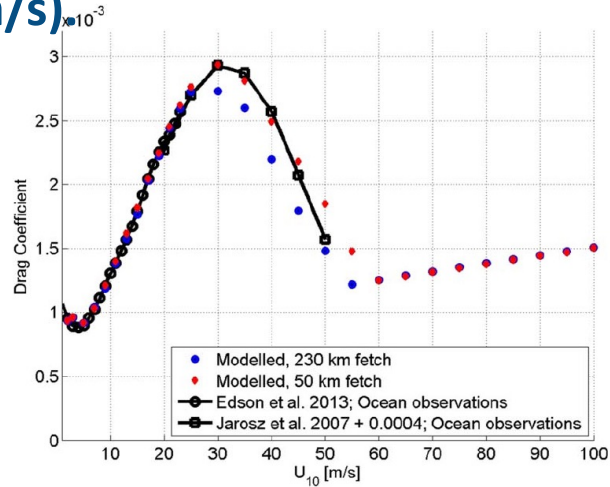


Figure 6. Modeled (with Reynolds number dependent sheltering coefficient, Figure 5, equation (13)) and observed drag coefficients versus wind speed. Note the fetch dependence of the modeled drag coefficient between 30 and 55 m/s.

Donelan (2018)

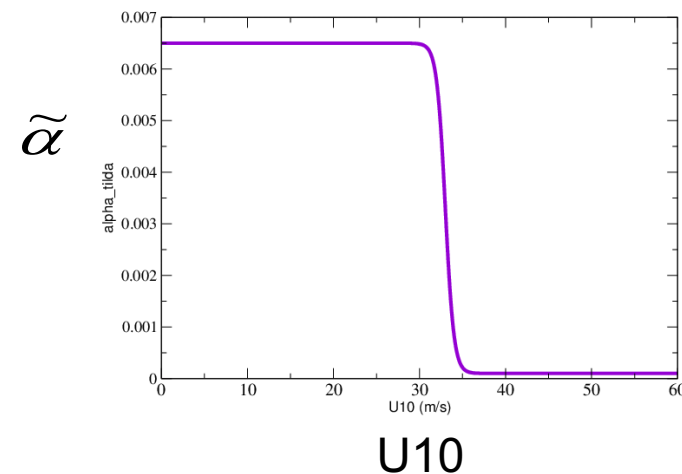
With the wave model, Charnock is expressed as

$$\alpha = \frac{\tilde{\alpha}}{\sqrt{1 - \frac{\tau_w}{u_*^2}}}$$

Originally with

$$\tilde{\alpha} = \tilde{\alpha}_0 = 0.0065$$

In order to mimic Donelan Cd, Charnock has to reduce quite sharply for winds ( $U_{10}$ ) above 33 m/s and then tails off for very high winds:



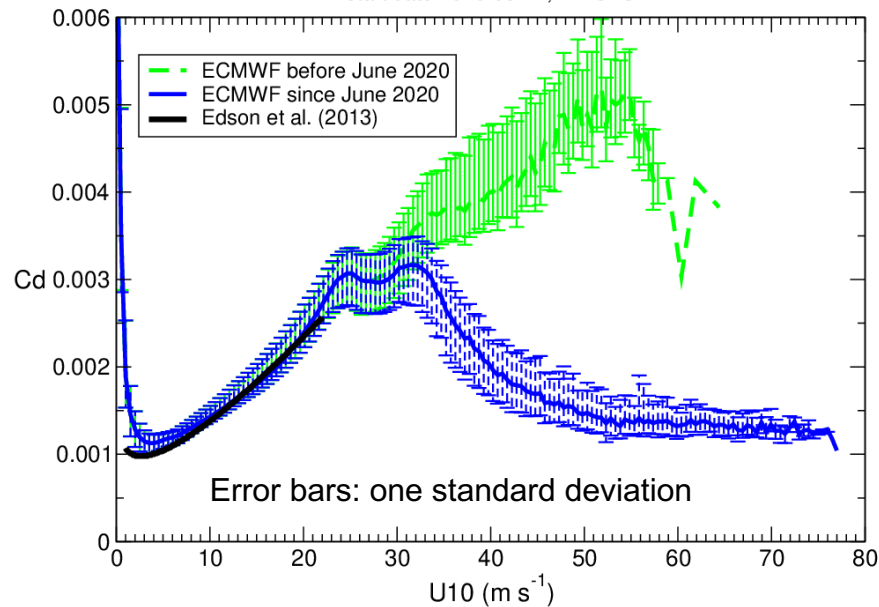
# Revised sea state dependent momentum flux: recent model change

Recent wave model changes have resulted in a better control of the drag for strong winds:

- The latest one was a reduction of the Charnock coefficient for winds above 33 m/s (June 2020).
- This is quite essential now that we can show that 4.4km runs yields better tropical cyclones:

## Drag coefficient v 10m wind speed:

Tco2559 forecast step 24 to step 78 by 1 hrs  
start date 2020-08-24, 12 UTC

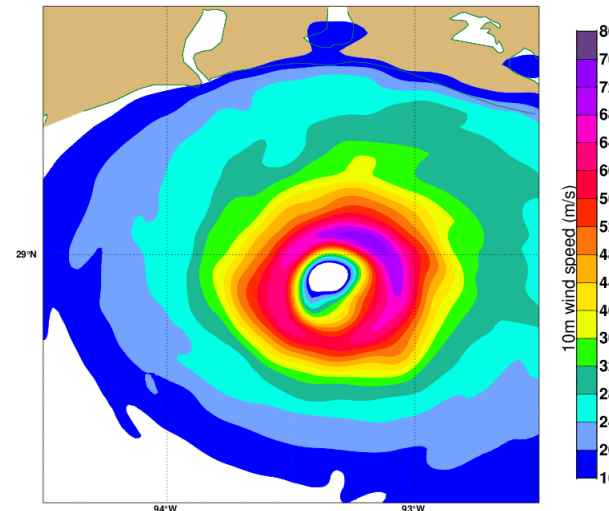


Majumdar et al. (2023)  
in review



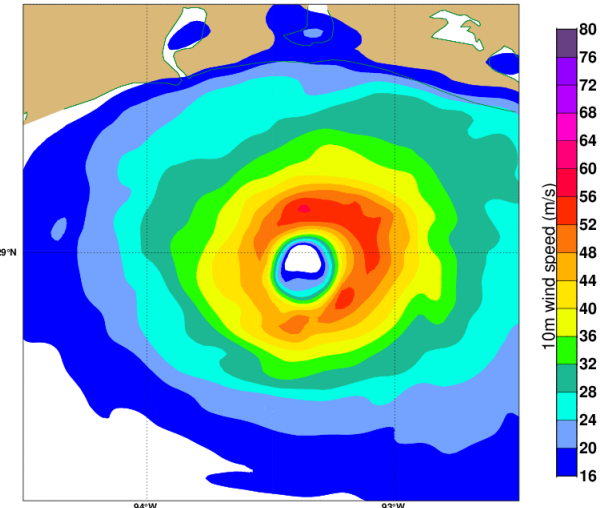
## 10m wind speed, Hurricane Laura 27 August 2020

Monday 24 August 2020 12 UTC ecmf t+60 VT:Thursday 27 August 2020 00 UTC surface 10 metre U wind component  
Tco2559, 10m wind speed for expver = h19s



CY48R1

Monday 24 August 2020 12 UTC ecmf t+60 VT:Thursday 27 August 2020 00 UTC surface 10 metre U wind component  
Tco2559, 10m wind speed for expver = hta0

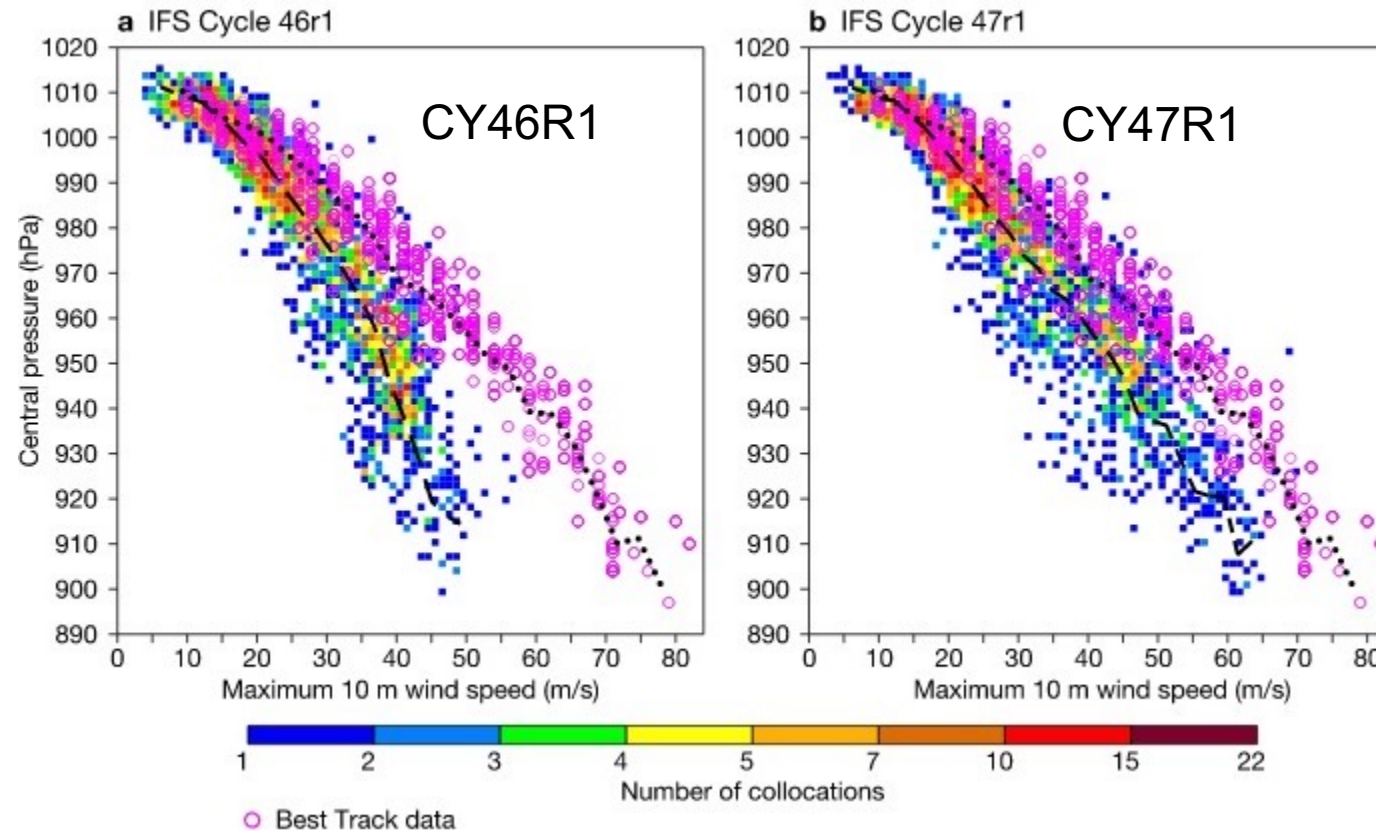


But without the drag reduction introduced in June 2020, it would not have been the case.



# Revised sea state dependent momentum flux: recent model change

This has resulted in improved Tropical cyclone max wind - min pressure relationship in the operational system:



ECMWF  
Newsletter  
Bidlot et al. 2020

Tco1279 forecasts from 0 UTC for period 25-08-2019 to 01-01-2020 (coloured shading and dotted line). Reported values (pink symbols and dotted line) for tropical cyclones: Ambali, Belna, Bualoi, Calvinia, Dorian, Faxai, Fengshen, Hagibis, Halong, Humberto, Kammuri, Kyarr, Lingling, Lorenzo, Maha, Matmo, Nakri, Phanfone, Sarai, Sebastien.



# Revised sea state dependent momentum flux: future model change (planned for 2024)

We revisited the problem with the inclusion of a **model for the role of gravity-capillary waves** on the surface stress and the inclusion of a **nonlinear wind input growth rate**.

In the original parameterization of Peter Janssen wind input theory, a logarithmic wind profile was assumed:

$$U_0(z) = \frac{u_*}{\kappa} \log \left( 1 + \frac{z}{z_0} \right)$$

and the parameterization of the surface roughness  $z_0$  follows

$$z_0 = \frac{z_b}{\sqrt{1 - \tau_w(0)/\tau_a}} \quad z_B = \alpha_B u_*^2 / g,$$

with the parameterization of the wind input source term

$$S_{in} = \gamma F(\omega, \theta)$$



Janssen and Bidlot 2023,  
Accepted for publication in JPO

with  $z_B$  the background roughness, representing the impact of unresolved short gravity-capillary waves based on a Charnock relation with a fixed tunable parameter  $\alpha_B \sim 0.0065$

## Revised sea state dependent momentum flux: nonlinear wind input growth rate

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with the parameterization of the wind input source term

$$S_{in} = \gamma F(\omega, \theta)$$

# Revised sea state dependent momentum flux: nonlinear wind input growth rate

But it turns out that because of the strong interaction between wind and waves,  
The wind profile will deviate from the log profile.

It can be shown that the growth rate itself depends on the wave spectrum:

$$\gamma = \gamma_0 \frac{1 + N_1}{1 + N_2}$$

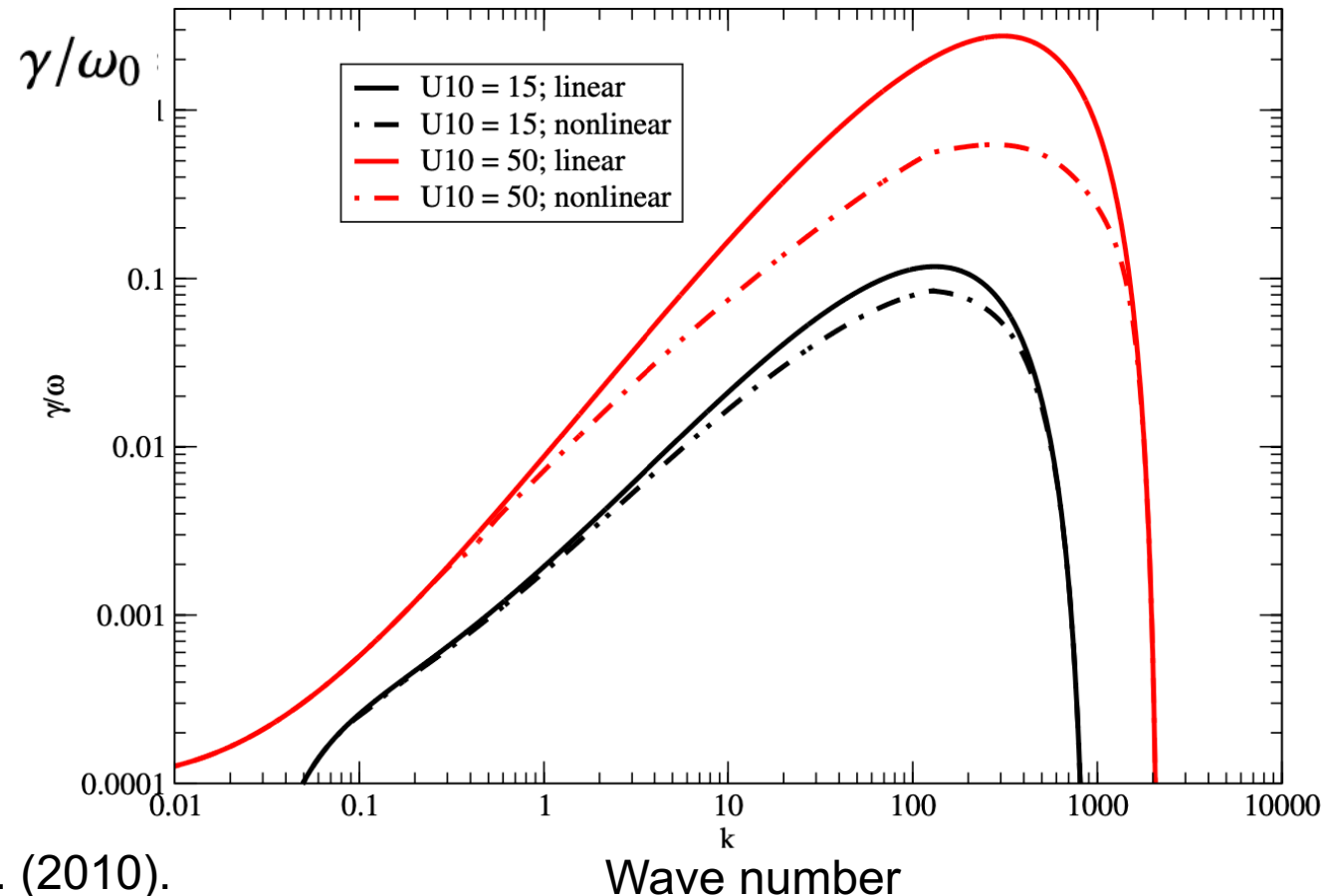
with  $\gamma_0$  the growth rate of the logarithmic profile

and the renormalization factors:

$$N_1 = \frac{k^3}{\epsilon \kappa u_*} \int d\theta \gamma_0 F(k, \theta) \sin^2 \theta,$$

$$N_2 = \frac{k^3}{\epsilon \kappa u_*} \int d\theta \gamma_0 F(k, \theta).$$

This will replace the sheltering effect of Ardhuin et al. (2010).



# Revised sea state dependent momentum flux: model for the role of gravity-capillary waves

The background roughness  $z_B$  was introduced to represent the impact of unresolved short gravity-capillary

$$z_B = \alpha_B u_*^2 / g,$$

With a fixed 'tunable' parameter  $\alpha_B$

However, based on the VIERS model (Janssen et al. 1998), originally developed for radar backscatter modelling, a model for the spectrum of those short waves was implemented

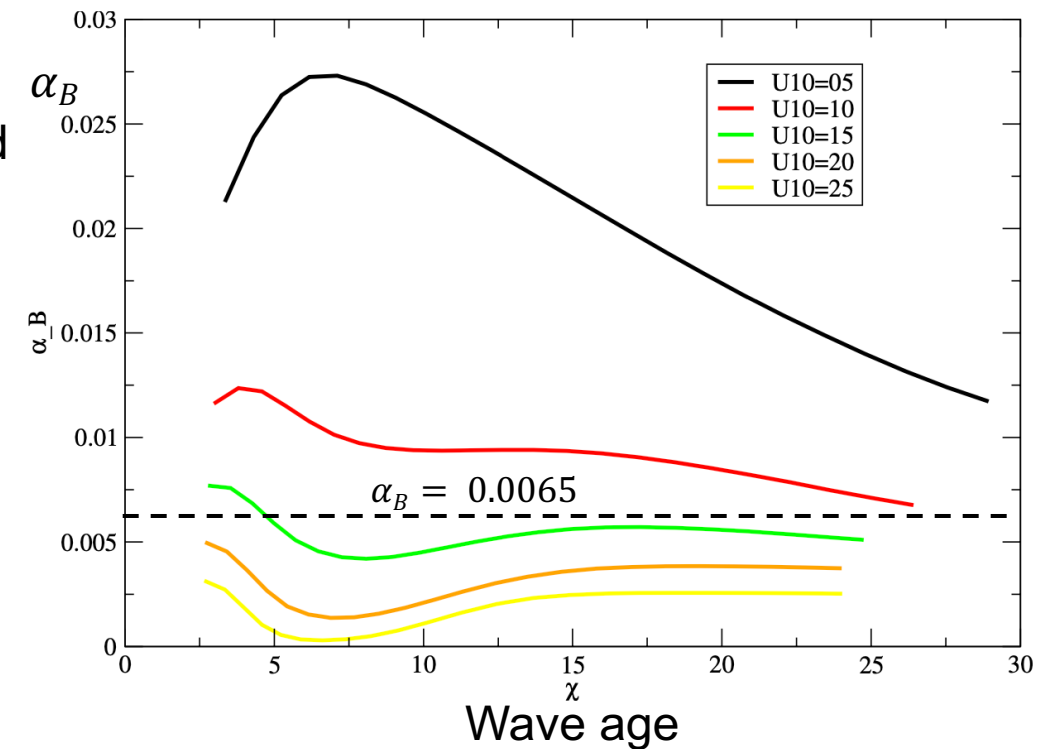
and is used to update the total surface stress  $\tau_a$ .

$$\tau_a = \tau_v + \tau_{w,lf} + \tau_{w,hf}$$

since the viscous stress and the wave stress depend in a complicated manner on the surface stress  $\tau_a$ , the stress balance is solved by iteration.

Hence, a consistent solution for the spectrum of short and long-waves is obtained and at the same time a consistent estimate of the stress over growing wind waves is found

$\alpha_B$  becomes sea state dependent:



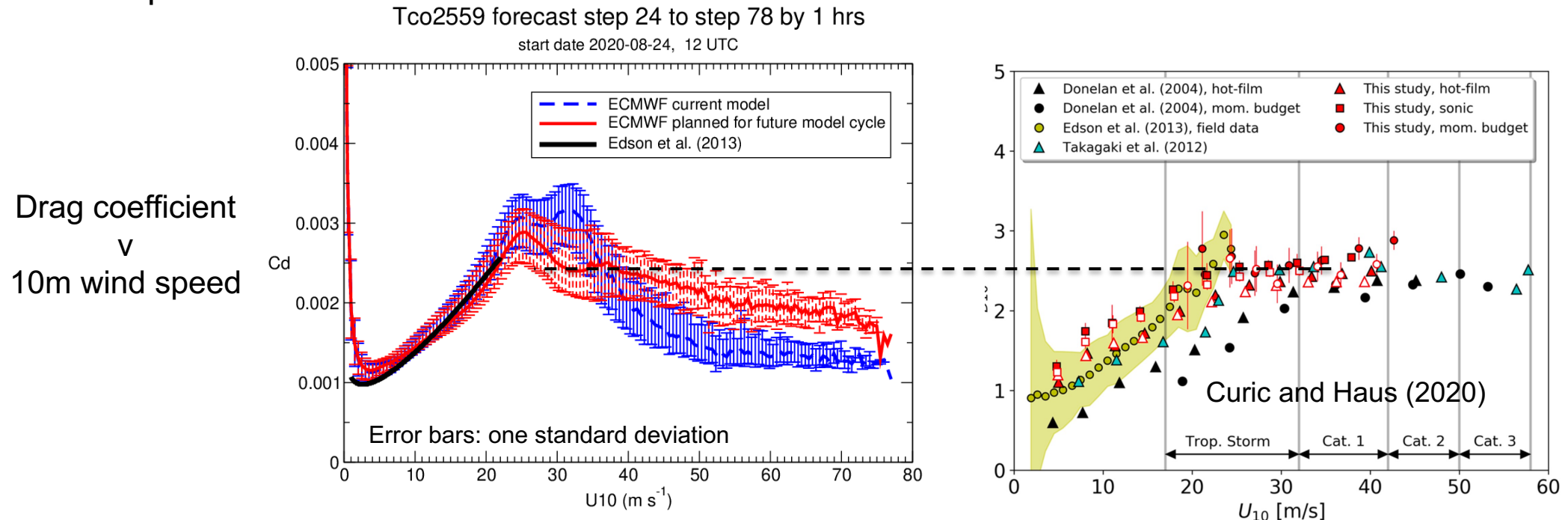
Single point simulation with constant wind

## Revised sea state dependent momentum flux: future model change (planned for 2024)

With these new changes, the resulting drag coefficient starts to tail off for storm winds conditions, well before the arbitrary 33 m/s, in accordance with the sea state development.

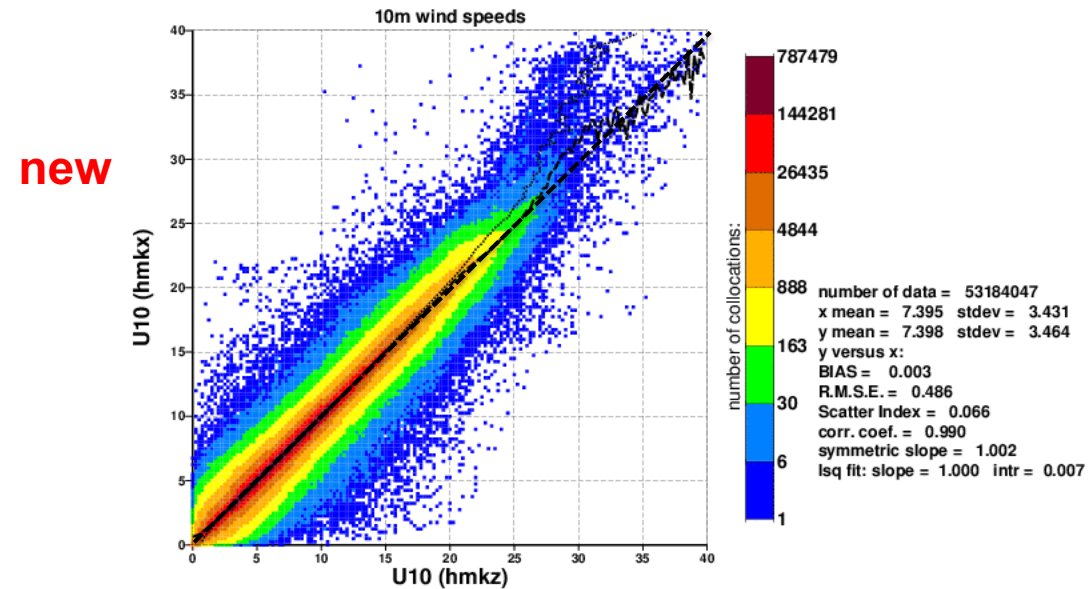
**Note that this will have an impact on mid-latitude storms.**

Recent study by Curic and Haus (2020) found that the decrease in  $C_d$  might not be as sharp as postulated in previous studies:



# Impact on surface wind speeds

The future model change will help address the known underestimation of extreme ocean winds



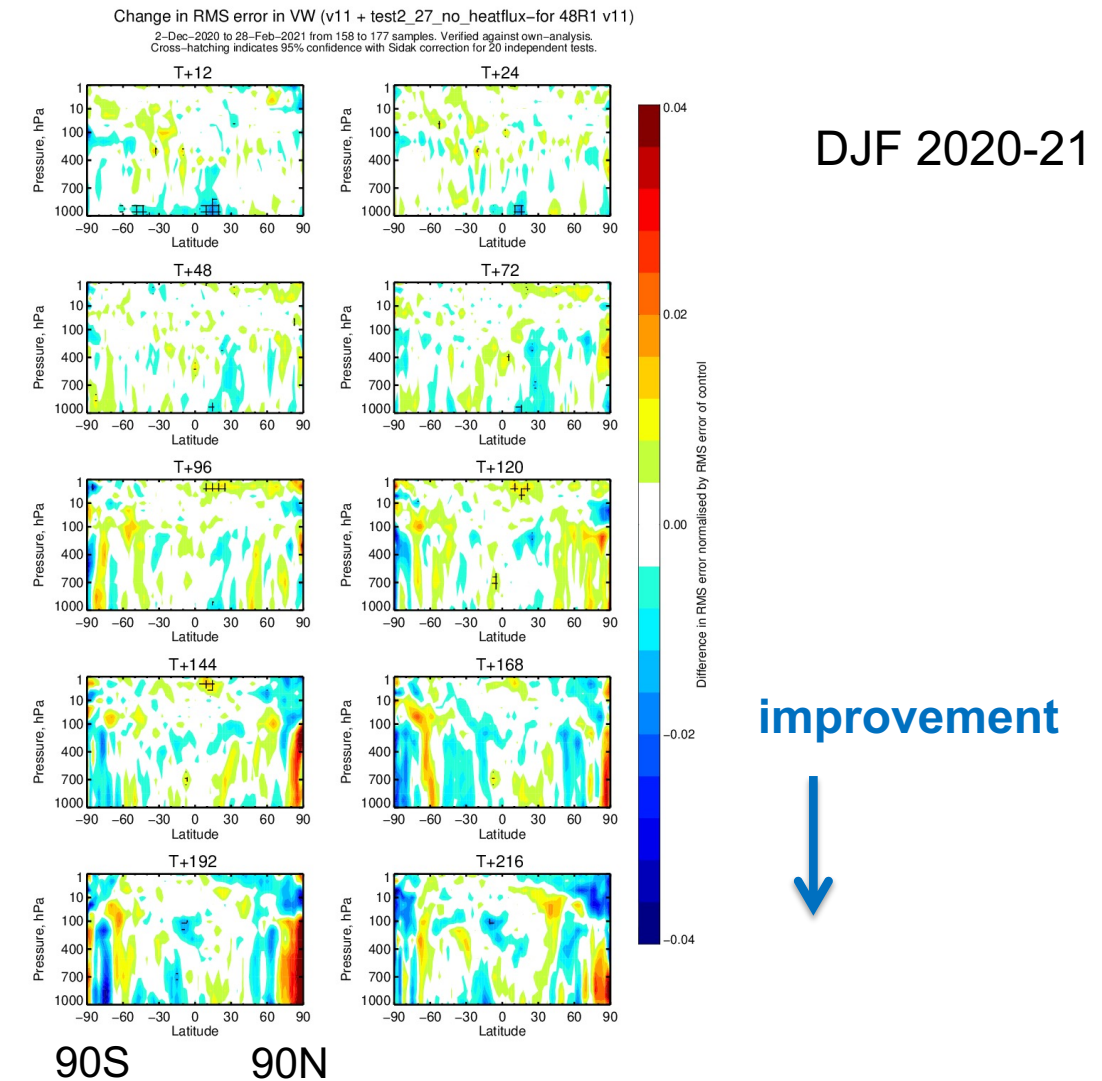
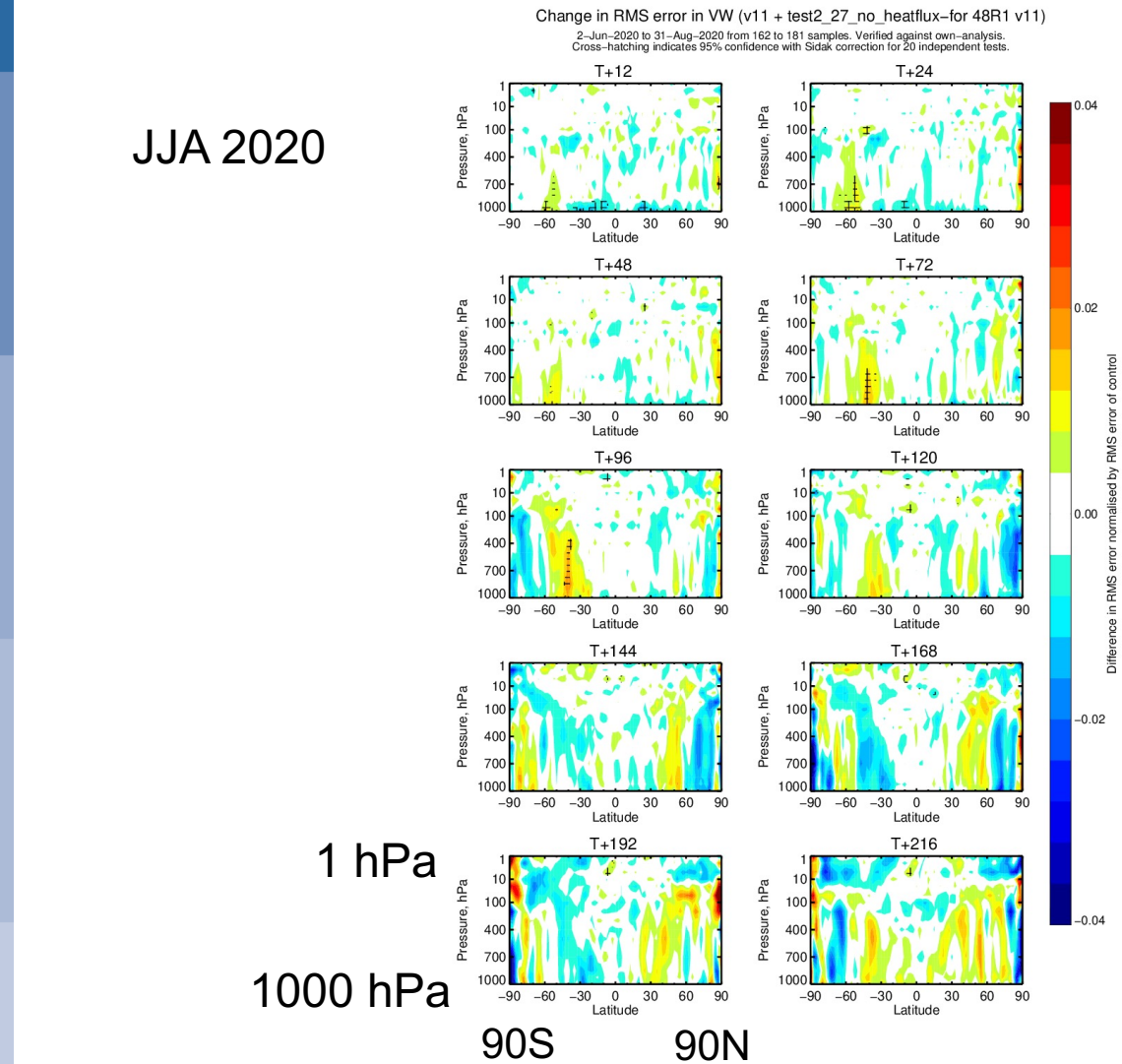
Forecast data from all sea points with sea ice cover  $\leq 0.0$  (on native grid)  
from 20201225 00UTC to 20201230 12UTC, for steps from 24 to 24 by 6

**control**

Tco1279 forecasts step 24 with LSM=0 and no sea-ice



# Change in wind speeds RMSE meridional cross section for different forecast range: fairly neutral





## 2. Impact of ocean waves on heat and moisture fluxes

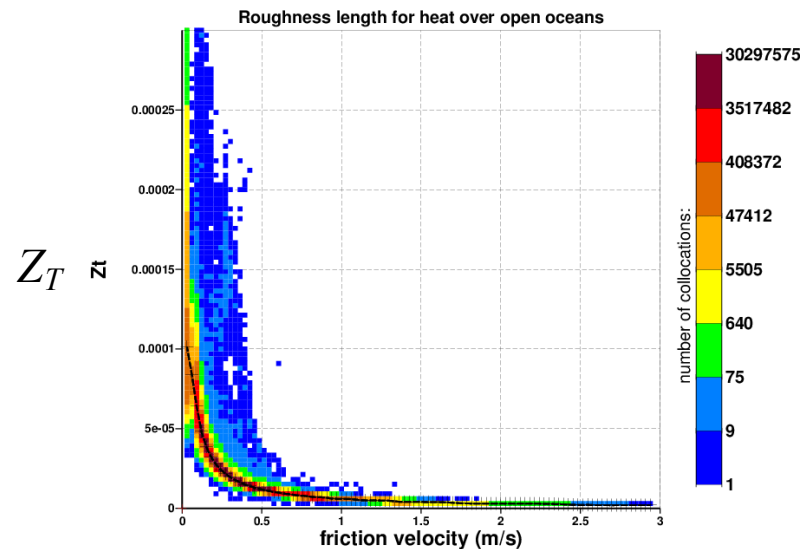
Sea state dependency on momentum is also affecting heat and moisture fluxes because in the atmosphere model those transfer coefficients depend on the squared root of  $C_d$

$$C_h = C_d^{1/2} \frac{\kappa}{\ln\left(\frac{10}{Z_T}\right)}$$

But, for the roughness length scale, We still assume that the exchange is dominated by viscosity:

$$z_T = z_v = \delta \frac{\nu}{\kappa u_*}$$

$\delta$  adjustable parameter

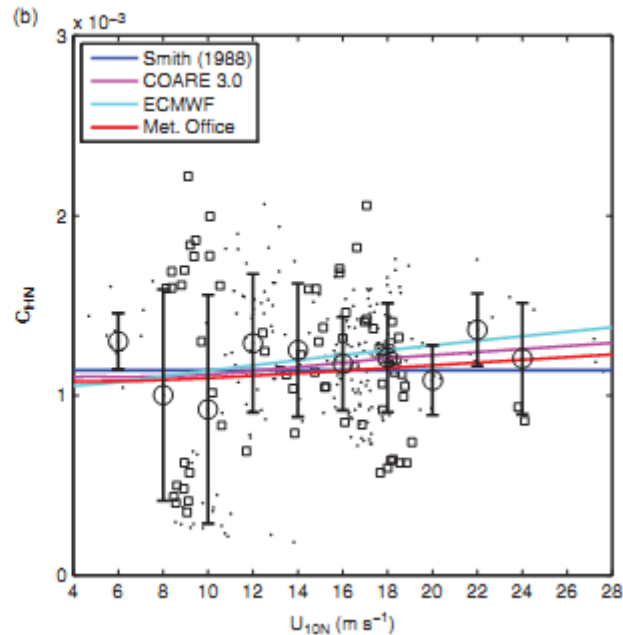


TC01279 forecast  
hriw from 20190322 0 UTC, step 1 to 72 by 1

$u^*$

## 2. Impact of ocean waves on heat and moisture fluxes

- Experimental evidences also point to a sea state/wind dependency of the heat and moisture fluxes.



Cook and Renfrew 2014

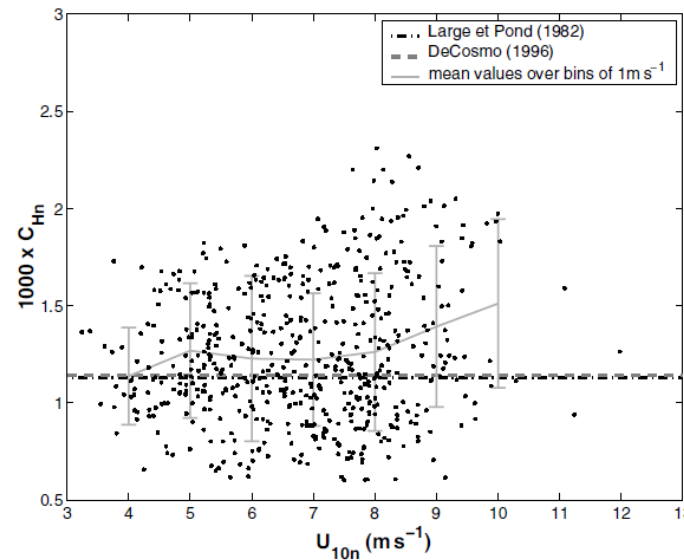
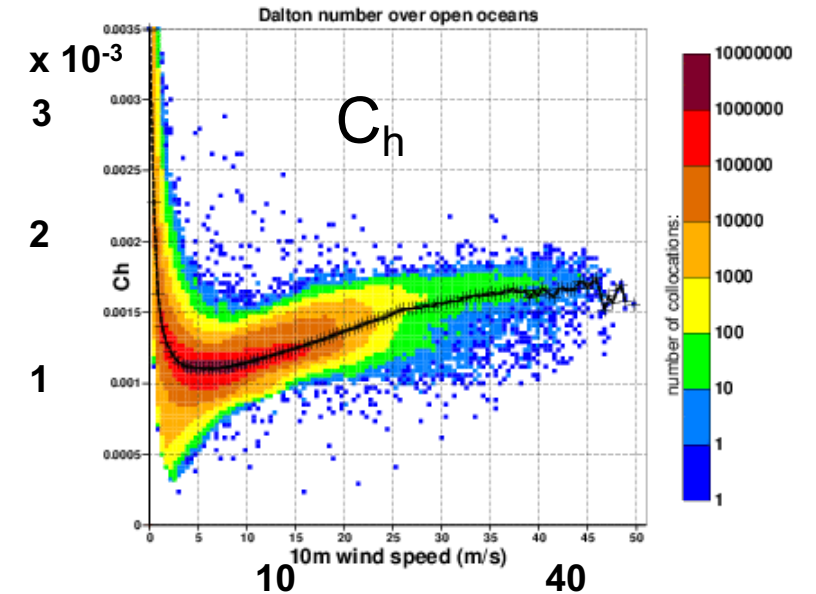


Figure 18. The exchange coefficient for temperature,  $C_{HN}$ , as a function of the neutral wind speed at 10 m,  $U_{10N}$ . The dots correspond to 30-minute samples. The solid line with error-bars represents the values averaged over wind speed bins of  $1 m s^{-1}$ . The parametrizations proposed by Large and Pond (1982) and DeCosmo *et al.* (1996) are also plotted.

Brut et al. 2005



Heat exchange coefficients dependency on wind speed

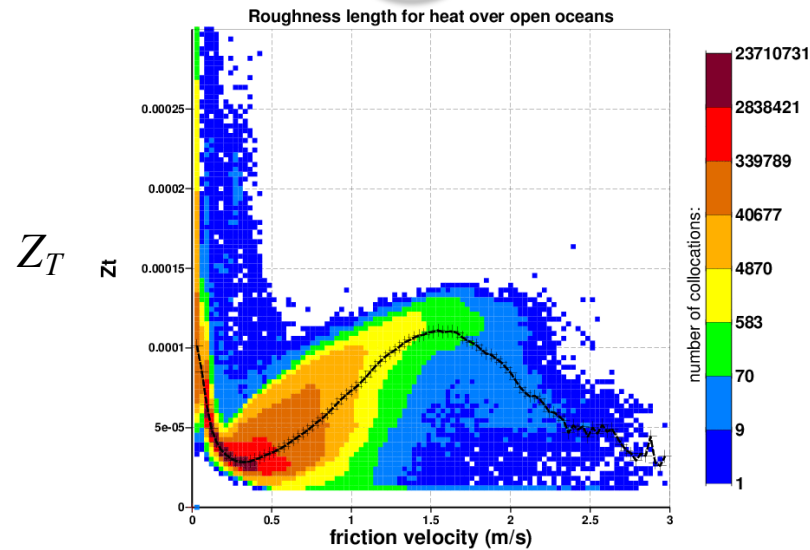
The current model is underestimating a bit the heat transfer from the surface .

### 3. Sea state heat and moisture fluxes: future model change (2024)

Following an extension of the wind wave generation theory, a sea state dependent parameterisation for the roughness length scales for heat and humidity has been tested.

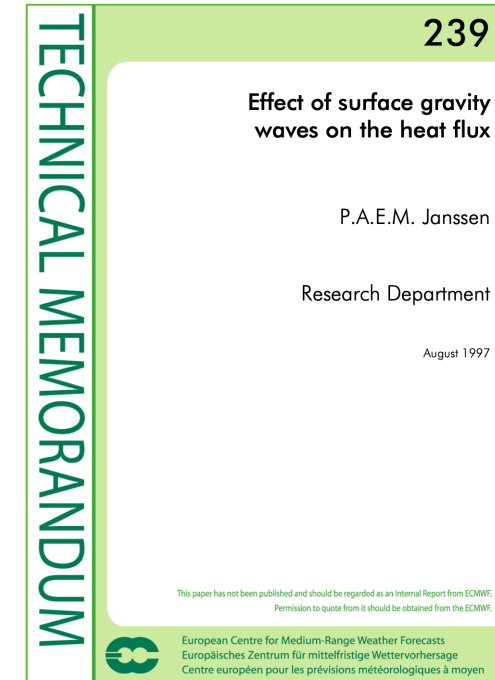
It recognises that the waves enhances the transfer from the surface.

$$C_h = C_d^{1/2} \frac{\kappa}{\ln\left(\frac{10}{Z_T}\right)}$$



TC01279 forecast  
hqre from 20190322 0 UTC, step 1 to 72 by 1

$u^*$

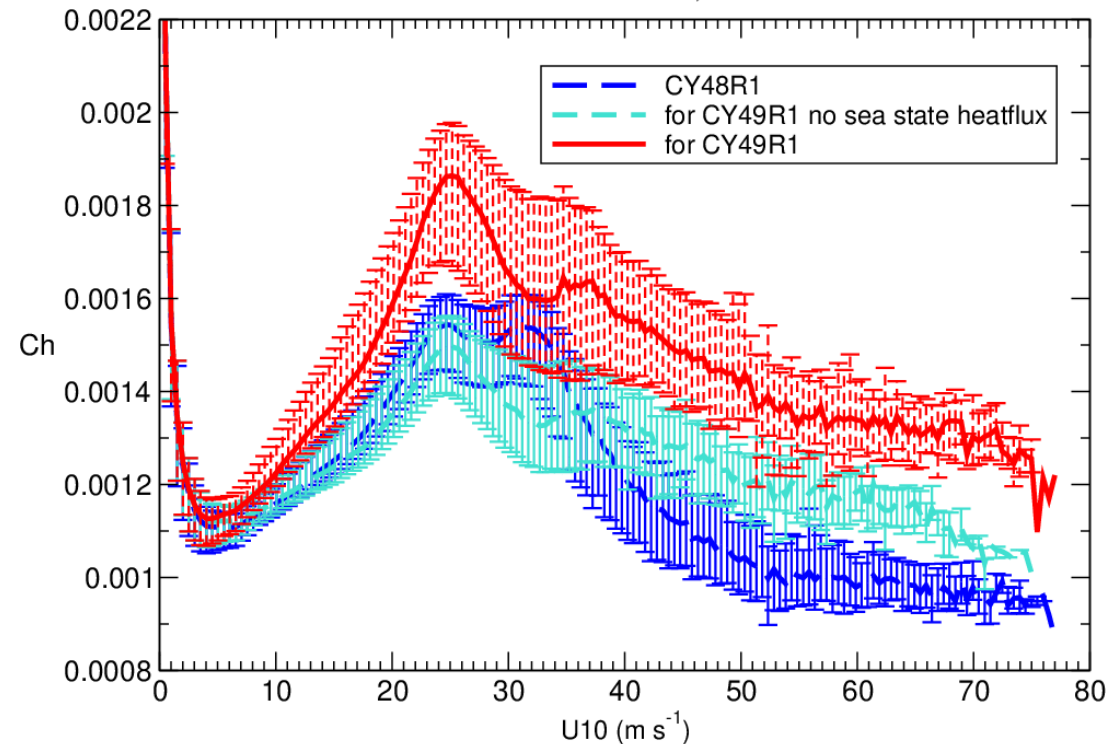


## 2. Sea state heat and moisture fluxes

Following Janssen (1997, TM239), ocean waves can also have a direct impact on the exchange of heat and moisture, enhancing their exchange for windy (i.e. wavy) conditions:

Tco2559 forecast step 24 to step 78 by 1 hrs

start date 2020-08-24, 12 UTC



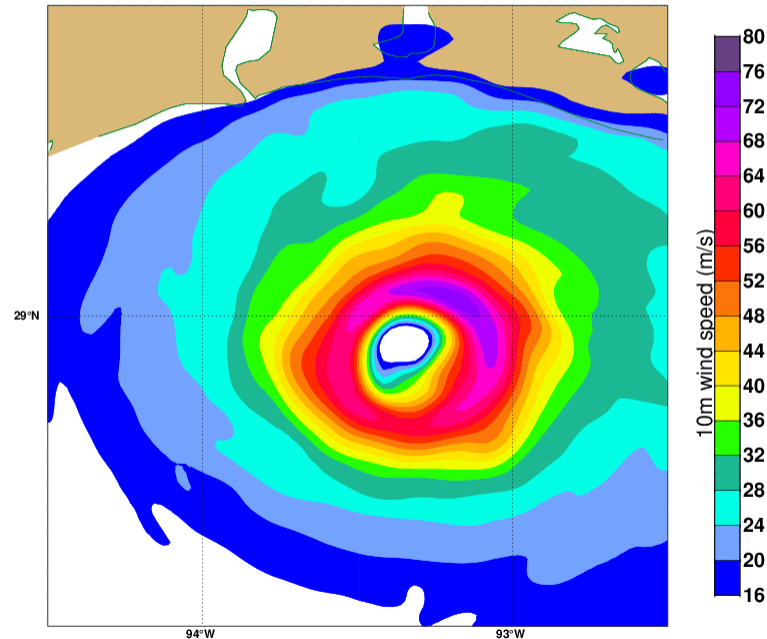
With benefit in tropical cyclone conditions, but also in more normal conditions in the tropics (Janssen and Bidlot 2018). See also Magnusson et al. 2021, ECMWF Tech Memo 888.

# 10m wind speed for Hurricane Laura, Tco2559 (4 km), step 60 hours

## 4km runs yields better tropical cyclones:

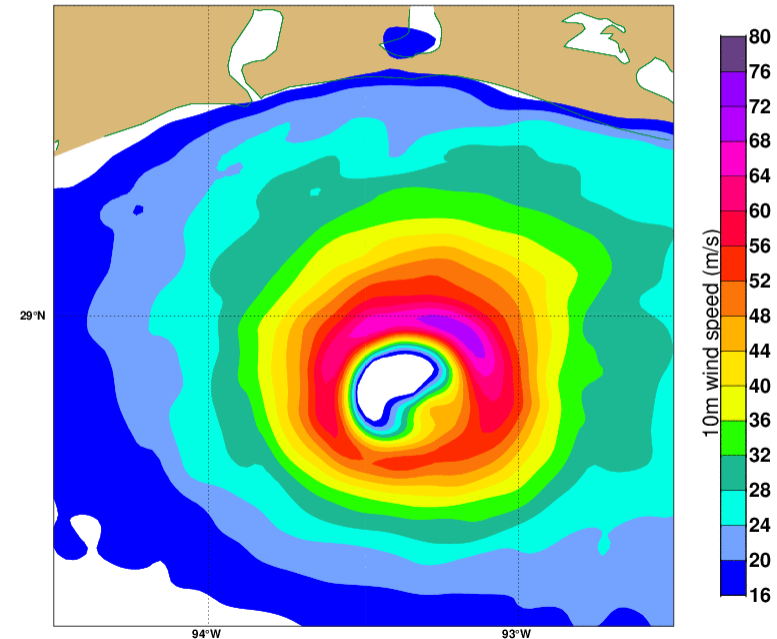
S. Majumdar, L. Magnusson, P. Bechtold, J-R Bidlot, J. Doyle, 2022 : Advanced tropical cyclone prediction using the experimental global ECMWF and operational regional COAMPS-TC systems, submitted to MWR.

Monday 24 August 2020 12 UTC ecmf t+60 VT:Thursday 27 August 2020 00 UTC surface 10 metre U wind component  
Tco2559, 10m wind speed for expver = ht9s



CY48R1

Monday 24 August 2020 12 UTC ecmf t+60 VT:Thursday 27 August 2020 00 UTC surface 10 metre U wind component  
Tco2559, 10m wind speed for expver = ht9s



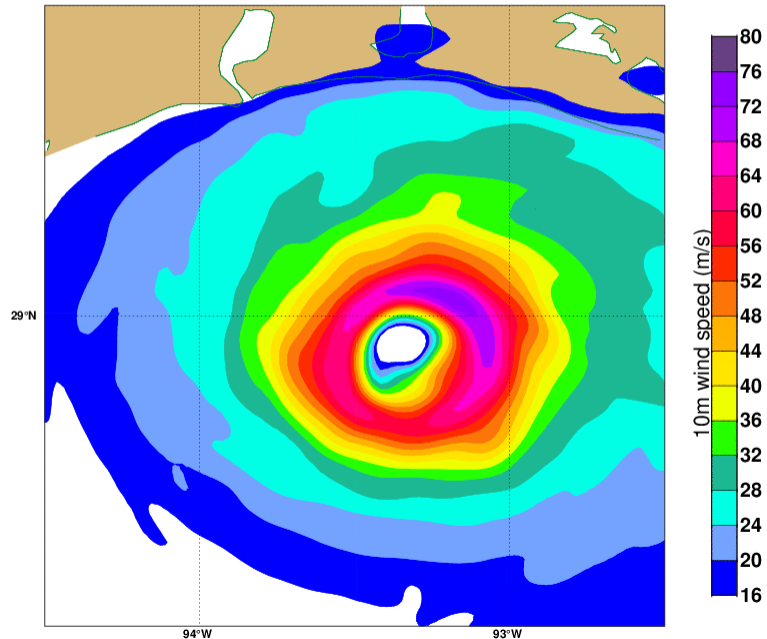
All contributions for CY49R1,  
except the sea state heat and moisture

# 10m wind speed for Hurricane Laura, Tco2559 (4 km), step 60 hours

## 4km runs yields better tropical cyclones:

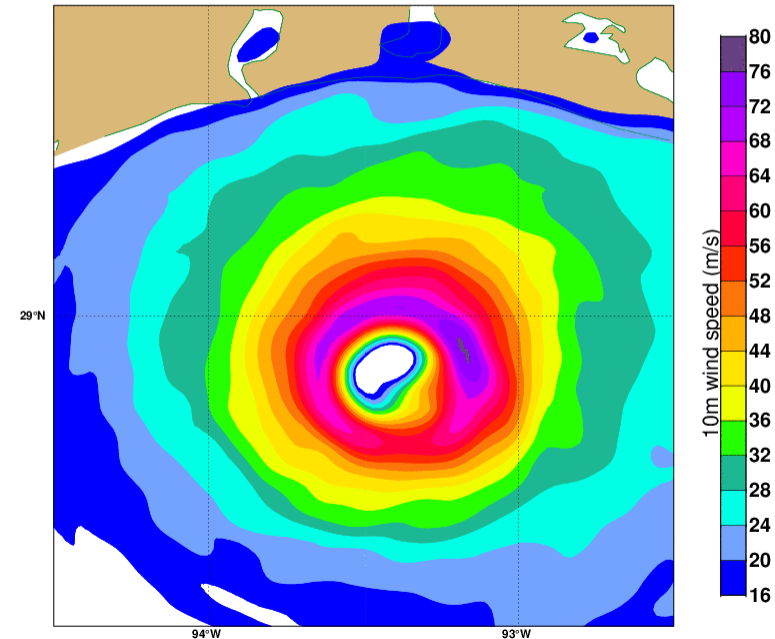
S. Majumdar, L. Magnusson, P. Bechtold, J-R Bidlot, J. Doyle, 2022 : Advanced tropical cyclone prediction using the experimental global ECMWF and operational regional COAMPS-TC systems, submitted to MWR.

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Tco2559, 10m wind speed for expver = ht9s



CY48R1

Monday 24 August 2020 12 UTC ecmf t+60 VT:Thursday 27 August 2020 00 UTC surface 10 metre U wind component  
Tco2559, 10m wind speed for expver = hta1



All contributions for CY49R1

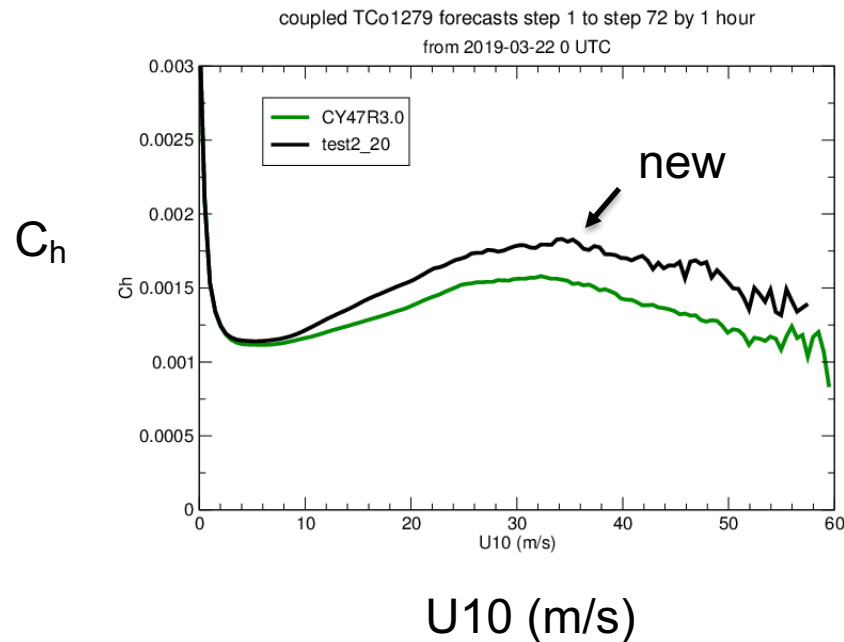
# Medium range analysis + forecasts:

## Expected impact of the sea state dependent heat and moisture fluxes

- With these new changes, the resulting exchange coefficient for heat and moisture increase more rapidly with increasing winds.

- This has a strong impact on air temperature over the oceans all the way throughout the troposphere, primarily, in the tropics and the winter hemisphere:

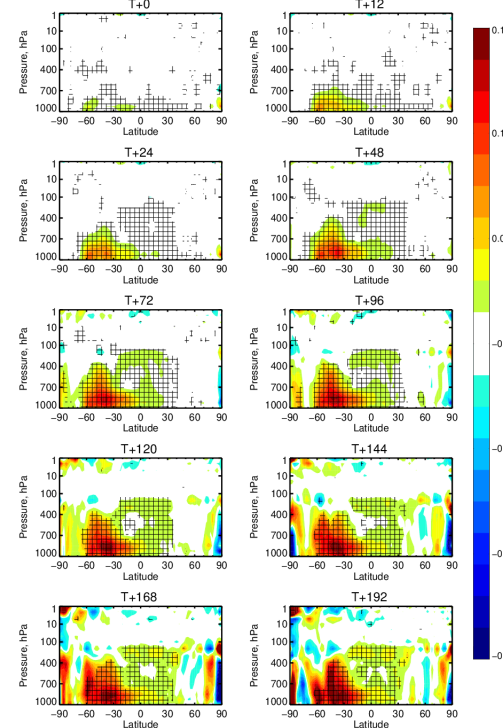
Heat exchange coefficient:



Mean difference in Temperature

JJA

Change in field mean in T (v11 + test2\_27\_no\_heatflux-v11 + test2\_27\_no\_heatflux)  
12-Jun-2020 to 31-Aug-2020 from 162 to 162 analyses.  
Cross-hatching indicates 95% confidence with Sidak correction for 20 independent tests.

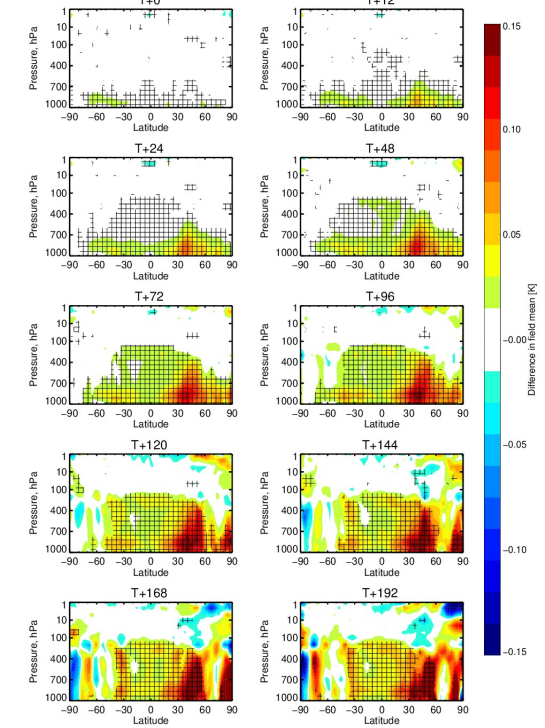


90S

90N

DJF

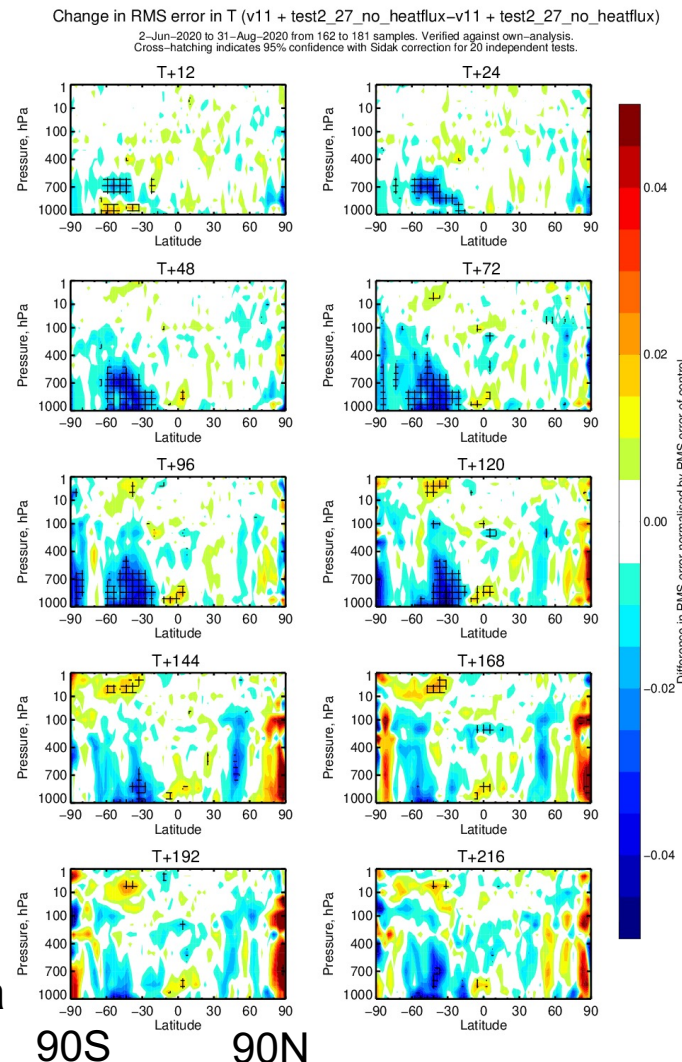
Change in field mean in T (v11 + test2\_27\_heatflux-v11 + test2\_27\_no\_heatflux)  
12-Dec-2020 to 31-Feb-2021 from 158 to 158 analyses.  
Cross-hatching indicates 95% confidence with Sidak correction for 20 independent tests.





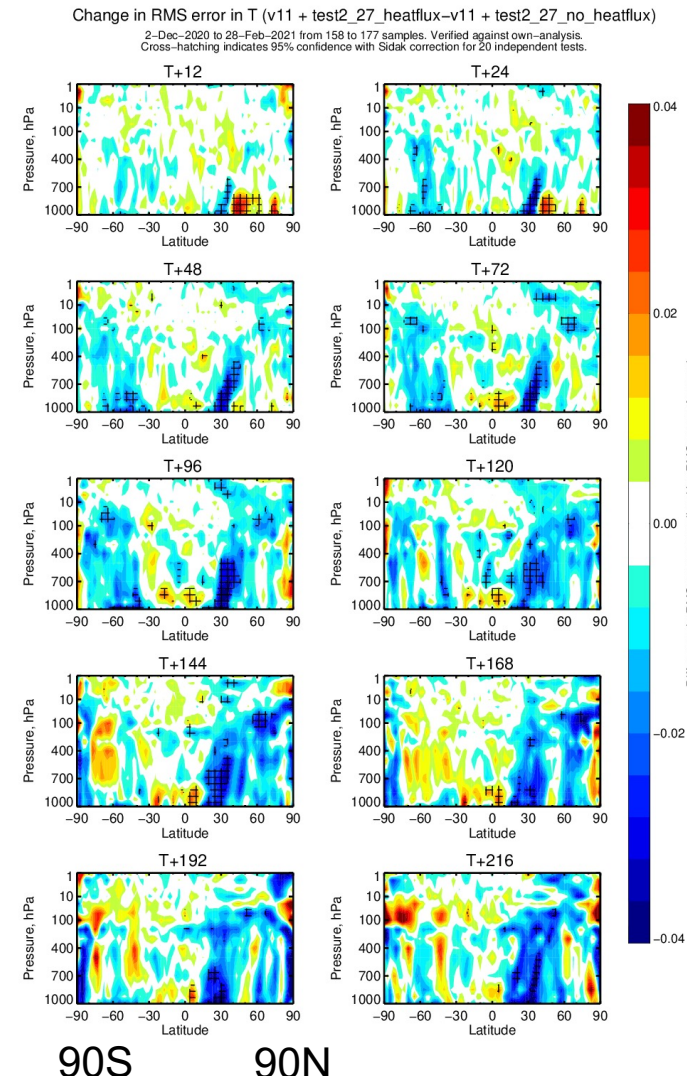
# Change in wind speeds RMSE meridional cross section for different forecast range: generally positive

JJA 2020



1 hPa  
1000 hPa

DJF 2020-21



improvement



## 4. Large waves affecting the southern coast of Victoria, April 2021

I am currently co-author on a paper which focuses on the analysis of a Southern Ocean storm. The storm is estimated to be a 100-year Extra Tropical cyclone and, as such, it is a demanding test for global wave models under extreme extra-tropical storm conditions. We carried out a few wave model simulations (hindcasts) forced with ERA5 hourly winds.

All simulations underestimate the extreme sea states from the storm generation phase throughout all of its development.

This is partly due to the negatively biased ERA5 wind speed, as shown by a comparison with the scatterometer and altimeter measurements.

There has been **many** developments since ERA5 and so I was curious to check how a more recent version would compare. It is based latest pre-operational model version soon to be implemented and the changes just described. These are the changes that will form the basis for the next reanalysis (ERA6)

Based on a prototype configuration for ERA6:

I ran a 2-week analysis experiment (1-14 April 2021), at Tco799 (12 km)

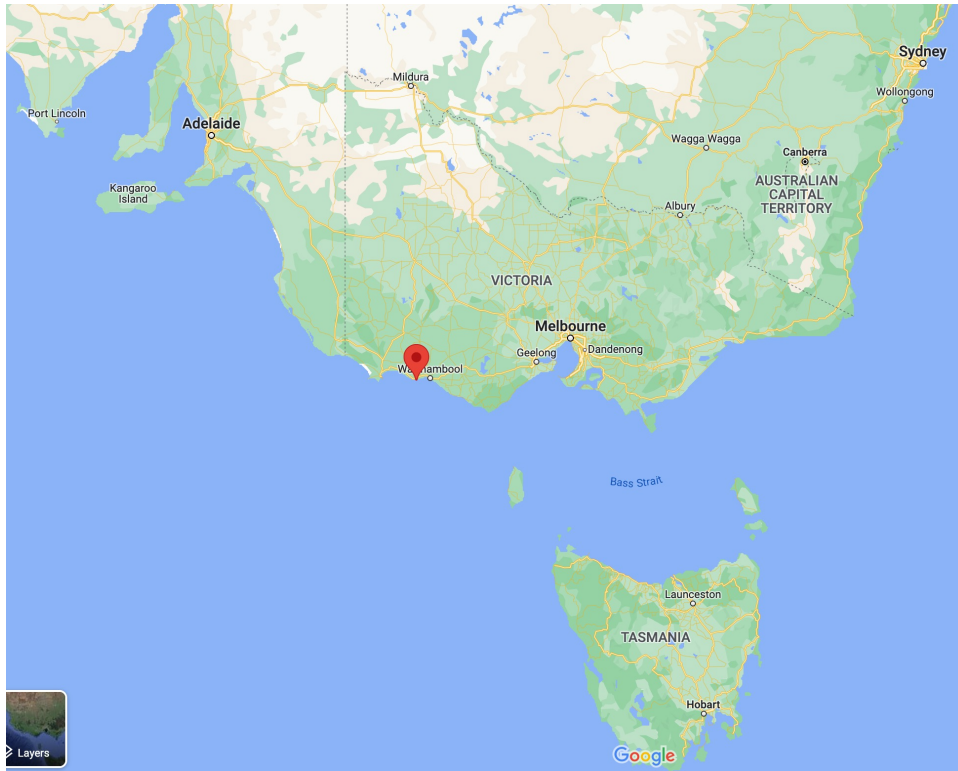
The following is a quick analysis for the period of interest (8-10 April 2021)

# Large waves affecting the southern coast of Victoria, April 2021

**Big surf flips boulders across road in Port Fairy, reigniting climate change, coastal erosion concerns**

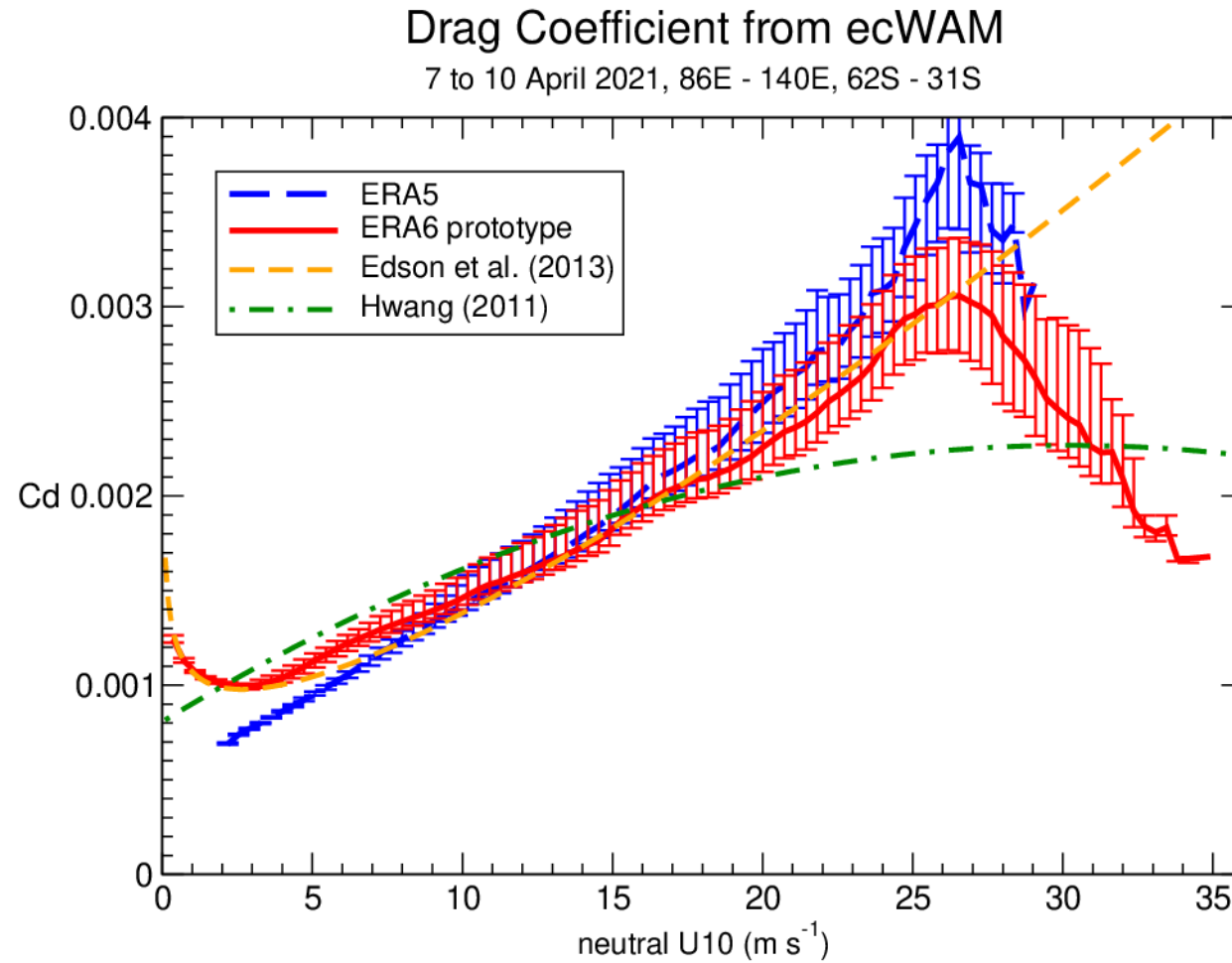
[ABC South West Vic](#)

Posted Mon 12 Apr 2021 at 9:20am Monday 12 Apr 2021 at 9:20am



Huge rocks swept up to 30 metres from the seawall.  
(Supplied: ABC Radio listener Graham)

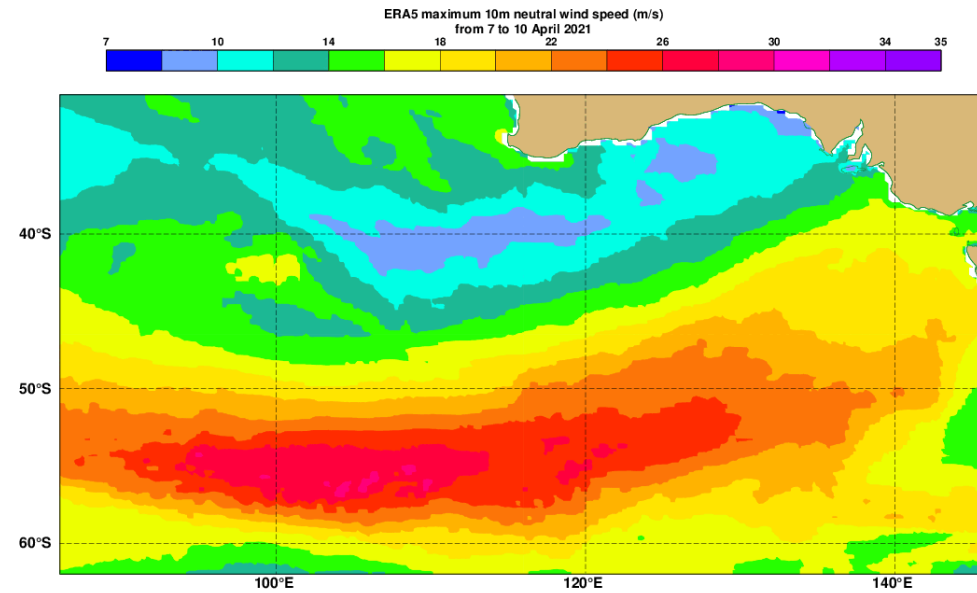
# Impact on surface drag coefficient used by ecWAM



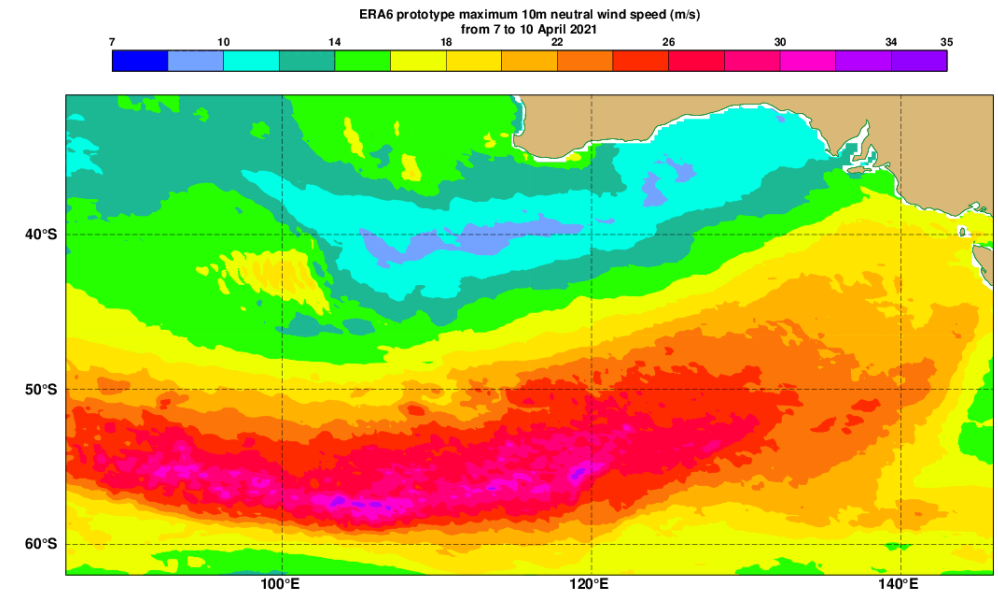


# Impact on maximum surface wind speeds

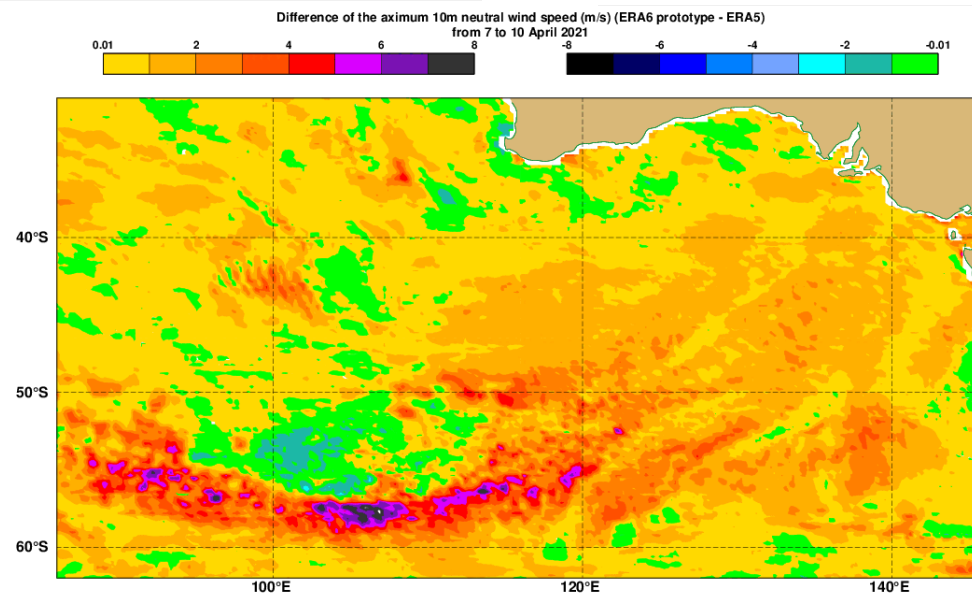
**ERA5**



**ERA6P**

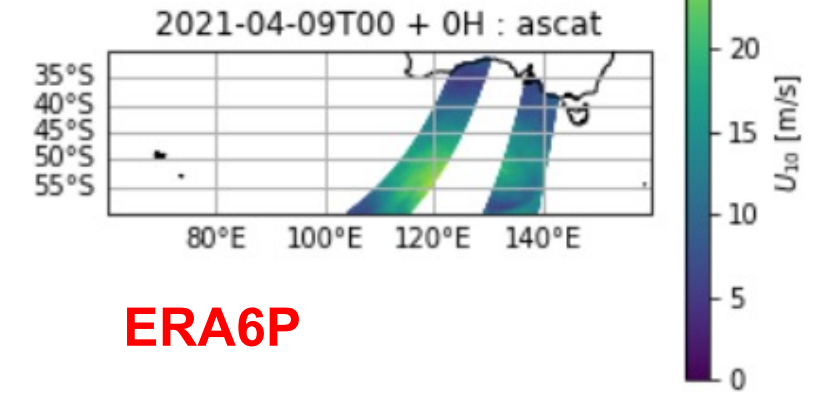


**ERA6P - ERA5**

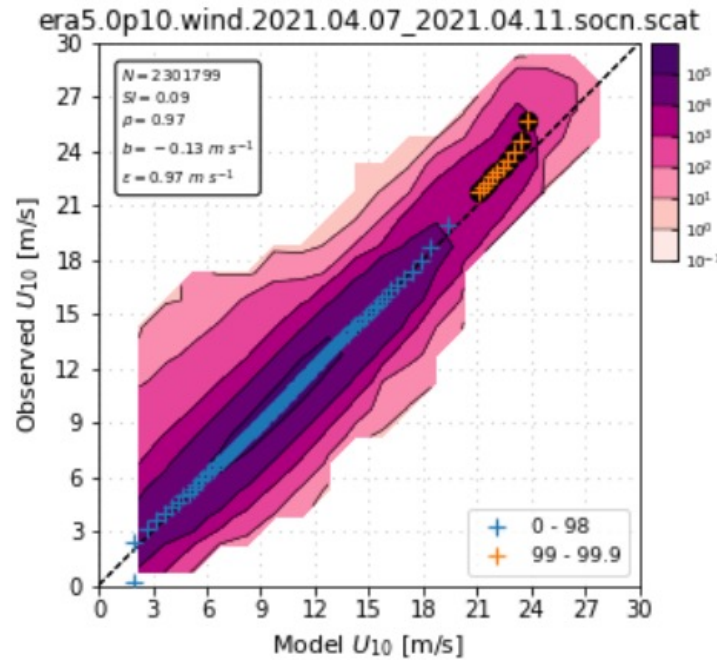


# Comparison to scatterometer

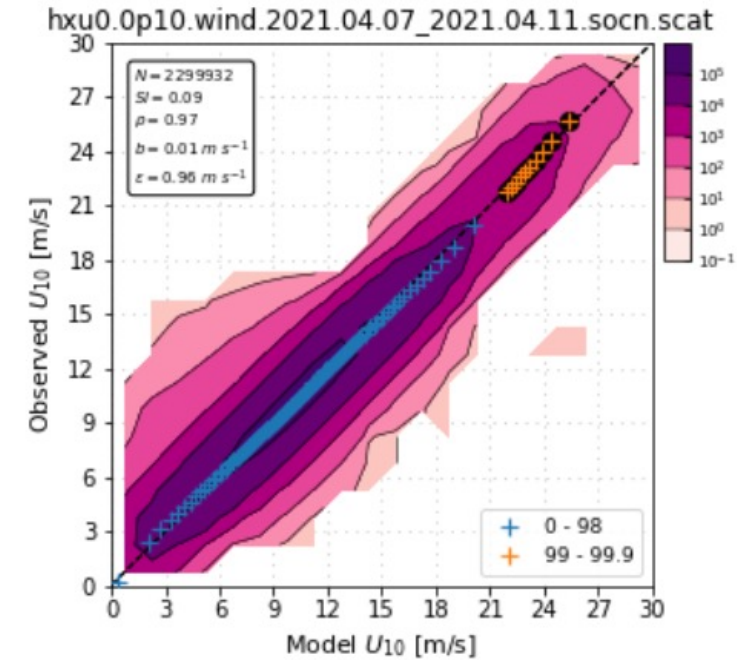
Hourly stepping from 2021-04-07T00:00 to 2021-04-12T00:00 for area :



ERA5



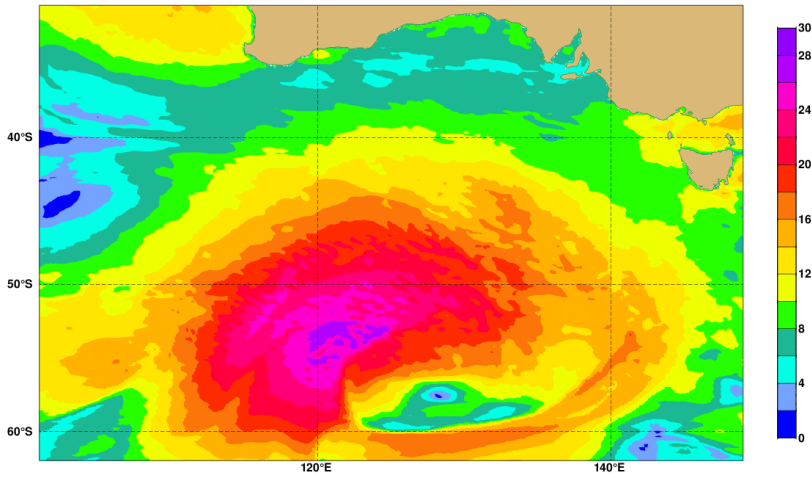
ERA6P



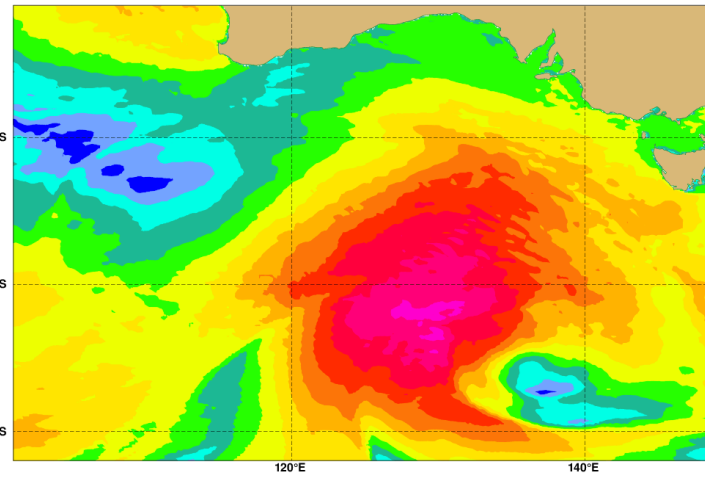
# Large waves affecting the southern coast of Victoria, April 2021

## ERA6 prototype 10m wind speed(m/s)

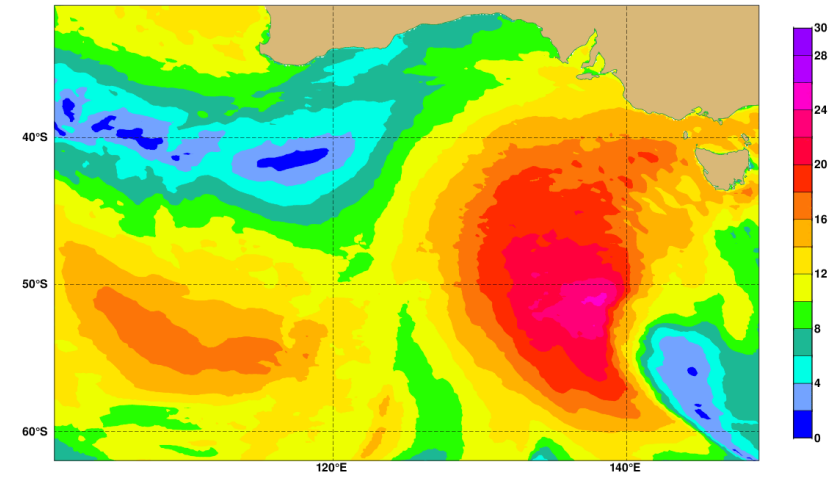
Friday 09 April 2021 00 UTC ecmf t+0 VT:Friday 09 April 2021 00 UTC 10 m 10 metre wind speed  
Friday 09 April 2021 00 UTC ecmf t+0 VT:Friday 09 April 2021 00 UTC 10 m 10 metre wind speed



Friday 09 April 2021 12 UTC ecmf t+0 VT:Friday 09 April 2021 12 UTC 10 m 10 metre wind speed  
Friday 09 April 2021 12 UTC ecmf t+0 VT:Friday 09 April 2021 12 UTC 10 m 10 metre wind speed

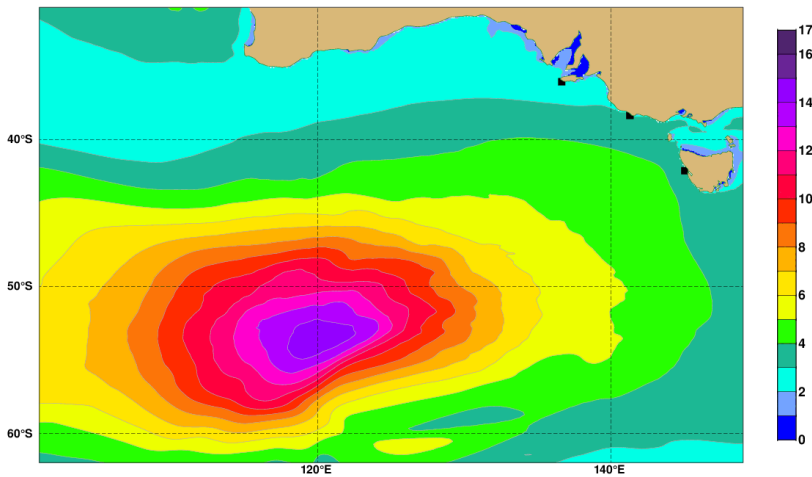


Saturday 10 April 2021 00 UTC ecmf t+0 VT:Saturday 10 April 2021 00 UTC 10 m 10 metre wind speed  
Saturday 10 April 2021 00 UTC ecmf t+0 VT:Saturday 10 April 2021 00 UTC 10 m 10 metre wind speed

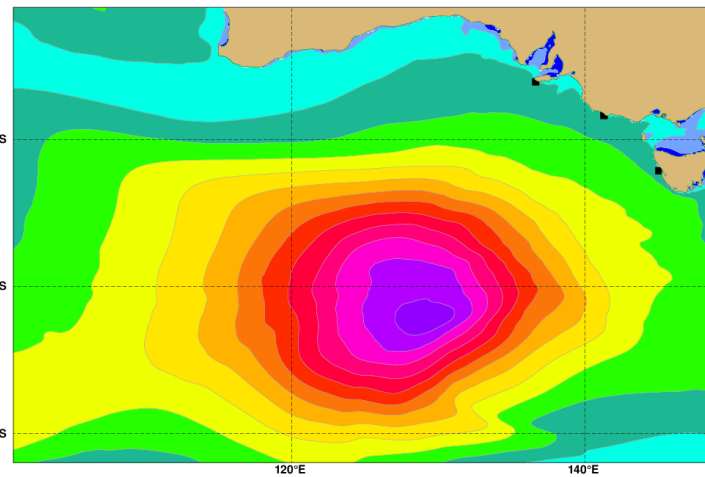


## ERA6 prototype Significant wave height(m)

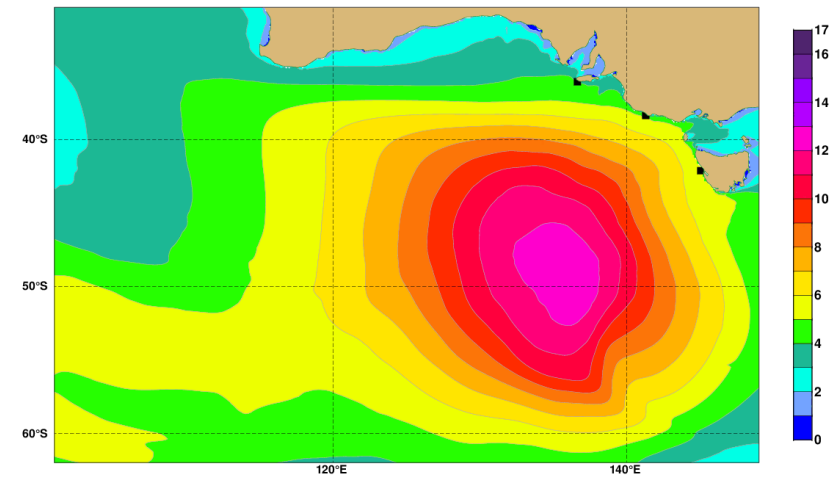
Friday 09 April 2021 00 UTC ecmf t+0 VT:Friday 09 April 2021 00 UTC meanSea Significant height of combined wind waves and swell  
Friday 09 April 2021 00 UTC ecmf t+0 VT:Friday 09 April 2021 00 UTC meanSea Significant height of combined wind waves and swell



Friday 09 April 2021 12 UTC ecmf t+0 VT:Friday 09 April 2021 12 UTC meanSea Significant height of combined wind waves and swell  
Friday 09 April 2021 12 UTC ecmf t+0 VT:Friday 09 April 2021 12 UTC meanSea Significant height of combined wind waves and swell



Saturday 10 April 2021 00 UTC ecmf t+0 VT:Saturday 10 April 2021 00 UTC meanSea Significant height of combined wind waves and swell  
Saturday 10 April 2021 00 UTC ecmf t+0 VT:Saturday 10 April 2021 00 UTC meanSea Significant height of combined wind waves and swell



9 April 2021, 0 UTC



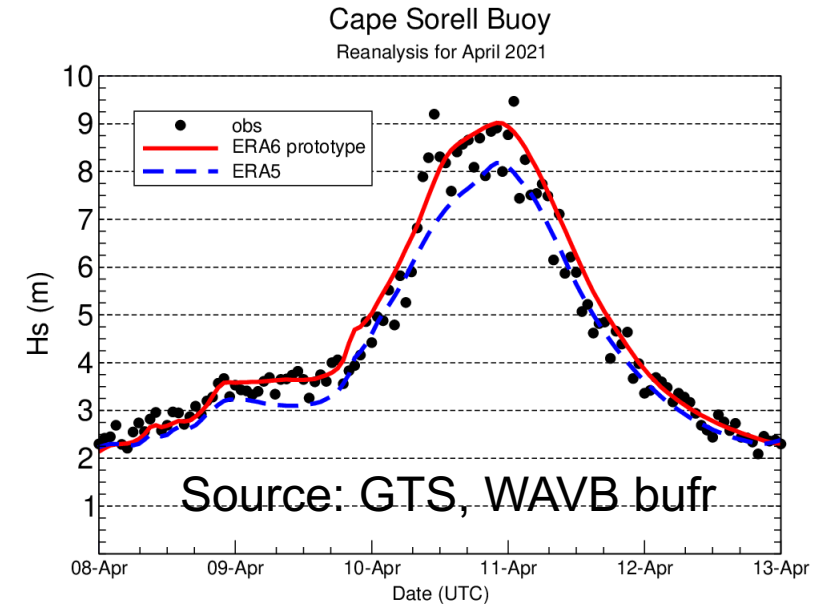
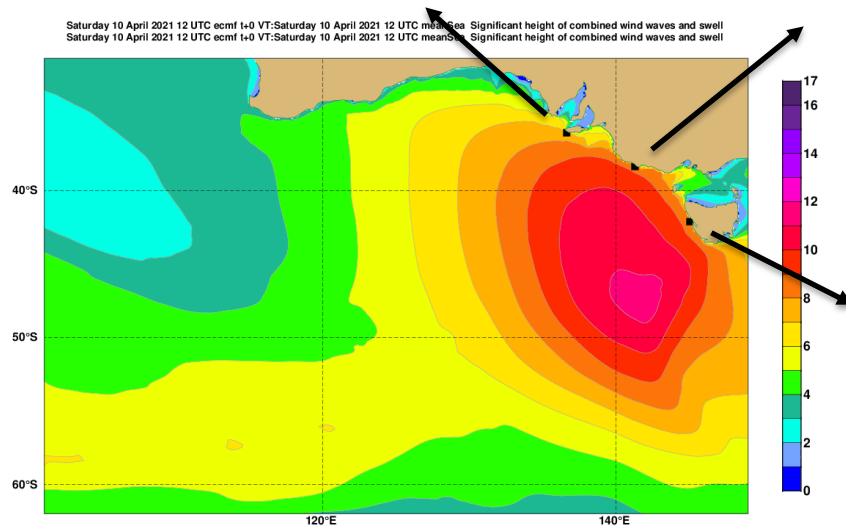
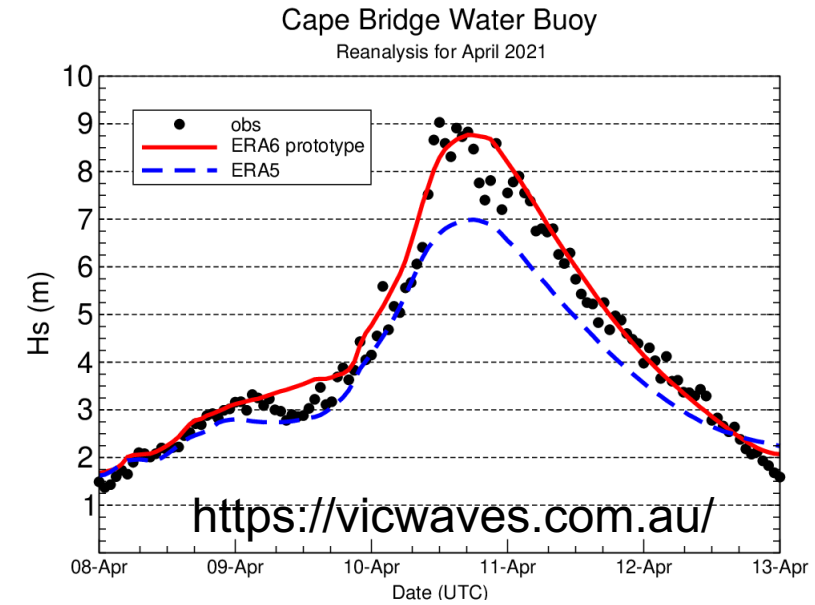
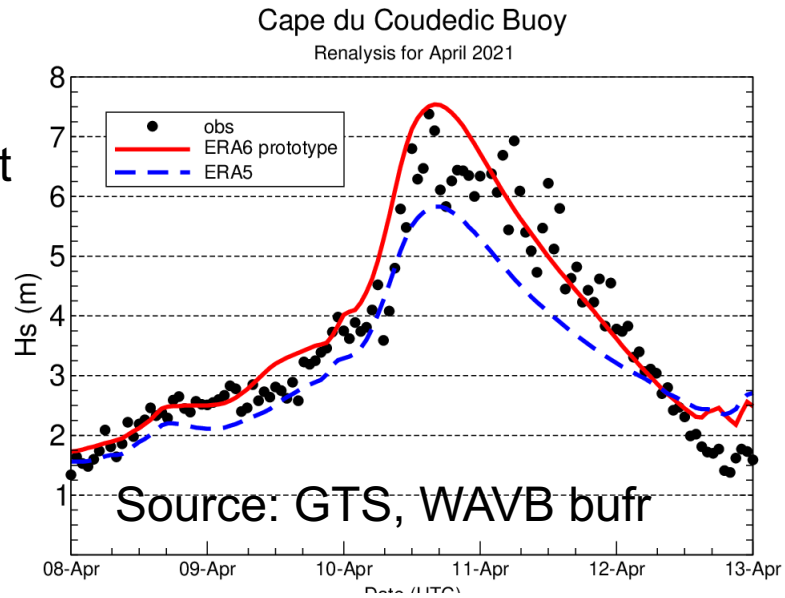
9 April 2021, 12 UTC

10 April 2021, 0 UTC



# Large waves affecting the southern coast of Victoria, April 2021

Significant  
wave height  
from buoys



# Conclusions

- Ocean waves are an active components of the Earth system.
- ECMWF has using sea state information for momentum exchange over the ocean for years
- Extension to other surface fluxes is under consideration for future model cycles.
- ERA6 should have better surface winds than ERA5.

# Questions ?

- Following an extension of the wind wave generation theory, a sea state dependent parameterisation for the roughness length scales for heat and humidity has been tested.

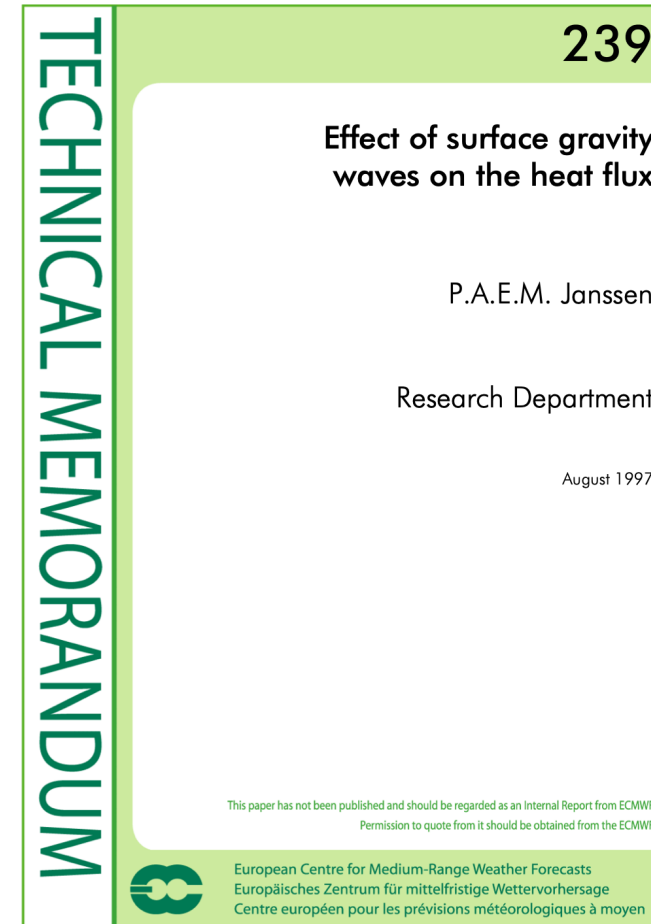
$$Z_T = 10 \frac{\left( \frac{10+x_-}{x_-} \right)^{(z_1-x_-)}}{\left( \frac{10+x_+}{x_+} \right)^{(z_1-x_+)}}$$

$$x_{\pm} = (z_1 + \frac{1}{2} z_v) \mp \{z_1^2 + (\frac{1}{2} z_v)^2\}^{1/2}$$

$$z_1 = \frac{u_*^2}{g} (\alpha - \tilde{\alpha}) \quad z_v = \frac{\delta v}{\kappa u_*}$$

$\alpha$  Sea State dependent Charnock

$\tilde{\alpha}$  Background roughness Charnock

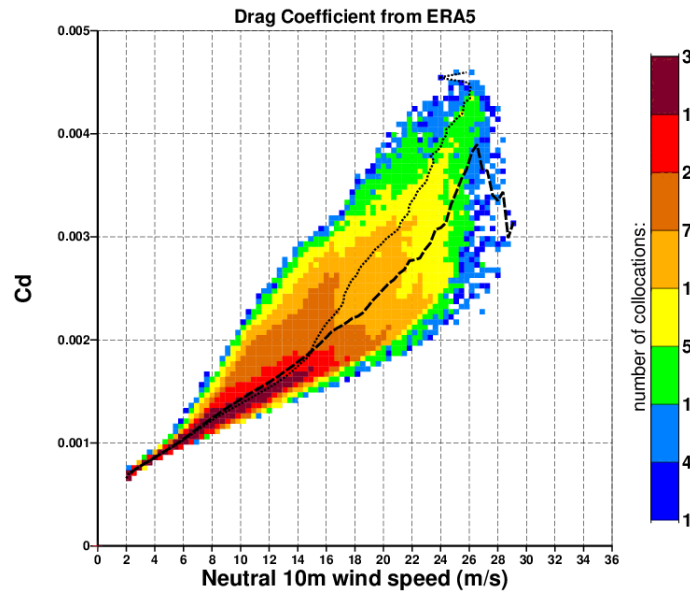


See also :Peter A.E.M.Janssen and Jean-Raymond Bidlot, 2018: Progress in Operational Wave Forecasting, Procedia IUTAM Volume 26, 2018, Pages 14-29.

<https://www.sciencedirect.com/science/article/pii/S2210983818300038>

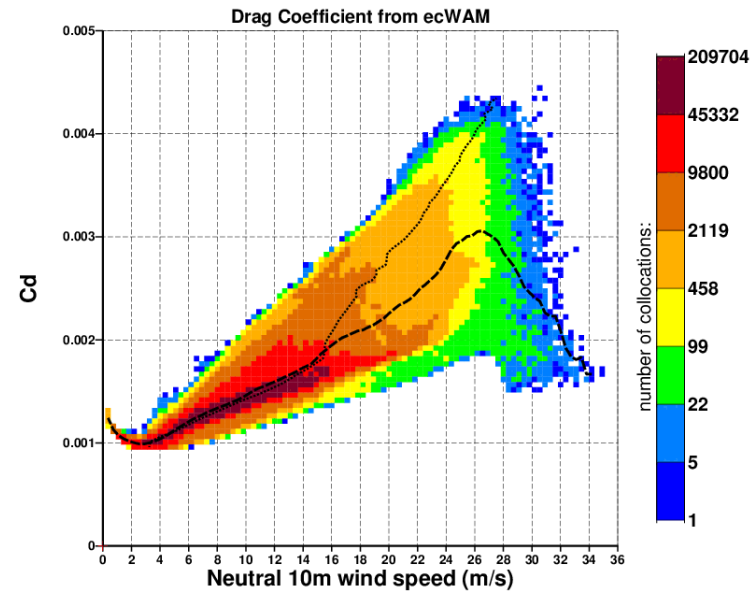
# Impact on surface drag coefficient used by ecWAM

## ERA5

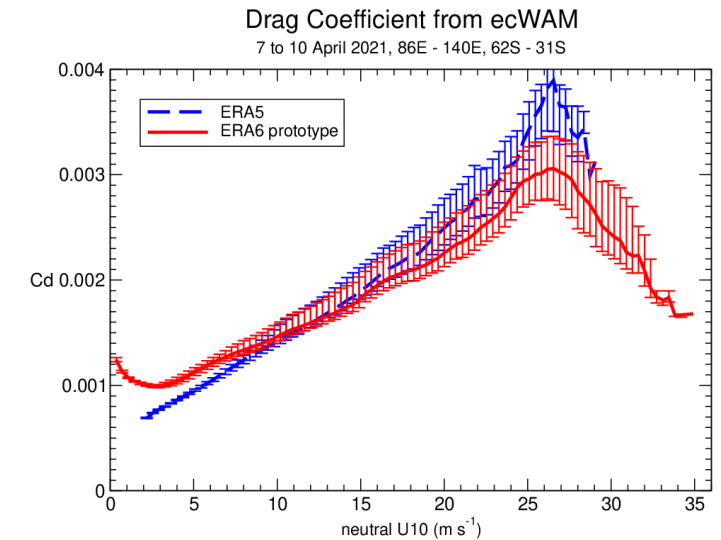


Analysis data from stream wave, class ea, expver 0001, all ecWAM sea points from 20210407 to 20210410 for subarea -31/86/-62/140

## ERA6P



Analysis data from stream wave, class rd, expver hxx0, all ecWAM sea points from 20210407 to 20210410 for subarea -31/86/-62/140



# References

ECMWF (2021). “Part VII: ECMWF wave model,” in IFS Documentation CY47R3, (Reading: Shinfield Park).

<https://www.ecmwf.int/en/elibrary/20201-ifs-documentation-cy47r3-part-vii-ecmwf-wave-model>

Janssen, P.A.E.M., 1997: Effect of surface gravity waves on the heat flux. ECMWF Technical Memorandum 239.

<http://www.ecmwf.int/en/elibrary/technical-memoranda>

Peter A.E.M.Janssen and Jean-Raymond Bidlot, 2018: Progress in Operational Wave Forecasting, Procedia IUTAM Volume 26, 2018, Pages 14-29.

<https://www.sciencedirect.com/science/article/pii/S2210983818300038>

Peter A.E.M.Janssen and Jean-Raymond Bidlot, 2021. ECMWF Technical Memorandum 882.

<https://www.ecmwf.int/en/elibrary/19943-consequences-nonlinearity-and-gravity-capillary-waves-wind-wave-interaction>

A paper has been accepted for publication JPO (proofs are back with the editor).

S. Majumdar, L. Magnusson, P. Bechtold, J-R Bidlot, J. Doyle, 2022 : Advanced tropical cyclone prediction using the experimental global ECMWF and operational regional COAMPS-TC systems, submitted to MWR.